

NLWJC - KAGAN

EMAILS RECEIVED

ARMS - BOX 058 - FOLDER -009

[03/26/1997-03/31/1997]

Withdrawal/Redaction Sheet

Clinton Library

| DOCUMENT NO. AND TYPE | SUBJECT/TITLE | DATE | RESTRICTION |
|--------------------------|---|------------|-------------|
| 001a. email | Paul J. Weinstein to Elena Kagan et.al. Instructions to dial into EOP via laptop computers with NT (1 page) | 03/28/1997 | b(2) |
| 001b. email attachment | Instructions to dial into EOP via lap top computers with NT (4 pages) | 03/28/1997 | b(2) |
| 002. email | Nicole Rabner to Elena Kagan. Subject: HHS (1 page) | 03/28/1997 | P6/b(6) |
| 003. email | Nicole Rabner to Elena Kagan. Subject: satellite conferences [partial] (1 page) | 03/31/1997 | P6/b(6) |

COLLECTION:

Clinton Presidential Records
 Automated Records Management System (Email)
 WHO ([Kagan])
 OA/Box Number: 500000

FOLDER TITLE:

[3/26/1997 - 3/31/1997]

2009-1006-F
ke707

RESTRICTION CODES

Presidential Records Act - [44 U.S.C. 2204(a)]

- P1 National Security Classified Information [(a)(1) of the PRA]
- P2 Relating to the appointment to Federal office [(a)(2) of the PRA]
- P3 Release would violate a Federal statute [(a)(3) of the PRA]
- P4 Release would disclose trade secrets or confidential commercial or financial information [(a)(4) of the PRA]
- P5 Release would disclose confidential advice between the President and his advisors, or between such advisors [(a)(5) of the PRA]
- P6 Release would constitute a clearly unwarranted invasion of personal privacy [(a)(6) of the PRA]

C. Closed in accordance with restrictions contained in donor's deed of gift.

PRM. Personal record misfile defined in accordance with 44 U.S.C. 2201(3).

RR. Document will be reviewed upon request.

Freedom of Information Act - [5 U.S.C. 552(b)]

- b(1) National security classified information [(b)(1) of the FOIA]
- b(2) Release would disclose internal personnel rules and practices of an agency [(b)(2) of the FOIA]
- b(3) Release would violate a Federal statute [(b)(3) of the FOIA]
- b(4) Release would disclose trade secrets or confidential or financial information [(b)(4) of the FOIA]
- b(6) Release would constitute a clearly unwarranted invasion of personal privacy [(b)(6) of the FOIA]
- b(7) Release would disclose information compiled for law enforcement purposes [(b)(7) of the FOIA]
- b(8) Release would disclose information concerning the regulation of financial institutions [(b)(8) of the FOIA]
- b(9) Release would disclose geological or geophysical information concerning wells [(b)(9) of the FOIA]

RECORD TYPE: PRESIDENTIAL (NOTES MAIL)

CREATOR: Marjorie Tarmey (CN=Marjorie Tarmey/OU=WHO/O=EOP [WHO])

CREATION DATE/TIME:26-MAR-1997 08:10:47.00

SUBJECT: Re: FDR Mtg11:00 am today

TO: Elena Kagan (CN=Elena Kagan/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

TO: Diana Fortuna (CN=Diana Fortuna/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

CC: Laura Emmett (CN=Laura Emmett/OU=WHO/O=EOP @ EOP [WHO])

READ:UNKNOWN

TEXT:

Cathy May said that Elaina was going to represent Bruce. Please let me know - we need someone from DPC at the mtg.

RECORD TYPE: PRESIDENTIAL (NOTES MAIL)

CREATOR: Todd Stern (CN=Todd Stern/OU=WHO/O=EOP [WHO])

CREATION DATE/TIME:26-MAR-1997 08:37:21.00

SUBJECT: Re:

TO: Elena Kagan (CN=Elena Kagan/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

TEXT:

I think you've done an excellent job with a very tough assignment. I think it's just right as is. Good work. tds

March 10, 2010

MEMORANDUM FOR THE CHIEFS OF STAFF (DRAFT)

FROM: Elena Kagan

Kathleen Wallman

Katie McGinty

SUBJECT: Children's Health Executive Order

We are providing for your review a revised version of the proposed executive order on children's health. This version reflects a consensus among White House offices about how best to balance the goals of the initiative and the concerns about implementation that have been raised among federal agencies.

In order to identify any remaining agency concerns that should be considered in deciding whether to move forward with this version of the executive order, CEQ, DPC and NEC will convene a meeting of the Chiefs of Staff on _____, March _____, at _____. Please contact _____ to arrange clearance into the meeting.

RECORD TYPE: PRESIDENTIAL (NOTES MAIL)

CREATOR: Jill M. Pizzuto (CN=Jill M. Pizzuto/OU=OMB/O=EOP [OMB])

CREATION DATE/TIME:26-MAR-1997 09:31:40.00

SUBJECT: meeting to set up

TO: Diana Fortuna (CN=Diana Fortuna/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

TO: Elena Kagan (CN=Elena Kagan/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

CC: Laura Emmett (CN=Laura Emmett/OU=WHO/O=EOP @ EOP [WHO])

READ:UNKNOWN

CC: Stacy L. Dean (CN=Stacy L. Dean/OU=OMB/O=EOP @ EOP [OMB])

READ:UNKNOWN

TEXT:

Ken will be out of the office this afternoon and tomorrow & friday. He asked me to send you both a note regarding resolving a few issues by the end of the week so that Stacy can give him an update early Monday. I believe the issue is food stamps-- WA State & voluntary workfare.

Stacy is flexible and will meet when you're free. Elena -- I'm not sure if you actually need / want to sit in? or if this can be done between just Stacy & Diana.

In any case, Ken's office will be available if you want to use it for the meeting.

RECORD TYPE: PRESIDENTIAL (NOTES MAIL)

CREATOR: Leanne A. Shimabukuro (CN=Leanne A. Shimabukuro/OU=OPD/O=EOP [OPD])

CREATION DATE/TIME:26-MAR-1997 09:54:55.00

SUBJECT: Safe and Drug-Free Schools briefing today at 11:00am in room 211. Thanks.

TO: Elena Kagan (CN=Elena Kagan/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

CC: Laura Emmett (CN=Laura Emmett/OU=WHO/O=EOP @ EOP [WHO])

READ:UNKNOWN

TEXT:

Elena -- I mentioned this to you a long time ago. It was finally re-scheduled for this morning. Sorry for the late notice. Hope you can attend. Thanks.

----- Forwarded by Leanne A. Shimabukuro/OPD/EOP on
03/26/97 09:54 AM -----

Leanne A. Shimabukuro

03/26/97 09:46:56 AM

Record Type: Record

To: Michael Cohen/OPD/EOP, William R. Kincaid/OPD/EOP, Dennis K. Burke/OPD/EOP

cc:

Subject: Safe and Drug-Free Schools briefing today at 11:00am in room 211.
Thanks.

RECORD TYPE: PRESIDENTIAL (NOTES MAIL)

CREATOR: Jason S. Goldberg (CN=Jason S. Goldberg/OU=WHO/O=EOP [WHO])

CREATION DATE/TIME:26-MAR-1997 12:39:53.00

SUBJECT: IMPORTANT NOTICE: Weekly Reports

TO: Paul J. Weinstein Jr. (CN=Paul J. Weinstein Jr./OU=OPD/O=EOP @ EOP [OPD])
READ:UNKNOWN

TO: MILLISON_C (MILLISON_C @ A1 @ CD @ LNGTWY [EOP]) (NSC)
READ:UNKNOWN

TO: Christopher J. Lavery (CN=Christopher J. Lavery/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Elisa Millsap (CN=Elisa Millsap/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Shelley N. Fidler (CN=Shelley N. Fidler/OU=CEQ/O=EOP @ EOP [CEQ])
READ:UNKNOWN

TO: Lori L. Anderson (CN=Lori L. Anderson/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: MCHUGH_L (MCHUGH_L @ A1 @ CD @ LNGTWY [EOP]) (WHO)
READ:UNKNOWN

TO: Marjorie Tarmey (CN=Marjorie Tarmey/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Rahm I. Emanuel (CN=Rahm I. Emanuel/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Alison E. Bracewell (CN=Alison E. Bracewell/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Ann F. Lewis (CN=Ann F. Lewis/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Phillip Caplan (CN=Phillip Caplan/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Ronda H. Jackson (CN=Ronda H. Jackson/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Stephen B. Silverman (CN=Stephen B. Silverman/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Susan A. Brophy (CN=Susan A. Brophy/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Russell W. Horwitz (CN=Russell W. Horwitz/OU=OPD/O=EOP @ EOP [OPD])
READ:UNKNOWN

TO: Kathryn O. Higgins (CN=Kathryn O. Higgins/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Gene B. Sperling (CN=Gene B. Sperling/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

TO: Elena Kagan (CN=Elena Kagan/OU=OPD/O=EOP @ EOP [OPD])
READ:UNKNOWN

TO: Karen E. Skelton (CN=Karen E. Skelton/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Cathy R. Mays (CN=Cathy R. Mays/OU=OPD/O=EOP @ EOP [OPD])
READ:UNKNOWN

TO: Melissa Green (CN=Melissa Green/OU=OPD/O=EOP @ EOP [OPD])
READ:UNKNOWN

TO: Kathleen A. McGinty (CN=Kathleen A. McGinty/OU=CEQ/O=EOP @ EOP [CEQ])
READ:UNKNOWN

TO: Julie E. Mason (CN=Julie E. Mason/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Lee A. Satterfield (CN=Lee A. Satterfield/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: John O. Sutton (CN=John O. Sutton/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Emily Bromberg (CN=Emily Bromberg/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Eli G. Attie (CN=Eli G. Attie/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Kevin S. Moran (CN=Kevin S. Moran/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Elizabeth M. Toohey (CN=Elizabeth M. Toohey/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Katherine Hubbard (CN=Katherine Hubbard/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Stacey L. Rubin (CN=Stacey L. Rubin/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Anna M. Gomez (CN=Anna M. Gomez/OU=OPD/O=EOP @ EOP [OPD])
READ:UNKNOWN

TO: John L. Hilley (CN=John L. Hilley/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Marcia L. Hale (CN=Marcia L. Hale/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Bruce N. Reed (CN=Bruce N. Reed/OU=OPD/O=EOP @ EOP [OPD])
READ:UNKNOWN

TEXT:

It has been called to our attention that many folks are planning to take Friday off this week and many offices have inquired about their weekly reports.

Erskine and Sylvia have asked me to issue the following guidance:

Offices may choose to submit short "hot issues" reports Thursday evening in lieu of their more comprehensive Weekly Reports this week, and submit a more comprehensive Weekly Report the following week.

Of course, offices may also choose -- if they desire -- to proceed as usual with their Weekly Reports.

Please let me know which your office will be doing. If your office chooses to do the shorter report, we will need it Thursday evening for Erskine to read this weekend. Reports turned in after Thursday evening will not be read until Monday afternoon.

THANKS

RECORD TYPE: PRESIDENTIAL (NOTES MAIL)

CREATOR: Cynthia A. Rice (CN=Cynthia A. Rice/OU=OPD/O=EOP [OPD])

CREATION DATE/TIME:26-MAR-1997 15:40:31.00

SUBJECT: A fax arrived here for Bruce from Reno's counsel--I'll red dot it over

TO: Elena Kagan (CN=Elena Kagan/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

TO: Cathy R. Mays (CN=Cathy R. Mays/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

CC: Laura Emmett (CN=Laura Emmett/OU=WHO/O=EOP @ EOP [WHO])

READ:UNKNOWN

TEXT:

It's a copy of a memo to Elena from the counsel (Kent Markus) re: liquor advertising.

RECORD TYPE: PRESIDENTIAL (NOTES MAIL)

CREATOR: Cynthia A. Rice (CN=Cynthia A. Rice/OU=OPD/O=EOP [OPD])

CREATION DATE/TIME:26-MAR-1997 15:48:13.00

SUBJECT: Has HHS/Ag given us a privatization proposal yet?

TO: Elena Kagan (CN=Elena Kagan/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

CC: Laura Emmett (CN=Laura Emmett/OU=WHO/O=EOP @ EOP [WHO])

READ:UNKNOWN

CC: Diana Fortuna (CN=Diana Fortuna/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

TEXT:

RECORD TYPE: PRESIDENTIAL (NOTES MAIL)

CREATOR: Ann F. Walker (CN=Ann F. Walker/OU=WHO/O=EOP [WHO])

CREATION DATE/TIME:26-MAR-1997 15:55:43.00

SUBJECT: Re: WH Conf. on Early Childhood

TO: Elena Kagan (CN=Elena Kagan/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

TEXT:

Understood -- keep trying please.

RECORD TYPE: PRESIDENTIAL (NOTES MAIL)

CREATOR: Cathy R. Mays (CN=Cathy R. Mays/OU=OPD/O=EOP [OPD])

CREATION DATE/TIME:26-MAR-1997 17:14:09.00

SUBJECT: Meeting with Justice on the COPS Program

TO: Dennis K. Burke (CN=Dennis K. Burke/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

TO: Elena Kagan (CN=Elena Kagan/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

CC: Laura Emmett (CN=Laura Emmett/OU=WHO/O=EOP @ EOP [WHO])

READ:UNKNOWN

TEXT:

Here are the attendees for tomorrow's 2 p.m. meeting with Justice:

John Dwyer
Lewis Anthony Sutin
Kent Markus
Joseph Brann
Benjamin Tucker
Craig Uchida

RECORD TYPE: PRESIDENTIAL (NOTES MAIL)

CREATOR: Cynthia A. Rice (CN=Cynthia A. Rice/OU=OPD/O=EOP [OPD])

CREATION DATE/TIME:27-MAR-1997 09:58:48.00

SUBJECT: Spoke to Yvette Jackson re: HHS privatization proposal

TO: Diana Fortuna (CN=Diana Fortuna/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

TO: Elena Kagan (CN=Elena Kagan/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

CC: Laura Emmett (CN=Laura Emmett/OU=WHO/O=EOP @ EOP [WHO])

READ:UNKNOWN

TEXT:

Ag will complete this morning a document analogous to the HHS one, which lays out what Ag laws would have to be waived to achieve the same result as in the HHS draft. They will fax it around ASAP. Her general counsel is still concerned that Ag doesn't have the authority to waive these provisions, and would lose if sued, but that's not stopping Jackson et. al.

She also said labor leaders have a meeting w. Secretary Glickman on Monday, .

RECORD TYPE: PRESIDENTIAL (NOTES MAIL)

CREATOR: Emily Bromberg (CN=Emily Bromberg/OU=WHO/O=EOP [WHO])

CREATION DATE/TIME:27-MAR-1997 10:06:22.00

SUBJECT: legal immigrants

TO: Elena Kagan (CN=Elena Kagan/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

CC: Cynthia A. Rice (CN=Cynthia A. Rice/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

CC: Diana Fortuna (CN=Diana Fortuna/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

TEXT:

Marcia tells me that she brought up our idea of a POTUS meeting with 4 or 5 mayors to talk about our legal immigrant package. We are thinking about NYC, LA, and 2 dems--Dallas or Houston and a Florida mayor. To allay Craig's concerns, I'm setting up a meeting early next week to hash it out. Marcia was going to talk to counsel about restriction re: NYC lawsuit. As you know I'm a big fan of this idea--so I want to push back on political a little.

RECORD TYPE: PRESIDENTIAL (NOTES MAIL)

CREATOR: Cynthia A. Rice (CN=Cynthia A. Rice/OU=OPD/O=EOP [OPD])

CREATION DATE/TIME:27-MAR-1997 11:18:25.00

SUBJECT: Re: legal immigrants

TO: Elena Kagan (CN=Elena Kagan/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

CC: Diana Fortuna (CN=Diana Fortuna/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

CC: Emily Bromberg (CN=Emily Bromberg/OU=WHO/O=EOP @ EOP [WHO])

READ:UNKNOWN

TEXT:

This is great. FYI -- the next House-Senate-advocates immigration strategy meeting organized by Sandy Levin is scheduled for April 10th. This one will include members of Congress. Our allies are looking for demonstrations of commitment from us, so having had this meeting with a statement or having a firm plan by then would be great.

Elena Kagan

03/27/97 10:14:48 AM

Record Type: Record

To: Emily Bromberg/WHO/EOP

cc: Diana Fortuna/OPD/EOP, Cynthia A. Rice/OPD/EOP

Subject: Re: legal immigrants

we're with you, as i said at the meeting this morning.

RECORD TYPE: PRESIDENTIAL (NOTES MAIL)

CREATOR: Laura K. Capps (CN=Laura K. Capps/OU=WHO/O=EOP [WHO])

CREATION DATE/TIME:27-MAR-1997 11:26:58.00

SUBJECT: fcc liquor

TO: Laura Emmett (CN=Laura Emmett/OU=WHO/O=EOP @ EOP [WHO])

READ:UNKNOWN

TO: Dennis K. Burke (CN=Dennis K. Burke/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

TO: Elena Kagan (CN=Elena Kagan/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

TEXT:

I'm trying to figure out if there are any event meetings planned for Tuesday's announcement. Carolyn is writing the remarks and she will be out tomorrow for Easter.

Can you let me know what is in the works? thanks

RECORD TYPE: PRESIDENTIAL (NOTES MAIL)

CREATOR: Nicole R. Rabner (CN=Nicole R. Rabner/OU=WHO/O=EOP [WHO])

CREATION DATE/TIME:27-MAR-1997 11:52:26.00

SUBJECT: Early Childhood Development

TO: Pauline M. Abernathy (CN=Pauline M. Abernathy/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

TO: Kris M Balderston (CN=Kris M Balderston/OU=WHO/O=EOP @ EOP [WHO])

READ:UNKNOWN

TO: Elena Kagan (CN=Elena Kagan/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

TEXT:

----- Forwarded by Nicole R. Rabner/WHO/EOP on 03/27/97
11:54 AM -----

Kathleen M. Whalen

03/27/97 11:44:59 AM

Record Type: Record

To: Nicole R. Rabner/WHO/EOP

cc: Virginia R. Canter/WHO/EOP

Subject: Early Childhood Development

Sorry for the delay in getting this information to you. I have attempted to contact the ethics officials at Education, HHS and National Service. Below I will identify what authority they have and who the policy folks you are dealing with at each of the agencies should contact with their questions/to make arrangements for accepting gifts/co-sponsoring an event.

Education

Has gift authority, including solicitation authority. All gifts/solicitations have to be approved in advance (as early in the process as possible) through either Joan Bardee or Amy Comstock -- 401-5309. Also, they routinely co-sponsor events/conferences.

HHS

I have been trading calls with the person I need to speak with at HHS. I know that they regularly co-sponsorship events. Plans to co-sponsor must be discussed with Rick Thomas -- 690-7258.

National Service

My calls have not been returned, but I know they have gift authority. I am assuming that all gifts must be approved in advance through Barry Stephens or Brit Rapp -- 606-5000, exts. 259, 258, respectively.

I will update you with more specifics if/when I hear back from HHS and the Corporation.

I hope this information will be helpful to the agency policy people as they are pursuing the options for getting the "kits" and the report. Let me (or Ginny Canter -- 6-2144) know if you need additional information.

RECORD TYPE: PRESIDENTIAL (NOTES MAIL)

CREATOR: Laura Emmett (CN=Laura Emmett/OU=WHO/O=EOP [WHO])

CREATION DATE/TIME:27-MAR-1997 12:20:52.00

SUBJECT: 2:00 COPS is now in 211

TO: Elena Kagan (CN=Elena Kagan/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

TEXT:

RECORD TYPE: PRESIDENTIAL (NOTES MAIL)

CREATOR: Laura Emmett (CN=Laura Emmett/OU=WHO/O=EOP [WHO])

CREATION DATE/TIME:27-MAR-1997 12:21:30.00

SUBJECT:

TO: ELENA (Pager) #KAGAN (ELENA (Pager) #KAGAN [UNKNOWN])

READ:UNKNOWN

TEXT:

2:00 COPS Mtg. is now in 211 OEOB

RECORD TYPE: PRESIDENTIAL (NOTES MAIL)

CREATOR: Marjorie Tarmey (CN=Marjorie Tarmey/OU=WHO/O=EOP [WHO])

CREATION DATE/TIME:27-MAR-1997 12:48:32.00

SUBJECT: Race/Unity list mtg

TO: Tracey E. Thornton (CN=Tracey E. Thornton/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Richard L. Hayes (CN=Richard L. Hayes/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Elena Kagan (CN=Elena Kagan/OU=OPD/O=EOP @ EOP [OPD])
READ:UNKNOWN

TO: Carolyn Curiel (CN=Carolyn Curiel/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Janet Murguia (CN=Janet Murguia/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Richard Socarides (CN=Richard Socarides/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Minyon Moore (CN=Minyon Moore/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

CC: Laura Emmett (CN=Laura Emmett/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TEXT:

At 1:00 pm on Friday, March 28 , that's tomorrow, you are invited to a list meeting re Race/Unity. Please confirm.

RECORD TYPE: PRESIDENTIAL (NOTES MAIL)

CREATOR: Nicole R. Rabner (CN=Nicole R. Rabner/OU=WHO/O=EOP [WHO])

CREATION DATE/TIME:27-MAR-1997 13:15:08.00

SUBJECT: Conference update

TO: Pauline M. Abernathy (CN=Pauline M. Abernathy/OU=OPD/O=EOP @ EOP [OPD])
READ:UNKNOWN

TO: Elena Kagan (CN=Elena Kagan/OU=OPD/O=EOP @ EOP [OPD])
READ:UNKNOWN

CC: Laura Emmett (CN=Laura Emmett/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TEXT:

Ellen Lovell spoke with Elaine Kamark this morning, who advises that the VP does want a role in the Conference.

Any way we can meet later today to briefly touch base on a few issues?

RECORD TYPE: PRESIDENTIAL (ALL-IN-1 MAIL)

CREATOR: Jennifer Palmieri (PALMIERI_J) (WHO)

CREATION DATE/TIME:27-MAR-1997 18:43:14.50

SUBJECT: National Prayer Dayt

TO: Floydetta McAfee (MCAFEE_F) Autoforward to: Remote Address
READ:NOT READ

TO: Elena Kagan (KAGAN_E) Autoforward to: Remote Adresse
READ:NOT READ

TEXT:

FYI, May 1st is National Prayer Observance Day. Not that we are looking to add events to the President's schedule for that day, but I wanted to check in with you both to see if you thought the President needed to commemorate Prayer Day in some way. Please let me know.
thanks.

RECORD TYPE: PRESIDENTIAL (NOTES MAIL)

CREATOR: Melissa Green (CN=Melissa Green/OU=OPD/O=EOP [OPD])

CREATION DATE/TIME:28-MAR-1997 07:55:21.00

SUBJECT: Labor Mtg Today

TO: Elena Kagan (CN=Elena Kagan/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

CC: Laura Emmett (CN=Laura Emmett/OU=WHO/O=EOP @ EOP [WHO])

READ:UNKNOWN

CC: Cathy R. Mays (CN=Cathy R. Mays/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

TEXT:

Elena:

Gene is attending the meeting with the POTUS and labor folks and Anne Lewis said you would have some paper for it. We have not yet seen this paper, could you please tell me who I can pick up a copy from?
Thanks,

RECORD TYPE: PRESIDENTIAL (NOTES MAIL)

CREATOR: James A. Dorskind (CN=James A. Dorskind/OU=WHO/O=EOP [WHO])

CREATION DATE/TIME:28-MAR-1997 10:23:18.00

SUBJECT: Re: 3.27.97 letter from Mary Frances Berry

TO: Elena Kagan (CN=Elena Kagan/OU=OPD/O=EOP [OPD])

READ:UNKNOWN

TEXT:

fyi -- my writer will be in touch

----- Forwarded by James A. Dorskind/WHO/EOP on 03/27/97

08:05 PM -----

Sylvia M. Mathews

03/27/97 05:03:02 PM

Record Type: Record

To: James A. Dorskind/WHO/EOP

cc:

Subject: Re: 3.27.97 letter from Mary Frances Berry

Please start working on a response with Elena and Maria E. Would you also please send me a copy. TY. smat

RECORD TYPE: PRESIDENTIAL (NOTES MAIL)

CREATOR: Emily Bromberg (CN=Emily Bromberg/OU=WHO/O=EOP [WHO])

CREATION DATE/TIME:28-MAR-1997 11:29:47.00

SUBJECT: DC TANF

TO: Cynthia A. Rice (CN=Cynthia A. Rice/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

TO: Elena Kagan (CN=Elena Kagan/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

TO: Diana Fortuna (CN=Diana Fortuna/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

TO: Bruce N. Reed (CN=Bruce N. Reed/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

TEXT:

The DC TANF plan is ready to be certified. HHS would like to send the letter next week. Any objections?

RECORD TYPE: PRESIDENTIAL (NOTES MAIL)

CREATOR: Emily Bromberg (CN=Emily Bromberg/OU=WHO/O=EOP [WHO])

CREATION DATE/TIME:28-MAR-1997 11:33:37.00

SUBJECT: MO

TO: Elena Kagan (CN=Elena Kagan/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

TO: Christopher C. Jennings (CN=Christopher C. Jennings/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

TO: Diana Fortuna (CN=Diana Fortuna/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

TO: Jacob J. Lew (CN=Jacob J. Lew/OU=OMB/O=EOP @ EOP [OMB])

READ:UNKNOWN

TEXT:

FYI, Donna spoke to Gov. Carnahan last week and let him know that she was setting up a process to deal with their waiver request. Monahan is meeting with Gary Stangler (State Commissioner) and others from the state next week.

RECORD TYPE: PRESIDENTIAL (NOTES MAIL)

CREATOR: Emily Bromberg (CN=Emily Bromberg/OU=WHO/O=EOP [WHO])

CREATION DATE/TIME:28-MAR-1997 11:35:29.00

SUBJECT: flsa

TO: Diana Fortuna (CN=Diana Fortuna/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

TO: Elena Kagan (CN=Elena Kagan/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

TEXT:

any update here? i'm hearing that we have a major FS problem re: requiring mothers with kids under 6 to work.

RECORD TYPE: PRESIDENTIAL (NOTES MAIL)

CREATOR: Elizabeth Drye (CN=Elizabeth Drye/OU=OPD/O=EOP [OPD])

CREATION DATE/TIME:28-MAR-1997 13:28:50.00

SUBJECT: Tobacco court decision

TO: Toby Donenfeld (CN=Toby Donenfeld/O=OVP @ OVP [UNKNOWN])

READ:UNKNOWN

TO: Bruce R. Lindsey (CN=Bruce R. Lindsey/OU=WHO/O=EOP @ EOP [WHO])

READ:UNKNOWN

TO: Elena Kagan (CN=Elena Kagan/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

TO: Mary E. Glynn (CN=Mary E. Glynn/OU=WHO/O=EOP @ EOP [WHO])

READ:UNKNOWN

TO: Jim Kohlenberger (CN=Jim Kohlenberger/O=OVP @ OVP [UNKNOWN])

READ:UNKNOWN

TO: Rahm I. Emanuel (CN=Rahm I. Emanuel/OU=WHO/O=EOP @ EOP [WHO])

READ:UNKNOWN

TO: Bruce N. Reed (CN=Bruce N. Reed/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

CC: Laura Emmett (CN=Laura Emmett/OU=WHO/O=EOP @ EOP [WHO])

READ:UNKNOWN

CC: Michelle Crisci (CN=Michelle Crisci/OU=WHO/O=EOP @ EOP [WHO])

READ:UNKNOWN

TEXT:

FYI -- Judge Osteen has let FDA know that he will not announce a decision on FDA's tobacco rule before Wednesday, April 2.

Withdrawal/Redaction Marker

Clinton Library

| DOCUMENT NO. AND TYPE | SUBJECT/TITLE | DATE | RESTRICTION |
|--------------------------|--|------------|-------------|
| 001a. email | Paul J. Weinstein to Elena Kagan et.al. Instructions to dial into EOP via laptop computers with NT (1 page) | 03/28/1997 | b(2) |

COLLECTION:

Clinton Presidential Records
Automated Records Management System (Email)
WHO ([Kagan])
OA/Box Number: 500000

FOLDER TITLE:

[3/26/1997 - 3/31/1997]

2009-1006-F
ke707

RESTRICTION CODES

Presidential Records Act - [44 U.S.C. 2204(a)]

- P1 National Security Classified Information [(a)(1) of the PRA]
- P2 Relating to the appointment to Federal office [(a)(2) of the PRA]
- P3 Release would violate a Federal statute [(a)(3) of the PRA]
- P4 Release would disclose trade secrets or confidential commercial or financial information [(a)(4) of the PRA]
- P5 Release would disclose confidential advice between the President and his advisors, or between such advisors [(a)(5) of the PRA]
- P6 Release would constitute a clearly unwarranted invasion of personal privacy [(a)(6) of the PRA]

C. Closed in accordance with restrictions contained in donor's deed of gift.

PRM. Personal record misfile defined in accordance with 44 U.S.C. 2201(3).

RR. Document will be reviewed upon request.

Freedom of Information Act - [5 U.S.C. 552(b)]

- b(1) National security classified information [(b)(1) of the FOIA]
- b(2) Release would disclose internal personnel rules and practices of an agency [(b)(2) of the FOIA]
- b(3) Release would violate a Federal statute [(b)(3) of the FOIA]
- b(4) Release would disclose trade secrets or confidential or financial information [(b)(4) of the FOIA]
- b(6) Release would constitute a clearly unwarranted invasion of personal privacy [(b)(6) of the FOIA]
- b(7) Release would disclose information compiled for law enforcement purposes [(b)(7) of the FOIA]
- b(8) Release would disclose information concerning the regulation of financial institutions [(b)(8) of the FOIA]
- b(9) Release would disclose geological or geophysical information concerning wells [(b)(9) of the FOIA]

Withdrawal/Redaction Marker

Clinton Library

| DOCUMENT NO. AND TYPE | SUBJECT/TITLE | DATE | RESTRICTION |
|---------------------------|---|------------|-------------|
| 001b. email attachment | Instructions to dial into EOP via lap top computers with NT (4 pages) | 03/28/1997 | b(2) |

COLLECTION:

Clinton Presidential Records
Automated Records Management System (Email)
WHO ([Kagan])
OA/Box Number: 500000

FOLDER TITLE:

[3/26/1997 - 3/31/1997]

2009-1006-F
ke707

RESTRICTION CODES

Presidential Records Act - [44 U.S.C. 2204(a)]

- P1 National Security Classified Information [(a)(1) of the PRA]
- P2 Relating to the appointment to Federal office [(a)(2) of the PRA]
- P3 Release would violate a Federal statute [(a)(3) of the PRA]
- P4 Release would disclose trade secrets or confidential commercial or financial information [(a)(4) of the PRA]
- P5 Release would disclose confidential advice between the President and his advisors, or between such advisors [(a)(5) of the PRA]
- P6 Release would constitute a clearly unwarranted invasion of personal privacy [(a)(6) of the PRA]

C. Closed in accordance with restrictions contained in donor's deed of gift.

PRM. Personal record misfile defined in accordance with 44 U.S.C. 2201(3).

RR. Document will be reviewed upon request.

Freedom of Information Act - [5 U.S.C. 552(b)]

- b(1) National security classified information [(b)(1) of the FOIA]
- b(2) Release would disclose internal personnel rules and practices of an agency [(b)(2) of the FOIA]
- b(3) Release would violate a Federal statute [(b)(3) of the FOIA]
- b(4) Release would disclose trade secrets or confidential or financial information [(b)(4) of the FOIA]
- b(6) Release would constitute a clearly unwarranted invasion of personal privacy [(b)(6) of the FOIA]
- b(7) Release would disclose information compiled for law enforcement purposes [(b)(7) of the FOIA]
- b(8) Release would disclose information concerning the regulation of financial institutions [(b)(8) of the FOIA]
- b(9) Release would disclose geological or geophysical information concerning wells [(b)(9) of the FOIA]

Withdrawal/Redaction Marker Clinton Library

| DOCUMENT NO. AND TYPE | SUBJECT/TITLE | DATE | RESTRICTION |
|--------------------------|---|------------|-------------|
| 002. email | Nicole Rabner to Elena Kagan. Subject: HHS (1 page) | 03/28/1997 | P6/b(6) |

COLLECTION:

Clinton Presidential Records
Automated Records Management System (Email)
WHO ([Kagan])
OA/Box Number: 500000

FOLDER TITLE:

[3/26/1997 - 3/31/1997]

2009-1006-F
ke707

RESTRICTION CODES

Presidential Records Act - [44 U.S.C. 2204(a)]

- P1 National Security Classified Information [(a)(1) of the PRA]
- P2 Relating to the appointment to Federal office [(a)(2) of the PRA]
- P3 Release would violate a Federal statute [(a)(3) of the PRA]
- P4 Release would disclose trade secrets or confidential commercial or financial information [(a)(4) of the PRA]
- P5 Release would disclose confidential advice between the President and his advisors, or between such advisors [(a)(5) of the PRA]
- P6 Release would constitute a clearly unwarranted invasion of personal privacy [(a)(6) of the PRA]

C. Closed in accordance with restrictions contained in donor's deed of gift.

PRM. Personal record misfile defined in accordance with 44 U.S.C. 2201(3).

RR. Document will be reviewed upon request.

Freedom of Information Act - [5 U.S.C. 552(b)]

- b(1) National security classified information [(b)(1) of the FOIA]
- b(2) Release would disclose internal personnel rules and practices of an agency [(b)(2) of the FOIA]
- b(3) Release would violate a Federal statute [(b)(3) of the FOIA]
- b(4) Release would disclose trade secrets or confidential or financial information [(b)(4) of the FOIA]
- b(6) Release would constitute a clearly unwarranted invasion of personal privacy [(b)(6) of the FOIA]
- b(7) Release would disclose information compiled for law enforcement purposes [(b)(7) of the FOIA]
- b(8) Release would disclose information concerning the regulation of financial institutions [(b)(8) of the FOIA]
- b(9) Release would disclose geological or geophysical information concerning wells [(b)(9) of the FOIA]

RECORD TYPE: PRESIDENTIAL (NOTES MAIL)

CREATOR: Elizabeth Drye (CN=Elizabeth Drye/OU=OPD/O=EOP [OPD])

CREATION DATE/TIME:28-MAR-1997 17:46:39.00

SUBJECT: Tobacco meetings

TO: Elena Kagan (CN=Elena Kagan/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

CC: Laura Emmett (CN=Laura Emmett/OU=WHO/O=EOP @ EOP [WHO])

READ:UNKNOWN

TEXT:

Here's the list we just discussed for Monday meetings -- I've added Jerry Mande (who I ironically left off; he's worked very closely with Bruce Reed on tobacco strategy over the past two years) and Mellisa Scofield. I suggest we give the list to Thurm as our suggested list.

HHS -- Kevin Thurm, Harriet Rabb, General Counsel; Bill Corr, COS; Mellisa Scofield, press secretary; Andy Hyman, coordinates everything, ;
FDA--Jim O'Hara, press secretary; Bill Schultz, deputy for policy; Jerry Mande, senior advisor to the Commissioner;
DoJ-- David Ogden; George Philips
WH -- Bruce Reed, Bruce Lindsey, Ron Klain, Rahm.

RECORD TYPE: PRESIDENTIAL (NOTES MAIL)

CREATOR: Cynthia A. Rice (CN=Cynthia A. Rice/OU=OPD/O=EOP [OPD])

CREATION DATE/TIME:28-MAR-1997 17:52:44.00

SUBJECT: Pauline and I want to have a DPC child care meeting

TO: Laura Emmett (CN=Laura Emmett/OU=WHO/O=EOP @ EOP [WHO])

READ:UNKNOWN

TO: Elena Kagan (CN=Elena Kagan/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

TEXT:

to discuss what we have that's useable for Zero to Three. We think it should include

Elena, myself, Pauline, Nicole, Jen Klein, and Mark Mazur.

Elena do you agree? Laura can you pick a time that will work for Elena (Monday before noon or after 5 is best for me).

RECORD TYPE: PRESIDENTIAL (NOTES MAIL)

CREATOR: Stacy L. Dean (CN=Stacy L. Dean/OU=OMB/O=EOP [OMB])

CREATION DATE/TIME:28-MAR-1997 18:13:35.00

SUBJECT: Privatization Papers

TO: Diana Fortuna (CN=Diana Fortuna/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

TO: Kenneth S. Apfel (CN=Kenneth S. Apfel/OU=OMB/O=EOP @ EOP [OMB])

READ:UNKNOWN

TO: Elena Kagan (CN=Elena Kagan/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

CC: Barry T. Clendenin (CN=Barry T. Clendenin/OU=OMB/O=EOP @ EOP [OMB])

READ:UNKNOWN

CC: Emily Bromberg (CN=Emily Bromberg/OU=WHO/O=EOP @ EOP [WHO])

READ:UNKNOWN

CC: Barry White (CN=Barry White/OU=OMB/O=EOP @ EOP [OMB])

READ:UNKNOWN

CC: John A. Koskinen (CN=John A. Koskinen/OU=OMB/O=EOP @ EOP [OMB])

READ:UNKNOWN

CC: Jeffrey A. Farkas (CN=Jeffrey A. Farkas/OU=OMB/O=EOP @ EOP [OMB])

READ:UNKNOWN

CC: Keith J. Fontenot (CN=Keith J. Fontenot/OU=OMB/O=EOP @ EOP [OMB])

READ:UNKNOWN

CC: Cynthia A. Rice (CN=Cynthia A. Rice/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

TEXT:

We've had a chance to review the one page HHS privatization paper on principles and USDA's two drafts of a letter to Texas. We understand that HHS also has drafted a letter, but we haven't seen that yet. Also, USDA is planning on revising their letter for a second time.

Two things jump out at us.

First, the HHS paper and the USDA letter leave us with the impression that the agencies are willing to allow the up front "initial application processing" to be privatized through the interview. The final decision would be made by a State employee. This is based on the Medicaid model which only allows the initial application work to be contracted out. That represents a significant amount of the Medicaid work since there is not as much on-going certification in Medicaid as in Food Stamps or AFDC/TANF. The USDA letter mirrors the HHS paper and therefore only applies to the up-front work, leaving out a significant amount of the work which is typically performed by an eligibility worker through frequent on-going contact with the household. It was rather clever on their part, but I think the State might not react well. I don't think they were trying to put anything past us; instead, this oversight indicates that there is still some miscommunication regarding what functions should be priva

tized. For instance, in the meeting held earlier this week, B. Vladek was talking about privatizing much the same Elena has talked about it, i.e. through and including the interview. K. Thurm was using a vocabulary which indicated a position much more akin to USDA's which says that the interview has too much discretionary decision making to be privatized. The paper is a slightly different take from both of those views because it would only privatize up-front application work rather than the ongoing work over the months and years to determine if the individual maintains their eligibility. (Perhaps the HHS letter is different, but I'm assuming that it follows the paper.)

Barry, in his role as Ken this week, believes that the players should sit down one more time to go over exactly what we all mean by "initial processing", "decision making" and "certification" before HHS makes a call to the State and certainly before any paper or letters are shared with the State. We should be very clear on what we all mean so that we give consistent responses to the State -- because they will ask questions.

Second, both the HHS paper and the USDA letter are rather technical. They don't address the broadbased principles which Raines and Koskinen feel should apply to any privatization initiative: improved program performance, improved financial integrity and improved cost effectiveness. A whole range of issue are captured under these umbrella terms such as quality control, recipient access to benefits, the role of current state employees, etc. We know that we owe you language, but its not clear that the letters as written are appropriate for this kind of discussion. That's a problem easily solved, but again we probably need to sit down and make sure we are all on the same page with regards to process. Also, as Barry mentioned in his e-mail both letters should be reviewed by Labor and OPM.

I don't think Ken will be back until mid-week. We'd prefer to wait for him, but we and Barry can meet before than if time dictates that this process move more quickly.

I'll be out next week. Please contact Barry or Jeff if you have any questions.

RECORD TYPE: PRESIDENTIAL (NOTES MAIL)

CREATOR: Marjorie Tarmey (CN=Marjorie Tarmey/OU=WHO/O=EOP [WHO])

CREATION DATE/TIME:29-MAR-1997 12:34:08.00

SUBJECT: FCC Liquor Letter

TO: Barbara D. Woolley (CN=Barbara D. Woolley/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Jay K. Footlik (CN=Jay K. Footlik/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Elena Kagan (CN=Elena Kagan/OU=OPD/O=EOP @ EOP [OPD])
READ:UNKNOWN

CC: Marjorie Tarmey (CN=Marjorie Tarmey/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

CC: Cheryl M. Carter (CN=Cheryl M. Carter/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

CC: Robert B. Johnson (CN=Robert B. Johnson/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

Sylvia M. Mathews (CN=Sylvia M. Mathews/OU=WHO/O=EOP [WHO])
READ:UNKNOWN

TEXT:

This is from Maria (because my computer is under plastic because of painting) --Elena-- If someone on your staff would please provide a copy of the FCC liquor letter to Marjorie/Ben Johnson ASAP--so our folks in OPL can give the appropriate heads up, before the event on Tuesday. For example, Edgar Bronfman (Seagram's) is a very important leader in the Jewish community--other business leaders may also appreciate a heads up. Jay, Barbara, Cheri and Ben--I don't know who else has constituencies that need to be touched right before the event on Tuesday. Please handle and please advise me of results of outreach.

RECORD TYPE: PRESIDENTIAL (NOTES MAIL)

CREATOR: Russell W. Horwitz (CN=Russell W. Horwitz/OU=OPD/O=EOP [OPD])

CREATION DATE/TIME:31-MAR-1997 09:01:47.00

SUBJECT: updated version of pension materials

TO: Robert B. Johnson (CN=Robert B. Johnson/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Beverly J. Barnes (CN=Beverly J. Barnes/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Thomas D. Janenda (CN=Thomas D. Janenda/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Dainel C. Tate (CN=Dainel C. Tate/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Craig T. Smith (CN=Craig T. Smith/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Anna M. Gomez (CN=Anna M. Gomez/OU=OPD/O=EOP @ EOP [OPD])
READ:UNKNOWN

TO: Lisa M. Kountoupes (CN=Lisa M. Kountoupes/OU=OMB/O=EOP @ EOP [OMB])
READ:UNKNOWN

TO: Michael Waldman (CN=Michael Waldman/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Daniel K. Tarullo (CN=Daniel K. Tarullo/OU=OPD/O=EOP @ EOP [OPD])
READ:UNKNOWN

TO: Douglas B. Sosnik (CN=Douglas B. Sosnik/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Joshua Silverman (CN=Joshua Silverman/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Alice E. Shuffield (CN=Alice E. Shuffield/OU=OMB/O=EOP @ EOP [OMB])
READ:UNKNOWN

TO: David Shipley (CN=David Shipley/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Ellen S. Seidman (CN=Ellen S. Seidman/OU=OPD/O=EOP @ EOP [OPD])
READ:UNKNOWN

TO: Stacey L. Rubin (CN=Stacey L. Rubin/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Dorothy Robyn (CN=Dorothy Robyn/OU=OPD/O=EOP @ EOP [OPD])
READ:UNKNOWN

TO: Bruce N. Reed (CN=Bruce N. Reed/OU=OPD/O=EOP @ EOP [OPD])
READ:UNKNOWN

TO: Victoria Radd (CN=Victoria Radd/OU=WHO/O=EOP @ EOP [WHO])

READ:UNKNOWN

TO: John Podesta (CN=John Podesta/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Peter R. Orszag (CN=Peter R. Orszag/OU=OPD/O=EOP @ EOP [OPD])
READ:UNKNOWN

TO: Peter O'Keefe (CN=Peter O'Keefe/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Alison Muscatine (CN=Alison Muscatine/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Kevin S. Moran (CN=Kevin S. Moran/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Nancy A. Min (CN=Nancy A. Min/OU=OMB/O=EOP @ EOP [OMB])
READ:UNKNOWN

TO: April K. Mellody (CN=April K. Mellody/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Anne E. McGuire (CN=Anne E. McGuire/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Cathy R. Mays (CN=Cathy R. Mays/OU=OPD/O=EOP @ EOP [OPD])
READ:UNKNOWN

TO: Sylvia M. Mathews (CN=Sylvia M. Mathews/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Gordon Li (CN=Gordon Li/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Jacob J. Lew (CN=Jacob J. Lew/OU=OMB/O=EOP @ EOP [OMB])
READ:UNKNOWN

TO: Patricia F. Lewis (CN=Patricia F. Lewis/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: G N. Lattimore (CN=G N. Lattimore/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Robert D. Kyle (CN=Robert D. Kyle/OU=OPD/O=EOP @ EOP [OPD])
READ:UNKNOWN

TO: Charles Konigsberg (CN=Charles Konigsberg/OU=OMB/O=EOP @ EOP [OMB])
READ:UNKNOWN

TO: Angus S. King (CN=Angus S. King/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Jonathan A. Kaplan (CN=Jonathan A. Kaplan/OU=OPD/O=EOP @ EOP [OPD])
READ:UNKNOWN

TO: Elena Kagan (CN=Elena Kagan/OU=OPD/O=EOP @ EOP [OPD])
READ:UNKNOWN

TO: Christopher C. Jennings (CN=Christopher C. Jennings/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

TO: Kathryn O. Higgins (CN=Kathryn O. Higgins/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Helen P. Howell (CN=Helen P. Howell/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Lyn A. Hogan (CN=Lyn A. Hogan/OU=OPD/O=EOP @ EOP [OPD])
READ:UNKNOWN

TO: Nancy V. Hernreich (CN=Nancy V. Hernreich/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Daniel D. Heath (CN=Daniel D. Heath/OU=OMB/O=EOP @ EOP [OMB])
READ:UNKNOWN

TO: John P. Hart (CN=John P. Hart/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Lawrence J. Haas (CN=Lawrence J. Haas/OU=OMB/O=EOP @ EOP [OMB])
READ:UNKNOWN

TO: Julia R. Green (CN=Julia R. Green/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Jason S. Goldberg (CN=Jason S. Goldberg/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Ben A. Freeland (CN=Ben A. Freeland/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Karen E. Finney (CN=Karen E. Finney/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: John B. Emerson (CN=John B. Emerson/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Nicole Elkon (CN=Nicole Elkon/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Ann T. Eder (CN=Ann T. Eder/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Marilyn DiGiacobbe (CN=Marilyn DiGiacobbe/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Suzanne Dale (CN=Suzanne Dale/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Michelle Crisci (CN=Michelle Crisci/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Brenda B. Costello (CN=Brenda B. Costello/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Steven A. Cohen (CN=Steven A. Cohen/OU=WHO/O=EOP [WHO])
READ:UNKNOWN

TO: Michael Cohen (CN=Michael Cohen/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

TO: Ann M. Cattalini (CN=Ann M. Cattalini/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Cheryl M. Carter (CN=Cheryl M. Carter/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Laura K. Capps (CN=Laura K. Capps/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Rebecca A. Cameron (CN=Rebecca A. Cameron/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Sandra L. Bublick Max (CN=Sandra L. Bublick Max/OU=OPD/O=EOP @ EOP [OPD])
READ:UNKNOWN

TO: Emily Bromberg (CN=Emily Bromberg/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Erskine B. Bowles (CN=Erskine B. Bowles/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Sarah A. Bianchi (CN=Sarah A. Bianchi/OU=OMB/O=EOP @ EOP [OMB])
READ:UNKNOWN

TO: David S. Beaubaire (CN=David S. Beaubaire/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Donald A. Baer (CN=Donald A. Baer/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Kenneth S. Apfel (CN=Kenneth S. Apfel/OU=OMB/O=EOP @ EOP [OMB])
READ:UNKNOWN

TO: Brenda M. Anders (CN=Brenda M. Anders/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Lori L. Anderson (CN=Lori L. Anderson/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Barbara D. Woolley (CN=Barbara D. Woolley/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Charles R. Marr (CN=Charles R. Marr/OU=OPD/O=EOP @ EOP [OPD])
READ:UNKNOWN

TO: Sara M. Latham (CN=Sara M. Latham/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: John O. Sutton (CN=John O. Sutton/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Kathleen M. Wallman (CN=Kathleen M. Wallman/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Ronda H. Jackson (CN=Ronda H. Jackson/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Paul J. Weinstein Jr. (CN=Paul J. Weinstein Jr./OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

TO: Barry J. Toiv (CN=Barry J. Toiv/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Jordan Tamagni (CN=Jordan Tamagni/OU=WHO/O=EOP @ EOP [UNKNOWN])
READ:UNKNOWN

TO: Stephen B. Silverman (CN=Stephen B. Silverman/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Jake Siewert (CN=Jake Siewert/OU=OPD/O=EOP @ EOP [OPD])
READ:UNKNOWN

TO: Robert M. Shireman (CN=Robert M. Shireman/OU=OMB/O=EOP @ EOP [OMB])
READ:UNKNOWN

TO: Laura D. Schwartz (CN=Laura D. Schwartz/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Lee A. Satterfield (CN=Lee A. Satterfield/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Steven J. Ronnel (CN=Steven J. Ronnel/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Christa Robinson (CN=Christa Robinson/OU=OPD/O=EOP @ EOP [OPD])
READ:UNKNOWN

TO: Franklin D. Raines (CN=Franklin D. Raines/OU=OMB/O=EOP @ EOP [OMB])
READ:UNKNOWN

TO: Jonathan Prince (CN=Jonathan Prince/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Kristen E. Panerali (CN=Kristen E. Panerali/OU=OPD/O=EOP @ EOP [OPD])
READ:UNKNOWN

TO: Kevin M. O'Keefe (CN=Kevin M. O'Keefe/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Bob J. Nash (CN=Bob J. Nash/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Janet Murguia (CN=Janet Murguia/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Linda L. Moore (CN=Linda L. Moore/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Joseph J. Minarik (CN=Joseph J. Minarik/OU=OMB/O=EOP @ EOP [OMB])
READ:UNKNOWN

TO: Kathleen M. McKiernan (CN=Kathleen M. McKiernan/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Michael D. McCurry (CN=Michael D. McCurry/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Doris O. Matsui (CN=Doris O. Matsui/OU=WHO/O=EOP @ EOP [WHO])

READ:UNKNOWN

TO: Julie E. Mason (CN=Julie E. Mason/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Bruce R. Lindsey (CN=Bruce R. Lindsey/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Peggy A. Lewis (CN=Peggy A. Lewis/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Ann F. Lewis (CN=Ann F. Lewis/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Jeanne Lambrew (CN=Jeanne Lambrew/OU=OPD/O=EOP @ EOP [OPD])
READ:UNKNOWN

TO: Karin Kullman (CN=Karin Kullman/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Nicholas B. Kirkhorn (CN=Nicholas B. Kirkhorn/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Timothy J. Keating (CN=Timothy J. Keating/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Thomas A. Kalil (CN=Thomas A. Kalil/OU=OPD/O=EOP @ EOP [OPD])
READ:UNKNOWN

TO: Brian J. Johnson (CN=Brian J. Johnson/OU=CEQ/O=EOP @ EOP [CEQ])
READ:UNKNOWN

TO: Peter G. Jacoby (CN=Peter G. Jacoby/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Katherine Hubbard (CN=Katherine Hubbard/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Elwood J. Holstein (CN=Elwood J. Holstein/OU=OPD/O=EOP @ EOP [OPD])
READ:UNKNOWN

TO: John L. Hilley (CN=John L. Hilley/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: James T. Heimbach (CN=James T. Heimbach/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Cookab V. Hashemi (CN=Cookab V. Hashemi/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Marcia L. Hale (CN=Marcia L. Hale/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Melissa Green (CN=Melissa Green/OU=OPD/O=EOP @ EOP [OPD])
READ:UNKNOWN

TO: D. Stephen Goodin (CN=D. Stephen Goodin/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Mary E. Glynn (CN=Mary E. Glynn/OU=WHO/O=EOP @ EOP [WHO])

READ:UNKNOWN

TO: Diana Fortuna (CN=Diana Fortuna/OU=OPD/O=EOP @ EOP [OPD])
READ:UNKNOWN

TO: Deborah L. Fine (CN=Deborah L. Fine/OU=OPD/O=EOP @ EOP [OPD])
READ:UNKNOWN

TO: Rahm I. Emanuel (CN=Rahm I. Emanuel/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: James T. Edmonds (CN=James T. Edmonds/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Elizabeth Drye (CN=Elizabeth Drye/OU=OPD/O=EOP @ EOP [OPD])
READ:UNKNOWN

TO: Michael Deich (CN=Michael Deich/OU=OMB/O=EOP @ EOP [OMB])
READ:UNKNOWN

TO: Betty W. Currie (CN=Betty W. Currie/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Dorothy K. Craft (CN=Dorothy K. Craft/OU=OPD/O=EOP @ EOP [OPD])
READ:UNKNOWN

TO: Sabrina Corlette (CN=Sabrina Corlette/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Barbara Chow (CN=Barbara Chow/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Holly D. Carver (CN=Holly D. Carver/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Paul R. Carey (CN=Paul R. Carey/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Phillip Caplan (CN=Phillip Caplan/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Dennis K. Burke (CN=Dennis K. Burke/OU=OPD/O=EOP @ EOP [OPD])
READ:UNKNOWN

TO: Susan A. Brophy (CN=Susan A. Brophy/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Alison E. Bracewell (CN=Alison E. Bracewell/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Jill M. Blickstein (CN=Jill M. Blickstein/OU=OMB/O=EOP @ EOP [OMB])
READ:UNKNOWN

TO: Marsha E. Berry (CN=Marsha E. Berry/OU=WHO/O=EOP @ EOP [UNKNOWN])
READ:UNKNOWN

TO: Kris M Balderston (CN=Kris M Balderston/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Eli G. Attie (CN=Eli G. Attie/OU=WHO/O=EOP @ EOP [WHO])

PRESIDENT CLINTON ANNOUNCES PENSION SECURITY STEPS

March 31, 1997

TODAY, PRESIDENT CLINTON WILL RELEASE THE PENSION BENEFIT GUARANTY CORPORATION'S (PBGC) ANNUAL REPORT TO CONGRESS -- SHOWING A SURPLUS FOR THE FIRST TIME IN PBGC'S 22-YEAR HISTORY. The Report shows a year-end financial surplus of \$869 million, based on assets of more than \$12 billion and liabilities of nearly \$11.2 billion. The Annual Report should reassure the 42 million working men and women whose pensions are protected by PBGC.

- In 1994, President Clinton signed the Retirement Protection Act, which put in place numerous pension reforms, including strengthening funding rules for underfunded plans and enhancing PBGC's compliance authority.
- Due in part to these reforms, the PBGC, under the leadership of Martin Slate, erased a deficit that reached nearly \$3 billion in 1993.
- Strong financial management has spared taxpayers a potential loss of millions of dollars.

PRESIDENT CLINTON PROPOSES AUDIT REFORM TO ENHANCE PENSION SAFEGUARDS. President Clinton's initiative -- which he also proposed last year -- will improve pension security for millions of American workers by:

- Closing a loophole that permits \$950 billion in pension plan assets to escape meaningful audit, affecting 22 million workers;
- Requiring prompt reporting if criminal acts are discovered during an audit; and
- Assuring that only qualified professionals conduct audits of ERISA plans.

PRESIDENT CLINTON ANNOUNCES OTHER PENSION SECURITY STEPS:

- **The PWBA's 401(k) Enforcement Project Passes \$20 Million Mark.** The number of 401(k) plans has grown enormously in recent years (from 17,000 in 1984 to 154,000 in 1993). While the vast majority of these plans are safe, the Administration has stepped up enforcement against those employers who spend or borrow their employees' pension contributions. In just two years, the Pension and Welfare Benefits Administration's 401(k) Enforcement Project has recovered over \$20 million for more than 40,000 employees across the country.
- **Today, the Administration Starts a New Toll-Free Pension Hotline -- 1-800-998-7542.** Today, the Labor Department initiates a toll-free number to provide pension information to workers. Sixteen publications, such as "Protect Your Pension" and "What You Should Know About Your Pension Rights," are available to individuals through this number. This will help pension plan participants understand their rights and identify early warning signs of pension problems.
- **New Rules Will Put Pension Money To Work for Participants Sooner.** Final rules went into effect last month requiring employers to deposit employee contributions into pension plans as soon as possible, but no later than 15 business days after the end of the month during which the contribution was made. It is estimated that this change will increase earnings for participants and beneficiaries by an average of *\$70 million per year over the next ten years.*

PBGC'S ANNUAL REPORT SHOWS FIRST-EVER SURPLUS

TODAY, PRESIDENT CLINTON ANNOUNCED THAT THE PENSION BENEFIT GUARANTY CORPORATION (PBGC), WHICH INSURES THE PENSIONS OF MORE THAN 42 MILLION WORKERS IN ABOUT 50,000 PENSION PLANS, HAS REACHED FINANCIAL SOLVENCY. No major terminations of underfunded pension plans and significant income from premiums and investments in 1996 have resulted in a surplus for the first time in PBGC's 22-year history in its largest insurance program -- the single-employer program.

- With assets of \$12.04 billion and liabilities of \$11.17 billion, PBGC ended fiscal year 1996 with a surplus of \$869 million.
- PBGC erased a \$3 billion deficit in just three years.
- Premium revenue of \$1.17 billion -- up 36% -- and investment income of \$927 million contributed to the improved financial condition.

PBGC IS A FEDERAL AGENCY CREATED BY THE EMPLOYEE RETIREMENT INCOME SECURITY ACT (ERISA) OF 1974 TO GUARANTEE PAYMENT OF BASIC PENSION BENEFITS EARNED BY WORKERS.

- PBGC has two insurance programs covering private-sector defined benefit pension plans -- the traditional pension that promises a specific benefit at retirement, often based on a combination of salary and years of service. The single-employer program covers about 34 million people. The multiemployer program, which covers about 8.6 million people, has had a surplus since 1982.
- The agency receives no funds from general tax revenues. Operations are financed by insurance premiums paid by pension plan sponsors, investment returns, assets from pension plans trusteeed by PBGC, and recoveries from the companies formerly responsible for the trusteeed plans.
- PBGC pays benefits according to the provisions of each individual single-employer pension plan up to the limits of PBGC's maximum guarantee, which for plans taken over in 1997 is \$2,761 per month (\$33,136 annually) at age 65. Most workers in plans taken over by PBGC receive the full benefit they would have received under the plan.

BECAUSE OF THE REFORMS OF THE RETIREMENT PROTECTION ACT OF 1994, WORKERS AND RETIREES CAN REST ASSURED THAT THEIR PENSIONS ARE PROTECTED BY A STRONG INSURANCE AGENCY. The law strengthened funding rules for underfunded plans; PBGC's compliance authority was enhanced; underfunded plans that pose the greatest risk now pay the most for protections; and workers in underfunded plans now get an easy-to-understand notice about their plan's funding level and PBGC guarantees.

PBGC IS NOW RESPONSIBLE FOR PENSIONS OF 440,000 PEOPLE IN 2,300 PLANS IT HAS TAKEN OVER AND PAID \$800 MILLION IN BENEFITS TO ABOUT 200,000 OF THESE PEOPLE IN 1996.

- PBGC had reported annual deficits since it was created in 1974, ranging from \$12 million in

1975 to \$2.9 billion in 1993. Questions had been raised about the ability of the agency to meet its future obligations.

- Today, there are sufficient assets to meet all guaranteed benefits. PBGC's early warning program has prevented pension loss and added over \$14 billion in protection to underfunded pension plans as corporations restructured.
- Vigilance continues against any weakening of the insurance program or pension funding in the future.

PRESIDENT CLINTON'S AUDIT REFORM PROPOSAL

PRESIDENT CLINTON PROPOSES AUDIT REFORM. President Clinton's Audit Reform initiative -- also proposed last year -- will improve pension security for millions of American workers by:

- Closing a loophole that permits \$950 billion in pension funds to escape meaningful audit;
- Requiring prompt reporting if criminal acts are discovered during an audit; and
- Assuring that only qualified professionals conduct audits of ERISA plans.

AUDIT REFORM PROPOSAL REPEALS OPTION FOR PENSION PLANS TO CONDUCT A "LIMITED SCOPE" AUDIT. Today, more than \$950 billion in pension plan assets (nearly half of the estimated \$2 trillion subject to ERISA's audit requirement) are *not subject to a comprehensive audit*, affecting 22 million workers.

- Under ERISA, plan assets held in certain regulated financial institutions can be excluded from the scope of an annual financial audit. When a plan elects a so-called "limited scope" audit, auditors *are prohibited from rendering an opinion on the plan's financial statements* under professional auditing standards.
- Repealing this "limited scope" audit exemption for pension plans will give plan participants and beneficiaries assurance that all plan assets are subject to a meaningful audit.

AUDIT REFORM PROPOSAL REQUIRES DIRECT REPORTING OF EGREGIOUS VIOLATIONS RIGHT AWAY. To ensure that the Department of Labor can respond more promptly to crimes involving pension plans, the proposal requires both plan administrators and accountants auditing employee benefit plans who discover serious fraud or other egregious ERISA violations to promptly report them to the Department of Labor.

PROPOSAL ALSO ASSURES THAT ONLY QUALIFIED PROFESSIONALS CONDUCT AUDITS OF ERISA PLANS. The proposal also requires public accountants who audit employee benefit plans to have a peer review process, appropriate internal quality control systems, and continuing education requirements.

THE CLINTON RECORD ON PENSION SECURITY

THE RETIREMENT PROTECTION ACT OF 1994. In December 1994, President Clinton signed the Retirement Protection Act, which protects the benefits of more than 40 million American workers and retirees in traditional pension plans.

- The Act helped to bring about the Pension Benefit Guaranty Corporation's (PBGC) first surplus in its 22-year history.
- The Act strengthened funding rules for underfunded plans; enhanced PBGC's compliance authority; required underfunded plans that pose the greatest risk to pay their fair share for protections; and ensured that workers in underfunded plans get an easy-to-understand notice about their plan's funding level and PBGC guarantees.

THE 401(k) ENFORCEMENT PROJECT. In 1995, the Labor Department launched an initiative to protect savings in 401(k) plans from misuse, recovering to date just over \$20 million for over 40,000 workers.

THE RETIREMENT EDUCATION CAMPAIGN. In 1995, the Labor Department, along with over 200 public and private sector partners, launched a campaign to encourage workers to save for retirement and to educate workers about their pension rights and how to protect their savings.

PENSION SECURITY REFORMS OF 1996. In 1996, President Clinton proposed the Retirement Savings and Security Act containing a variety of pension security initiatives. Later in the year, the President signed the minimum wage bill, which included several of his pension security provisions. The law:

- **Protects Government Employees' Savings from Orange County-Style Fiascos:** Requires state and local government retirement savings plans to be held in trust so that employees do not lose their savings if the government declares bankruptcy, as Orange County did.
- **Increases Penalties for Self-Dealing:** Penalties for self-dealing pension funds (such as loans to the company owner) are generally doubled, from 5 percent to 10 percent.
- **Improves Spousal Protections:** In accordance with the minimum wage legislation, the Treasury Department has helped protect spousal benefits in the choice of an annuity and during divorce proceedings by making it easier for spouses to understand their pension rights. Treasury has issued sample language, written in plain English, that retirement plans can give spouses and others to clarify what spouses' rights and benefits are -- both when selecting a pension annuity or other benefit and in the case of divorce.

RECORD TYPE: PRESIDENTIAL (ALL-IN-1 MAIL)

CREATOR: Jennifer Palmieri (PALMIERI_J) (WHO)

CREATION DATE/TIME:31-MAR-1997 10:13:30.42

SUBJECT: 2:00 pm meeting on FCC

TO: Christa T. Robinson (ROBINSON_C) (OPD)

READ:31-MAR-1997 12:59:47.61

TO: Dennis Burke

(BURKE_D) Autoforward to: Remote Adresse

READ:NOT READ

TO: Toby A. Donenfeld

(Toby Donenfeld@OVP@EOP@LNWTWY@EOPMRX)

READ:NOT READ

TO: Ron Klain

(Ron Klain@OVP@EOP@LNWTWY@EOPMRX)

READ:NOT READ

TO: Debbie B. Bengtson

(Debbie B Bengtson@OVP@EOP@LNWTWY@EOPMRX)

READ:NOT READ

TO: Ann M. Cattalini

(Ann M. Cattalini@eop@LNWTWY@EOPMRX)

READ:NOT READ

TO: Ann F. Lewis

(LEWIS_AF) Autoforward to: Remote Address

READ:NOT READ

TO: Carolyn Curiel

(Carolyn Curiel@eop@LNWTWY@EOPMRX)

READ:NOT READ

TO: Helen P. Howell

(Helen P. Howell@eop@LNWTWY@EOPMRX)

READ:NOT READ

TO: Elena Kagan

(KAGAN_E) Autoforward to: Remote Adresse

READ:NOT READ

TO: Emily Bromberg

(Emily Bromberg@eop@LNWTWY@EOPMRX)

READ:NOT READ

TO: Lorraine McHugh

(MCHUGH_L) (WHO)

READ:31-MAR-1997 10:15:26.69

TO: Mary Ellen Glynn

(Mary E. Glynn@eop@LNWTWY@EOPMRX)

READ:NOT READ

TO: Barbara D. Woolley

(Barbara D. Woolley@eop@LNWTWY@EOPMRX)

READ:NOT READ

TO: Angus S. King

(Angus S. King@eop@LNWTWY@EOPMRX)

READ:NOT READ

CC: Anne E. McGuire

(Anne E. McGuire@eop@LNWTWY@EOPMRX)

READ:NOT READ

TEXT:

Please come to a 2:00 pm meeting in the Ward Room on tomorrow's

FCC liquor letter event.

RECORD TYPE: PRESIDENTIAL (NOTES MAIL)

CREATOR: Elizabeth Drye (CN=Elizabeth Drye/OU=OPD/O=EOP [OPD])

CREATION DATE/TIME:31-MAR-1997 11:05:42.00

SUBJECT: David Ogden/tobacco meeting

TO: Elena Kagan (CN=Elena Kagan/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

CC: Laura Emmett (CN=Laura Emmett/OU=WHO/O=EOP @ EOP [WHO])

READ:UNKNOWN

TEXT:

David Ogden returned my call this morning -- I let him know your concerns and faxed the 3-page Q&A to him; he offered to be helpful. I also told him he should come w/George to tomorrow's meeting (hope that still makes sense). Laura, please call him at DOJ at 867-0787 once you have a time.

RECORD TYPE: PRESIDENTIAL (NOTES MAIL)

CREATOR: Rahm I. Emanuel (CN=Rahm I. Emanuel/OU=WHO/O=EOP [WHO])

CREATION DATE/TIME:31-MAR-1997 11:19:42.00

SUBJECT:

TO: ELENA (Pager) #KAGAN (ELENA (Pager) #KAGAN [UNKNOWN])

READ:UNKNOWN

TEXT:

call rahm about fcc 6-2531

RECORD TYPE: PRESIDENTIAL (NOTES MAIL)

CREATOR: Michelle Crisci (CN=Michelle Crisci/OU=WHO/O=EOP [WHO])

CREATION DATE/TIME:31-MAR-1997 11:34:49.00

SUBJECT:

TO: ELENA (Pager) #KAGAN (ELENA (Pager) #KAGAN [UNKNOWN])

READ:UNKNOWN

TEXT:

Could you come by Rahm's office when mtg is out?-Michelle

RECORD TYPE: PRESIDENTIAL (NOTES MAIL)

CREATOR: Stephen C. Warnath (CN=Stephen C. Warnath/OU=OPD/O=EOP [OPD])

CREATION DATE/TIME:31-MAR-1997 13:16:41.00

SUBJECT: FYI

TO: Diana Fortuna (CN=Diana Fortuna/OU=OPD/O=EOP @ EOP [OPD])
READ:UNKNOWN

TO: Elena Kagan (CN=Elena Kagan/OU=OPD/O=EOP @ EOP [OPD])
READ:UNKNOWN

TO: Cynthia A. Rice (CN=Cynthia A. Rice/OU=OPD/O=EOP @ EOP [OPD])
READ:UNKNOWN

TO: Bruce N. Reed (CN=Bruce N. Reed/OU=OPD/O=EOP @ EOP [OPD])
READ:UNKNOWN

CC: Dawn M. Chirwa (CN=Dawn M. Chirwa/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TEXT:

The Washington Post has agreed to run a commentary by Cecilia Munoz on the problem of her being asked whether she is a U.S. citizen while others were not asked in order to get into the White House for the welfare briefing. The commentary will note that worksite verification (basically all employers in five of top seven states with immigrants -- CA, TX, FLA, N.Y. etc.) and benefit verification (nationwide application) is required now by law. The policy point that Cecilia will make is that if the White House can't get it right and avoid nondiscriminatory application in its "verification" procedures, then why should anyone think that private employers and federal, state and local agencies can implement these laws without discrimination. She may cite past GAO studies that indicate that worksite verification does contribute to the risk of employers discriminating against citizens and other legal workers who "look or sound foreign" or who have "foreign-sounding" names.

In regard to the Administration's effort's in this area: The verification systems are being designed right now. The DPC has been playing a central role in assisting the agencies to try to design these systems in a way that will minimize discrimination and we head an interagency antidiscrimination working group to address the policy and operational issues that arise in this context. Let me know if you need more information.

thanks

RECORD TYPE: PRESIDENTIAL (NOTES MAIL)

CREATOR: June G. Turner (CN=June G. Turner/OU=WHO/O=EOP [WHO])

CREATION DATE/TIME:31-MAR-1997 13:50:52.00

SUBJECT: Reconciliation/Unity Meeting

TO: Ellen M. Lovell (CN=Ellen M. Lovell/OU=WHO/O=EOP @ EOP [WHO])

READ:UNKNOWN

TO: Victoria Radd (CN=Victoria Radd/OU=WHO/O=EOP @ EOP [WHO])

READ:UNKNOWN

TO: Beverly J. Barnes (CN=Beverly J. Barnes/OU=WHO/O=EOP @ EOP [WHO])

READ:UNKNOWN

TO: Ora Theard (CN=Ora Theard/OU=WHO/O=EOP @ EOP [WHO])

READ:UNKNOWN

TO: Rebecca R. Culberson (CN=Rebecca R. Culberson/OU=OMB/O=EOP @ EOP [OMB])

READ:UNKNOWN

TO: Michael Waldman (CN=Michael Waldman/OU=WHO/O=EOP @ EOP [WHO])

READ:UNKNOWN

TO: Patricia E. Romani (CN=Patricia E. Romani/OU=OMB/O=EOP @ EOP [OMB])

READ:UNKNOWN

TO: Carolyn Curiel (CN=Carolyn Curiel/OU=WHO/O=EOP @ EOP [WHO])

READ:UNKNOWN

TO: Douglas B. Sosnik (CN=Douglas B. Sosnik/OU=WHO/O=EOP @ EOP [WHO])

READ:UNKNOWN

TO: Doris O. Matsui (CN=Doris O. Matsui/OU=WHO/O=EOP @ EOP [WHO])

READ:UNKNOWN

TO: Ruby G. Moy (CN=Ruby G. Moy/OU=WHO/O=EOP @ EOP [WHO])

READ:UNKNOWN

TO: Robert B. Johnson (CN=Robert B. Johnson/OU=WHO/O=EOP @ EOP [WHO])

READ:UNKNOWN

TO: MOORE_M (MOORE_M @ A1 @ CD @ LNGTWY [EOP]) (DON)

READ:UNKNOWN

TO: Richard Socarides (CN=Richard Socarides/OU=WHO/O=EOP @ EOP [WHO])

READ:UNKNOWN

TO: Bruce N. Reed (CN=Bruce N. Reed/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

TO: John Podesta (CN=John Podesta/OU=WHO/O=EOP @ EOP [WHO])

READ:UNKNOWN

TO: Laura K. Demeo (CN=Laura K. Demeo/OU=WHO/O=EOP @ EOP [WHO])

READ:UNKNOWN

TO: Cheryl D. Mills (CN=Cheryl D. Mills/OU=WHO/O=EOP @ EOP [WHO])

READ:UNKNOWN

TO: Laura Emmett (CN=Laura Emmett/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Richard L. Hayes (CN=Richard L. Hayes/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Rahm I. Emanuel (CN=Rahm I. Emanuel/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Maria Echaveste (CN=Maria Echaveste/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Kevin S. Moran (CN=Kevin S. Moran/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Virginia N. Rustique (CN=Virginia N. Rustique/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Elizabeth R. Newman (CN=Elizabeth R. Newman/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Susan M. Liss (CN=Susan M. Liss/O=OVP @ OVP [UNKNOWN])
READ:UNKNOWN

TO: Katharine Button (CN=Katharine Button/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Charles F. Ruff (CN=Charles F. Ruff/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Franklin D. Raines (CN=Franklin D. Raines/OU=OMB/O=EOP @ EOP [OMB])
READ:UNKNOWN

TO: Ann F. Walker (CN=Ann F. Walker/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: James T. Edmonds (CN=James T. Edmonds/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: John O. Sutton (CN=John O. Sutton/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Elisa Millsap (CN=Elisa Millsap/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: John L. Hilley (CN=John L. Hilley/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Andrew J. Mayock (CN=Andrew J. Mayock/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Robert N. Weiner (CN=Robert N. Weiner/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Tracey E. Thornton (CN=Tracey E. Thornton/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Cathy R. Mays (CN=Cathy R. Mays/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

TO: Sara M. Latham (CN=Sara M. Latham/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Janet Murguia (CN=Janet Murguia/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Bob J. Nash (CN=Bob J. Nash/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Ann F. Lewis (CN=Ann F. Lewis/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Elena Kagan (CN=Elena Kagan/OU=OPD/O=EOP @ EOP [OPD])
READ:UNKNOWN

TO: Michelle Crisci (CN=Michelle Crisci/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Marjorie Tarmey (CN=Marjorie Tarmey/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Dawn M. Chirwa (CN=Dawn M. Chirwa/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Donald A. Baer (CN=Donald A. Baer/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

CC: Debra A. Schiff (CN=Debra A. Schiff/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TEXT:

As mentioned in the 11:00 Reconciliation/Unity Meeting today, the group will meet each day at 11:00 for the remainder of the week. Tomorrow's (Tuesday) meeting will be in Room 180. Wednesday's & Thursday's meetings will be in the Roosevelt Room. I'll let you know about Friday's meeting. If you have any questions please give me a call.

Attendees

Don Baer
Beverly Barnes
Dawn Chirwa
Carolyn Curiel
Michael Deich
Maria Echaveste
Terry Edmonds
Rahm Emanuel
Richard Hayes
Alexis Herman
John Hilley
Ben Johnson
Elena Kagan
Ann Lewis
Susan Liss
Ellen Lovell
Sylvia Mathews
Doris Matsui
Andrew Mayock

Cheryl Mills
Minyon Moore
Janet Murguia
Bob Nash
John Podesta
Vicki Radd
Frank Raines
Bruce Reed
Chuck Ruff
Richard Socarides
Doug Sosnik
Tracey Thornton
Melanne Verveer
Michael Waldman
Ann Walker
Kathy Wallman
Rob Weiner

RECORD TYPE: PRESIDENTIAL (NOTES MAIL)

CREATOR: Brian A. Reich (CN=Brian A. Reich/OU=WHO/O=EOP [WHO])

CREATION DATE/TIME:31-MAR-1997 14:22:10.00

SUBJECT: Need to reschedule

TO: Cathy R. Mays (CN=Cathy R. Mays/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

TO: Elena Kagan (CN=Elena Kagan/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

TO: Russell W. Horwitz (CN=Russell W. Horwitz/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

TO: Peter R. Orszag (CN=Peter R. Orszag/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

CC: Laura K. Capps (CN=Laura K. Capps/OU=WHO/O=EOP @ EOP [WHO])

READ:UNKNOWN

TEXT:

Sorry - we need to reschedule the fact checking meeting.

Let's do it sometime later this week. Michael asked that we find a time when Mr. Sperling can be there, so let's coordinate beginning at that point.

Thanks

RECORD TYPE: PRESIDENTIAL (NOTES MAIL)

CREATOR: Michelle Crisci (CN=Michelle Crisci/OU=WHO/O=EOP [WHO])

CREATION DATE/TIME:31-MAR-1997 15:01:50.00

SUBJECT:

TO: ELENA (Pager) #KAGAN (ELENA (Pager) #KAGAN [UNKNOWN])

READ:UNKNOWN

TEXT:

Please come by Rahm's office re:tobacco now-Michelle

RECORD TYPE: PRESIDENTIAL (EXTERNAL MAIL)

CREATOR: Cathy R. Mays@EOP@LNGTWY@EOPMRX

CREATION DATE/TIME: 31-MAR-1997 16:26:00.00

SUBJECT: Weekly Meeting

TO: LEVINE_P (LEVINE_P@A1@CD) (WHO)
READ: 31-MAR-1997 16:54:00.97

TO: Rahm I. Emanuel (Rahm I. Emanuel@EOP@LNGTWY@EOPMRX)
READ: NOT READ

TO: Gene B. Sperling (Gene B. Sperling@EOP@LNGTWY@EOPMRX)
READ: NOT READ

TO: Elena Kagan (Elena Kagan@EOP@LNGTWY@EOPMRX)
READ: NOT READ

TO: Susan A. Brophy (Susan A. Brophy@EOP@LNGTWY@EOPMRX)
READ: NOT READ

TO: Kenneth S. Apfel (Kenneth S. Apfel@EOP@LNGTWY@EOPMRX)
READ: NOT READ

TO: Emily Bromberg (Emily Bromberg@EOP@LNGTWY@EOPMRX)
READ: NOT READ

TO: Diana Fortuna (Diana Fortuna@EOP@LNGTWY@EOPMRX)
READ: NOT READ

TO: Lyn A. Hogan (Lyn A. Hogan@EOP@LNGTWY@EOPMRX)
READ: NOT READ

TO: Cynthia A. Rice (Cynthia A. Rice@EOP@LNGTWY@EOPMRX)
READ: NOT READ

TO: Maria Echaveste (Maria Echaveste@EOP@LNGTWY@EOPMRX)
READ: NOT READ

TO: Ann F. Lewis (Ann F. Lewis@EOP@LNGTWY@EOPMRX)
READ: NOT READ

CC: Melissa Green (Melissa Green@EOP@LNGTWY@EOPMRX)
READ: NOT READ

CC: Jill M. Pizzuto (Jill M. Pizzuto@EOP@LNGTWY@EOPMRX)
READ: NOT READ

CC: Michelle Crisci (Michelle Crisci@EOP@LNGTWY@EOPMRX)
READ: NOT READ

CC: Stacey L. Rubin (Stacey L. Rubin@EOP@LNGTWY@EOPMRX)
READ: NOT READ

CC: Marjorie Tarmey (Marjorie Tarmey@EOP@LNGTWY@EOPMRX)
READ: NOT READ

CC: Laura Emmett (Laura Emmett@EOP@LNGTWY@EOPMRX)

READ:NOT READ

TEXT:

Message Creation Date was at 31-MAR-1997 16:21:00

There will be a weekly Welfare Reform Strategy Group meeting tomorrow, April 1, at 4 p.m. in Bruce Reed's office. Let me know if you are unable to attend.
Thanks.

**Clinton Administration Urges FCC to Investigate
Hard Liquor Ads on TV and Radio
April 1, 1997**

Announcement:

Today, President Clinton announced that he was writing to Federal Communications Commission (FCC) Chairman Reed Hundt to "take all appropriate action" to explore what effects might ensue from the distilled liquor industries decision to end their 50-year voluntary ban on broadcast advertising.

Background:

- In November 1996, the Distilled Spirits Council announced that it had ended its almost 50-year voluntary practice of refraining from broadcast advertising.
- Although the major networks and cable companies have thus far refused to accept distilled spirits advertising, a few companies have run some liquor ads on television and radio since the announcement and other companies are presently preparing large advertising campaigns.
- Chairman Hundt has opposed any move toward liquor advertising. In December, he challenged broadcasters to refuse liquor advertising, and indicated that "government action" might be appropriate if the broadcasters did not do so.
- In sending this letter, President Clinton is joining 26 members of Congress who have independently requested the FCC to study the effects hard liquor advertising on the nation's youth and to consider possible action. In addition, 11 states have joined Alaska's more far-reaching petition for a FCC rulemaking to ban the TV and radio advertising of distilled spirits.
- As Chairman Hundt has stated, an FCC inquiry can supply the information needed to evaluate the nature and extent of the problem posed by broadcast liquor ads, and the proper course of action.

Alcohol Use by Youth

- Alcohol is the drug most often used by young people. Approximately one in four 10th grade students and one-third of 12th graders report having had five or more drinks on at least one occasion in the last two weeks.

Clinton Administration: Reducing Youth Alcohol and Tobacco Use

- The President has pushed states to adopt a policy of zero-tolerance for teen drinking and driving or risk losing Federal highway funds.
- The President's fiscal year 1998 budget includes funding for a state demonstration program to drug test teens before they receive their driver's licenses, and provides incentives for states to fight drugged driving.

**Clinton Administration Urges FCC to Investigate
Hard Liquor Ads on TV and Radio
April 1, 1997**

Questions and Answers

Q. What is today's announcement?

Today, President Clinton is announcing that he is sending a letter to the Federal Communications Commission (FCC) Chairman Reed Hundt to "take all appropriate action" to explore what effects might ensue from the distilled liquor industry's decision to end their 50-year voluntary ban on broadcast advertising. The letter also asks the FCC to explore possible actions the agency could take in response to end of the ban on broadcast liquor advertising.

Q. Why are you announcing this now?

A. In February, Chairman Hundt again spoke out on the issue of liquor advertising. The President felt it was important to send a letter to the FCC to weigh in on this matter in view of the Chairman's recent comments.

In his radio address to the people last November, the President stated his firm opposition to the American liquor industry decision to lift the voluntary ban on hard liquor advertising. At that time, President Clinton commended the major broadcast networks' commitment to honor the ban and keep liquor ads off the air.

While all of the major networks have upheld their pledge to refrain from such advertisements, in the last few months, hard liquor advertisements have appeared on broadcast and cable television and radio stations.

Q. Why does the letter only address distilled spirits -- what about beer and wine?

A. The letter is in response to a particular action by the distilled liquor industry that the President believes heads us in the wrong direction -- the collapse of the 50-year agreement that kept these ads off the air.

The President is asking the FCC to "explore what effects might ensue" from the collapse of the agreement, which presumably will include the collection and analysis of data on the link between liquor advertising and youth alcohol consumption. Especially given strong intuitive reasons for believing that such a link exists, it is appropriate for the FCC to investigate this matter further and examine their options to act in light of the information acquired.

Q. What companies have already begun advertising on TV?

A. Several distillers, including Seagram and Allied-Domecq, whose brands include Kahlua and Hiram Walker products, have already hit the airwaves with commercials airing after 10 pm in selected markets.

Q. How many stations have started to run these advertisements?

A. A liquor industry source estimated that 50 cable and independent stations have agreed to air liquor advertisements. None of the major broadcast networks have yet agreed to air these commercials since the liquor industry announcement last November.

Q. What can the FCC do about the liquor industry's decision to advertise on radio and TV?

A. The letter the President is sending today asks the FCC Chairman to look into what actions the FCC is able to take in view of the liquor industry decision. The introduction of hard liquor advertisements to television and radio requires careful study. At a minimum, the FCC must determine what options are available to them in addressing this issue.

Q. How big of a problem is alcohol use by young people?

A. Alcohol is the drug most often used by young people. Approximately one in four 10th grade students and one-third of 12th graders report having had five or more drinks on at least one occasion in the last two weeks.

The average age of having a first drink has declined to 15.9 years-old, down from the average in 1987 of 17.4 years-old. According to the results of a 1994 national household survey, 2 million youth rate themselves as "heavy" alcohol drinkers. It is further estimated that one-half of America's 2 million junior and senior high school students drink monthly.

Moreover, alcohol is a "gateway" drug-- young people who are heavy drinkers are significantly more likely to become multiple drug users later in life than their non-drinking counterparts.

Q. How is this announcement similar to President Clinton's actions on tobacco advertising targeted at youth?

A. President Clinton firmly believes that we have a national obligation to act strongly to protect our children from threats to their health and safety. To this end, the President is sending a letter to ask the FCC to explore its options in addressing the issue of hard liquor

advertising on television and its effects on underage drinking.

The President fought to impose appropriate regulations on tobacco advertising that appeals to adolescents. Both actions highlight the President's commitment to help parents who are struggling to raise healthy and drug-free children.

RECORD TYPE: PRESIDENTIAL (NOTES MAIL)

CREATOR: Michelle Crisci (CN=Michelle Crisci/OU=WHO/O=EOP [WHO])

CREATION DATE/TIME:31-MAR-1997 18:34:33.00

SUBJECT:

TO: Laura Emmett (CN=Laura Emmett/OU=WHO/O=EOP @ EOP [WHO])

READ:UNKNOWN

TO: Elena Kagan (CN=Elena Kagan/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

TEXT:

Rahm is looking for the talking points for the FCC event - do have a draft he could look at? Thanks

RECORD TYPE: PRESIDENTIAL (NOTES MAIL)

CREATOR: Carolyn Curiel (CN=Carolyn Curiel/OU=WHO/O=EOP [WHO])

CREATION DATE/TIME:31-MAR-1997 18:42:42.00

SUBJECT:

TO: ELENA (Pager) #KAGAN (ELENA (Pager) #KAGAN [UNKNOWN])

READ:UNKNOWN

TEXT:

Need you to look at speech draft. Please call Curiel @62309

RECORD TYPE: PRESIDENTIAL (NOTES MAIL)

CREATOR: Peter G. Jacoby (CN=Peter G. Jacoby/OU=WHO/O=EOP [WHO])

CREATION DATE/TIME:31-MAR-1997 18:46:56.00

SUBJECT: House Products Update

TO: Kathleen M. Wallman (CN=Kathleen M. Wallman/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Elena Kagan (CN=Elena Kagan/OU=OPD/O=EOP @ EOP [OPD])
READ:UNKNOWN

TO: Tracey E. Thornton (CN=Tracey E. Thornton/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TO: Bruce R. Lindsey (CN=Bruce R. Lindsey/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

CC: Janet Murguia (CN=Janet Murguia/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

CC: Dainel C. Tate (CN=Dainel C. Tate/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

CC: John L. Hilley (CN=John L. Hilley/OU=WHO/O=EOP @ EOP [WHO])
READ:UNKNOWN

TEXT:

There are two products hearings scheduled on the House side for the week of April 7th. In the Commerce Committee, the Tauzin Subcommittee will hold a hearing on April 8th to look at the issue of needy patients who are being denied medical devices due to product liability laws. They plan to have two panels - one with patients and one with medical device manufacturers. As you will recall, the Republicans emphasized this same issue during an event to send last year's bill to the White House.

On April 10 the full House Judiciary Committee will hold their own hearing on products. I don't know any additional details on this hearing yet.

Additionally, Chairmen Bliley and Hyde have agreed to fully coordinate their efforts on this issue during this Congress. Consequently, there have been a series of discussions between the House Judiciary Committee Republican staff and the House Commerce Committee Republican staff in an effort to craft legislation. The discussions have centered around legislation that would fall somewhere between the vetoed bill and the House-passed bill from last session. However, the Republican leadership recently decided that there should be no Republican bill at this time -- and instead ordered a series of hearings to highlight the need for reform legislation. In the Commerce Committee that means at least one and maybe two more hearings after the April 8 hearing.

Finally, House Commerce Republican staff only last week began their overtures to the Dingell staff on this issue but they realize that Mr. Dingell very much wants legislation and they believe he can be a valuable ally.

Withdrawal/Redaction Marker Clinton Library

| DOCUMENT NO. AND TYPE | SUBJECT/TITLE | DATE | RESTRICTION |
|--------------------------|--|------------|-------------|
| 003. email | Nicole Rabner to Elena Kagan. Subject: satellite conferences [partial] (1 page) | 03/31/1997 | P6/b(6) |

COLLECTION:

Clinton Presidential Records
Automated Records Management System (Email)
WHO ([Kagan])
OA/Box Number: 500000

FOLDER TITLE:

[3/26/1997 - 3/31/1997]

2009-1006-F
ke707

RESTRICTION CODES

Presidential Records Act - [44 U.S.C. 2204(a)]

- P1 National Security Classified Information [(a)(1) of the PRA]
- P2 Relating to the appointment to Federal office [(a)(2) of the PRA]
- P3 Release would violate a Federal statute [(a)(3) of the PRA]
- P4 Release would disclose trade secrets or confidential commercial or financial information [(a)(4) of the PRA]
- P5 Release would disclose confidential advice between the President and his advisors, or between such advisors [(a)(5) of the PRA]
- P6 Release would constitute a clearly unwarranted invasion of personal privacy [(a)(6) of the PRA]

C. Closed in accordance with restrictions contained in donor's deed of gift.

PRM. Personal record misfile defined in accordance with 44 U.S.C. 2201(3).

RR. Document will be reviewed upon request.

Freedom of Information Act - [5 U.S.C. 552(b)]

- b(1) National security classified information [(b)(1) of the FOIA]
- b(2) Release would disclose internal personnel rules and practices of an agency [(b)(2) of the FOIA]
- b(3) Release would violate a Federal statute [(b)(3) of the FOIA]
- b(4) Release would disclose trade secrets or confidential or financial information [(b)(4) of the FOIA]
- b(6) Release would constitute a clearly unwarranted invasion of personal privacy [(b)(6) of the FOIA]
- b(7) Release would disclose information compiled for law enforcement purposes [(b)(7) of the FOIA]
- b(8) Release would disclose information concerning the regulation of financial institutions [(b)(8) of the FOIA]
- b(9) Release would disclose geological or geophysical information concerning wells [(b)(9) of the FOIA]

RECORD TYPE: PRESIDENTIAL (NOTES MAIL)

CREATOR: Nicole R. Rabner (CN=Nicole R. Rabner/OU=WHO/O=EOP [WHO])

CREATION DATE/TIME:31-MAR-1997 19:12:09.00

SUBJECT: satellite conferences

TO: Elena Kagan (CN=Elena Kagan/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

TEXT:

I just spoke with Ann Rosewater P6(b)(6) ^[002], who mentioned that you had promised to draft talking points that would, among other things, provide guidance to the regional offices about the White House effort vis a vis the Engagement campaign (especially given the launch of the campaign just prior to the Conference).

I'm now drafting a one-pager for the regions that lays out the day and the Conference for their planing purposes. Do you want me also to do these few q&a?

RECORD TYPE: PRESIDENTIAL (NOTES MAIL)

CREATOR: Kathleen M. Wallman (CN=Kathleen M. Wallman/OU=WHO/O=EOP [WHO])

CREATION DATE/TIME:31-MAR-1997 19:13:27.00

SUBJECT: notification calls

TO: KAGAN_E (KAGAN_E @ A1 @ CD @ LNGTWY [EOP]) (OPD)

READ:UNKNOWN

TO: Jonathan A. Kaplan (CN=Jonathan A. Kaplan/OU=OPD/O=EOP @ EOP [OPD])

READ:UNKNOWN

TEXT:

Among the calls that I put on the list, the calls to industry people are pretty important -- broadcast and liquor.

RECORD TYPE: PRESIDENTIAL (NOTES MAIL)

CREATOR: Carolyn Curiel (CN=Carolyn Curiel/OU=WHO/O=EOP [WHO])

CREATION DATE/TIME:31-MAR-1997 19:24:09.00

SUBJECT:

TO: ELENA (Pager) #KAGAN (ELENA (Pager) #KAGAN [UNKNOWN])

READ:UNKNOWN

TEXT:

i am submitting draft for briefing book. we can make any changes in a.m.
curiel 62309

**Clinton Administration Urges FCC to Investigate
Hard Liquor Ads on TV and Radio
April 1, 1997**

Announcement:

Today, President Clinton announced that he was writing to Federal Communications Commission (FCC) Chairman Reed Hundt to 1) explore the effects -- on children in particular -- of the distilled liquor industry's decision to end its 50-year voluntary ban on broadcast advertising; and 2) determine what actions the FCC can take in response to the lifting of the ban.

Background:

- In November 1996, the Distilled Spirits Council announced that it had ended its almost 50-year voluntary practice of refraining from broadcast advertising.
- In his November 9, 1996 radio address, the President urged broadcasters to follow the example of the four major broadcast networks and continue to honor the ban to keep liquor ads off the air. The President also said to liquor companies, "You were right for the last 50 years when you didn't advertise on television; you're wrong to change your policy now. This is no time to turn back."
- Although the major networks and cable companies have so far refused to accept distilled spirits advertising, a few liquor manufacturers have been able to run some ads on television and radio since the announcement; other liquor companies are currently preparing large advertising campaigns.
- Chairman Hundt also has opposed any move toward liquor advertising. In December, he challenged broadcasters to refuse liquor advertising, and indicated that "government action" might be appropriate if the broadcasters did not do so.
- An FCC inquiry can supply the information needed to evaluate the nature and extent of the problem posed by broadcast liquor ads and to consider appropriate action.

Alcohol Use by Youth

- Alcohol is the drug most often used by young people. Approximately one in four 10th grade students and one in three of 12th grade students report having had five or more drinks on at least one occasion during the last two weeks.

Clinton Administration: Reducing Substance Abuse by Youth

- The President has pushed states to adopt a policy of zero-tolerance for teen drinking and driving or risk losing Federal highway funds.
- The President's fiscal year 1998 budget includes funding for a state demonstration program to drug-test teens before they receive their driver's licenses; it also provides incentives for states to fight drugged driving.

**Clinton Administration Urges FCC to Investigate
Hard Liquor Ads on TV and Radio
April 1, 1997**

Questions and Answers

Q. What is today's announcement?

A. Today, President Clinton is announcing that he is sending a letter to Federal Communications Commission (FCC) Chairman Reed Hundt to: 1) explore the effects -- on children in particular-- of the distilled liquor industry's decision to end its 50-year voluntary ban on broadcast advertising; and 2) determine what actions the FCC can take in response to the lifting of the ban.

Q. Why are you announcing this now?

A. In February, Chairman Hundt again spoke out on the issue of liquor advertising. The President felt it was important to send a letter to the FCC to weigh in on this matter in view of the Chairman's recent comments.

In his radio address to the people last November, the President stated his firm opposition to the American liquor industry's decision to lift the voluntary ban on hard liquor advertising. At that time, President Clinton commended the major broadcast networks' commitment to honor the ban and keep liquor ads off the air.

While all of the major networks have upheld their pledge to refrain from such advertisements, hard liquor advertisements have appeared in the last few months on smaller broadcast and cable television stations, and radio stations.

Chairman Hundt has expressed interest in opening up an inquiry into such advertising, and the President decided that in light of recent events, he should strongly support this action.

Q. Why does the letter only address distilled spirits -- what about beer and wine?

A. We have to deal right now with the new threat to our children. Parents have a hard enough time raising good kids and all of us have a responsibility to help make those jobs easier, not harder.

This letter is meant to ensure that we don't go backwards by allowing liquor advertising to appear on the air even if it endangers our children. The letter is a direct response to the ill-considered decision by the liquor industry to end its 50-year practice of keeping liquor ads off the air. We must determine what the effects of this decision will be and consider, in light of

those effects, what further actions are appropriate.

Q. What companies have already begun advertising on TV?

A. Several distillers, including Seagram and Allied-Domecq, whose brands include Kahlua and Hiram Walker products, have already hit the airwaves with commercials airing after 10 pm in selected markets.

Q. How many stations have started to run these advertisements?

A. A liquor industry source estimated that 50 cable and independent stations have agreed to air liquor advertisements. None of the major broadcast networks have yet agreed to air these commercials since the liquor industry announcement last November.

Q. What can the FCC do about the liquor industry's decision to advertise on radio and TV?

A. The letter the President is sending today asks the FCC Chairman to open an inquiry into the effects on children of liquor advertising. Once the FCC has instigated this matter, it can determine what further action is appropriate.

Q. How big of a problem is alcohol use by young people?

A. Alcohol is the drug most often used by young people. Approximately one in four 10th grade students and one in three 12th grade students report having had five or more drinks on at least one occasion during the last two weeks.

The average age when a person has a first drink has declined to 15.9 years old, down from the average in 1987 of 17.4 years old. According to the results of a 1994 national household survey, 2 million young people rate themselves as "heavy" alcohol drinkers. It is further estimated that one-half of America's 2 million junior and senior high school students drink monthly.

Moreover, alcohol is a "gateway" drug-- young people who are heavy drinkers are significantly more likely to become multiple drug users later in life than their non-drinking counterparts.

Q. Is there any evidence which links hard liquor advertising and alcohol consumption?

A. This is what the President's letter is asking the FCC to explore-- what the effects will be of lifting the ban on hard liquor advertising. In determining the effects of lifting the ban, the

FCC would be able to collect data on the link between advertising and children's consumption. There are intuitive reasons for believing that such a link exists. However, the President's letter today does not predetermine the conclusion of the inquiry.

Q. How is this announcement similar to President Clinton's actions on tobacco advertising targeted at youth?

A. President Clinton firmly believes that we have a national obligation to act strongly to protect our children from threats to their health and safety. To this end, the President is sending a letter to inquire into the effects of hard liquor advertising on television on underage drinking, and to consider what actions are possible for the FCC to take in response to the lifting of the advertising ban.

The President fought to impose appropriate regulations on tobacco advertising that appeals to adolescents. Both actions highlight the President's commitment to help parents who are struggling to raise healthy and drug-free children.