

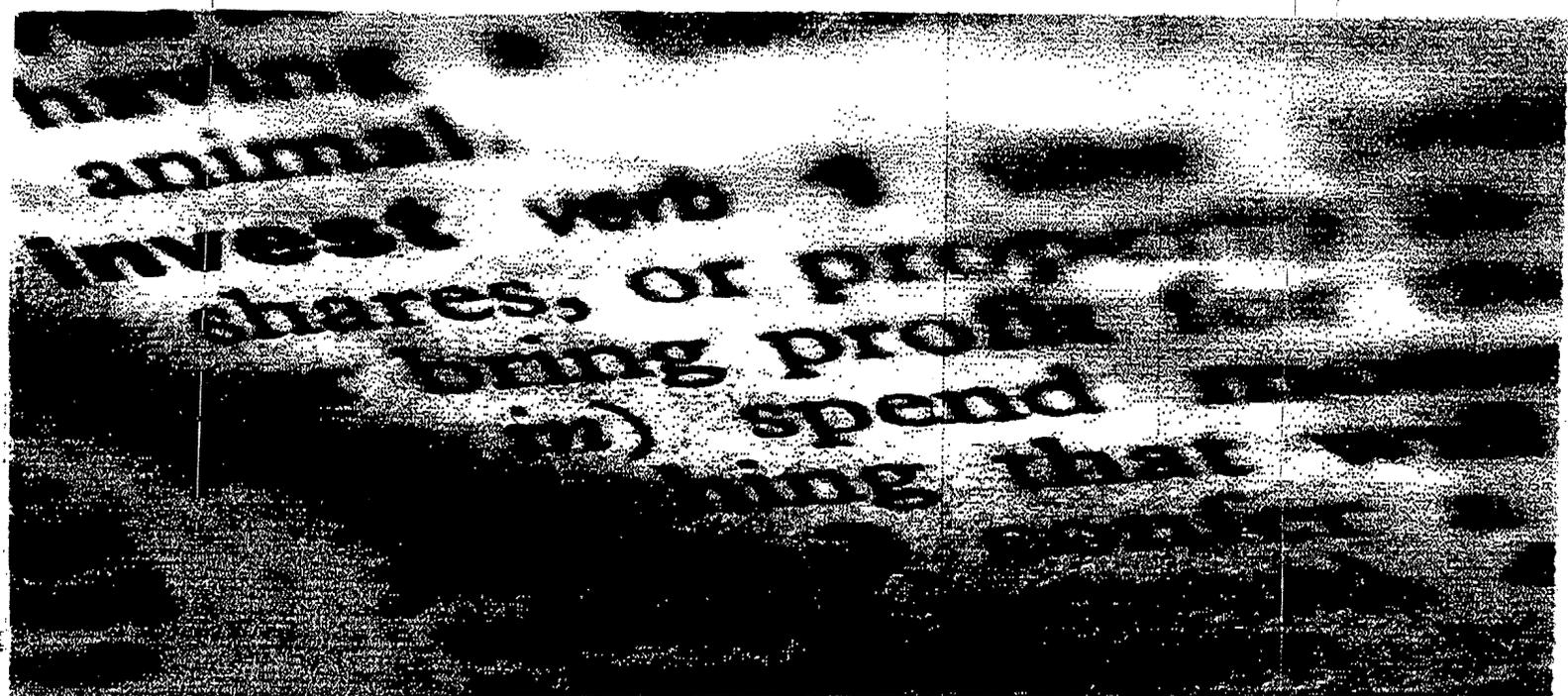


**DRAFT**

# REDUCING CLASS SIZE

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**A SMART WAY TO IMPROVE  
AMERICA'S URBAN SCHOOLS**



**Council of the Great City Schools  
October 2000**

PHOTOCOPY  
PRESERVATION

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## **EXECUTIVE SUMMARY**

The Council of the Great City Schools, a coalition of the nation's largest urban public school systems, surveyed its membership to determine how they were using federal class size reduction funds in the 2000-2001 school year. Some 25 major urban school systems responded. Results indicated that—

- Approximately 2,737 new first, second, and third grade teachers were hired in 25 of the nation's largest urban school systems with second-year federal class size reduction funds.
- The 25 major city school systems received approximately \$161.3 million in federal class size funds for the 2000-2001 school year.
- All 25 major cities used their federal class size funds to recruit and hire teachers.
- About \$138.6 million of the \$161.3 million received (85.9%) was spent by the 25 city school systems on teacher salaries in grades one, two, and three.
- All 25 cities used a portion of their federal class size reduction monies to provide professional development to new and veteran teachers.
- Some 26,309 urban school teachers received professional development in the 25 cities paid for with federal class size reduction funds.
- The 25 major cities devoted approximately \$17.2 million (10.7%) of their federal class size aid to teacher professional development and \$5.6 million (3.5%) to recruiting expenses.
- Funding under the program has been flexible enough for urban school systems to hire new teachers or provide professional development—or both depending on need.
- Preliminary data, like that gathered by the Fort Worth Public Schools, indicates that the federal class size reduction program is improving student achievement and is receiving strong teacher support.
- The federal program is also flexible enough to complement local and state efforts to reduce class sizes.

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# Reducing Class Size

## A Smart Way to Improve America's Urban Schools

By the  
Council of the Great City Schools

The lesson to be learned here is that, politics and fights over financing aside, there no longer seems to be any question about the important benefits of reducing class size, especially in the early grades. Studies have shown that those benefits last for years. The challenge...is to keep the money flowing while rebuilding the physical plant to the point where smaller classrooms are the norm.

New York Times  
May 8, 2000

### INTRODUCTION

Improving the quality of public education has emerged as one of the nation's most prominent concerns. And no where are these concerns more evident than in America's Great City Schools. Urban schools often face challenges that would daunt other organizations, public or private. The litany of hurdles confronting these schools and the children they enroll are now familiar, but the solutions to their problems have only recently emerged from the research: comprehensive early childhood education, extended time for learning before and after school and during summers, higher academic standards, better teaching and professional development for teachers, adequate facilities, and smaller class sizes.

Evidence has grown stronger in the last several years that reducing class sizes, in particular, can have a dramatic and long-lasting effect on student achievement, particularly the achievement of children in poverty. Reducing class size is being shown to give every student more of the teacher's time and more individualized attention to grasp the classroom material. This report is the second in a series of reports updating the nation on how the federal Class Size Reduction program is effecting America's urban public school systems.

### THE RESEARCH

Research on the effects of reducing class sizes on student achievement has become clearer over the last five years. The new studies point increasingly to higher academic performance, greater parental satisfaction, and stronger teacher effectiveness in smaller classes. Some of the most definitive studies include:

1. The Tennessee Student Teacher Achievement Ratio (or Project STAR) study. Project STAR was a longitudinal study of some 6,000 children from 1985 to 1989. The project followed students from kindergarten to fourth grade, placed in three types of classes: small (13-17 children per class), regular (22-25 students per class), and regular with a full-time teacher aide. While no advantage was found in larger classes having a teacher aide, students in smaller classes showed significantly higher achievement on standardized tests than either of the larger classes. The higher rates of achievement were evident in the first grade and lasted through second and third grades. Results also showed that the greatest benefits of smaller classes were found in inner city schools with the poorest students. Follow-up studies of Project STAR students found that the benefits of the smaller classes remained after the third grade.
2. The second study on the effects of reducing class sizes involved the "Class Size reduction (CSR) program in California. Enacted in the summer of 1996, the California program mandated that all 1<sup>st</sup> and 2<sup>nd</sup> graders be in classes of no more than 20 students. Preliminary evaluations of the program indicated significant student achievement gains in the 3<sup>rd</sup> grade—the only grade where it was possible to compare learners in CSR and non-CSR classes. Teachers in CSR classes also reported spending more time with weak readers and students with higher needs, less time on discipline. Data also showed that the program has resulted in higher parental satisfaction.
3. A third study was a quasi-experimental study of the "Student Achievement Guarantee in Education (SAGE) program in Wisconsin. SAGE, a five-year pilot program, is designed to increase the academic achievement of high poverty students by reducing the student-teacher ratio to 15:1 in kindergarten through third grade. Results from the 1997-98 school year showed that first and second graders in the smaller SAGE classes tested higher in math, reading, and language arts than students in the larger classes. Study results also indicated that African American students in smaller classes outperformed African American students in larger classes. Qualitative findings suggest that teachers in the SAGE program knew their students better, required less time for management and discipline, and had greater opportunities for one-on-one instruction. Similar results were found in a comparable 1996-97 study.
4. A fourth analysis involved trends on NAEP scores on reading and math among central cities. This preliminary analysis conducted by researchers from the Rand Corporation for the Council of the Great City Schools showed that lower pupil-teacher ratios had dramatic effects on student achievement in urban schools. Reducing the pupil-teacher ratio to 24:1 resulted in an average 5.8 NAEP percentile point gains among central city students. Reducing class sizes to 21 resulted in NAEP gains of 3.7 points, and to 18 produced additional NAEP gains among central city students of 1.6 points. The analysis showed that no central city with a pupil-teacher ratio above 18:1 had made significant improvement on reading or math scores in the last eight years.

## THE PROGRAM

The federal Class Size Reduction program was signed into law on October 21, 1998 with the goal of placing 100,000 new teachers into America's classrooms. The law provides federal funds to local school systems to reduce class sizes to 18 in grades 1-3. In the first year of the program, some 82% of the federal funds <sup>were</sup> could be used to recruit, hire, and train new certified classroom teachers. Up to fifteen percent of the allocation ~~would~~ be used to test new teachers on state certification requirements and to provide professional development for existing teachers. No more than three percent of funds could be used for administration.

School systems received the first installment of \$1.2 billion to meet the goal in July 1999. Some 80% of the program's funds were targeted on school systems with the highest rates of student poverty, the very school systems most likely to have the largest classes and where research indicated that the most benefit could be derived by lowering class sizes.

Approximately \$300 million dollars of the program was targeted on urban schools in the first year. A 1999 survey by the Council of the Great City Schools of 40 urban school districts showed that the class-size reduction program provided over 3,500 teachers to the neediest urban children, as well as training for over 22,000 new and current urban school teachers. A national evaluation of the program showed that 29,000 teachers had been hired throughout the country and that the effort reduced class sizes for some 61,000 current teachers and approximately 1.7 million children in 90,000 classrooms (Department of Education, 2000).

Congress approved \$1.3 billion for the program's second year. The initiative was amended somewhat for the 2000-2001 school year to lift the proportion of funds that could be spent on professional development from 15% to 25% and to allow additional expenditures for professional development in "Ed Flex" states.

## FINDINGS

The Council of the Great City Schools conducted a survey of its members in September 2000 asking for information on how second year funding was being used for the 2000-2001 school year. (A copy of the survey form is found in Appendix B.) Approximately twenty-six (26) districts responded.

Fifty four (54) urban school districts comprising the Great City Schools received some \$304 million of the approximately \$1.3 billion that Congress allocated in FY00 for the federal class size reduction program.

The twenty-six (26) urban districts responding to this survey indicated that they received approximately \$161.3 million in FY00 class size reduction funds and were able to hire or support 2,765 teachers for the 2000-2001 school year. The total salary and

benefits of these teachers accounted for nearly \$140 million or about 86.8% of all class size funding received by these 26 major city school systems.

Some respondents specified the number of new teachers they hired by grade. These districts indicated that the class size program enabled them to hire a total of 678 (50.4%) new first grade teachers, 437 (32.5%) second grade teachers, 425 (31.6%) third grade teachers, and 231 (17.2%) teachers in other grades<sup>1</sup>.

**Table 1. Total Number of New Teachers, Salaries, and Benefits Provided in Urban Schools with Federal Class Size Reduction Funds by Grade**

	Grade One	Grade Two	Grade Three	Other	Total *
Teachers	678	437	425	231	2,765
Salary and Benefits	\$27,289,810	\$18,263,117	\$15,207,572	\$8,147,917	\$139,468,478

\* Individual grades do not sum to total since some districts were unable to provide a per-grade breakdown.

#### NEW TEACHERS

All 26 major urban school districts responding to this survey used a portion of their federal class size funds to hire new teachers. Four districts, however, used all of their class-size allocation for the salaries and benefits of new teachers, relying on state and local funds to provide professional development.

Twenty-one of the 26 districts (80.8%) are using their federal funds to train their new teachers, with some 2,300 new urban instructors receiving professional development services through the program. Some 476 (25.6%) new first grade teachers, 462 (24.9%) new second grade teachers, 432 (23.3%) new third grade teachers, and 488 (26.3%) teachers in the other grades were provided professional development in the districts that were able to detail by grade where they targeted their training resources.

**Table 2. Number of Teachers Receiving Professional Development in Urban Schools with Federal Class Size Reduction Funds by Grade**

	Grade One	Grade Two	Grade Three	Other	Total *
New Teachers	476	462	432	488	2,329
Current Teachers	758	710	627	397	5,099
<b>TOTAL</b>	<b>1,234</b>	<b>1,172</b>	<b>1,059</b>	<b>885</b>	<b>7,428</b>

\* Individual grades do not sum to total since some districts were unable to provide a per-grade breakdown.

<sup>1</sup> Does not represent all teachers hired.

**CURRENT TEACHERS**

The major benefit of the class size reduction program is that it actually reduces the size of classes, but a secondary benefit involves the professional development available to current teachers. Nineteen of the 26 districts responding to the survey indicated that they used a portion of their funds to provide professional development to veteran teachers.

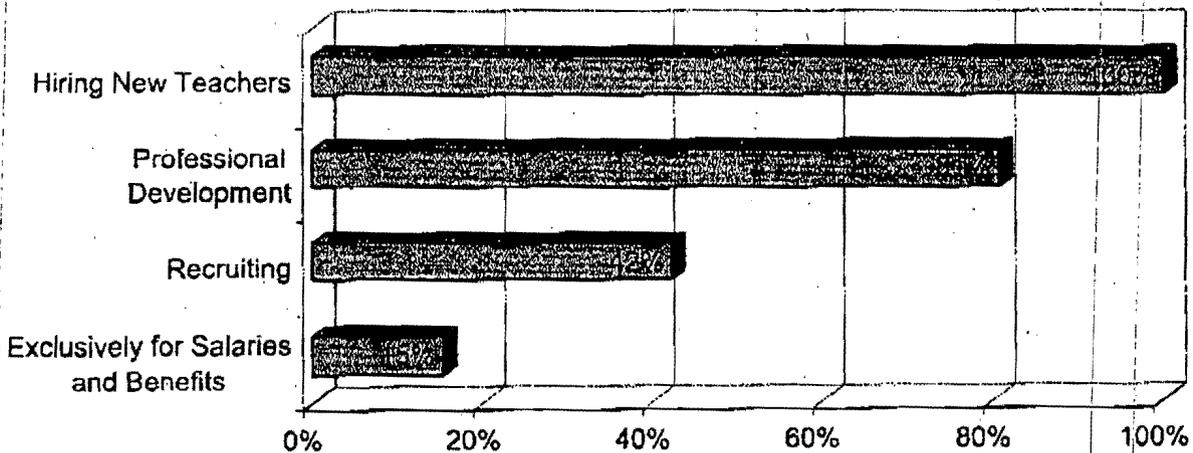
Approximately 758 (30.4%) veteran first grade teachers, 710 (28.5%) veteran second grade teachers, 627 (25.1%) veteran third grade teachers, and 397 (15.9%) teachers in other-grades were provided professional development in the districts that were able to detail by grade where they targeted their training resources. In addition, some veteran teachers were trained as mentors for new teachers, while others were kept up-to-date on the latest instructional practices.

Overall, some \$17.2 million of the class size funds received by the 26 responding cities was used to train 26,309 new and existing teachers in the nation's urban schools.

**RECRUITING**

The class-size reduction program also allows districts to use a small portion of their federal funds to attract new teachers. Eleven of the responding districts (42%) used part of their allocation on recruiting costs, spending some \$5.6 million or 3.5% of the districts' total class size funds. Most of this amount was used for advertising. Other common recruitment expenses included travel to interview teacher candidates, salary and supply costs for a district recruiting offices, and hiring bonuses.

**Table 3. Use of Federal Class Size Reduction Funds in Urban Schools  
(% of districts)**



### TRENDS BETWEEN 1999 AND 2000 IN SPENDING CLASS SIZE REDUCTION FUNDS

This report also examined trends in the use of class size reduction funds from last year to see if districts were changing priorities or to determine the effects of amendments to last year's legislation. The Council of the Great City Schools looked at responses from cities that participated in both last year's and this year's surveys. Twenty-two districts participated in both. There were modest but interesting trends.

First, the amount of monies received by the 22 major cities increased slightly, \$12 million, due largely to the small increase in the overall appropriations last year. This increase allowed these districts to hire an additional 145 teachers in 2000-2001, compared with 1999-2000. Some \$11.7 million of the \$12 million increase was devoted to the salaries and benefits of newly hired teachers rather than to professional development.

Second, the number of teachers receiving professional development with federal class size reduction funds actually increased in the 21 city school systems<sup>2</sup> by a net 3,700 individuals, but the total amount of program resources devoted to professional development dropped by \$1.75 million. This apparent anomaly may be due to a number of factors. Spending on professional development may have been encouraged more in the first year because administrators were unclear about the program's future. There was some anecdotal evidence that schools were somewhat reluctant in the first year to commit funds and contracts for individuals they could not support over the long run. The higher number of teachers receiving professional development at lower costs may be due to increasing needs or to the availability of other federal, state, and local funds to fill training requirements. The anomaly suggests that both teacher hiring and professional development are major priorities for urban schools, but, when pitted against one another, that reducing class sizes takes precedence.

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<sup>2</sup> Does not include New York City.

## DESCRIPTIONS OF FEDERAL CLASS SIZE REDUCTION PROGRAMS IN SELECTED GREAT CITY SCHOOLS

### DENVER

The Denver Public Schools has used its federal Class Size Reduction funds in the 2000-2001 school year to hire 25 new classroom teachers, as well as an additional 29 mentor teachers for its "Primary Lead Teacher Project". After a summer of training, the mentor teachers, known as Primary Lead Teachers, were assigned to low performing elementary schools, where their daily responsibilities included three hours of direct instruction. These mentor teachers provide reading, writing and math instruction during this period to small groups, and work individually with students in programs such as "Success in Early Reading", "Reading Recovery" and "Descubriendo La Lectura."

Primary Lead Teachers also assist with implementing building-wide, standards-based math and literacy instruction, and acting as their schools' liaisons for CBLA, CSAP, and other district-related activities. Primary Lead Teachers at each site, moreover, are responsible for coaching and mentoring new primary grade teachers. Mentors debrief teachers on instructional techniques and lesson plans. The mentors offer support in assessment, lesson planning, and classroom management, as well as providing release time for teachers to plan, observe, and share information on what works with other instructors.

Finally, Primary Lead Teachers plan and facilitate professional development for school staff, as well as professional study groups and school book clubs. In turn, elementary curriculum and Title I specialists provide regular, ongoing, and school-based coaching and mentoring to the Primary Lead Teachers. The Lead Teachers also attend bimonthly seminars focused on methods of balanced literacy instruction, implementation of math content standards, leadership, and coaching techniques, and student preparation for CSAP tests in reading, writing, and math.

Primary Lead Teachers work regularly with small groups of students, taking children from large classes during instruction periods and providing more individualized instruction.

Each Primary Lead Teacher works on a weekly basis with up to 15 teachers. Mentor teachers have established themselves as an integral part of primary instruction, and the coaching and staff development they provide have received strong positive reviews from other teachers. Mentor teachers have created and maintained a professional dialogue with instructional staff at the building level, and follow up staff development activities individually with demonstrations in each teacher's classroom. Primary Lead Teachers have gained the trust of classroom instructors by using their time effectively, locating and sharing valuable resources, supporting individualized and small group instruction, and providing expertise to new and veteran teachers.

## FORT WORTH

The Fort Worth Independent School District (FWISD) used its federal CSR funds to reduce class sizes in 20 schools. Participating schools were those whose TAAS Reading or Math scores were below 70% passing, had high LEP or Special Education populations, a poverty rate of 80% or higher, and had double-digit mobility rates. Approximately sixty Class Reduction Teachers (CRT) were hired to reduce class sizes in Grades 1-3, and to work with the lowest-performing students at their grade level in reading. The size of reading classes after placing the CRT's was reduced from 22:1 to 11:1.

School principals were given the flexibility with the funds to implement one of three instructional models. The first was the "Reduced Classroom" model, which clusters children in greatest need of additional instruction. Half of this group works with the regular teacher all day, and the other half works with the CRT all day. The second model involved "Split Teaching," where the CRT was sent to work with one regular teacher in the morning, and a different teacher in the afternoon. In each session, the CRT and regular teacher can either team-teach, or split students into two groups. The final model used a "Reading Instruction" approach, where the CRT and a regular teacher work with four different groups of students from designated classrooms each day. The two teachers decide whether to team-teach or to take students in separate groups. The same four groups of children meet with the CRT for reading instruction each day throughout the school year.

"Limited English and below-level readers had greater opportunities for success with the intense, direct focus on reading; pacing could easily be adjusted in the smaller group to account for language content."

Principal, Fort Worth Independent School District

The results in the Fort Worth schools using the federal funds were significant. The Texas Primary Reading Inventory (TPRI) showed that most CRT schools showed substantial growth in reading in Grades 1 and 2, compared with other district schools that did not have CRT resources. First grade CRT classrooms gained 31.9% in reading on the TPRI, while regular first grade classrooms gained 22.3%. Second grade CRT classrooms gained 34.3% in reading on the TPRI, while regular second grade classrooms gained 15.7%. In addition, seventy-five percent (75%) of schools with CRT teachers showed a higher percentage of students with passing TAAS Reading scores in the third grade, compared with non-CRT schools. Third grade reading scores on TAAS actually declined in three schools that did not have a CRTs.

The FWISD was able to provide an additional CRT at four schools with funds from the second year of the federal program. The district's greatest challenge is retaining highly qualified teachers to implement standards-based programs. This challenge has been addressed by filling staff positions early, monitoring the program on a monthly basis, and providing ongoing professional development in team teaching, effective questioning, individualized instruction, and continued participation in Open Court and Reading Mastery Literacy Programs.

## New York City

The federal Class Size Reduction program has allowed the New York City Board of Education (NYCBOE) to ease overcrowding in its schools, where class sizes were almost 25% larger than the statewide average. In the first year of the program, each of New York City's 32 Community School Districts and its Special Education District received federal and state funds to hire teachers and reduce class size. When additional classroom space was not available, community districts were instructed to use funds to provide small group instruction for more children. The federal and state initiatives have reduced class size for approximately 90,000 students in the early grades, almost 30% of the city's K-3 population.

The school district's Division of Assessment and Accountability conducted an evaluation of the Reduced Class Size Program and found that teachers were overwhelmingly positive. Teachers indicated that students were producing higher quality work compared with regular classes. Teachers also indicated in the evaluations that students in the smaller classes were reading more and taking more interest in their work. Finally, teachers pointed that student motivation, self-confidence, and independence had increased in the smaller classes.

New York City is also using its class size reduction funds to mount an advertising campaign to recruit the best new teachers to its schools. This campaign will involve print, television, radio, online, and other media outlets; will encourage certified teachers throughout the country, college graduates, and others to teach in the nation's largest public school district; and will encourage teachers in particularly hard-to-staff areas to work in the district. The ads will also highlight a unique alternative certification pathway, which allows career-changing professionals a faster way to full instructional license. The alternative process is successful at bringing a large number of judges, attorneys, architects, firefighters, police officers, and doctors to New York City classrooms.

**"An ordinary class has become a gifted class. They are thinking and responding at higher levels with an enhanced ability for learning..."**

Teacher Response  
Early Grade Reduced Class Size Evaluation  
NYCBOE Division of Assessment and Accountability

A recent report by the Educational Priorities Panel confirmed the benefits for students of the smaller classes in New York City, and the opportunities they provided teachers to better identify student needs, provide more individual attention, cover material more effectively, and improve student achievement (1999). The Educational Priorities Panel found that students in smaller classes tended to display greater enthusiasm for reading, and appeared to be learning faster than the year before. Parental involvement also increased, according to the report, and there was a noticeable decline in disciplinary problems. The Panel also found that reducing class sizes in New York City increased teacher morale and made it easier for schools to hire qualified and experienced teachers.

## OKLAHOMA CITY

Oklahoma City Public Schools (OCPS) is using its federal funds to hire and train new teachers, and provide professional development to classroom teachers. In OCPS, veteran teachers who have special training as mentors and professional development instructors provide the professional development activities. OCPS has hired 48 new teachers with their Class-Size Reduction allocation, including 20 first grade teachers, 15 second grade teachers, and 13 third grade teachers. In addition to those hired with Class Size Reduction money, every elementary school in the district is eligible to send their new teachers to the professional development provided by the federal funds, allowing instructors in 67 buildings access to this unique opportunity.

Professional development is offered in a wide variety of areas, including workshops on "Learning Styles and Multiple Intelligences", "Positive Teacher-Parent Relationships", "Framework for Understanding Poverty", "Special Education Issues in Elementary Schools", and "Phonetic Tutoring from the Literacy Center". The training has allowed new teachers to improve instruction by gaining fresh resources, generating positive ideas for use in the classroom, and setting student expectations. The focused professional development also gives new teachers opportunities for site-based training, as well as improving their understanding of curriculum and assessment.

As part of their accountability efforts, OCPS is developing an annual report regarding the use of Class-Size Reduction funds and their impact on student learning and academic achievement. Results from the study of the program showed that reduced class size increased individualized attention, provided more time for instruction in core curriculum areas, increased availability of more teaching options, increased use of varied materials, reduced discipline problems, and accelerated educational progress.

**"Compare student performance before and after class size was reduced."**

- ❖ Improved oral reading, math, and spelling
- ❖ Increased student confidence due to time for individualized and personalized instruction
- ❖ More on-on-one attention, thereby higher achievement
- ❖ Ability to cover more information
- ❖ Improved rapport with students
- ❖ Fewer discipline problems
- ❖ Better results on Accelerated Reader, ITBS, Benchmarks, and individualized Testing

Sample Responses  
Class-Size Reduction Teacher Evaluation  
Oklahoma City Public Schools

## PHILADELPHIA

The overwhelming majority of teachers hired by the School District of Philadelphia with federal Class-Size Reduction funds are "Literacy Intern Teachers"—teachers with emergency certification who hold undergraduate degrees, and in some cases, graduate and professional degrees. The Literacy Interns are given intensive professional development in early balanced literacy, are supported with mentors who are experts in reading, and paired with veteran teachers who have had intensive training in early literacy. The Literacy Interns work with veteran teachers to support and enhance the instructional program by focusing on individual students and small learning groups.

In 1999, the School District of Philadelphia hired more than 250 people with college degrees, including those making mid-career job changes, to serve as Literacy Interns in K-1 classrooms across the city. Interns are paired with veteran teachers, reducing the student-teacher ratio in these classrooms to 15:1.

The Literacy Intern Teacher program has proven to be an effective alternative to traditional teacher recruitment programs, bringing trained professionals to the classroom to support the reading and language development of students. The program provides the Philadelphia Public Schools with a successful method for attracting and retaining qualified and motivated individuals to the teaching profession and to fill positions in critical shortage areas. Preliminary data show that 82% of the Literacy Intern Teachers hired under the first year of the program have remained working for the school district—a higher retention rate than usually found for new, first-year teachers in Philadelphia. Some 44 of last year's Literacy Intern Teachers are now teaching in their own classes, reducing the number of vacancies the system had to fill at the start of the 2000-2001 school year.

The more important effect of the Literacy Interns, however, is the gains in student reading achievement. A preliminary comparative analysis of teacher-reported reading levels showed that in June 1999, prior to the implementation of the Reduced Class Size/Balanced Literacy initiative in Philadelphia, 27.5% of first graders in the city were ready to move onto second grade material. In June 2000, after the first year of the Philadelphia initiative, 47.7% of first graders in the Reduced Class Size classrooms were ready to move onto second grade material. An independent evaluation of the initiative showed that a majority of the veteran teachers felt that there was a significant increase in student progress as a result of the Literacy Interns: some teachers reported their classes had improved by 15% over past years (Research for Action, July 2000).

## SAN FRANCISCO

The San Francisco Unified School District (SFUSD) has used its federal resources to reduce middle school classes, because of the K-3 Class-Size Reduction (CSR) program operating with state and local funds. Current class sizes at the middle school level (approximately 33:1) require an additional 17-18 FTEs to reduce classes to 20:1 in each subject area per grade level. Federal funds have been used by the San Francisco schools to reduce class sizes in language arts and mathematics to 20:1 in the eighth grade.

An eighth grade program was chosen by the district to complement the state-funded CSR in language arts and mathematics at grade nine, and to provide additional support to meet new, challenging language arts standards required for high school graduation. The class size reduction effort was also easier to implement in the eighth grade than in elementary schools where students work in core academic teams. There continues to be a need for extending CSR into grades four and five, but space and facilities limitations make expansion difficult without major renovations.

“...Substantial performance was seen in the performance levels for elementary schools and eight grade in all subject areas. An explanation of these results is class size reduction at the elementary grade levels, and at the eighth grade.”

Report of Standardized Testing Results for Spring 2000  
San Francisco Unified School District

The federal, state, and local efforts are also combined to provide an energetic program of teacher recruitment, testing, and professional development. The federal class-size investment allowed SFUSD to hire approximately 33 new and properly qualified English and Mathematics teachers. SFUSD provides test preparation workshops for any teacher candidates who are not fully credentialed. All candidates participate in a workshop before being assigned to classrooms, and are provided on-the-job support through mentor teachers and site-based instructional improvement activities.

All staff hired through the federal program are provided release time for curriculum-focused conferences, and professional development concentrating on effective instructional strategies to capitalize on the smaller class sizes. The program is similar to what was implemented as part of the overall K-3 CSR effort, but with emphasis on more sophisticated and appropriate material for the eighth grade. This includes algebraic content and reflective reading and writing skills. The professional development program is also available to private school instructors, consistent with the federal guidelines.

**SUMMARY OF 2<sup>ND</sup> YEAR FEDERAL CLASS SIZE REDUCTION EFFORTS  
IN THE GREAT CITY SCHOOLS**

Federal class size reduction funds directed To urban schools in 2000-2001	\$161,263,344
Number of new teachers hired with 2 <sup>nd</sup> -year federal class size reduction funds	2,737
Amount of federal class size funds devoted to teacher salaries and benefits	\$138,605,335
Percentage of federal class size reduction funds devoted to teacher salaries and benefits	85.9%
Number of teachers receiving professional development with federal class size funds	26,309
Amount of federal class size funds devoted To professional development	\$17,171,025
Percentage of federal class size reduction funds devoted to professional development	10.7%
Amount of federal class size reduction funds devoted to recruitment	\$5,597,526
Percentage of federal class size reduction funds devoted to recruitment	3.5%
Percentage of cities that used funds to pay Teacher salaries	100%
Percentage of cities that used funds to Provide professional development	81% <del>100%</del>

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**APPENDIX A**  
**CLASS SIZE REDUCTION SURVEY RESULTS**



# Council of the Great City Schools

## Class-Size Reduction Follow-up Survey Results

### Main Findings

School District	Federal Class Size Reduction Allocation for FY 2000	# of Teachers Hired with Federal Allocation	Total Salary and Benefits	# of Teachers Receiving Professional Development	Total Professional Development Costs for All Teachers	Total Recruiting Costs
Albuquerque	\$2,424,994	45	\$1,575,000	71	\$849,594	\$400
Atlanta	\$3,361,480	58	\$2,726,480	58	\$135,000	\$500,000
Clark County	\$4,243,374	77	\$3,989,581	20	\$130,200	\$0
Cleveland *	\$5,414,952	164	\$6,547,478	486	\$576,279	\$0
Columbus	\$3,304,251	83	\$3,304,251	0	\$0	\$0
Denver	\$3,178,988	54	\$2,754,656	54	\$424,332	\$0
Des Moines	\$926,824	27	\$863,343	0	\$0	\$0
Detroit	\$14,248,477	260	\$13,640,640	399	\$333,648	\$0
Fort Worth	\$2,678,179	64	\$2,560,000	64	\$40,000	\$79,979
Jefferson County	\$2,997,455	95	\$2,950,816	0	\$0	\$0
Miami	\$12,342,413	241	\$10,561,560	241	\$1,600,000	\$0
Milwaukee	\$6,731,092	102	\$6,206,672	2,400	\$524,420	\$0
Nashville	\$2,461,731	43	\$2,068,730	1,020	\$308,451	\$36,569
New York City	\$66,072,380	804	\$52,875,000	18,850	\$8,197,380	\$5,000,000
Norfolk	\$1,506,815	36	\$1,380,502	36	\$63,109	\$63,204
Oklahoma City	\$1,714,877	48	\$1,302,700	191	\$304,877	\$0
Orange County	\$2,770,810	74	\$2,643,280	0	\$0	\$16,283
Philadelphia	\$13,180,343	253	\$10,069,609	253	\$2,435,477	\$333,350
Pittsburgh	\$2,453,462	45	\$2,169,360	45	\$244,723	\$39,379
Portland	\$1,675,260	36	\$1,626,466	0	\$0	\$0
Rochester	\$2,566,527	45	\$2,323,711	16	\$139,423	\$0
Sacramento	\$2,000,000	31	\$1,800,000	31	\$200,000	\$0

School District	Federal Class Size Reduction Allocation for FY 2000	# of Teachers Hired with Federal Allocation	Total Salary and Benefits	# of Teachers Receiving Professional Development	Total Professional Development Costs for All Teachers	Total Recruiting Costs
Salt Lake City	\$715,796	14	\$703,656	72	\$12,140	\$0
San Antonio	\$3,038,127	70	\$2,377,664	1,921	\$596,497	\$63,966
San Diego	\$4,167,085	65	\$3,074,003	127	\$968,069	\$10,000
San Francisco	\$1,800,950	34	\$1,675,000	83	\$72,000	\$0
<b>TOTALS</b>	<b>\$162,190,168</b>	<b>2,765</b>	<b>\$139,468,678</b>	<b>26,309</b>	<b>\$17,171,025</b>	<b>\$5,642,730</b>

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\* Cleveland also had \$3,044,095 in carryover funds from their FY99 federal Class-Size Reduction allocation.



# Council of the Great City Schools

## Class-Size Reduction Follow-up Survey

### Hiring Teachers

School District	# of Teachers Hired					Salaries and Benefits				
	Grade One	Grade Two	Grade Three	Other	Total	Grade One	Grade Two	Grade Three	Other	Total
Albuquerque	22	16	7		45					\$1,575,000
Atlanta			58		58			\$2,726,480		\$2,726,480
Clark County			66	11	77					\$3,989,581
Cleveland	43	71	50		164	\$1,716,717	\$2,834,579	\$1,996,182		\$6,547,478
Columbus	48	17	10	8	83	\$1,965,658	\$669,238	\$376,498	\$292,858	\$3,304,251
Denver					54					\$2,754,656
Des Moines					27					\$863,343
Detroit	75	105	80		260	\$3,934,800	\$5,508,720	\$4,197,120		\$13,640,640
Fort Worth	27	20	17		64	\$1,080,000	\$800,000	\$680,000		\$2,560,000
Jefferson County					95					\$2,950,816
Miami	74	79	88		241	\$3,242,969	\$3,462,088	\$3,856,503		\$10,561,560
Milwaukee	87	5	3	7	102	\$5,309,744	\$255,660	\$182,038	\$459,230	\$6,206,672
Nashville	10	14	10	9	43	\$481,000	\$673,540	\$481,000	\$432,990	\$2,068,530
New York City					804					\$52,875,000
Norfolk	9	9	9	9	36	\$345,125	\$345,125	\$345,125	\$345,127	\$1,380,502
Oklahoma City	20	15	13		48	\$543,500	\$403,800	\$355,400		\$1,302,700
Orange County	23	20	22	9	74	\$821,560	\$714,400	\$785,840	\$321,480	\$2,643,280
Philadelphia	151	5	2	95	253	\$6,053,024	\$196,891	\$78,756	\$3,740,938	\$10,069,609
Pittsburgh	13	17	15		45	\$629,550	\$821,006	\$718,804		\$2,169,360
Portland	15	13	8		36	\$701,421	\$596,947	\$328,098		\$1,626,466
Rochester	9	19	16	1	45	\$464,742	\$981,123	\$826,208	\$51,638	\$2,323,711
Sacramento				31	31				\$1,800,000	\$1,800,000

School District	# of Teachers Billed				Salaries and Benefits					
	Grade One	Grade Two	Grade Three	Other	Grade One	Grade Two	Grade Three	Other	TOTAL	
Salt Lake City								\$703,656	\$703,656	
San Antonio	58	12							\$2,377,664	
San Deigo	16	16	16	17					\$3,074,003	
San Francisco				34					\$1,675,000	
<b>TOTAL</b>	<b>678</b>	<b>437</b>	<b>425</b>	<b>231</b>	<b>2,765</b>	<b>\$27,289,810</b>	<b>\$18,263,117</b>	<b>\$15,207,572</b>	<b>\$6,147,917</b>	<b>\$139,468,179</b>

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# Council of the Great City Schools

## Class-Size Reduction Follow-up Survey

### Professional Development

School District	New Teachers					Current Teachers					All Teachers			
	Grade One	Grade Two	Grade Three	Other	Total Number	Total Cost	Grade One	Grade Two	Grade Three	Other	Total Number	Total Cost	Total Number	Total Cost
Albuquerque					26		22	16	4	3	45		71	\$849,594
Atlanta			20		20				38		38		58	\$135,000
Clark County	8	7		5	20								20	\$130,200
Cleveland	43	71	50		164		110	136	76		322		486	\$576,279
Denver					25						29		54	\$424,332
Detroit	32	35	32		99	\$127,043	86	113	101		300	\$206,605	399	\$333,648
Fort Worth		2	2		4	\$5,000	27	18	15		60	\$35,000	64	\$40,000
Miami	7	5	12		24		67	74	76		217		241	\$1,600,000
Milwaukee	300	300	300	300	1,200	\$262,210	300	300	300	300	1,200	\$262,210	2,400	\$524,420
Nashville					220						800		1,020	\$308,451
New York City													18,850	\$8,197,380
Norfolk				9	9	\$15,700	9	9	9		27	\$47,409	36	\$63,109
Oklahoma City	20	15	13	128	176		7	5	3		15		191	\$304,877
Philadelphia	46	2		29	77	\$741,232	105	3	2	66	176	\$1,694,245	253	\$2,435,477
Pittsburgh	2	1			3		11	16	15		42		45	\$244,723
Rochester	2	8	6		16	\$139,423							16	\$139,423
Sacramento													31	\$200,000
Salt Lake City			1		1		20	20	15	16	71		72	\$12,140
San Antonio					192						1,729		1,921	\$596,497
San Diego	16	16	16	17	65		16	16	15	15	62		127	\$968,069
San Francisco					34						49		83	\$72,000

School District	New Teachers				Current Teachers				All Teachers				
	Grade		Total Number	Total	Grade		Total Number	Total Cost	Total Number	Total Cost			
	One	Two			One	Two							
<b>TOTALS</b>	476	462	488	2,329	\$1,290,608	748	710	627	397	5,099	\$2,245,469	26,309	\$47,110,255

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# Council of the Great City Schools

## Class-Size Reduction Follow-up Survey

### Recruitment

School District	TOTAL	Advertising	Travel	Bonuses	Packages	Other	Description of Other / Notes
Albuquerque	\$400						
Atlanta	\$500,000			\$500,000			Hiring Bonuses
Fort Worth	\$79,979					\$36,000	Project Manager
Nashville	\$36,569						Used for advertising/travel for interviews
New York City	\$5,000,000						Nationwide advertising campaign
Norfolk	\$63,204						\$18,000 for recruiting; \$45,204 for indirect costs
Orange County	\$16,283	\$3,000	\$12,500			\$783	Postage and supplies
Philadelphia	\$333,350					\$333,350	
Pittsburgh	\$39,379					\$39,379	
San Antonio	\$63,966	\$8,000	\$32,000		\$23,966		
San Diego	\$10,000	\$1,000	\$9,000				
<b>TOTALS</b>	<b>\$5,642,730</b>	<b>\$12,000</b>	<b>\$53,500</b>	<b>\$0</b>	<b>\$23,966</b>	<b>\$409,519</b>	

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**APPENDIX B**  
**CLASS SIZE REDUCTION PROGRAM SURVEY**



# Council of the Great City Schools

The following questions regard the funds your district has received from the federal Class-Size Reduction program:

1. Actual amount of your school district's Class-Size Reduction (CSR) grant award for the 2000-2001 school year (the second year of the program)?

2. Amount of 2000-2001 CSR funds district has spent on recruiting costs: **TOTAL:**

Advertising:

Travel to interview prospective teachers:

Hiring bonuses:

Hiring packages (paying for college tuition, moving expenses, etc.):

Other:

3. Please complete the table below regarding the teachers, and their salaries and benefits, your district pays for with federal Class-Size Reduction funds.

Teachers	Number of Teachers Hired w/Federal Class-Size Funds	Salary and Benefits
Grade One		
Grade Two		
Grade Three		
Other (Grades _____)		
<b>TOTAL</b>		

4. Please provide the number of current and new teachers who have received, or are planning to receive, professional development with CSR funds for the 2000-2001 school year, as well as the cost.

Grade Level	# of New Teachers	# of Current Teachers	Total
Grade One			
Grade Two			
Grade Three			
Other (Grades _____)			
<b>TOTAL COST</b>			

5. Please provide, on an attached sheet, any additional anecdotal information which demonstrates the success and importance of the Class-Size Reduction program in your district.

Feel free to contact Manish Naik or Gabriela Uro at (202) 393-2427 with any questions.

Please fax completed surveys to Manish Naik at (202) 393-2400.

**Surveys must be returned by September 29, 2000.**

**APPENDIX C**  
**CLASS SIZE REDUCTION PROGRAM**

## CLASS SIZE REDUCTION PROGRAM PL 106-113

SEC. 310 (a) From the amount appropriated for title VI of the Elementary and Secondary Education Act of 1965 in accordance with this section, the Secretary of Education - (1) shall make available a total of \$6,000,000 to the Secretary of the Interior (on behalf of the Bureau of Indian Affairs) and the outlying areas for activities under this section; and (2) shall allocate the remainder by providing each State the same percentage of that remainder as it received of the funds allocated to States under Section 307(a)(2) of the Department of Education Appropriations Act, 1999.

(b)(1) Each State that receives funds under this section shall distribute 100 percent of such funds to local educational agencies, of which-

(A) 80 percent of such amount shall be allocated to such local educational agencies in proportion to the number of children, aged 5 to 17, who reside in the school district served by such local educational agency from families with incomes below the poverty line (as defined by the Office of Management and Budget and revised annually in accordance with section 673(2) of the Community Services Block Grant Act (42 U.S.C. 9902(2))) applicable to a family of the size involved for the most recent fiscal year for which satisfactory data are available compared to the number of such individuals who reside in the school districts served by all the local educational agencies in the State for that fiscal year; and

(B) 20 percent of such amount shall be allocated to such local educational agencies in accordance with the relative enrollments of children, aged 5 to 17, in public and private nonprofit elementary and secondary schools within the boundaries of such agencies.

(2) Notwithstanding paragraph (1), if the award to a local educational agency under this section is less than the starting salary for a new fully qualified teacher in that agency who is certified within the State (which may include certification through State or local alternative routes), has a baccalaureate degree, and demonstrates the general knowledge, teaching skills, and subject matter knowledge required to teach in his or her content areas, that agency may use funds under this section to (A) help pay the salary of a full- or part-time teacher hired to reduce class size, which may be in combination with other Federal, State, or local funds; or (B) pay for activities described in subsection (c)(2)(A)(iii) which may be related to teaching in smaller classes.

(c)(1) The basic purpose and intent of this section is to reduce class size with fully qualified teachers. Each local educational agency that receives funds under this section shall use such funds to carry out effective approaches to reducing class size with fully qualified teachers who are certified within the State, including teachers certified through State or local alternative routes, and who demonstrate competency in the areas in which they teach, to improve educational achievement for both regular and special needs

children, with particular consideration given to reducing class size in the early elementary grades for which some research has shown class size reduction is most effective.

(2)(A) Each such local educational agency may use funds under this section for

- ( i ) recruiting (including through the use of signing bonuses, and other financial incentives), hiring, and training fully qualified regular and special education teachers (which may include hiring special education teachers to team-teach with regular teachers in classrooms that contain both children with disabilities and non-disabled children) and teachers of special-needs children, who are certified within the State, including teachers certified through State or local alternative routes, have a baccalaureate degree and demonstrate the general knowledge, teaching skills, and subject matter knowledge required to teach in their content areas;

- ( ii ) testing new teachers for academic content knowledge and to meet State certification requirements that are consistent with title II of the Higher Education Act of 1965; and

- ( iii ) providing professional development (which may include such activities as promoting retention and mentoring) to teachers, including special education teachers and teachers of special-needs children, in order to meet the goal of ensuring that all instructional staff have the subject matter knowledge, teaching knowledge, and teaching skills necessary to teach effectively in the content area or areas in which they provide instruction, consistent with title II of the Higher Education Act of 1965.

(B)( i ) Except as provided under clause (ii) a local educational agency may use not more than a total of 25 percent of the award received under this section for activities described in clauses (ii) and (iii) of subparagraph (A).

- ( ii ) A local educational agency in an Ed-Flex Partnership State under Public Law 106-25, the Education Flexibility Partnership Act, and in which 10 percent or more of teachers in elementary schools as defined by section 14101(14) of the Elementary and Secondary Education Act of 1965 have not met applicable State and local certification requirements (including certification through State or local alternative routes), or if such requirements have been waived, may apply to the State educational agency for a waiver that would permit it to use more than 25 percent of the funds it receives under this section for activities described in subparagraph (A)(iii) for the purpose of helping teachers who have not met the certification requirements become certified.

- ( iii ) If the State educational agency approves the local educational agency's application for a waiver under clause (ii), the local educational agency may use the funds subject to the waiver for activities described in subparagraph (A)(iii) that are needed to ensure that at least 90 percent of the teachers in elementary schools are certified within the State.

(C) A local educational agency that has already reduced class size in the early grades to 18 or less children (or has already reduced class size to a State or local class size reduction goal that was in effect on the day before the enactment of the Department of Education Appropriations Act, 2000, if that State or local educational agency goal is 20 or fewer children) may use funds received in this section—

- ( i ) to make further class size reductions in grades kindergarten through 3;
- ( ii ) to reduce class size in other grades; or
- ( iii ) to carry out activities to improve teacher quality, including professional development.

(D) If a local educational agency has already reduced class size in the early grades to 18 or fewer children and intends to use funds provided under this section to carry out professional development activities, including activities to improve teacher quality, then the State shall make the award under subsection (b) to the local educational agency.

(3) Each such agency shall use funds under this section only to supplement, and not to supplant, State and local funds that, in the absence of such funds, would otherwise be spent for activities under this section.

(4) No funds made available under this section may be used to increase the salaries or provide benefits, other than participation in professional development and enrichment programs, to teachers who are not hired under this section. Funds under this section may be used to pay the salary of teachers hired under section 307 of the Department of Education Appropriations Act, 1999.

(d)(1) Each State receiving funds under this section shall report on activities in the State under this section, consistent with section 6202(a)(2) of the Elementary and Secondary Education Act of 1965.

(2) Each State and local educational agency receiving funds under this section shall publicly report to parents on its progress in reducing class size, increasing the percentage of classes in core academic areas taught by fully qualified teachers who are certified within the State and demonstrate competency in the content areas in which they teach, and on the impact that hiring additional highly qualified teachers and reducing class size, has had, if any, on increasing student academic achievement.

(3) Each school receiving funds under this section shall provide to parents upon request, the professional qualifications of their child's teacher.

(e) If a local educational agency uses funds made available under this section for professional development activities, the agency shall ensure for the equitable participation of private nonprofit elementary and secondary schools in such activities.

Section 6402 of the Elementary and Secondary Education Act of 1965 shall not apply to other activities under this section.

(f) ADMINISTRATIVE EXPENSES--A local educational agency that receives funds under this section may use not more than 3 percent of such funds for local administrative costs.

(g) REQUEST FOR FUNDS--Each local educational agency that desires to receive funds under this section shall include in the application required under section 6303 of the Elementary and Secondary Education Act of 1965 a description of the agency's program to reduce class size by hiring additional highly qualified teachers.

(h) No funds under this section may be used to pay the salary of any teacher hired with funds under section 307 of the Department of Education Appropriations Act, 1999, unless, by the start of the 2000-2001 school year, the teacher is certified within the State (which may include certification through State or local alternative routes) and demonstrates competency in the subject areas in which he or she teaches.