

NLWJC- Kagan

Counsel - Box 014 - Folder 007

Mystery Criminal Project

- Handwritten -

~~Richard Schrein~~

~~Bob~~

~~ASIS~~

~~400~~

~~ASIS Award 9.09.10.02~~

~~what's the letter~~

~~Australia (make sure to state objective)~~

~~Answers~~

~~FANNO~~

~~PERIODIC~~

Pete Farnsworth 479-3092

~~Todd (quasi-stay)~~

~~Last Monica~~

~~John Alvin~~

~~Frederick~~



Sarah

~~F~~

~~Karen~~

~~Richard S.~~

~~Holly Day (ass?)~~

save him
(2/18/1981)
202-208-4881
Neal Kinney
Marie Carpenter - Interior
Asst Secy for Water
Science

~~4.50/22/00~~

~~Shuttle~~

~~Patricia~~
~~withards~~
~~PPC MTS~~
~~SAT MTS~~

Crime statutes

uses forged documents / False financial sheets in the purpose of obtaining \$ from private investors for transactions across stake mines.
Planned up ^{forged} a D+B report / assets report to indicate he had assets he didn't have subst. And to obtain it from investors here, in private business.

ORALLY - Turn

Securities Act -

makes it illegal to commit fraud in connection w/ purchase or sale of any securities.

fraud in
connection w/
safety secs
technical diff's
in coverage

{ 17 of Sec Act of 1933
10b of 34 Act

IS USC 77g (33)
78j. (34)

what is + is not
a security??
lots of g's here

exceptions for priv
offerings.

RICO - wire / mail frauds predicate acts
every tel call is a sec offens.

1 transact? not not qualify RICO.

Also need enterprise - business for which you're
raising # not qualify.

18 USC 1954, # laundering

prohibits financial txs w/ proceeds of crimes
incl. mail + wire fraud.

2 offences - 1) knowingly engaging in fin tx w/ proceeds
2) engaging in such a tx
w/ intent to promote or conceal crim activ.

derivative
of fact

- Assume not defrauding the US; presenting false info to US. (or foreign govt.)
- If income from employee benefit plan or pension fund - see § 664.
- Information? See §§ 2325-27
- Engaged in insurance business? See § 1033
- Defrauding a financial institution? See § 344

§ 1341 - Mail fraud (assuming use of mail)

§ 1343 - Wire fraud (assuming use of telephone)

Prax
10/14

Fairl starts to a bank

- Also in 13/14

D+B - credit reporting bank
Financial inst?

Frauds in
Switzerland