

CONFIDENTIAL  
STRATEGIC SERVICES UNIT, WAR DEPARTMENT  
formerly  
OFFICE OF STRATEGIC SERVICES

SWITZ

WASHINGTON, D. C.

INTELLIGENCE DISSEMINATION

NUMBER

A 61370

COUNTRY	Switzerland	ORIGINAL RPT.	LS 464
SUBJECT	Plotters Against the Life of Hitler and Other Leading Nazi Personalities	DATE OF INFO.	See text
ORIGIN THEATRE	Austria, Salzburg	DATE OF RPT.	27 August 1945
SOURCE	Z	DISTRIBUTED	3 October 1945
SUB SOURCE			
EVALUATION	Documentary	CONFIRMATION SUPPLEMENT	
		NO. OF PAGES	
		ATTACHMENTS	

his document contains information affecting the nations defense of the United States within the meaning of the Espionage Act 50 U.S.C. 31 and as amended its transmission or the revelation of its contents in any manner to an unauthorized person is prohibited by law.

1. A dossier containing a mimeographed letter from the Landrat of Kreis Bischofshofen, dated 14 October 1943 and addressed to the leaders of all Gendarmerie stations, was found in the Gendarmerie office, Schwarzach, Land Salzburg. In this letter marked "secret" mention is made of information obtained by the Gestapo from Switzerland of plots planned against the life of Hitler. Information on leading Nazi personalities is also given.
2. The following persons were cited as particularly active conspirators:

Manfred-Aladar von Grimm-Sypes-Etelvar - born 30 December 1911 in Vienna; at present residing in Davos-Village.

Radmilla Djuki - born 2 May 1910 in Kragujevac; residing in the home of a certain Hermann Fopp in Davos-Village.

Comte de Monfort - Prinz Napoleon in Prangins.

Marcelle Audemars - Avenue Ruchonet, Leusanne.

General Freiherr von Mannerheim - Hotel Palace, Lugano.

Carl Felix von Schumacher - born 1884; editor of the Weltwoche; Nüscherstrasse 24, Zurich.

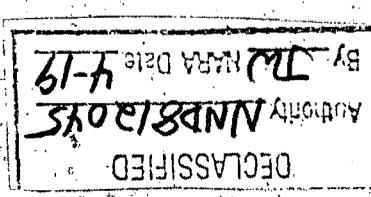
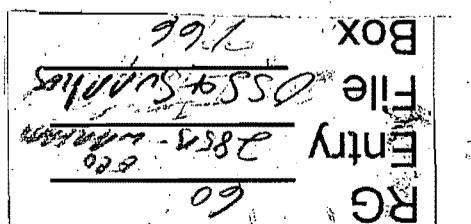
Eduard Henry Pillichody (not Billichody) - born 24 March 1893 in Yverdon; technical manager of "Suisse Air"; residing at Hadlauberstrasse 110, Zurich VI.

Axel Emil Rosendahl-Huber, Doctor of Jurisprudence - born 26 January 1902; a Dutch national residing in the Dutch villa at Davos 11.

3. In the event that any of the above-mentioned persons appeared in the Reich, the Gestapo was to be notified immediately.

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CLASSIFICATION

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By JW NARA Date 4-19

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Entry

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Inst. 134004

File Italian Connections

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RR-53A  
CONFIDENTIAL

Copy No. 25

OFFICE OF ECONOMIC WARFARE  
Blockade and Supply Branch  
Reoccupation Division

**CONFIDENTIAL**

ITALIAN INTERNATIONAL BANKING CONNECTIONS

A Supplement to RR-53:

CURRENCY AND BANKING PROBLEMS OF

OCCUPATION IN ITALY

Preliminary

August 1943

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File Int'l Banking  
Box 79

## I. FOREIGN BANKING INTERESTS IN ITALY

After the political unification of Italy in 1870, foreign capital, particularly British, French and Swiss, streamed into the country and played a significant role in its industrialization. The advent of Fascism in 1922 did not interrupt this trend. On the contrary, it was in the middle and late twenties that heavy American capital investments in Italian industries took place. In Italy as elsewhere, however, the economic depression of the early 30's led to an exodus of foreign, and a flight of domestic capital. Rigid exchange control was imposed in 1935. Italian military campaigns in Ethiopia, Spain, and Albania and Italian policies of economic nationalism and self-sufficiency further tended to eliminate foreign interests from Italy. No official or unofficial data concerning foreign investments in Italy are available. The long list of foreign properties put under sequestration or in liquidation under the Italian enemy property decrees <sup>1/</sup> indicates, however, that there were considerable foreign holdings when Italy entered the war.

United States

Large Italian immigrant communities which sent a heavy and constant stream of remittances to the home land, a considerable volume of trade carried on between the two countries, and American exports of capital during the 1920's combined to enhance American interests in Italy. In 1940, United States investments in Italian industry, estimated by official United States sources at \$75.5 million, ranked fourth in importance among United States investments in all European countries. Almost half of the investments in Italy were in petroleum companies while the remainder was distributed largely among electrical and other machine manufacturing concerns and distributing firms. A comparison of the official figures given above with a list of American properties taken over by the Italian Government since July 1941, indicates, however, that the figure of \$75.5 million may underestimate American holdings.

In addition to direct investments, many large Italian loans were floated in the United States during the 1920's. Between 1915 and 1929, Italian issues publicly

<sup>1/</sup> A separate report on this subject is in preparation and will shortly appear as RR-93, Foreign Investments Seized by Italy.

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offered in the United States amounted to \$450.8 million of which government and government-guaranteed issues accounted for \$267.5 and corporate issues for \$183.3 million. The principal American underwriters of these issues were J. P. Morgan and Co., Dillon, Read and Co., Blair and Co., Field, Glore and Co. and the National City Bank, all of New York. A very large part of these issues were repaid or repatriated during the 1930's, so that, by the end of 1942, total United States holdings of Italian securities underwritten in the United States between 1919 and 1941, were estimated by official United States sources at only approximately \$50 million. Interest and sinking fund payment on these loans were not defaulted until 1940. Fiscal agents for most of the issues outstanding at the present time are J. P. Morgan, Dillon, Read and Co., and the Chase National Bank. Italian funds in their hands were frozen as of June 14, 1941 under Executive Order of the President. (A list of all Italian securities underwritten in the United States between 1919 and 1941, together with the estimated amounts outstanding in 1942, the principal underwriters and present fiscal agents will be found in the Appendix).

In addition to the connections between American financial interests and leading Italian industries and industrialists established on the basis of loan flotations, a few American banking interests were directly represented in Italy. Until shortly before the outbreak of the European war, the National City Bank of New York maintained two branches in Italy, one in Genoa and the other in Milan. This bank was considered the most important foreign financial institution in Italy because of the large scope of its connections with Italian industrial, commercial and foreign trade circles. The unsettled European conditions led to the closing of the bank's Genoa office in 1938 and of the Milan office in 1939. These are reported to have been taken over by the Banca d'America e d'Italia.

At the outbreak of the war, the only American participation in an Italian bank was the interest of the Giannini group, through the Transamerica Corporation, in the Banca d'America e d'Italia. The latter was established in 1918 as Banca dell'Italia Meridionale. It assumed its present name in 1923 when the Transamerica acquired

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control. Around 1930 the Banca d'America absorbed the British-owned Banca Italo-Britannica; as a consequence British interests still own a very small parcel of shares of the Banca d'America. At the end of 1942, Transamerica held 1,793,000 of the 1,966,000 shares outstanding (par value 100 lire per share). At the same time Transamerica reported its gross investments in the bank at \$8,982,000 and its net investments at \$3,756,000.

Under Italian enemy property legislation the Banca d'America was seized by decree of January 14, 1942, and thereafter continued in operation under supervision of the Italian government. Before the war its central office was located in Milan. Chief offices were maintained in Bari, Bologna, Florence, Genoa, Lucca, Naples, Rome, Turin, Trieste and Venice, while branches and agencies were scattered in 16 other cities.

The bank was administered by a board of directors under the honorary chairmanship of A. P. Giannini, and presided over by Achille Olcese, an Italian cotton industrialist. Five American and five Italian nationalists were members of the Board. The management of the bank was entirely in Italian hands.

In addition to ordinary commercial banking, the bank handled sizable remittances from the United States through its affiliation with the Giannini banks. Its correspondents in the United States were the Bank of America National Trust and Savings Association in San Francisco (also owned by Transamerica) and the Banca Commerciale Trust Company in New York.

The Banca d'America had two Italian subsidiaries: the Banca Immobiliare Italiana and the Societa Edilizia Viareggina.

The American Express Company also had an Italian subsidiary, the American Expressa, S.A.I., with 12 branch offices in Rome, Florence, Genoa, Milan, Naples, and Venice. While it functioned primarily as a travel bureau, it also conducted some commercial banking business.

321715

Digs

This passenger had been a diamond dealer in ANTWERP till May, 1940, since then he has been living at NICE.

He was the usual rather shifty Polish Jewish refugee, not very well-educated, and trying to curry favour. The information given below may therefore be of little value, or it may be due to personal spite. At all events, it may prove useful if the two persons in question travel through a British control.

He had been asked about dealing in industrial diamonds in FRANCE and if there was any evidence of this on the RIVIERA. He had none to offer, but stated that he had met a Belgian in LISBON who had had something to do with it; he will probably try to get away to somewhere in America. His name is GUTWIRTH, and was thought to have been living in the Hotel METROPOL in LISBON for the last 6-7 months. About a year ago he had been sent to the camp at LES MILLES. He had sent 5,000 cts. of board to SWITZERLAND by hand, and the bearer had not returned; he had denounced him to the police, and they had sent him to LES MILLES for sending diamonds out of the country. PITZELE implied that this man was probably rather shady in his dealings, and might be engaged in dealing in LISBON.

The other man was a Pole called STERN; this man had been released from MIRANDA early in February, 1943 and was now in LISBON. PITZELE had met him in MADRID, and the first thing that STERN did, was to ask him if he had any board or industrial diamonds to sell. STERN is now in LISBON, as he only had a Portuguese visa, but might quite easily try to get away to America. He is married, his address in LISBON was not known to PITZELE. He did not trust STERN at all, and had the feeling that he was buying diamonds for the Germans; he might easily stay in Portugal and buy there, trying to get them from refugees who were passing through. STERN was described as a big, dark man, his wife being almost as big as he.

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 Box 394

*DSSETS*

## C O P Y

DAAI NO 4  
 DHBC V DAAI NO 12

File Nr. 900.10

TO: MR. THEODORE H. BALL, FINANCE DIVISION  
 OMGUS APO 742

FROM: OMGUS (REAR) FOREIGN EXCHANGE DEPOSITORY, FRANKFURT MAIN  
 1500 6 NOVEMBER 1946

GRNC  
 UNCLASSIFIED - PRIORITY

6 NOVEMBER 1946

COMPLIANCE YOUR REQUEST FOR GENERAL PICTURE OPERATION OF  
 FED INFORMATION FOLLOWS:

1. GENERAL :

A. FED HAS FOUR PRIMARY FUNCTIONS:

- A. CUSTODY, INVENTORY AND ACCOUNTING FOR ASSETS UNCOVERED IN GERMANY BY ALLIED FORCES.
- B. CUSTODY OF ASSETS DELIVERED IN US ZONE UNDER MIL.GOV'T. LAW 53
- C. CUSTODY, ISSUE, RETIREMENT AND ACCOUNTING FOR ALLIED MILITARY MARKS OF US FORCES.
- D. ACCOUNTING FOR MIL.GOV'T.COURT FINES AND FORFEITUURES.

B. PERSONNEL CONSISTS OF :

	AUTHORIZED	PRESENT		
US	20	US OFFICERS	5	7
		US EM	4	3
		US WDE CIV	9	?
GERMAN	65	GERMAN	59	59
ALLIED	6	ALLIED	2	
	91		79	

CHIEF OF BRANCH IS WILLIAM G.BREY, COLONEL, GSC, RA.

C. FED IS AN AUTHORIZED FIELD UNIT AND BRANCH OF THE FINANCE DIVISION, OMGUS, AND IS LOCATED IN THE FORMER REICHSBANK BUILDING, FRANKFURT, FOR SECURITY AND VAULT FACILITY REASONS. PREMISES ARE GUARDED 24 HOURS DAILY BY DETAIL FROM 18TH INF.REGT.

2. BRANCH IS DIVIDED INTO SIX SECTIONS AS FOLLOWS:

A. EXECUTIVE:

RESPONSIBLE FOR THE OVERALL OPERATION, DIRECTION, PLANNING AND POLICY OF THE BRANCH AND THE TIMELY AND PROPER ACCOMPLISHMENT OF ITS FUNCTIONS.

original PWX in file No. 900.21

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## B. ADMINISTRATIVE :

OPERATES MESSAGE CENTER AND MAINTAINS CENTRAL FILE AND IS CHARGED WITH ALL MATTERS PERTAINING TO TRANSPORTATION, SUPPLY, AND PERSONNEL.

## C. CURRENCY :

ACTS AS CENTRAL BANK FOR US FORCES DEALING IN ALLIED MILITARY MARKS. MADE ADVANCES OF AM MARKS TO DISBURSING OFFICERS AND RECEIVES RETURN OF SUCH FUNDS. ACTS AS CUSTODIAN FOR RESERVE SUPPLY OF ABOUT SEVEN BILLION AM MARKS. ACCOUNTS FOR MARK ADVANCES PREVIOUSLY MADE TO ALLIED GOVERNMENTS. OPERATES COUNTING SECTION VERIFYING AMOUNT OF MARK RETURNS AND AUDITING SECTION VERIFYING PAYROLLS AND VOUCHERS OF A MIL. GOVT. NATURE PRESENTED FOR REDEMPTION BY DISBURSING OFFICERS.

## D. DEPOSITORY :

ACTS AS CUSTODIAN FOR ASSETS UNCOVERED IN GERMANY BY ALLIED FORCES. SUCH ASSETS CONSIST OF GOLD AND SILVER BULLION, COINS, SECURITIES, JEWELRY, SS LOOT AND CURRENCIES ESTIMATED TO BE WORTH ABOUT 600 MILLION DOLLARS. ALSO TAKES CUSTODY OF FOREIGN EXCHANGE ASSETS DELIVERED BY REICHSBANKS UNDER MIL. GOVT. LAW 53 SECTION IS PRESENTLY ENGAGED IN THE INVENTORY AND APPRAISAL OF ALL ASSETS, AND ESTABLISHING ACCOUNTABILITY BY ACTUAL AINSPEC-TION, COUNT, WEIGHT AND DESCRIPTION. THIS WORK IS BEING PERFOR-MED BY SPECIALLY AUTHORIZED ALLIED TECHNICAL EXPERTS UNDER THE SUPERVISION OF FOUR US ARMY OFFICERS RESPONSIBLE FOR SECURITY MEASURES, AND THE SECTION HEAD. DEPOSITORY SECTION ALSO RELEASES ASSETS FOR RESTITUTION OR OTHER SPECIAL PURPOSES (SUCH AS THE RESTITUTION OF 32 MILLION DOLLARS OF GOLD TO THE HUNGARIAN NATIONAL BANK AND THE LOAN OF 21 TONS OF SILVER BULLION FOR DEVELOPMENT OF THE GERMAN ECONOMY).

## E. CLAIMS :

CONDUCTS INVESTIGATIONS INTO THE SOURCE OF ASSETS HELD, COMPI-LING CASE HISTORIES ON ALL SHIPMENTS. DETERMINES OWNERSHIP OF ASSETS HELD IN DEPOSITORY SECTION, AND IDENTIFIES SUCH ASSETS WITH CLAIMS FOR RESTITUTION CONCERNING THEM. MAINTAINS LIAISON WITH RESTITUTION AGENCIES AND KEEPS INFORMED OF CURRENT POLI-CIES RESPECTING SUCH TOPICS AS THE "GOLD POT", NON-MONETARY GOLD, SS LOOT, REPARATIONS AND RESTITUTION. CONDUCTS SPECIAL INVESTIGATIONS OF GERMAN RECORDS OF GOLD MOVEMENTS AND ACQUI-SITIONS.

## F. ACCOUNTS AND REPORTS :

MAINTAINS COMPLETE ACCOUNTING RECORDS REFLECTING OPERATIONS OF CURRENCY SECTION AND PREPARES REPORTS THEREFROM, (E.G. FINANCE DIVISION MONTHLY REPORT). ACTS AS THE ACCOUNTING AGENCY FOR MIL. GOVT. COURTS, RECEIVING, RECORDING, AUDITING AND REFUNDING FINES AND FORFEITUDES. HAS CUSTODY OF ALL RECORDS OF FORMER MIL. GOVT. SUB-ACCOUNTANTS WHO OPERATED IN GERMANY AND HANDLES QUESTIONS REGARDING SUCH WORK AND OPERATIONS. MAINTAINS CUSTO-DIAL RECORDS REFLECTING ASSETS HELD, INVENTORIES AND APPRAISED BY DEPOSITORY SECTION AND PREPARES REPORTS THEREFROM.

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3. PRESENT OPERATIONS AND FUTURE PLANS :  
 DEPOSITORY SECTION IS CONTINUING WITH THE TASK OF INVENTORYING ALL ASSETS HELD. THIS JOB WAS STARTED IN JUNE 1946 AND IS EXPECTED TO CONTINUE INTO THE SUMMER OF 1947. TWO FRENCH JEWEL EXPERTS HAVE BEEN ENGAGED ON THIS WORK SINCE AUGUST 1946 AND FOUR MORE ALLIED EXPERTS HAVE BEEN APPROVED AND ARE BEING PROCESSED. INVENTORY WORK IS ALSO BY 22 GERMAN COUNTERS AND ASSISTANTS. SOME GERMAN EXPERTS ARE ALSO BEING SOUGHT AS ASSISTANTS. AFTER THE ASSETS ARE INVENTORIED AND RECORDED, SOME ADDITIONAL APPRAISAL WORK MAY BE NECESSARY. THEN THE MAJOR TASK WILL BE THE DISPOSAL OF ALL ASSETS AND THEIR RELEASE AND DELIVERY TO OTHER CUSTODIANS OR OWNERS. THE COLLECTIONS OF FOREIGN EX-CHANGE ASSETS TURNED INTO THE REICHSBANKS UNDER LAW 53 HAS NEVER BEEN PURSUED, IF THIS IS DONE, the FED WILL HAVE AN ADDITIONAL CUSTODIAL AND ACCOUNTING TASK.

THE ACCOUNTS SECTION IS ENGAGED IN RECORDING THE RESULTS OF THIS INVENTORY WORK. DUE TO PART LACK OF PERSONNEL, THERE IS A PRESENT BACK-LOG WHICH WILL BE COMPLETELY CURRENT IN ABOUT THREE MONTHS.

CURRENCY SECTION CONTINUES MAJOR TASK OF RECEIVING AND VERIFYING RETURN OF AM MARKS FROM DISBURSING OFFICERS AND ALSO AUDITING PAYROLLS SUBMITTED BY DISBURSING OFFICERS FOR REDEMP-TION. CURRENCY SECTION MAY BE INVOLVED IN CHANGE OF CURRENCY UNDER PROPOSED GERMAN FINANCIAL PLAN and RETIREMENT OF ALL OUTSTANDING AM MARKS IN US TOWNS. CONSIDERABLE WORK REMAINS IN CLEARING UP LEDGER ACCOUNTS ON CURRENCY SECTION BOOKS, ESPECIALLY SUB-ACCOUNTANT OPERATIONS. SECTION WILL ALSO BE INVOLVED IN PAYING OFF CERTIFICATES OF CREDIT HELD BY FORMER PW'S.

CLAIMS SECTION CONTINUES INVESTIGATION WORK ON OWNERSHIP AND SOURCE OF ASSETS AND CLAIMS AGAINST THEM.

ESTIMATED THAT PRESENT PERSONNEL OF FED WILL BE EFFECTIVELY ENGAGED ON PRESENT AND FUTURE TASKS FOR AT LEAST ONE MORE YEAR. AT WHICH TIME OPERATIONS SHOULD START TO CURTAIL.

WILLIAM G. BREY  
 COLONEL GSC  
 CHIEF, FOREIGN EXCHANGE DEPOSITORY

BT

RD..... NO 4....6/16 Ø9 B WG KK

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PARAGRAPH TWO (2) -

SECTION D. DEPOSITORY :  
9TH LINE IS TO READ AS FOLLOWS :  
AND ESTABLISHING ACCOUNTABILITY BY ACTUAL INSPECTION

PARAGRAPH 2 (TWO) - SECTION E - CLAIMS.  
IS TO READ AS FOLLOWS LINE NUMBER 2 :  
HELD, COMPILING CASE HISTORIES ON ALL SHIPMENTS.

PARAGRAPH 2 (TWO) - SECTION F. ACCOUNTS AND REPORTS :  
LINE NUMBER 11 IS TO READ AS FOLLOWS :  
SECTION AND PREPARES REPORTS THEREFROM.

PARAGRAPH 3 (THREE) -

LINE NUMBER 7 IS TO READ AS FOLLOWS :  
PROCESSED. INVENTORY WORK IS ALSO DONE BY 22 GERMAN.

PARAGRAPH 3 -

LINE NUMBER ~~28~~ 28 (TWENTY)  
IS TO READ AS FOLLOWS :  
OF PERSONNEL, THERE IS A PRESENT BACK - LOG WHICH.

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 Box 394

S E C R E T

SUPREME HEADQUARTERS  
ALLIED EXPEDITIONARY FORCE

AG 640-1 GE-AGM

APO 757 (FWD)  
7 September 1944

SUBJECT: Establishment of the Currency Section for Germany.

TO : Headquarters, 21 Army Group, Main  
Commanding General, Twelfth Army Group

1. The Currency Section for Germany is hereby activated in accordance with Administrative Memorandum Number 11, this headquarters, ca, dated 4 May 1944, and will be composed of a Head Office, attached to Supreme Headquarters, AEF, and two branches.

2. One of the two branches will be initially under command of Twelfth Army Group and one under command of 21 Army Group.

3. This headquarters will designate the personnel for the Head Office and branches of the Currency Section. Until the TO/WK of the Currency Section has been approved, the U. S. personnel will be carried on the TO of the European Civil Affairs Division, AGO 658, U. S. Army, and the British personnel will be carried on the WK of any Civil Affairs Group.

4. The Currency Section will have the following functions and powers:

## a. Functions:

- (1) Receive, hold and supply adequate currency for pay and procurement of Allied Armed Forces and for Military Government operations.
- (2) Provide a central depository and clearing house for funds issued to and deposited received from the Allied Expeditionary Force, including Military Government.
- (3) Provide a central financial medium for such measures as may be necessary in the interests of the Allied Expeditionary Force.
- (4) Receive, hold and supply such stocks of postage stamps as are necessary in the interests of the Allied Expeditionary Force.
- (5) Maintain such control and subsidiary accounts as may be required for the purposes of the U. S. and British Governments.
- (6) Maintain the necessary accounting and operational procedures in accordance with the instructions from this headquarters.

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**b. Powers:**

- (1) Establish branches and sub-agencies.
- (2) Designate one or more banks as its agents and make and withdraw deposits with banks.
- (3) Make advances directly or indirectly to governments and their political sub-divisions, para-statal institutions, banks, industrial enterprises and others in the absence of other loan sources, when such advances are justified by military necessity, and to hold all evidence of debt or obligations arising from such transactions and to take any steps necessary to recover funds so advanced.
- (4) To act as required as depository for and/or to exercise control over assets seized or impounded by Allied Military authorities.

5. This Currency Section will immediately take control, for Supreme Headquarters, AEF, of all currency, coin and postage stamps, for operations in Germany, held by the British War Office as agent for the Supreme Commander, Allied Expeditionary Force. Appropriate signatures of officers designated to act for the Currency Section will be initially provided to the War Office and/or others by notice above the signature of the Chief, Financial Branch, G-5 Division, Supreme Headquarters, AEF, and thereafter by the DCCAO, your command, or the CFA, Military Government, your command.

6. All currency requirements of the Allied Forces in operations of your command in Germany, except any EMA or yellow seal dollars, both for military pay and procurement and for Military Government purposes, will be drawn from the Currency Section. When currency is to be delivered in the United Kingdom to the Pay Branches, the Currency Section will authorize deliveries of funds by the War Office to designated officers of the respective pay branches of the U. S., British and Allied Services. The respective pay branches will arrange directly with the War Office all details relating to the physical delivery and handling of currency in bulk.

7. Pay branches of the respective services will arrange for the Currency Section to be provided with continuing estimates of their future currency requirements for military purposes, at such times and in such forms as are required by the Currency Section for organization of a proper flow of deliveries. Pay branches of the respective services will arrange for the provision in their shipping schedules and estimates for the movement forward from the United Kingdom of sufficient funds in bulk to take care of all currency requirements for military purposes overseas for the first thirty (30) days of any operations.

8. Funds for Military Government purposes will be provided to the MG organizations in overseas operations of your command directly by the Currency Section, which under agreement with the War Office, U. S. Fiscal Officers and the British DPIC, may use their facilities for the physical handling and movement of currencies to the extent it considers necessary.

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The Currency Section, with bulk funds for both MG and military purposes, will move forward as soon as movement considerations justify.

9. Upon notice by the Currency Section that it is in a position to operate in the overseas area, the U. S. and British Pay Services will make arrangements for their currency requirements in the area to be provided by the Currency Section. The Pay Branches of all other services may make arrangements for their currency requirements in the area to be provided either by the Currency Section, or the U. S. or British Pay Services, whichever is most expedient. After movement of the Currency Section, currency requirements in the United Kingdom for forces in the overseas operations of your command in Germany, will be drawn by the respective pay branches directly from the War Office in accordance with arrangements to be notified to them by this headquarters. This headquarters will, in turn, notify the Currency Section of all such withdrawals.

10. All funds advanced by the Currency Section to the respective pay branches for military purposes will be reported by the receiving branch to the U. S. War Department, the U. S. Navy Department, or the British War Office, in accordance with the recipients nationality. The U. S. and British pay services will likewise report to the Currency Section all advances made by them for military purposes to any other Allied pay service. The Currency Section will report independently to this headquarters all advances, including advances made for Military Government purposes.

11. The Currency Section will have no further responsibility for funds disbursed by it to the respective pay branches for military purposes, except that a monthly statistical report will be provided by each pay branch, giving in summary form the approximate amount of currency on hand at the beginning and end of each month, and receipts and disbursements, by major categories, during the month. Reports covering operations of the pay branches overseas will be provided to the Currency Section; a consolidated report including transactions in the United Kingdom in connection with overseas operations of your command in Germany will be submitted to this headquarters in duplicate. The form of the subject reports will follow in general that now used in France.

12. In order to facilitate the operations of the Currency Section in its financial dealings with local civilian institutions and governmental authorities, necessary evidences of authority will be supplied to the Currency Section by your headquarters. To the extent practicable, these evidences of authority will bear the signature of the highest authority in the area.

13. To the extent that existing arrangements for the movement of funds are in accordance with the policies expressed above, no changes in them are required.

By command of General EISENHOWER:

(sgd) E. C. Boehnke  
 E. C. BOEHNKE  
 Colonel, AGD  
 Adjutant General

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Authority

JNIS 12045  
CN

By

NARA Date 4/2000

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Anti Trust Div

File

Economic warfare

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JWJ

IN 4414 TREATY OF PEACE (1713)

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Authority

By

NARA Date

JUN 18 1945

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Entry Anti Trust Div

File Economic Warfare

Italy

Box 100

File Italy  
Treaty**III. CONTRACTS AND AGREEMENTS**

1.

With the exception of instruments pertaining to debts and similar pecuniary matters, all contracts between Italian and United Nations parties should be abrogated as of the date of the outbreak of hostilities. This should be a general rule permitting of exceptions ~~as~~ only as set forth in 2-4 below.

Market regulating contracts

2.

Contracts between an Italian party and an Allied party which regulate trade between Italy and any other country should be abrogated unless the Allied nation in question deems it in the public interest, after review, to sustain specific contracts.

The same procedure should be followed, if necessary, in the case of a contract to which a national of a United Nations country and nationals of Japan and any other Axis country are party.

Definition: The term "regulation of trade" as used here refers to contracts involving the division of markets, limitation and fixing of production quotas, fixing of prices, exclusion of enterprises from any territorial market or field of business activity, suppression of technology or invention whether patented or unpatented, use of any arrangement in connection with the exploitation of patents or any other governmental privileges to extend the monopoly or privilege to matters not contained in the authorized grant.

3.

In the case of market regulating contracts to which an Italian national and nationals of two or more United Nations countries are party, any question of sustaining the contract should be referred to an Allied commission. If agreement to sustain cannot be reached, the contract should be abrogated.

The same procedure should be followed, if necessary, in cases involving two or more United Nations nationals and Italian, German or Japanese nationals.

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By *EN*NARA Date *4/26/00*

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4.

If a contract with an Italian national is abrogated by a United Nations government, and if a national of a neutral country happens to be a party to such contract, any claims for damages by the neutral party should be determined under the law of the allied country in question. Decisions of courts in the neutral country or decisions of any arbitration tribunal in respect of such contract should not be enforceable in any United Nations or Italian courts.

#### IV. INDUSTRIAL PROPERTY

1.

*Securing adherence*  
Italy should be required to remain signatory to the present international patent and trade-mark conventions.

2.

The nationals of Allied and neutral governments should be permitted immediately to file patent applications in Italy. Italian nationals should also have the right to begin immediately to file patent applications in United Nations countries on current inventions. However, Italy shall not be permitted to patent abroad inventions originating during the war, and all war measures taken by Allied governments against existing Italian patents in United Nations countries should be recognized as valid by Italy.

3.

Technological information conveyed during the reconstruction period to the Italian Government by Allied governments should not preclude patenting of such information at a later date by Allied nationals, nor should the Italian Government permit the acquisition of third party rights in such information.

4.

During the war the Italian Government has issued compulsory licenses on patents owned by allied nationals in Italy. Such licenses should be considered void unless the affected Allied government agrees to their maintenance in any specific case. Allied nationals whose patents have been licensed during the war by the Italian government shall have the right to file claims for damages with respect to such unauthorized use of their Italian patents.

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5.

Contracts providing for the licensing of patents, and which regulate markets, should be subject to the rules of abrogation suggested in Part II above. However, an Allied government, with the consent of its affected nationals, may revise and sustain any patent license contract which should then be binding on the Italian party for the life of the patent in question.

6.

The Italian government should recognize all measures taken by any Allied government with respect to Italian trade-marks registered in its respective territory. All measures taken by the Italian government during the war with respect to trade-marks owned by allied nationals should be void. If restoration of allied nationals in their Italian trade-mark rights cannot be made for any reason, the Italian government shall be liable to claims for damages.

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FD-364

June 29, 1945

Mr. Robert Terrill and Ervin Anderson of the State Department asked me to cooperate in the preparation of the economic conditions of a peace treaty which is going to be made with Italy in a very short time. The attached memorandum has been drafted by Mr. Anderson and I on the basis of studies which I made before on treatment of contracts between enemies on patents and trademarks.

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By CN

NARA Date 4/26/80

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Economic Warfare

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## I

## PROPERTY, RIGHTS AND INTEREST

1.

All wartime legislation enacted or promulgated in Italy relating to the property of nationals of the Allied or Associated powers situated in Italy shall be restored.

2.

All wartime legislation, executive orders and administrative rulings relating to enemy property in the territories of the Allied and Associated powers is hereby confirmed. Such measures, regulations and legislation are to remain in existence and may be exercised in the future against Italian property situated in the territories of the Allied and Associated powers if they have not already been exercised.

3.

The Allied and Associated powers reserve the right to retain and liquidate Italian property situated in their territories. The liquidation of Italian property shall take place under the law prevailing in each of the countries of the Allied powers.

4.

If this treaty shall provide for the cession of Italian territory to any of the Allied powers, nationals resident in the ceded territory shall be exempted from

5.

The provisions of this section shall be binding upon the contracting states and their nationals.

## II.

## CLAIMS AND DAMAGES ARISING WITHIN THE STIPULATIONS OF SECTION I.

1.

If the property of Allied nationals cannot be returned in specie or in a condition not exceeding normal deterioration either because of war damage or because of the application of war measures, the Italian government shall be liable to such damages as may be claimed by the Allied governments.

2.

The proceeds of the liquidation referred to in I,3 shall be used for the following purposes:

- (a) Damage to property of the Allied powers and their nationals;

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Page 2.

- (b) Payment for private claims other than (a);
- (c) Reparations. (It is assumed that the various Allied governments will determine among themselves the priority to be assigned to (a), (b) and (c).)

3.

Restoration of property to Allied claimants shall mean:

- (a) The return of the property free of encumbrances or burdens arising during the war period;
- (b) The return of the property in its present form, if exceeding normal deterioration, shall be accompanied by the payment of damages;
- (c) If for one reason or another it is not possible to return the property, compensation shall be made.

4.

The validity of sales of property belonging to the Allied powers and their nationals under wartime legislation in Italy shall be determined by the Allied powers. The several Allied governments may, at their discretion, determine in each particular case whether they recognise such sales.

5.

Wherever disputes shall arise between the government of Italy and the Allied governments concerning claims advanced by them or their nationals, the decisions of an International Court or a Mixed Claims Tribunal shall be final.

6.

The government of Italy undertakes to compensate its nationals for such property as has been liquidated in the Allied countries.

7.

No law, decree or regulation passed by the Italian government during the war shall apply to the property of Allied nationals if such law, decree or regulation changes materially the legal position of the owner of the property.

8.

No law, decree or regulation shall be enforced in the future which discriminates between the property of the Allied powers.

### III.

#### CONTRACTS AND AGREEMENTS

Note: The recommendations which follow make no presuppositions concerning the general rule to be adopted towards the effect of war on contracts between enemies, i.e. whether such contracts are abrogated with exceptions or such contracts are sustained with exceptions.

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Contracts whose effect it is or may be to regulate trade between Italy and any other country in any field of business or the trade within any country outside of Italy, or contracts which are determined by one of the Allied governments to affect the public interest and therefore require exception to the general rule relating to the effect of war on contracts, are recommended for special treatment.

Definition: The regulation of trade as used here refers to contracts involving the division of markets, limitation and fixing of production quotas, fixing of prices, exclusion of enterprises from any territorial market or field of business activity, suppression of technology or invention whether patented or unpatented, use of any arrangement in connection with the exploitation of patents or any other governmental privileges to extend the monopoly or privilege to matters not contained in the authorized grant.

1.

With respect to contracts which regulate trade between Italy and any other country in any field of business or the trade within any country outside of Italy, or contracts which are determined by one of the Allied governments to affect the public interest, and to which some or all of the parties are Italian nationals and nationals of Allied powers, the affected Allied government shall within a period of \_\_\_\_\_ months determine whether the contract shall follow the general rule or be an exception to it.

2.

With respect to contracts whose effect it is to regulate trade or which are deemed to be affected by the public interest and to which the parties are Italian nationals and the nationals of two or more Allied powers or nationals of an Allied power though not a party in form to the contract but asserting themselves affected by the contract, the question of the treatment of the contract shall be referred to an Allied commission. If agreement cannot be reached by the commission, the contract shall be considered abrogated as of the date in which the last participating government became involved in war.

3.

With respect to contracts whose effect is or may be to regulate trade or which are deemed by one of the Allied governments to be affected by the public interest, and to which the parties are Italian nationals, a German or Japanese national, and a national of an Allied government, the affected Allied government shall within \_\_\_\_\_ months determine whether this contract shall follow the general rule or be an exception to it.

4.

With respect to contracts which regulate trade and to which the parties are an Italian national, a national of Germany or Japan, and nationals of two or more Allied powers, the procedure shall be as in 2.

5.

If an Allied power or the Allied Commission for any reason abrogates a contract and if a party to this contract is a neutral

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national, the effect of such abrogation on the neutral national shall be determined by each of the Allied countries in which the abrogation is contested by one of the parties to the contract. Decisions of a neutral court or an arbitration tribunal inconsistent with the treatment accorded the contract by an Allied government or the Allied Commission shall not be enforced in any Allied, enemy or ex-enemy court.

6.

The government of Italy agrees to abrogate any contract, the parties to which are the Italian government or its nationals and nationals of Germany or Japan, upon demand by any of the Allied governments.

IV.

## INDUSTRIAL PROPERTY

1.

The International Convention of Paris of March 20, 1883 for the Protection of Industrial Property, revised at Washington on June 2, 1911 and at London on June 2, 1934, is restored and reestablished as from the coming into force of the present treaty.

2.

The rights of Allied nationals to file and acquire letters patents in Italy shall begin on the date of the coming into force of this treaty and shall be accompanied by all privileges enjoyed under the International Convention as if no state of war had existed. All periods in the International Convention in favor of Allied nationals shall begin to run as of the date of the coming into force of this treaty.

3.

The rights of priority provided by the International Convention for the Protection of Industrial Property of Paris of March 20, 1883, revised at Washington 1911 and at London 1934, or by any other convention or statute for the filing and registration of applications for patents or models of utility, and for the re-registration of trademarks which had not expired on 1941 and those which have arisen during the war or would have arisen but for the war, shall be extended by the Italian government in favor of nationals of the Allied powers for a period of six months after the coming into force of the present treaty.

4.

Patent rights owned and acquired by Italian nationals prior to 1941 shall be subject to the provisions applied to PROPERTY (I).

5.

Rights of Italian nationals to acquire and file patents in the United States shall begin as of the date of the coming into force of the present treaty, but each of the Allied powers reserves for itself the right to impose any measures against industrial property of Italian nationals for the purpose of the public interest and national defense.

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6.

The rights of priority provided for by the International Convention for the Protection of Industrial Property shall begin to run in favor of nationals of the government of Italy two years after the coming into force of this treaty.

7.

All measures applied by the Allied governments with respect to industrial property of Italian nationals are confirmed and no damages may be sought either by the Italian government or its nationals. The measures referred to here shall include the licensing of Italian patents in perpetuity by the Allied governments.

8.

All technological information conveyed to the government of Italy by any of the Allied powers during the period of the co-belligerent status of Italy shall not be subject to third party rights on the part of Italian nationals by virtue of the reduction of such information to practice. The Italian government commits itself to lend every assistance to the nationals of the Allied powers to acquire letters patents on information transmitted to Italy by the Allied governments and to arrange for the payment of reasonable royalties on inventions utilized by the Italian government or its nationals pursuant to transfers made by the Allied powers.

9.

All wartime measures including transfers taken by the Italian government with respect to patents owned by nationals of an Allied power are void. Compulsory licensing issued by the Italian government on patents owned by nationals of Allied powers shall be void except where the affected Allied government agrees to their maintenance. The procedures for filing claims and damages with respect to the unauthorized use of patents of Allied powers shall be applied as in the case of other property (See PROPERTY, Section I).

10.

A minimum of one year after the coming into force of the present treaty shall be accorded to the nationals of the Allied powers without extension fees or other penalty in order to enable such persons to accomplish any act or ~~any~~ prescribed by the laws of Italy relating to the obtaining, preserving, or opposing rights to industrial property either acquired before 1941 or which, except for the war, might have been acquired since that date.

#### Patent Licenses and Patent License Agreements.

Note: The following recommendations do not presuppose any general rule with respect to the effect of war on patent license agreements concluded between enemies. Special treatment is suggested, however, for patent licenses which are instruments of contracts whose consequence it is to regulate markets or which are deemed by an Allied government to be affected by the public interest. Thus:

(1) Patent license agreements which are instruments of contracts whose consequence it is to regulate markets or which are deemed by

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an Allied government to be affected by the public interest shall be subject to the same rules as are applied to contracts. (See CONTRACTS, Section III). However, if an Allied government with the consent of the Allied nationals who are parties in interest agrees to changes in the conditions of the patent license contract, these changes shall be binding on the Italian partner.

(2) Claims by Allied nationals for revenues under licenses granted to Italian nationals shall be treated in the manner prescribed for DEBTS and CLAIMS in this treaty.

#### Trademarks.

(1) The Convention for the Protection of Industrial Property etc. is restored and reestablished.

(2) Italian nationals shall enjoy all benefits of the Convention six months after the coming into force of the Convention. In all other respects the government of Italy will recognize any measures which the Allied governments have taken or will take with respect to Italian trademarks registered in the territories of the Allied powers as at the date of the commencement of hostilities.

(3) Nationals of the Allied powers shall enjoy all benefits of the Convention as of the date of the coming into force of the Convention. All measures taken by the Italian government pursuant to war measures relating to trademarks owned by Allied nationals are hereby void and the Italian government agrees to restore all rights in trademarks enjoyed by nationals of the Allied governments from the date of commencement of hostilities. If for any reason restoration cannot be made along with all rights enjoyed by Allied nationals at the commencement of hostilities, the Italian government shall be liable for damages and claims as prescribed in the section on PROPERTY.

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~~CONFIDENTIAL~~

October 6, 1950

CC:

ME - Mr. Byington  
ME - Mr. O'Champane  
ME - Mr. Hilton  
CIA - Mr. Jackson  
LIA - Mr. Laurence

TELE: MR - Mr. Peterson

LRW is sending a copy  
to Lawrence Dawson UNA/R.  
S/10 DRD

SUBJECT: Attached Position Paper

The attached position paper recommends that the United States accept a British compromise proposal, with certain reservations, relating to the intended gift to the UNR of one-half of the U.S. share of the proceeds of liquidated German property in Italy.

Since the British and French have indicated that an early distribution of a sum of like through IANA is a matter of urgency for them, it will be appreciated if your comments can be transmitted to Mr. Roger Williams, Extension 2650 as soon as you can conveniently do so.

Attachment:  
Position Paper.

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By WRI	NARA Date 3/28/00
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DETERMINATION OF UNITED STATES POSITION IN BRITISH COMMISSION PROPOSAL ON  
DISPOSITION OF UNITED STATES SHARE OF PROCEEDS OF LIQUIDATED  
GERMAN ASSETS IN ITALY

Problem

An impasse exists with respect to the distribution of the proceeds of liquidated German assets in Italy due to the British and French opposition to United States decision to dispose of its share in the proceeds in favor of the Government of Italy and the International Refugee Organization outside of the machinery of the Inter-Allied Reparation Agency. As a compromise solution, the British have proposed that the United States abandon its decision and that the three Governments (British, French and United States) make a joint gift to the IRO of a sum of lire out of the proceeds of German assets.

Recommendation

It is recommended that the British proposal, with certain modifications which are fully explained below, be accepted.

Discussion

There has been disagreement between ourselves, on the one hand, and the British and French on the other, concerning the disposition to be made of the United States share of the proceeds of liquidated German assets in Italy.

The British, French, and United States, under Article 78, paragraph 5 of the Treaty of Peace with Italy and under the Potsdam Agreement, are empowered to dispose of the proceeds of German assets in Italy. An agreement among the Allies was reached in 1949 to give 75% of the proceeds to Italy and to turn over the remaining 25% to IARA for allocation among member governments as reparation from Germany. It has been estimated that German assets in Italy will amount to 18 billion lire. Thus after deducting one billion for the maintenance of the German libraries in Italy, <sup>this</sup> an amount of 5.5 billion lire (approximately \$6 million) will be distributed by IARA. The United States share would normally amount to 22% or 980 million lire (1.3 million dollars).

The United States has decided to divide its share, half for the Government of Italy and half for the IRO. In order to overcome certain legal technicalities it is necessary that the United States share be disposed of before it is turned over to IARA and allocated to the United States. It has been our intention, therefore, to deduct an amount equal to what would be our share before the funds are made available to IARA and turn it over to the Italians and the IRO. The Legal Division has concluded such a procedure is legal under domestic law and does not contravene the Paris Agreement on Reparations. The British and French, while opposing in principle the plan to deduct the United States share in advance of its being allocated by IARA, agreed in 1949 not to stand in the way of our proposal.

There is now in the kitty and available for distribution, a sum in excess of 4 billion lire. The British and French, for domestic fiscal reasons, are anxious to accomplish some early distribution of a part of this sum through IARA and suggested in August, 1950 that the three governments instruct the Italians to turn over 2 billion lire to IARA. We have agreed but with the proviso that a sum equal to our 22% be deducted and be made available to Italy and the IRO, according to our previously announced decision. The British, with the somewhat passive support of the

French,

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French have taken the position that they cannot now agree to the deduction of the United States share prior to turning over lire to IARA. This attitude is contrary to their announced intention in 1949 not to oppose our planned disposition even though they could not actively support it. The Department, consequently has refused to join in instructing the Italians with respect to the 2 billion lire for IARA.

The British have now suggested, as a way out of the impasse, that the three governments agree in principle to a gift of a sum of lire out of the kitty and go forth with the turn-over of 2 billion lire to IARA. The British proposal is that a percentage of the gift to be made to the IRO be used for the benefit of the refugees in Trieste provided the Government of Italy agrees to permit those refugees to enter Italy.

The compromise proposal of the British is not satisfactory in several respects. First, it should be pointed out it does not accomplish our purpose of giving the Government of Italy an additional share in proceeds of German assets. Fifty percent of our 38% share would amount to roughly 490 million lire. It is not clear whether the Italians have been told informally by the Embassy that they might expect to receive this. If they have, however, it should not be too difficult to explain to the Italians that circumstances are such that it is not feasible to carry out our original intentions. It is not expected that the Italians could object since, under the agreement to split the proceeds 78% to Italy and 22% to IARA, the Italians will receive approximately 10 billion lire.

Secondly, the proposal to obligate the IRO to use a percentage of the gift for the benefit of the refugees in Trieste is not consistent with the long standing policy of the United States that German assets should be used solely for purposes of relief and rehabilitation of victims of Nazi German persecution. It has been the intention of the United States that the IRO should be charged, with respect to the United States share of German assets, to use the lire for the rehabilitation and resettlement of nonrepatriable victims of German action, and to distribute it for the purposes and in the manner set forth in Article 8, Part I of the Final Act of the Paris Conference on Reparation, the Five Power Agreement of June 14, 1946, and the Letter of Instruction of June 21, 1946. If the compromise is acceptable, caveats with respect to use by the IRO should be limited in line with the above purposes or else the gift should be made with no restrictions as to its use.

Thirdly, we should not agree to join in instructions to the Italians with respect to turning over 2 billion lire to IARA unless and until the British and French agree to include in the same note definitive instructions with respect to the gift to the IRO.

The British agreed "in principle" in January, 1950 that the IRO should share in the distribution of the "Treasure Trove" discovered in Italy. The IRO is still waiting for its share due to British delays in coming to a final decision. The need of the IRO for lire is acute and great. The IRO is currently buying lire with dollars due to administrative delays by the Government of Italy in making lire available to the IRO under the Italian contributions.

Finally, we should take the position that not less than 600,000,000 lire (approximately \$600,000) should be given to the IRO. Under the original proposal of the United States, the United States share would have amounted to roughly 980,000,000 lire. One-half (approximately \$490,000) would have been given to IRO. (490,000 lire)

In addition to the general humanitarian ideals, we can argue, in support of a gift

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of a gift of 500 million lire, that had the British and French not reneged on their previous promise not to oppose the plan for distribution of the United States share, such an amount would have been received by the IRO. Secondly, since the chances of getting the 17 odd million Swiss francs under the Swiss-Allied Accord still due the IRO are exceedingly slim, the Allies can make partial retribution for failing in our commitment to IRO to deliver those francs by being generous in a gift of lire. Thirdly, it can be pointed out that had it been known at the time of the Paris Reparation Agreement that any proceeds from German assets in Italy would become available, the sum of \$25 million allocated in that agreement from German external assets for relief and rehabilitation of nonrepatriable victims of German action would probably have been increased and direct provision made for IRO participation in the proceeds of the liquidation program in Italy.

October 6, 1960

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DIA

DEPARTMENT OF STATE

313

Memorandum of Conversation

DATE:

October 12, 1950

SUBJECT:

Distribution of U.S. share of lire proceeds from liquidated German assets in Italy.

PARTICIPANTS:

Mr. Jamieson - British Embassy  
Mr. Williams - US

COPIES TO:

ME - Mr. Byington  
ME - Mr. O'Shaughnessy  
ME - Mr. Hilton  
RA - Mr. Gilchrist  
BMA - Mr. Jackson  
L/R - Mr. Murray  
USA/R - Mr. Dawson

1-1403

Mr. Jamieson of the British Embassy came in this afternoon to discuss the compromise proposal with respect to the distribution of the United States share of the lire proceeds from liquidated German assets in Italy. In this connection reference is made to the Position Paper dated October 6, 1950 prepared by ME recommending that the British compromise proposal, with certain modifications, be accepted by the Department. This proposal, in brief, was that the three governments make a gift to the IFO of a sum of lire and, in turn, that the United States forego its decision to deduct its share from amounts made available to IARA for division between the IFO and the Government of Italy.

Today Mr. Jamieson said that his Foreign Office had instructed him to amend that compromise proposal along the following lines:

The three Governments immediately instruct the Italian Government to set aside out of the total proceeds of liquidated German assets a sum of 2.56 billion lire of which 2 billion would be made available to IARA and 560 million would be given to the IFO as a gift from the United States Government. The British and French would agree that the United States may attach whatever stipulations it may wish as to the use to which the funds may be put by the IFO. The British also proposed that a special assembly of IARA be called as soon as possible in order to allocate the two billion lire to be made available to it and that the United States Delegate announce at that assembly that in the final account the United States Government will forego the value of the sum given to the IFO.

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Mr. Jamieson stated that he did not know what significance, if any, should be attached to that part of the proposal under which the United States would be charged for the value of the gift "in the final account". I told Mr. Jamieson that under our original proposal the United States would have waived its right to an allegation of larceny and that the Department would want to consider carefully the effect of the suggested manner in which the United States would absorb the charge for the gift.

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## DEPARTMENT OF STATE

JMD  
Ac/R

## Memorandum of Conversation

CONFIDENTIAL

DATE: 12-21-48

S2  
SUBJECT: A Share for IRO Out of the German Assets in Italy.

**PARTICIPANTS:** Seymour J. Rubin - Washington Counselor for the American Jewish Committee.  
 Covey T. Oliver - EP.

**COPIES TO:**

- E - Mr. Reinstein
- SE - Mr. Barbour
- O - Mr. Hemmendinger
- WE - Mr. Achilles
- EP - Mr. Smith
- EP - Mr. Adams

1-1498

Mr. Rubin called at his request to discuss the above subject. He stated that he understood the US, UK and France were attempting to work out some agreement regarding the division as between IARA and Italy of German assets in Italy. He felt that consideration should be given to the possibility of a portion of these assets being set aside for the IRO as there were genuine and important refugee needs in Italy which could be met in lira. It developed from questioning that Mr. Rubin's proposal was for a share of the German assets in Italy of perhaps some 5% of the total over and above the \$25 million share provided for that organization by the Paris Reparations Agreement out of the German assets in the neutral countries. Mr. Rubin stated that if the additional payment could be obtained from the Swiss and the Portuguese should agree to the Safehaven Accord with that country, the \$25 million distribution would be fully met.

FEB FILE

Mr. Rubin remarked that on one occasion when he was associated with the Department of State he had sounded out Signor Lombardo of the Italian Finance Delegation on the proposition that a part of the German assets in Italy should go to IRO. Although recognizing that Signor Lombardo was speaking quite informally and perhaps without full appreciation of the situation, it was Mr. Rubin's impression that Signor Lombardo saw no objection to a modest disposition in favor of IRO.

Anal. *SM*

Rev. *SM* The discussion developed the following possibilities regarding a share for IRO:

Cat. *SM*

Distr. 1. Further reducing the share to be left to Italy from whatever percentage might be agreed for the distribution between Italy and IARA. 24355

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2. A further reduction of the IARA share.

3. The possible assignment to or a waiver in favor of the IRO of the US percentage of the IARA share.

4. An exhortation to the Italians to make a voluntary contribution to the IRO out of their share.

Mr. Oliver explained that under present circumstances it would be very difficult to consider possibilities 1 and 2. He explained that the US had since 1945 strongly supported the view that all of the German assets in Italy should be turned over to the Italians. Any concession which the US might make against this principle would be made in the interest of a speedy settlement and be kept as small as possible percentage-wise. With respect to a reduction of the IARA share Mr. Oliver thought that the British would not agree and that it would be difficult for the US to insist upon any further reduction in view of the British position that a recovery for IARA from the German assets in Italy was required because of the general frustration of other reparations expectations. With respect to possibility 4 Mr. Oliver expressed the personal view that there would be no harm in approaching the Italians but was pessimistic as to their susceptibility to the suggestion, considering the frequent references of representatives of the Italian Embassy of disappointment regarding the manner in which the Italian treaty disposed of Italian reparations claims against Germany.

Mr. Oliver thought that possibility 3 was worthy of further study. However, he thought there might be some question as to the power of the US to waive its share of German external assets in Italy in favor of the IRO. It might be that the other IARA countries would claim that the US share, if not accepted by the US, should be divided among the other claimants. If the US accepted its share and then attempted to assign it to IRO, a question would arise as to the necessity for Congressional authorization. Indeed, it might be that such a question might arise even in a waiver situation according to what Mr. Oliver had heard regarding the attitude of the General Accounting Office. Mr. Rubin inquired how the IARA share would be allocated among the member nations. Would it be on the basis of the stated Category A percentage or would possible over drafts of the US be taken into account, with the result that the actual US recovery of German assets in Italy would be less than 28% (of the total share given to IARA)? Mr. Oliver stated that he did not know how this would be done but that this point would be considered further. Mr. Rubin suggested that the legal difficulties might be met, assuming that the Category A percentages would obtain at IARA, by announcing the US renunciation of its share in favor of the IRO at the time the division between the Italian Government and IARA was made. Mr. Oliver concluded the discussion of possibility 3 by remarking that there might be competing claims for the favor of a US renunciation.

Mr. Rubin stated that he would make his proposal officially to the Department by letter.

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COMMENT:

Provision for the IRO has been made in connection with all the Safehaven Accords except the Spanish. If all the Accords are concluded and performed the IRO will get the \$25 million set aside for it by the Paris Agreement on Reparations. Certainly the IRO's need for additional assistance will not be met by this sum, even assuming it is all collected, and the humanitarian appeal of the proposal justifies earnest consideration of the possibilities regarding assistance out of the German assets in Italy. However, possibility No. 3 seems to be the only line which could effectively be pursued. In addition to the legal difficulties, international and domestic, it will be recalled that Ambassador Dunn has recommended the renunciation of the US share in favor of Italy. It is barely possible that, should the British not accept our proposal for a 76-24% split but insist upon a 60-40% split, we could make a counterproposal that a part of the 18% difference go not to IARA but to IRO. In view of the difficulties regarding possibilities 1 and 2, it is my opinion that the note to the British agreeing in principle to a split between Italy and IARA be despatched. L/E (Mr. Surrey) has concurred.

EP would appreciate the views of the offices to which this memorandum will be circulated and may solicit them especially if not volunteered.

OFD:EP:CTOliver:ddec  
CJ

321743

Box 2816

Entry 785010	Authority 501.may-1945	By BMARADate 3/11/
RG 59	DECCLASSIFIED	REPRODUCED AT THE NATIONAL ARCHIVES

DECLASSIFIED

Authority NND968103  
By B NARA Date 4-26-00

RG 131

Entry FFC Control File  
File SW 42 DFFrost  
Box 457MEMORANDUM FOR THE FILES

Corporate Analysis Unit

October 3, 1946

Re: Union Bank of Switzerland

## I NATURE OF THE PROBLEM.

The activities of the Union Bank of Switzerland in the cloaking of the external assets of various German insurance companies have recently come to the attention of the United States Legation in Bern (see letter from J. Mann, Treasury Representative in Bern to Joseph J. O'Connell, General Counsel, Treasury Department, dated August 13, 1946). The assets involved are the participations of the Münchener Rückversicherungs-Gesellschaft, (Munich Re) Munich, and its affiliates, in the Pilot Reinsurance Co. of New York (Pilot Re), the Schweizerische National Versicherungs-Gesellschaft, Basel (National), and the Basler Versicherungs-Gesellschaft Gegen Feuerschaden (Basler). The Union Bank of Switzerland also appears to have taken part in the objectionable activities of the German-owned Union Reinsurance Company of Zurich (Union Re).

The sources from which the above-mentioned information was derived are three reports dated June 24 and 25 and July 22, 1946, from the Spot Investigations Section, External Assets Branch of the Finance Division of OMGUS.

A request having been made by the U.S. Treasury Representative in Bern for Treasury's reaction to this information as well as its position with respect to the disposition of the assets of the Union Bank of Switzerland in the United States under certification procedures now being evolved with the Swiss, a study of Treasury's files has been made with a view to determining whether Union Bank of Switzerland should be excluded from the benefits of General License No. 95 when it is made applicable to Swiss assets in the United States.

According to TFR-300 Reports Union Bank and its Swiss branches hold assets of approximately 52 million dollars in the U.S. No assets were reported for either National or Basler.

## II DEROGATORY INFORMATION ON UNION BANK.

## 1. Cloaking.

Two of the above-mentioned reports from OMGUS are now available.

321744

DECLASSIFIED

Authority NND968103  
By B NARA Date 4-20-00

RG 131

Entry FFC Control File  
File 5w.t2 DeFrost  
Box 457

- 2 -

and the substance of the findings made is as follows:

a. Munich Re's Participation in Pilot Re.

The first of these reports dated June 24, 1946 conclusively shows a 72.38% participation of Munich Re in Pilot Reinsurance Co. of New York. It also establishes by documentary proof the cloaking arrangement entered into between Munich Re and Union Bank on March 27, 1939, by which the latter firm acquired 15,000 of Munich Re's shares in Pilot (representing 25% of Pilot's capital). No payment was made as an option agreement of the same date, provided for a blocked guarantee in the amount of the purchase price on the books of Union Bank to the credit of Munich Re. The interest on this account was to be equal to Pilot's net dividends. Munich Re's right to repurchase these shares at any time and Union Bank's undertaking to abide by the instructions of Munich Re at stockholders meetings and "in all other measures in respect to these shares" leaves no doubt as to the purpose of this "sale" to Union Bank. That the latter institution encouraged Munich Re to enter into this type of arrangement is indicated by a letter of January 31, 1939 from Union Bank to Munich Re assuring the latter that it would be happy to have a number of Pilot's shares registered in its name if it served Munich Re's purposes.

b. Union Re's Participation in Basler.

The second report from OMGUS available in our files is that of July 22, 1946 establishing a 28.68% German participation in Basler Versicherungs-Gesellschaft Gegen Fauerschaden, represented by 4,590 shares out of 16,000. Union Bank and associated banks ostensibly own 1700 of these 4,590 shares as a result of a transfer made during August 1939 from Union Reinsurance Company of Switzerland, a wholly owned subsidiary of Munich Re, to Union Bank to avoid blacklisting. From various memoranda found in Munich Re's files it is clear that the transfer was accomplished on the basis of "purchase and option contracts according to the customary schemes."

2. Management Personnel of Union Bank.

Information in Treasury's files on Union Bank of Switzerland is scanty and except for an unconfirmed report that this bank was suspected of smuggling dollar currency and securities into the U.S. and also serving as an intermediary for remittances from the Western Hemisphere to Germany and Italy (memo from Bronfenbrenner to Hoffmann and J.C.Jones of 9/23/42 in Bally files), there is little to justify taking special action against the bank. However, a study of the management personnel of the bank gives added significance to the information now available from Germany on the cloaking operations of the bank.

321745

DECLASSIFIED

Authority NND968103

By: B NARA Date 4-20-01

RG 131

Entry FFC Control File

File SWITZER DEFROST

Box 457

-3-

a. Rudolf Ernst.

The leading figure in the bank, Rudolf Ernst, formerly Chairman (now honorary Chairman) of the Board of Directors, acted as liaison officer in the U.S. for the bank between September, 1940 and December, 1941, during which time he was in the U.S. for the purpose of protecting Swiss interests in the event of any interruption of contact or communication between Switzerland and the U.S. He is also treasurer and director of the Union American Corporation, the U.S. subsidiary of the bank, and holds 5% of the stock of that company. For our present purposes it is most significant that Ernst is the Chairman of the Board of Directors of Union Re, a subsidiary of the Munich Re, and is also on the Board of National, formerly on the statutory list, which is the subject of the report from OMGUS of June 25, 1946 concerning the participation of German capital in National. This report has not as yet been made available to Treasury. It may, however, be interesting to note that in March 1946 the Treasury Representative in Buenos Aires received information, which was immediately relayed to the U.S. Legation in Bern, indicating that Union Bank through the offices of Dr. Ernst, arranged for the camouflaging of the participation of Allianz Versicherungs A.G., Berlin, in National for the purpose of obtaining the deletion of the latter company from the Statutory List. The information was allegedly obtained by the informant from Dr. Hans Theler, a director of National.

b. Paul Jaberg.

Paul Jaberg, who is now listed as the Chairman of the Board of Directors of Union Bank, was the Chairman of the Swiss-German Standstill Commission and an executive of many international cartels and holding companies, and is thought to represent interests not purely Swiss (telegram from Bern, #250, dated 1/13/45). In November 1944, Jaberg was recommended for the Confidential List of unsatisfactory consignees as a director of Gebr. Bohler & Co., A.G. Zurich (ex PL).

c. Alfred Schaefer.

The General Manager of the bank, Dr. Alfred Schaefer, a foremost exponent of the social importance of bank secrecy, is one of the persons with whom Emil Puhl of the Reichbank had personal dealings while in Switzerland (interrogation of Emil Puhl by Clifford Hyning on 7/27/45).

d. Max Bally and Hans Sulzer.

Another member of the Board and a leading figure in Swiss industrial circles is Max Bally, who is also a director of Sulzer Bros., Winterthur. Sulzer Bros., in which another member of the Board of

321746

DECLASSIFIED

Authority NND968103

By B NARA Date 4-26-00

RG 131

Entry FFC Control File

File SW 72 Defrost

Box 457

- 4 -

Union Bank, Hans Sulzer, is a member, manufactures machinery and was on the British Ministry of Economic Warfare "C" List, suspected of exporting material to Germany. Hans Sulzer was one of the Swiss delegation to the Rye Conference which was held in the U.S. in November 1944 and head of the Iron and Machinery Sections of the War Industries and Labor Offices in Bern.

### III RECOMMENDATION.

On the basis of our present information on Union Bank there are just and sufficient reasons for excluding it from the benefits of GL No. 95 or for limiting its use thereof. As a precedent, we have the informal arrangement with the Swedes with respect to the use by Stockholm's Enskilda Bank of GL No. 49. Our desire in the Swedish case is to prevent transactions not of a routine nature until there has been a thorough investigation.

Charles A. Gutwirth

py

321747

DECLASSIFIED

Authority NND968103  
By B NARA Date 4-20-00

RG 131

Entry FFC Control File  
File Switzerland Defrost  
Box 457**TELEGRAM RECEIVED**

From:

Department

Date

February 6, 4 p.m., 1947

Code:

Secret

No.:

139

Received:



From Department and Treasury for Legation and  
Wilson.

Question exclusion Union Bank of Switzerland  
and Swiss Bank Corporation from privileges of Treasury  
General License 95 (last paragraph Legation's NFACT  
51, January 22, and Department's 92, January 24)  
considered. Department agrees your observations  
concerning these banks from privileges of 95 might cause  
Swiss to take cause célèbre of such action. Merely  
(repeat merely) that SWISG such pertinent information  
as you consider could, if necessary, be reasonably  
substantiated with respect activities these banks on  
behalf Germans with indication these facts appear  
pertinent their investigations these institutions in  
connection with certification their assets or assets  
held on behalf their customers. Thereafter, question  
of eligibility for certification assets held their  
names is one for decision by Swiss. Telegram follows on  
these cases.

MAGSHAW

Encl (1) duplicate to files/wy

JW RC  
JL FA

321748

DECLASSIFIED

Authority NND 968103

By JB NARA Date 4-18-00

RG

131

Entry ~~AN-2-31~~ PPC Sub. F. 105  
File d. 6 Oct '48  
Box 457MEMORANDUM FOR THE FILES

Corporate Analysis Unit

October 3, 1946

Re: "Union Bank of Switzerland"

## I. NATURE OF THE PROBLEM.

The activities of the Union Bank of Switzerland in the cloaking of the external assets of various German insurance companies have recently come to the attention of the United States Legation in Bern (see letter from J. Mann, Treasury Representative in Bern to Joseph J. O'Connell, General Counsel, Treasury Department, dated August 13, 1946). The assets involved are the participations of the Munchener Rückversicherungs-Gesellschaft, (Munich Re) Munich, and its affiliates, in the Pilot Reinsurance Co. of New York (Pilot Re), the Schweizerische National Versicherungs-Gesellschaft, Basel (National), and the Basler Versicherungs-Gesellschaft Gegen Feuerschaden (Basler). The Union Bank of Switzerland also appears to have taken part in the objectionable activities of the German-owned Union Reinsurance Company of Zurich (Union Re).

The sources from which the above-mentioned information was derived are three reports dated June 24 and 25 and July 22, 1946, from the Spot Investigations Section, External Assets Branch of the Finance Division of OMGUS.

A request having been made by the U.S. Treasury Representative in Bern for Treasury's reaction to this information as well as its position with respect to the disposition of the assets of the Union Bank of Switzerland in the United States under certification procedures now being evolved with the Swiss, a study of Treasury's files has been made with a view to determining whether Union Bank of Switzerland should be excluded from the benefits of General License No. 95 when it is made applicable to Swiss assets in the United States.

According to TFR-300 Reports Union Bank and its Swiss branches hold assets of approximately 52 million dollars in the U.S. No assets were reported for either National or Basler.

## II DEROGATORY INFORMATION ON UNION BANK.

## 1. Cloaking.

Two of the above-mentioned reports from OMGUS are now available.

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DECLASSIFIED

Authority NND 968103

By JB NARA Date 4-18-00

RG

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Entry ~~A131~~

Filed 6 Oct 1948

Box 457

FEC Sub. Files

- 2 -

and the substance of the findings made is as follows:

a. Munich Re's Participation in Pilot Re.

The first of these reports dated June 24, 1946 conclusively shows a 72.38% participation of Munich Re in Pilot Reinsurance Co. of New York. It also establishes by documentary proof the cloaking arrangement entered into between Munich Re and Union Bank on March 27, 1939, by which the latter firm acquired 15,000 of Munich Re's shares in Pilot (representing 25% of Pilot's capital). No payment was made as an option agreement of the same date, provided for a blocked guarantee in the amount of the purchase price on the books of Union Bank to the credit of Munich Re. The interest on this account was to be equal to Pilot's net dividends. Munich Re's right to repurchase these shares at any time and Union Bank's undertaking to abide by the instructions of Munich Re at stockholders meetings and "in all other measures in respect to these shares" leaves no doubt as to the purpose of this "sale" to Union Bank. That the latter institution encouraged Munich Re to enter into this type of arrangement is indicated by a letter of January 31, 1939 from Union Bank to Munich Re assuring the latter that it would be happy to have a number of Pilot's shares registered in its name if it served Munich Re's purposes.

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The second report from OMGUS available in our files is that of July 22, 1946 establishing a 28.68% German participation in Basler Versicherungs-Gesellschaft Gegen Feuerschaden, represented by 4,590 shares out of 16,000. Union Bank and associated banks ostensibly own 1700 of these 4,590 shares as a result of a transfer made during August 1939 from Union Reinsurance Company of Switzerland, a wholly owned subsidiary of Munich Re, to Union Bank to avoid blacklisting. From various memoranda found in Munich Re's files it is clear that the transfer was accomplished on the basis of "purchase and option contracts according to the customary schemes."

2. Management Personnel of Union Bank.

Information in Treasury's files on Union Bank of Switzerland is scanty and except for an unconfirmed report that this bank was suspected of smuggling dollar currency and securities into the U.S. and also serving as an intermediary for remittances from the Western Hemisphere to Germany and Italy (memo from Bronfenbrenner to Hoffmann and J.C. Jones of 9/23/42 in Bally files), there is little to justify taking special action against the bank. However, a study of the management personnel of the bank gives added significance to the information now available from Germany on the cloaking operations of the bank.

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DECLASSIFIED

Authority NND 968103

By JB NARA Date 4-18-00

RG

131

Entry ~~POL 221~~ 6 Oct 48 PPS Sub Riles

Filed 6 Oct 48

Box 457

- 3 -

a. Rudolf Ernst.

The leading figure in the bank, Rudolf Ernst, formerly Chairman (now honorary Chairman) of the Board of Directors, acted as liaison officer in the U.S. for the bank between September, 1940 and December, 1941, during which time he was in the U.S. for the purposes of protecting Swiss interests in the event of any interruption of contact or communication between Switzerland and the U.S. He is also treasurer and director of the Union American Corporation, the U.S. subsidiary of the bank, and holds 5% of the stock of that company. For our present purposes it is most significant that Ernst is the Chairman of the Board of Directors of Union Re, a subsidiary of the Munich Re, and is also on the Board of National, formerly on the statutory list, which is the subject of the report from OMGUS of June 25, 1946 concerning the participation of German capital in National. This report has not as yet been made available to Treasury. It may, however, be interesting to note that in March 1946 the Treasury Representative in Buenos Aires received information, which was immediately relayed to the U.S. Legation in Bern, indicating that Union Bank through the offices of Dr. Ernst, arranged for the camouflaging of the participation of Allianz Versicherungs A.G., Berlin, in National for the purpose of obtaining the deletion of the latter company from the statutory list. The information was allegedly obtained by the informant from Dr. Hans Theler, a director of National.

b. Paul Jaberg.

Paul Jaberg, who is now listed as the Chairman of the Board of Directors of Union Bank, was the Chairman of the Swiss-German Standstill Commission and an executive of many international cartels and holding companies, and is thought to represent interests not purely Swiss (telegram from Bern, # 250, dated 1/13/45). In November 1944, Jaberg was recommended for the Confidential List of unsatisfactory consignees as a director of Gebr. Buhler & Co., A.G., Zurich (ex PL).

c. Alfred Schaefer.

The General Manager of the bank, Dr. Alfred Schaefer, a foremost exponent of the social importance of bank secrecy, is one of the persons with whom Emil Fuhl of the Reichbank had personal dealings while in Switzerland (interrogation of Emil Fuhl by Clifford Hynning on 7/27/45).

d. Max Bally and Hans Sulzer.

Another member of the Board and a leading figure in Swiss industrial circles is Max Bally, who is also a director of Sulzer Bros., Winterthur. Sulzer Bros., in which another member of the Board of

321751

DECLASSIFIED

Authority NND 968103  
By JB NARA Date 4-18-00

RG

131

Entry ~~131~~ PRC Sub. R103  
Filed 6 Oct 1948  
Box 457

- 4 -

Union Bank, Hans Sulzer, is a member, manufactures machinery and was on the British Ministry of Economic Warfare "S" List, suspected of exporting material to Germany. Hans Sulzer was one of the Swiss delegation to the Rye Conference which was held in the U.S. in November 1944 and head of the Iron and Machinery Sections of the War Industries and Labor Offices in Bern.

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Authority NND 968103  
By WDP NARA Date 3/29/00

RG 131

Entry EEC GENERAL

File GEN LIC #84

Box 164

TREASURY DEPARTMENT  
WashingtonFOR RELEASE, MORNING NEWSPAPERS,  
Thursday, January 16, 1947Press Service  
No. S-209

Secretary Snyder announced today the removal of Treasury Department restrictions on the acquisition and importation of securities physically located outside the United States which are issued by foreign governments or foreign corporations and not payable in United States dollars.

As a result of today's action, the Treasury Department regulations with respect to the acquisition of securities not within the United States and with regard to the importation of securities henceforth will apply, in general, only to the acquisition and importation of transferable securities issued prior to December 7, 1941 (i) by persons in the United States and (ii) by any person if payable in United States dollars.

These changes were in the form of an amendment to General License No. 87. At the same time General License No. 84, which authorized the importation of certain types of securities and currency, was revoked, since its provisions have all been incorporated in the new amendment of General License No. 87.

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M:Jones:MFollak:Karsold:mw 1-3-47

321753

DECLASSIFIED  
Nro 968103  
Authority FV NARA Date 3/7/00

MEMORANDUM

RG 131  
Entry F F Control  
File Fed Res Bnk NY  
Box 122

July 30, 1940.

To: Mr. Kimball

From: N. P. Davis

Re: General Ruling No. 5  
"Black List"

Indicate to REBACO  
dated 7/31/40

Most of the various measures taken to prevent the marketing in this country of securities which are subject to expropriation by invading armies in Europe have been designed primarily to prevent the marketing of "bearer" securities since adequate control of registered securities requires only the stoppage of transfer of record on the books of the issuer. The mechanism of General Ruling No. 5 apparently contemplates that imported securities may not be released to consignees until satisfactory evidence is produced as to beneficial ownership since commencement of hostilities in Europe. The principal evidence hitherto produced with regard to beneficial ownership consists of ex parte statements in communications from consignors and we are constantly confronted with a question as to the creditability of such statements. Unless a means can be found for detecting deliberate falsifiers it will be necessary, if the system is to work, to require the consignors to furnish proof of the accuracy of their statements. The difficulties of obtaining and supplying adequate proof seems to be so great that many securities will not be released promptly under General Ruling No. 5. A constantly increasing volume of securities will be accumulated in our vaults and, concurrently, presumably innocent parties at interest will suffer injury.

A similar problem is likely to arise in connection with applications on Form TFE-2. The restrictions on trading in securities

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NRA 968103  
By FV NARA D212 3/1/00

RG 131  
Entry FF Control  
File Fed Res Bnk NY.  
Box 122

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bearing foreign stamps were designed presumably as a safeguard against smuggling of securities into this country. Again, unless a ready means is available for detecting a deliberate falsifier, the burden on the public and this bank will be greatly increased by the necessity of obtaining complete evidence in proof of the statements made in Form TFE-2.

It is my belief that if a reasonably accurate list of serial numbers of "bearer" securities located in the invaded areas could be obtained, checking of bonds imported under General Ruling No. 5 against such black list and also the checking of securities bearing foreign stamps against the same list, would almost surely provide a means of checkmating any scheme for evading the controls established to prevent the marketing of expropriated securities. It is recognized that it is impossible to obtain a complete list of serial numbers on American bonds located in the occupied areas. It is believed, however, that a partial list of the serial numbers of such bonds can be obtained. As the usefulness of the black list will depend more on its accuracy than on its completeness, it should contain only the numbers of such bonds as we can be reasonably sure were actually located in the territory occupied by invading armies. There is a manifest difficulty in obtaining an accurate list of numbers to be included in a so-called black list. However, we have notices from a number of persons listing serial numbers of certain bonds located in the occupied areas. Furthermore, we are holding under General Ruling No. 5, a number of consignments of coupons which were dispatched from the occupied areas at about the date of invasion. Such coupons bear the serial numbers of the bonds from

DECLASSIFIED  
MID 968103  
By FV NARA Date 3/2/00

RG 131  
Entry FF Control  
File Fed Res Bk N.Y.  
Box 122

3.

which they were detached. As the coupons were presumably detached for purposes of collection, there is a strong presumption that the bonds from which they were detached were actually located in the occupied areas at the time of invasion. Consequently, such bond numbers should form a useful addition to the black list. Further additions to the black list might well be obtained by inviting banks and brokers to supply numbers for inclusion therein. Although information will be available only in isolated cases, it is entirely possible that in the aggregate banks and brokers may be able to supply quite a large number of such bonds for inclusion on the black list. It is even possible that certain foreign banks and brokers may have sent to this country for safekeeping duplicate records of bonds held in the occupied areas. It is also possible that through inquiries made in London, a large number of additions to the black list might be obtained because of the close relationship between London and the bankers in the occupied areas and also because a number of Dutch and Belgian bankers escaped to England about the time of the invasion and some of them may have taken with them records identifying certain securities left behind. Furthermore, I understand that the firm of Samuel Montague & Co., in London, has for many years engaged in the business of purchasing American coupons and that investors have found it more convenient to sell their coupons to Samuel Montague & Co., than to send them through the regular channels for collection. It is entirely possible that that firm may be able to furnish from its records substantial additions for a black list.

In case of an organized effort to market expropriated securities in this country, it would probably be done in some such

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DECLASSIFIED  
MDO Authority 968103  
By TV NARA Date 3/7/00

RG 131  
Entry F F Control  
File Fed Reg Bk N.Y.  
Box 132

manner as the following:

The services of a long established firm of bankers in South America will be employed to forward securities to this country for sale. Such bankers will probably supply voluminous statements of apparently reputable people indicating long ownership in South America of the securities so shipped.

Unless we have evidence on which to base a suspicion of falsity, there is some embarrassment in indefinitely holding up the release of securities. If, however, it appears that a number of bonds received from the hypothetical banker in South America are on our black list, we would then have a reasonable basis for refusal to release any of the securities coming into this country from the particular banker unless and until he can prove that the securities were erroneously included in our black list. On the other hand, we could protect the interests of legitimate security owners by releasing securities more rapidly on the basis of ex parte statements from apparently reliable persons when the securities in question were not on our black list. In other words, securities can be released more rapidly and the work involved in operating under General Ruling No. 5 of Section 13(A) of the Executive Order would be much less burdensome if we are in a position to assume "good faith" on the part of the applicants unless and until we have evidence to the contrary or grounds for suspicion.

NPD:am

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DECLASSIFIED

Authority NND 968103

By JB NARA Date 4-18-00

RG

131

Entry No. 2

Filed 6 Oct 1948

Box 457

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NOV 2 2 1946

My dear Mr. Federal Councillor:

As indicated in recent discussions with representatives of your Government, this Department is prepared to institute a procedure for removing the blocking controls now being exercised with regard to Switzerland and Liechtenstein under Executive Order No. 8389 and the Trading with the enemy Act of 1917, as amended. This procedure will be instituted by including Switzerland and Liechtenstein within the benefits of General Licenses Nos. 94 and 95.

The general effect of amending General License No. 94 to include Switzerland and Liechtenstein will be to license all transactions by, or on behalf of, Switzerland or Liechtenstein or their nationals so long as such transactions do not involve either assets in which, on the date of the amendment, Switzerland or Liechtenstein or any person therein had an interest, or any income accruing on such assets. As previously indicated to your Government, a special section will be added to General License No. 94 under which the provisions of General Ruling No. 17 will continue to be applicable to blocked property in the accounts of banks and financial institutions located in Switzerland and Liechtenstein until the property is certified under General License No. 95. The certification of assets under General License No. 95 will automatically render the provisions of General Ruling No. 17 inapplicable with respect to such assets.

The inclusion of Switzerland and Liechtenstein in General License No. 95 will make it possible for your Government, subject to the conditions of that license and of this letter, to effect the complete release of blocked property held in the names of persons within Switzerland or Liechtenstein if such property is eligible for certification. In addition, this Department will issue licenses which will permit the unblocking by certification of property held in General Ruling No. 6 accounts which were established pursuant to the provisions of General Ruling No. 17.

The action indicated above will be taken as soon as I am assured that the conditions set forth below are accepted by your Government.

1. The Government of Switzerland will assume full responsibility for carrying out the procedure of certification provided by General License No. 95. No property will be certified until

DECLASSIFIED

Authority NND 968103

By JB NARA Date 4-18-00

RG

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Entry No. 2 ~~131~~ PPE Sub. 4105

Filed 16 Oct 1948

Box 457

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the Swiss Government has ascertained by an appropriate investigation that the property is not excluded from the benefits of the license. In this connection, the Swiss Government will particularly investigate not only the ownership of stock and other interests in financial institutions, holding companies, foundations, family trusts, and the like, but will also examine separately the ownership of the assets held by such organizations and institutions since they may be acting as agents or cloaks. Moreover, in regard to property which may from time to time be specifically designated by this Department, the Swiss Government will consult with this Department prior to making the certification provided for in General License No. 95. It is understood that consultation will be held with respect to operating problems which may arise from time to time under the arrangement set out in this letter with a view to arriving at mutually satisfactory solutions and ensuring the smooth operation of the procedure.

2. In determining whether cash accounts maintained here in the names of banks and other financial institutions in Switzerland or Liechtenstein are eligible for certification, it will be considered that persons maintaining dollar accounts with such institutions have an interest in corresponding portions of the accounts in this country. In addition, persons having stock or other interests in any holding company, investment trust, foundation, family trust, or similar organization or institution will be considered as having a direct proportionate interest in the assets owned by the organization or institution, regardless of the formal nature of their interest, but this principle shall not be deemed to authorize the certification of any assets held by any such organization or institution which itself is ineligible for certification.

3. It will be understood that no certifications will be issued which

(a) would facilitate the completion of transactions which would further the interests of an enemy of the United States as defined below or of persons acting upon behalf of an enemy, or

(b) would change the status of blocked property in the United States in which on or since the effective date of the Order an enemy has had an interest, direct or indirect.

4. As to the property of any partnership, association, corporation or other organization established under the laws of Switzerland or Liechtenstein which, by reason of the interests of persons not resident in Switzerland or Liechtenstein, is also a national of another foreign country designated in the freezing Order as defined in General License No. 95, no certification will be made until

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DECLASSIFIED

Authority NND 968103

By JB NARA Date 4-18-00

RG

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Entry No 2 ~~12~~ <sup>13</sup> ~~1948~~ FCC Sec. Fols

Filed 6 Oct Jan 48

Box 457

- 3 -

full assurances have first been obtained from the government of the other country to the specific effect that no national of Germany, Japan, Bulgaria, Hungary or Romania other than one who is entitled to the privileges of General License No. 95 is involved in the ownership or control of such interests. For reasons of simplification, however, the Swiss authorities may, on their own responsibility, certify property of any such organization in which the proportion of such interests is less than 25%.

With respect to any property not covered by the preceding paragraph in which any other country specified in General License No. 95, or any national thereof, has an interest, the Swiss Government will not certify until full assurances have been obtained from the other government that such interest itself is entitled to certification under the license. It will not be necessary, however, to obtain such assurances where the value of the property involved is less than \$1,000.

5. If property in which there is an enemy interest is certified under the license inadvertently or by mistake, this Department will be consulted and, at its request, your Government will take appropriate measures to ensure that such property or its equivalent will be restored to the account in which it was held before being certified or to such other account as this Department may designate, but only to the extent to which such property or its equivalent may be found among the assets of the first acquirer or the original owner. It is agreed that there will be joint consultation in specific cases in which this Department has reason to believe that property has been improperly certified.

6. Immediately following the inclusion of Switzerland and Liechtenstein in General License No. 95, the Swiss Government will require each bank and other financial institution in Switzerland and Liechtenstein to transfer to a special blocked account in the United States in the name of the Swiss National Bank any property held in the accounts of such bank or financial institution in which any of the following has or has had an interest on or since the effective date of the Order:

(a) The pre-Armistice Governments of Germany and Japan and any agency, instrumentality, or representative of either such government;

(b) Any citizen or subject of Germany or Japan within either such country or any such person in Switzerland or Liechtenstein who is to be repatriated;

(c) Any partnership, association, corporation, or other organization which is organized under the laws of, or which at any time on or since December 7, 1941, has

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had its principal place of business in, any territory of Germany or Japan.

The property to be transferred will include any securities in which on or since June 14, 1941, any such government or person has had an interest and a sufficient amount of cash to cover fully any dollar accounts maintained on the books of the bank or other financial institution at any time on or since June 14, 1941, in which any such government or person has or has had an interest, without deduction of outpayments excepting those made under license of this Department. In this connection, licenses to permit the above transfers will be issued by this Department coordinately with the amendment of General License No. 95.

7. The Swiss Government will make such investigations and take such measures as are necessary to ensure the segregation of all securities located in Switzerland or Liechtenstein which have been issued by the United States Government, its political subdivisions, and corporations organized under the laws thereof, regardless of the currency in which such securities are payable, which have been looted in countries occupied by the enemy or in which there is or has been a German or Japanese interest since the respective dates on which the freezing regulations of Switzerland were extended to Germany and Japan. A certification will be affixed to each security which is entitled to the benefits of General License No. 95.

8. The Swiss Government undertakes by appropriate means to obtain information concerning United States currency in Switzerland or Liechtenstein in which there is or has been a German or Japanese interest since the respective dates on which the freezing regulations of Switzerland were extended to Germany and Japan, and to segregate any such currency.

9. Your Government will supply to this Department full information with respect to any property held in the United States under the name of a person in Switzerland or Liechtenstein in which there is any reason to believe that there is or has, since the effective date of the Order, been any enemy interest, direct or indirect. Such information will be supplied on a current basis as rapidly as your Government shall have developed the pertinent facts. This will include complete details concerning the interests in property in the accounts of banks or other financial institutions in Switzerland or Liechtenstein which must be transferred in accordance with paragraph 6 above. There will also be supplied to this Department full information concerning any securities or currency segregated in accordance with paragraphs 7 and 8 above because of enemy interest. The ultimate disposition of property in which there is or has been an enemy interest will be determined at a later date.

For its part, this Department will currently supply your Government with information concerning persons it has reason to believe

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may have acted as agents or cloaks for enemies.

As used herein, the term "enemy" shall mean the following:

1. The pre-Armistice Governments of Germany, Japan, Hungary, Rumania, Bulgaria, or Italy and any agent, instrumentality or representative of any of the foregoing Governments;

2. Any individual within Germany, Japan, Bulgaria, Hungary, Rumania, or Italy except (a) any individual who is serving with or accompanying the armed forces of any of the United Nations, or (b) any individual who entered any such country after the respective Armistice other than an individual who on and since December 7, 1941, has resided only in such countries;

3. Any individual who is a citizen or subject of Germany or Japan and who at any time on or since December 7, 1941, has been within the territory of Germany, Japan, Hungary, Rumania, Bulgaria or Italy or within any other territory while it was occupied or controlled by Germany or Japan other than an individual not within Germany, Japan, Bulgaria, Hungary, Rumania or Italy who is determined by the United States Treasury representative in Switzerland to be a bona fide victim of persecution by the German National Socialist or Italian Fascist Governments.

4. Any partnership, association, corporation, or other organization which is organized under the laws of, or which at any time on or since December 7, 1941 has had its principal place of business in, any territory of Germany, Japan, Bulgaria, Hungary, Rumania, or Italy; and

5. Any partnership, association, corporation, or other organization situated within any foreign country which is a national of Germany, Japan, Hungary, Rumania, or Bulgaria by reason of the interest therein of any government or person specified in this paragraph.

You will recall that the accounts of the Government of Switzerland and of the Swiss National Bank have already been unblocked. Accordingly, after a reasonable period following the inclusion of Switzerland in General Licenses Nos. 94 and 95, this Department intends to revoke General License No. 50. However, your Government will be informed of such action in advance.

I also wish to take this opportunity to point out that it will be necessary, after a reasonable period following the inclusion of Switzerland and Liechtenstein in General License No. 95, for us to take measures to deal with any blocked property standing in the names of persons within Switzerland and Liechtenstein which has not been certified by your Government. Before taking any such measures, this Department will seek an exchange of views with your Government. To minimize the problem, it is suggested that your Government take immediate measures to encourage all such persons to make application to

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your Government for the unblocking of their property. This will help your Government promptly to determine whether the property is properly certifiable or whether it should be reported to this Department by reason of the enemy interest therein.

Sincerely,

(Signed) JOHN W. SCHAFFER  
Secretary of the Treasury

His Excellency,  
Dr. Max Petitpierre,  
Chief, Federal Political Department,  
Government of Switzerland

mail Received 9/23/98 JSH AMO

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By: B AMRA Date 4-26-00

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TO: Mr. James H. Mann  
 FROM: J. S. Richards

There are set forth below our detailed comments concerning the Swiss counter-proposals on defrosting for your guidance in further discussions with Swiss authorities.

Second Introductory Para.

First Sentence

Comment:

Since there does not appear to be a basic difference in the two versions of this sentence, we should accept the Swiss proposal after exploring it with them to make sure that no basic change is intended, with the following slight grammatical clarification:

"The general effect of amending General License No. 94 will be to include Switzerland and Liechtenstein, except as to transactions involving either assets in which, on the date of the amendment Switzerland or Liechtenstein or any person therein had an interest, or any income accruing on such assets."

Last Sentence

Treasury Proposal

General Ruling No. 17 is to remain applicable to blocked property in accounts of banks and financial institutions until the property is certified under General License No. 95.

Swiss Counter Proposal

The Swiss have added to the Treasury's proposal the following sentence: "The certification of assets under General License No. 95 will automatically render the provisions of General Ruling No. 17 inapplicable in respect of the said assets."

Comment:

There is no objection to this addition.

Third Introductory Para.

Treasury Proposal

"The inclusion of Switzerland and Liechtenstein in General License No. 95 will make it possible for your Government, subject to the conditions of that license and of this letter, to effect the complete release of blocked

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property held in the names of persons within Switzerland and Liechtenstein if such property is eligible for certification."

#### Swiss Counter Proposal

The Swiss have inserted a phrase which would permit the certification of property held in General Ruling No. 6 accounts.

#### Comment:

Property has been forced into General Ruling No. 6 accounts for many reasons and we cannot grant the Swiss request for blanket certification of these accounts since we do not know what is involved. However, we are prepared to go part way with the Swiss and will issue blanket licenses which will permit the unblocking by certification of property held in General Ruling No. 6 accounts which were established pursuant to General Ruling No. 17. We do not, therefore, object to the addition of a sentence along the following lines if the Swiss request it:

"In addition, this Department will issue licenses which will permit the unblocking by certification of property held in General Ruling No. 6 accounts which were established pursuant to the provisions of General Ruling No. 17."

Moreover, the Swiss should be invited to bring to our attention the facts of any particular case involving property held in other General Ruling No. 6 accounts which they deem eligible for certification. It should be explained to the Swiss that should they inadvertently or by mistake certify property in General Ruling No. 6 accounts, it will merely mean that our banks will call these cases to our attention so that we can discuss with the Swiss any such cases which we are not in position to approve after reviewing our files.

#### Fourth Introductory Para.

#### Treasury Proposal

This paragraph states that General Licenses Nos. 94 and 95 will be extended to Switzerland and Liechtenstein as soon as the Swiss Parliament has ratified the Accord and Annex and has assured us that the conditions set forth in this letter are accepted.

#### Swiss Counter Proposal

The Swiss propose that the action be taken forthwith since the Swiss Parliament has now ratified the Accord and Annex.

#### Comment:

It appears that the Swiss desire an appropriate modification of this paragraph in view of the ratification of the Accord and Annex. The Swiss

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proposal, however, requires further modification to make it clear that the unblocking measures will not be taken until the assurances of the Swiss have been received that the procedures described in the letter are accepted. The following is suggested: "The action indicated above will be taken as soon as I am assured that the conditions set forth below are accepted by your Government." If, however, the Swiss insist on a reference to the Accord and Annex, we would not object to a preliminary clause along the following lines: "Since the Swiss Parliament has ratified the Accord and Annex between Switzerland and Great Britain, France and the United States concerning German assets and gold ...."

Paragraphs (1) and (2)

Treasury Proposal

In discussing the responsibility of the Swiss Government under the certification procedure, we emphasized in paragraph (1) the necessity for investigating not only the ownership of stock and other interests in financial institutions, holding companies, foundations, family trusts, and the like, but also the necessity for examining separately the ownership of the assets held by such organizations and institutions since they may be acting as agents or cleaks.

In the second sentence of paragraph (2), we set forth a principle which, for the purpose of certification, determines what constitutes an interest in assets held in the United States, stating that persons having stock or other interests in any holding companies, investment trusts, foundations, family trusts or similar organization or institution will be considered as having a direct proportionate interest in the assets owned by the organization or institution regardless of the formal nature of their interest.

Swiss Counter Proposal

The Swiss make no change in paragraph (1) of our proposal but eliminate the second sentence in paragraph (2) containing the reference to organizations stating that it is superfluous in view of the provisions regarding the investigation of organizations of this nature as provided for in the preceding paragraph.

The Swiss also propose the understanding that the provision of paragraph (2) concerning cash accounts maintained in the United States in the name of banks and other financial institutions in Switzerland and Liechtenstein applies to all balances existing at the date of certification. (This latter proposal discussed in comment under paragraph 6.)

Comment:

In the first paragraph, we emphasize the necessity for a careful investigation of organizations of the nature referred to, not only with respect to proprietary interests in such organization but also with respect

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to the property held for their accounts in order to detect any blocking. In the second sentence of the second paragraph, however, we consider it necessary that it be clearly stated and understood that persons having stock or other interests in any holding company, investment trust, foundation, family trust, or similar organization or institution must be considered as having a proportional interest in the property held for the account of the organization or institution. This sentence is not superfluous and should be retained. Moreover, in order to make it clear to the Swiss that such organizations which are owned, for example, 65% by Germans and 15% by Swiss are excluded from the privileges of General License No. 95 and that no property held by them may be certified, we now propose that the following clause be added to the last sentence of paragraph 2 of our letter: "..., but this principle shall not be deemed to authorize the certification of any assets held by any such organization or institution which itself is ineligible for certification."

However, in the case of any such organization which is not itself excluded from certification by virtue of being a national of Germany, Japan, Hungary, Rumania, or Bulgaria, the Swiss may certify that part of its property which, since the effective date of the Order, has belonged to persons eligible for certification, provided any property of such organization in which non-eligible persons have or have had an interest is not certified.

In suggesting the omission of this sentence and stating that it is understood that the property of such organization or institution will be admitted to the procedure provided for in paragraph (4) only after a separate investigation in the sense of the third sentence of paragraph (1) has been made, the Swiss may have in mind raising the problem of widely held investment trusts. If this be the case, this matter has already been discussed with the Swiss and it has been indicated that we would consider specific cases they care to bring to our attention.

Paragraph (4)  
Treasury Proposal

We set forth a sub-certification procedure under which the Swiss Government would not certify property of any partnership, association, etc., established under the laws of Switzerland or Liechtenstein which by reason of the interests of persons not resident in Switzerland or Liechtenstein is also a national of another blocked country, until the Swiss Government has obtained from the government of the other country concerned full assurances that no enemy has an interest therein.

Swiss Counter Proposal

The Swiss demand this paragraph to omit the requirement of sub-certification, stating that this procedure, apart from being arbitrary,

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will not likely lead to the disclosure of any enemy interest which would not be disclosed as a result of investigations to be conducted under the Accord.

#### Comments:

The reference to the Accord is irrelevant since the Accord deals only with German-owned property in Switzerland, while the defrosting procedure is concerned with uncovering all enemy property in the United States. It is a basic concept of the certification procedure that investigations should be conducted by the government of the country having jurisdiction over the national involved, since that government is in the best position to determine ultimate beneficial ownership. Moreover, the Swiss Government could hardly extend its investigation into countries other than Switzerland or Liechtenstein.

The Swiss also demand the omission of any reference to the sub-certification procedure on the ground that the interests of persons other than Swiss might be widely spread over groups having no cohesion among themselves. In this connection, you should again bring to the attention of the Swiss the substance of our cable 1598, July 25, 1946, referring to the questions raised in the July 3rd meeting of the Swiss and Hengen, Mann and Alk.

In addition to the above, we notice that the Swiss have inserted the phrase "in the meaning of General License No. 95" in the first sentence of paragraph (4) of their proposed letter. We do not think that the addition of this phrase is correct. However, we have no objection to inserting the following phrase "other than one who is entitled to the privileges of General License No. 95".

#### Paragraph (5)

##### Treasury Proposal:

This paragraph provides that the Swiss Government will take appropriate measures to insure that property which is certified inadvertently or by mistake will be restored to the account in which it was held before being certified.

##### Swiss Counter Proposal:

To this provision the Swiss have added the following clause: "...but only to the extent to which such property or its equivalent may be found among the assets of the first acquirer or the original owner."

#### Comment:

We are prepared to accede to the Swiss position. You will note that the Dutch letter of assurances has the clause proposed by the Swiss. However, you may, in your discretion, use this concession as a bargaining point in securing some concession from the Swiss regarding the intent of paragraph (10) of our original draft letter. (see comment below under heading paragraph (10))

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Paragraph (6)Treasury Proposal

This paragraph provides that prior to certifying any property held for financial institutions in Switzerland or Liechtenstein, the Swiss will require the financial institution to transfer to a separate account of the Swiss National Bank any property in which an enemy government or person specified in General Ruling No. 11A has or has had an interest on or since the effective date of the Order.

This paragraph also proposes that the property to be transferred shall include any securities in which on or since June 14, 1941 an enemy has had an interest and an amount of cash to cover fully any dollar accounts maintained on the books of the financial institution at any time on or since June 14, 1941 in which an enemy has had an interest without deduction for outpayments excepting those made under license.

In addition, the paragraph provides for a guarantee that should any of the property transferred be vested, such action will not be contested by the financial institution which previously held the property in its account.

Swiss Counter Proposal

In reply, the Swiss propose that only property in which the pre-Armistice Government of Germany or any German in Germany, as defined in the Annex to the Accord, has an interest should be transferred to the special blocked account. The Swiss have also (1) deleted any reference to the transfer of cash; (2) stated that it is understood that prior to the transfer, deductions will be made for normal service charges; (3) advanced the presumption that the transfer of dollar accounts applies to all balances existing at the date of certification (which makes it appear that the deletion described in (1) is inadvertent); and (4) deleted the guarantee that any vesting of property will not be contested, but inserted a provision that the United States will indemnify persons whose property is vested. The Swiss proposal also requires that the Government of Switzerland be informed beforehand that persons in the United States have been authorized to execute immediately the transfers required under this paragraph. The Swiss also state that it is their assumption that the requirement for transfer will not in any way delay the certification of the eligible parts of the accounts of their banks and financial institutions.

Comments

In view of the Swiss position, we are prepared to revise our original proposal along the following lines: (1) restrict the definition of persons whose property is to be transferred so as to make it apply principally to Germans and Japanese in Germany and Japan, but not accept the Swiss definition since (a) it excludes Japanese, and (b) we desire to avoid any implication that property in the United States is covered by the Accord; (2) drop the

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requirement that the Swiss guarantee that any vesting action will not be contested and, of course, reject the Swiss proposal that this Government indemnify persons whose property is vested (it is doubtful that this Government would be able to make any promises to the Swiss re eventual indemnification by future German Government for property vested by this Government); (3) retain the provision that cash in camibus accounts in which German or Japanese enemies have an interest be transferred; (4) eliminate the provision that the transfers must be effected prior to certification, provided the Swiss agree to transfer immediately upon the inclusion of Switzerland and Liechtenstein in General License No. 95 all known German and Japanese enemy property; (5) correct the presumption of the Swiss that only property in which an enemy has an interest at the date of certification need be transferred, since we contend that enemy interests in property in the United States at the time of freezing can be extinguished only under Treasury licensees; (6) accept the Swiss proposal that deductions for normal bank service charges be made before the transfer of property; and (7) insert a sentence to the effect that licenses authorizing transfers to special blocked accounts will be issued. We are therefore prepared to revise paragraph (6) along the following lines:

"Immediately following the inclusion of Switzerland and Liechtenstein in General License No. 95, the Swiss Government will require each bank and other financial institution in Switzerland and Liechtenstein to transfer to a special blocked account in the United States in the name of the Swiss National Bank any property held in the accounts of such bank or financial institution in which any of the following has or has had an interest on or since the effective date of the Order:

- (a) The pro-Nazi Government of Germany and Japan and any agency, instrumentality, or representative of either such government;
- (b) Any citizen or subject of Germany or Japan within either such country or any such person in Switzerland who is to be repatriated;
- (c) Any partnership, association, corporation, or other organization which is organized under the laws of, or which at any time on or since December 7, 1941 has had its principal place of business in, any territory of Germany or Japan.

"The property to be transferred will include any securities in which on or since June 14, 1941 any such government or person has had an interest and a sufficient amount of cash to cover fully any dollar accounts maintained on the books of the bank or other financial institution at any time on or since June 14, 1941, in which any such government or person has or has had an interest, without deduction of outpayments excepting those made under license of this Department. In this connection, licenses to permit the above transfers will be issued by this Department coordinate with the amendment of General License No. 95."

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If the Swiss raise a question with respect to (a) above, you may advise that the transfer of property belonging to German and Japanese corporations and other organizations in which non-enemy interests exist does not preclude protection being given such non-enemy interests.

Paragraph (7)  
Treasury Proposal

This paragraph requires the Swiss Government to promptly investigate the ownership since June 14, 1941 of all securities issued by persons in the United States or payable in United States dollars which are located in Switzerland or Liechtenstein and the segregation of securities which are determined to be non-certifiable.

Swiss Counter Proposal

The Swiss state that they understand that this provision relates to securities issued within or outside the United States by or on behalf of a United States corporation or community, payable in dollars. The Swiss, however, reserve their position on the entire question until they are informed of what is being required of other countries specified in General License No. 95.

Comments:

For the purpose of dealing with enemy interests, we consider that securities issued by persons in the United States, regardless of the currency in which issued, are subject to the jurisdiction of this Government. Therefore, we must insist on inclusion of the paragraph in the letter relating to securities. However, in view of the strong possibility that the assembly of IANA will accept the "country of the issuer" principle in settlement of conflicting custodian claims with respect to securities, we are willing to modify the first sentence of paragraph (7) to read as follows:

"The Swiss Government will promptly investigate the ownership since June 14, 1941 of all securities issued by the United States Government, its political subdivisions, and corporations organized under the laws thereof, regardless of the currency in which such securities are payable."

In this connection, there is quoted below for your information the text of the letter of assurances received from the Greek Government with respect to securities:

"My dear Mr. Secretary:

In connection with my letter of assurances No. 4679, under today's date, I wish to inform you that the provisions contained therein will

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apply with respect to securities issued in the United States which are located in Greece whenever such securities are made the subject of any certification under the proposed license. Moreover, to the end that the mutual principles and objectives referred to in the letter may be applied more generally to American securities in Greece, the Ministry of Finance will promptly investigate the ownership of all such securities since April 24, 1941. A certification will be affixed to each security which is entitled to the benefit of the license while other securities will be appropriately segregated until the question of their final disposition is resolved. The Treasury Department will be informed of all securities in which there is reason to believe any enemy interest may exist.

"Accept, my dear Mr. Secretary, the expression of my high consideration."

This letter is typical of those received from all countries now specified in General License No. 95 and you may inform the Swiss of the nature of these arrangements. Greece will be included in General License No. 95 on October 15, 1946.

#### Paragraph (8)

#### Treasury Proposal

This paragraph obligates the Swiss Government to investigate the ownership of all United States currency located in Switzerland and Liechtenstein and to segregate any such currency in which on or since June 14, 1941, an enemy has had an interest.

#### Swiss Counter Proposal

As in the case of securities, the Swiss reserve their position with respect to the currency program. The covering Memorandum, moreover, informs that the Swiss desire that any such program affect only currency of \$50 and greater denomination.

#### Comments:

Since we have not asked the countries specified in General License No. 95 to investigate the ownership of United States currency, we probably will not be able to retain entirely our position with the Swiss. Since it is probable that the Yalta countries will settle conflicting custodial problems with respect to currency on the "country of issue" principle, we suggest that U. S. currency in which an enemy interest exists be segregated. However, the practical difficulties in tracing the ownership of currency is recognized. Accordingly, we are prepared to amend paragraph (8) to read as follows:

"The Swiss Government, through requiring reports or by other appropriate means, will obtain information concerning United States currency

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in Switzerland in which there is an enemy interest and will segregate any such currency."

It should, of course, be explained to the Swiss that this provision relates to all U. S. currency, including denominations of \$20 and less.

Paragraph (9)

Treasury Proposal

This paragraph imposes upon the Swiss an obligation to keep this Government currently informed of the facts developed concerning direct or indirect enemy interests in property held in the United States under the name of a person in Switzerland and Liechtenstein and in securities and currency referred to in paragraphs (7) and (8).

Swiss Counter Proposal

The Swiss delete this entire paragraph and substitute a requirement that this Government furnish forthwith a list of the persons who are suspected of being agents or clerks. They justify the deletion by stating that there is no connection between the requirement of their supplying information and the certification procedure. The Swiss also state that the supplying of information is provided for in the Accord.

Comments

The promise of the Swiss that there is no direct connection between certification and the supplying of information is false, since the entire certification program is designed to uncover hidden enemy assets. Moreover, the Swiss statement that supplying of information with respect to German property is provided for in the Accord is irrelevant since the Accord deals with German-owned property in Switzerland while the certification procedure relates to enemy property in the United States.

Without the paragraph proposed by Treasury, there is no purpose in entering into a defrosting arrangement. However, we do not object to including at the end of the paragraph a statement along the following lines:

"For its part, this Department will currently supply your Government with information concerning persons it has reason to believe may have acted as agents or clerks for enemies."

In view of change in paragraph (9), the penultimate sentence of paragraph (9) should be revised to read as follows:

"There will also be supplied to this Department full information concerning any securities or currency segregated in accordance with paragraphs (7) and (8) above because of enemy interest."

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Paragraph (10)  
Treasury Proposal

This paragraph requires a monthly report by the Swiss to the United States Legation showing the name of each person having an interest in assets which have been certified and the approximate value of the assets involved unless the value of the assets is less than \$10,000. The Swiss would also agree to submit, upon specific request, information with respect to the beneficial owners since June 14, 1941 of certified assets and evidence establishing the facts.

Swiss Counter Proposal

The Swiss reject this paragraph, pointing out that Treasury should submit information with respect to checks and that this course would better enable the Swiss to fulfill their obligations under the letter.

Comment:

We are prepared to drop the first sentence of our original paragraph (10). However, we do not object should you desire to use the sentence as a bargaining point to obtain some informal agreement with the Swiss providing for consultation between you and the SSO and other appropriate Swiss officials concerning certified assets. In this connection, see comment under paragraph (5) above.

Assuming paragraph (5) is changed to meet Swiss view and that first sentence of (10) is dropped, there should be added to the modified paragraph (5) a sentence along the following lines:

"The Swiss Government agrees that there will be joint consultation in specific cases in which this Department has reason to believe that property has been improperly certified."

Such a sentence would replace the last two sentences of our original paragraph (10) and that paragraph would therefore be completely eliminated.

Definition of term "enemy"  
Swiss Counter Proposal

The Swiss alter the definition of enemy by including a clause which exempts citizens of Switzerland and subjects of Liechtenstein who are residing in the enemy countries and Swiss women residing in such countries who have acquired German nationality by reason of marriage. The Swiss also comment that the vesting by them of property in Switzerland and Liechtenstein of Germans for liquidating purposes automatically abolishes any German interest and renders such property eligible for certification.

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Comment:

This exemption should not be accepted at this time. Except as provided in our original definition, citizens of all countries including the United States and countries specified in General License No. 95 who are residing in Germany are enemies under our regulations. Granting the requested exemption would, therefore, be discriminatory with respect to persons of other nationality residing in Germany. It should be pointed out, however, that paragraph (6) does not require that the property of persons whom the Swiss desire to exempt which is held through financial institutions in Switzerland be transferred to the special blocked account. Accordingly, the effect of the definition proposed by us is merely to preclude certification. Treasury will be prepared to consider the facts in specific cases if the Swiss so desire.

In connection with the Swiss remarks concerning the elimination by vesting of German interest in property in Switzerland, it should be made clear to them that the vesting of property under the Accord in no way affects the status of property subject to our freezing controls. Pending resolution of the inter-custodial problems relating to securities and currency, we must also insist that any U. S. securities or currency held in Switzerland or Liechtenstein for enemies be segregated as provided for in paragraphs (7) and (8), regardless of any vesting action taken by the Swiss.

Finalistic paragraphTreasury Proposal

This paragraph explained that since the accounts of the Government of Switzerland and Banque Nationale Suisse have been unblocked, General License No. 50 will serve no useful purpose and will be revoked once Switzerland is included in General Licenses Nos. 94 and 95.

Swiss Counter Proposal

The Swiss propose that, since the execution of the certification program will extend over a certain length of time, General License No. 50 will not be revoked immediately but that our two Governments will consult after a reasonable length of time concerning the revocation of this license.

Comments:

Although General License No. 50 will not be necessary once Switzerland is included in general Licenses Nos. 94 and 95, we do not feel that its immediate revocation is essential. Accordingly, we are prepared to go part way with the Swiss and revise this paragraph to read as follows:

"You will recall that the accounts of the Government of Switzerland and of the Swiss National Bank have already been unblocked. Accordingly,

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By B NHRA Dec 4 2010

RG 131

Entry EFG Contd File

File Switzerland Defense

Box 457

- 13 -

after a reasonable period following the inclusion of Switzerland in General Licenses Nos. 94 and 95, this Department intends to revoke General License No. 50. However, your Government will be informed of such action in advance."

Final Paragraph  
Treasury Proposal

This paragraph points out the necessity of our taking measures after a reasonable period of time following the specification of Switzerland and Liechtenstein in General License No. 95, to deal with uncertified Swiss property and urged the Swiss to take measures to encourage the prompt certification which will thus enable the Swiss to determine if the property is certifiable or whether it should be reported by reason of the enemy interest thereina.

Swiss Counter Proposal

The Swiss proposal provides for joint consultation in order to agree upon measures to deal with uncertified property. The Swiss also state that the encouragement of persons to make application for certification will help them in determining whether the assets are properly certifiable or whether they should be transferred to the special account described in paragraph (6) by reason of the German interest therein or also whether the lack of certification is attributable either to difficulties in effecting the procedure under this letter or to other reasons.

In addition, the Swiss propose the inclusion of a sentence to the effect that this letter sets forth guiding principles and that whenever their application results in a manner prejudicial to the Swiss economy, our two governments will consult with a view to appropriate and equitable modification.

Comment:

The Swiss counter proposal is objectionable in part. We cannot commit ourselves to reaching an agreement with the Swiss as to the disposition of uncertified property. No commitment such as that asked by the Swiss has been extended to other governments and in our discussions with them, we have reserved the right to determine what property should be vested. We could, however, agree to consult with the Swiss with respect to uncertified Swiss property for the purpose of securing information and to give notice of our intentions. Accordingly, we suggest that the final paragraph of our letter be revised to read as follows:

"I also wish to take this opportunity to point out that it will be necessary, after a reasonable period following the inclusion of Switzerland and Liechtenstein in General License No. 95, for us to take measures to deal with any blocked property standing in the names of persons within Switzerland and Liechtenstein which has not been certified by your Government. Before taking any such measures, this Department will seek an exchange of views with your Government. To minimize

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Authority NND 168103

By B NARA Date 4-20-01

RG 131

Entry FFC Contr File  
File SW with Detest  
Box 457

- 14 -

The problem. It is suggested that your Government take immediate measures to encourage all such persons to make application to your government for the unblocking of their property. This will help your Government promptly to determine whether the property is properly claimable or whether it should be referred to this department by reason of the army interest therein."

The Swiss suggestion providing for future consultation if the provisions of the existing procedure react in a manner prejudicial to the Swiss economy was covered in the case of the Dutch by including an appropriate sentence in the Dutch letter of assurances. We are prepared to concede the same point to the Swiss. Accordingly, we do not object to adding to paragraph (1) of our letter the following sentence:

"It is understood that consultation will be held with respect to operating problems which may arise from time to time under the arrangement set out in this letter with a view to arriving at mutually satisfactory solutions and ensuring the smooth operation of the procedure."

Cleared in principle  
with Avery 10/1/46 J.R.

Mitchell Clegg J.R.

321777

DECLASSIFIED	RG
Authority NND 968103	<u>131</u>
By JB NARA Date 4-18-00	Entry <del>POLITICAL</del> PRC SUB-PICS

File Vol. 5  
Box 457



AIR MAIL  
American Legation

POUCH

No. 443

UNITED STATES OF AMERICA  
TREASURY DEPARTMENT



Bern, August 4, 1947.

Dear John:

Last Saturday Mr. Julius Yablok called to see me concerning the certification of certain assets in the United States held in the name of Berco, Limited, 8 Rue de Rive, Geneva. Mr. Yablok had a letter of introduction from Mr. Sherlock Davis, 521 Fifth Avenue, Suite 2219, New York, N.Y., an attorney practicing in New York and Washington and formerly Assistant Military Attaché at the American Embassy in Buenos Aires during my stay there. According to Mr. Yablok, Mr. Davis had discussed this matter with Tom Lynch of the Treasury Department and Mr. Lynch had referred him to me.

Mr. Yablok said that the funds held in the name of Berco, Limited, a holding company, were funds which had originated in France from one Mr. Bentheim about 1938, that the funds had not been declared to the Swiss authorities for tax purposes, and that the funds belonged to the Jewish underground. The present directors of Berco, Limited, do not desire to approach the Swiss Compensation Office for certification of the funds because of the heavy taxes that would be assessed and since it appears that there has been a French interest in the funds since June 14, 1941 because a cross-certification from the French would be required. I told Mr. Yablok that in the event his clients did not desire to approach the Swiss Compensation Office to obtain a certification that in view of the peculiar circumstances of this case he should call upon you and discuss the problem with you. I assume that Mr. Yablok will call upon you shortly after his return to the United States.

Very truly yours,

James H. Mann,  
U.S. Treasury Representative.

Mr. John S. Richards,  
Assistant Director,  
Office of International Finance,  
Treasury Department,  
Washington 25, D. C.

321778

DECLASSIFIED

Authority NND968103  
By J.B. NARA Date 4-20-00

RG 131

Entry FFC Control File  
File Economic Warfare  
Box 457AIR MAIL  
American LegationUNITED STATES OF AMERICA  
TREASURY DEPARTMENT

Ans. dated 1/6/48

No. 566

Bern, December 2, 1947

Dear John:

Mr. R. M. Bartenstein, a German national, has repeatedly approached this office for assistance in unblocking his dollar assets, amounting to roughly \$30,000, held through the Schweizerische Kreditanstalt in Zurich. Since Mr. Bartenstein does not fulfill our requirements for the special privileges of a bona fide victim of Nazi persecution, this office was unable to be of assistance.

On September 7, 1947, Mr. Bartenstein submitted to Mr. Mann a statement which shows that he lived in Germany throughout the war, that he left Germany for the first time for a visit to Switzerland in April 1946, that in July 1947 he again came to Switzerland with the intention of remaining here, and that he has been in this country since that time. Mr. Bartenstein nevertheless contends that he is a victim of Nazi persecution, asserting that soon after Hitler's advent to power he was deprived by the Nazis of his position as managing director of the branch office of the Deutsche Bank in Dresden.

Mr. Bartenstein contends that the fact that he was smart enough to outwit the Nazis and escape imprisonment or confinement in a concentration camp should not place him in a less favorable position than other less fortunate victims of Nazi persecution. He submitted photostatic copies of documents indicating that he rendered valuable service to the American Military Government in Germany and that he was appointed Chief Custodian of Blocked Property for the Bavarian district in which he resided towards the end of the war.

Several weeks ago, a captain of the United States Army assigned to the Office of the Deputy Director of Intelligence in Germany, APO 757, came to this office to bespeak my assistance in obtaining a Treasury license for the unblocking of Mr. Bartenstein's assets. This Army officer likewise mentioned the services which Mr. Bartenstein rendered to the American Army, particularly in assisting certain German groups in concealing from the Nazis, shortly before the end of the war, valuable documents belonging to the German Army, which he handed over to the American military authorities after the end of hostilities. According to this Army officer, Bartenstein's stepson,

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Authority NND968103  
By JB NARA Date 4-20-01

RG 131

Entry FFC Control File  
File Economic Warfare  
Box 457

2-

Mr. Albert Schoeller, who is at present employed in the Office of the Deputy Director of Intelligence, assisted him in concealing the documents from the Nazis.

I explained to the Army officer the Treasury regulations with respect to the definition of a bona fide victim of Nazi persecution. I told him that, if the Colonel in charge of the Intelligence Office to which Mr. Bartenstein rendered this service would submit a statement to that effect, I would be pleased to forward it to the Treasury. I have now received the attached statement, dated November 7, 1947, signed by Col. W. K. Liebel.

The Treasury's views on this case will be appreciated.

Very truly yours,

*Walter W. Ostrow*  
Walter W. Ostrow,  
U. S. Treasury Representative.

Mr. John S. Richards, Director,  
Foreign Funds Control,  
U. S. Treasury Department,  
Washington 25, D. C.

Enclosure:

Statement dated November 7, 1947, concerning services rendered by Mr. R. M. Bartenstein.

321780

DECLASSIFIED	RG
Authority NND968103	131
By B NARA Date 4-26-00	Entry FFC Control File

RG 131  
Entry FFC Control File  
File Economic Warfare  
Box 457

~~SECRET~~

HEADQUARTERS EUROPEAN COMMAND  
Office of the Deputy Director of Intelligence  
APO 757 US Army

7 November 1947

SUBJECT: Statement Concerning Services Rendered  
by Mr. R. M. BARTENSTEIN

TO : United States of America Treasure Department  
American Legation, Mr. Walter W. OSTROW  
US Treasury Representative BERN  
Elfenstr. 5, BERN, Switzerland

1. Before the end of World War II, Mr. BARTENSTEIN assisted certain German circles in their preparation to safe-keep valuable documents of the German Army to be used later by the US Military Forces.

2. Mr. BARTENSTEIN kept several cases of these highly classified documents on his property located at NEUHAUS am Schliersee, Oberbayern, Waldschmidtstr. 1.

3. After the end of hostilities, these documents were handed over to the US Military Forces.

4. Mr. BARTENSTEIN, through this action, definitely rendered most valuable services to the US Army prior to the end of the war, and did this in spite of the danger which was connected with receiving and safe-keeping these highly classified documents.

5. The stepson of Mr. BARTENSTEIN, Mr. Albert SCHOELLER, participated in the preparation for the safe-keeping of these documents. Mr. SCHOELLER is still working for this headquarters in a very important position.

*W.K. Liebel*  
W. K. LIEBEL  
Col. Inf.

S No. AB 983 Copy No. 1 of 3 copies  
Page No. 1 of 1 page

SECRET  
~~SECRET~~

321781

DECLASSIFIED

Authority NND968103  
By SB NARA Date 4-20-00

RG

131

Entry FFC Control File  
File Economic Warfare  
Box 457

Bern, December 16, 1947.

Dear Dr. Schwab:

Recently I received a letter from Dr. Ursula Buschbeck, Kusnacht/Zurich, Seestr. 97, requesting authorization for the unblocking of her dollar assets. Miss Buschbeck stated that she was referred to this office by you.

According to Miss Buschbeck's assertions, which are supported by copies of various certificates attached to her letter, she is a German refugee who has been persecuted by the Nazis on racial grounds. If you should find that the original documents indicating that she is a bona fide victim of Nazi persecution are genuine, this office will have no objection to the certification of her assets in accordance with the pertinent provisions of Secretary Snyder's letter to Dr. Petitpierre of November 22, 1946.

Very truly yours,

(Signature)

Walter W. Ostrow,  
U.S. Treasury Representative.

Dr. Max Schwab, President,  
Swiss Compensation Office,  
Borsenstrasse 26,  
Z U R I C H .

WWO:em

321782

Dr. Ursula Buschbeck.

DECLASSIFIED

Authority NND968103  
By B AFRA Date 4-20-00

RG 131

Entry FFC Control File  
File Economic Warfare  
Box 457Rechtsanwalt  
Dr. iur. Fr. Hahn  
Ulm a. D.Ulm a.D., am 6. Juni 1946.  
Frauenstrass 10.Bescheinigung:

Fräulein Dr. phil. Ursula Buschbeck in Ehingen wird vom Unterzeichneten als ihrem ständigen Rechtsbeistand auf Grund der ihm zur Verfügung stehenden Unterlagen & persönlichen Kenntnisse bestätigt, dass sie wegen ihrer (jüd.) Abstammung von den Nationalsozialisten jahrelang verfolgt, gequält, geschädigt & geshmäht wurde, ferner dass sie etwa 1½ Jahre lang im Gefängnis sass & aus Ehingen & ihrem Heim vertrieben wurde. Durch dieses rigorose Vorgehen ging sie eines grossen Teils ihres Vermögens verlustig & ist auch gesundheitlich schwer geschädigt, sodass ihr jetzt jegliche Hilfe & Unterstützung zuteil werden sollte.

(gezeichnet) Dr. Fr. Hahn.

321783

Sie di-

zurückzukehren nach Deutschland

In Ehrerbietung und Ergebenheit

Dr. Ursula Buschbeck

DECLASSIFIED

Authority NND968103  
By B MARA Date 4-26-00

RG 131

Entry FFC Control File  
File Economic Warfare  
Box 457

Alfred Brokamp  
 L.Pol.-Oberkommissar  
 Ehingen/Donau  
 -----  
 Winkelhoferstr.4

Ehingen, 9.11.1947.

## Bestätigung.

Seit 15.9.46 kenne ich Fräulein Dr.phil.Buschbeck persönlich und habe während meines privaten und dienstlichen Aufenthaltes als Polizei-Chef des Kreises Ehingen die Feststellung gemacht, dass diese Dame von vielen Seiten her in der boshaftesten Weise einer unausstehlichen chikanösen Bedrohung und Verächtlichmachung ausgesetzt ist. Bei Fräulein Doktor handelt es sich um eine wissenschaftlich hochbegabte Persönlichkeit, die unter den Methoden des 3.Reiches einer steten Verfolgung ausgesetzt war.

Nach meinem Dafürhalten erscheint es mit Rücksicht auf den pers.Schutz der Fräulein Dr.Buschbeck dringend angezeigt, dass sie endlich aus den unglücklichen Verhältnissen erlöst wird und sie ein ungestörtes Leben im Schweizerland führen darf.

(gezeichnet) Brokamp

k.-Polizei-Oberkommissar.

321784

216 91

DECLASSIFIED

Authority NND968103

By B NARA Date 4-26-00

RG 131

Entry EFC Contd File

File Economic Warfare

Box 457

Kreisbetreuungsstelle  
für politisch Verfolgte  
für die Bezirke Ehingen,  
Biberach, Münsingen und  
Saulgau  
Sitz Ehingen(Donau)

(14b) Ehingen (Donau), den 30.3.1947.  
Lindenhofstrasse 57, Tel. 284

Sprechtag am Montag und  
Donnerstag.

Frl.Ursula Buschbeck geb.20.Mai 1897 in Berlin,wohnhaft in Ehingen(Donau) ist politisch Verfolgte mit einer 1½ jährigen Haftzeit aus rassischen Gründen.Sie hat während der Haftzeit gesundheitlich und seelisch sehr gelitten und wird bei uns als politisch Verfolgte betreut.Frl. Buschbeck äussert nun den Wunsch,nachdem sie nun in Ehingen vor wie nach, speziell durch die Jugend, sowie durch frühere Nazibeamte belästigt und ausgeschimpft wird,sie möchte mit dem Nazi-Deutschland nichts mehr zu tun haben und sie möchte auswandern.Wir von der Betreuungsstelle unterstützen diesen Wunsch in jeder Beziehung und bitten ihrem Gesuch stattzugeben.

(Stempel) : Kreisbetreuungsstelle der  
Opfer d. Nationalsozialismus  
Sitz Ehingen/Donau.

(gezeichnet) Müssigmann .

321785

DECLASSIFIED

Authority NND968103  
By B NARA Date 4-20-00

RG 131

Entry FFC Control File  
File Economic Warfare  
Box 457

Dr. phil. Ursula Buchbeck  
Küsnaclt/Zürich,  
Seestr.97.

Küsnaclt/Zürich, d. 27.11.47.

An

Mr. Ostrow, Treasury Department  
bei der Amerikanischen Gesandtschaft  
in Bern.

-----

Hochverehrter Herr!

Als schwergeprüftes Opfer des deutschen Nazismus erlaube ich mir inständigst um Freigabe meines gesperrten Vermögens zu bitten. Herr Dr. Schwab, Präsident der Schweizerischen Verrechnungsstelle in Zürich, hat mich gütigst an Sie gewiesen.

Da mein Vater Jude war, wurde ich jahrelang von den Deutschen unmenschlich verfolgt. Ich war 1½ Jahre in Gefangenschaft, wurde meines Vermögens beraubt und gänzlich mittellos aus Haus und Heim vertrieben. Nur wie durch ein Wunder entrann ich dem Schicksal, umgebracht zu werden.

Nach Kriegsende versuchte ich verzweifelt, vom Lager (camp de rapatriement) in Bregenz aus, in die Schweiz zurückzukehren, doch lehnte diese ab, da ich staatenlos sei. Der staatenlose Pass wurde mir am 6.8.45 in Lindau ausgestellt. Nur um die ersehnte Einreise in die Schweiz zu bekommen, stellte ich den neuen Antrag als deutsche Staatsangehörige und erhielt dann auch das Visum.

Seit dem 14. November 1947 bin ich wieder in der Schweiz.

Als schwer Verfolgte aus rassischen Gründen erhielt ich am 18.9.46 den amtlichen Ausweis mit Lichtbild aus Tübingen B 360.

Da ich besonders schwer gelitten habe, wurde ich von der UNRRA bis zu meinem jetzigen Fortgang aus Deutschland, wie die Polen und sonstigen Ausländer, versorgt und betreut. Ich hatte keine deutschen Lebensmittelkarten.

Ich bin schon in der Schweiz in die Schule gegangen (Freies Gymnasium Zürich), habe dort an der Universität Zürich studiert, promoviert und das alphilologische Staatsexamen bestanden.

Im Gegensatz zu Deutschland, wo ich gänzlich allein und verlassen bin, besitze ich in der Schweiz liebe Freunde, unter ihnen meine alten Schulkameraden, bei denen ich unvergessen und in gutem Andenken bin, sie kennen mein schweres Schicksal. - Ich erlaube mir zu nennen: Frau Dr. M. Wagner-Beck in Genf, 4rte Malagnou, Tochter meines verehrten Lehrers und Rektors vom Freien Gymnasium Zürich, Frau Dr. Zoller-Bachmann in Zürich, Bahnhofstr. 7, Fr. Dr. Widmer, Vize-Direktorin der Fides, Bahnhofstrasse, Frau Dr. Wlthard-Schätti, Eierbrechtstr. 71, Herrn Prokurist Duttweiler, Schweiz. Bankverein, Paradeplatz, meinen verehrten, leider erkrankten Lehrer Herrn Altstadtrat Dr. Hefti, Rossbergstr. 8 u.s.w.

Ich besitze eine ganze Anzahl Dokumente aus Deutschland, welche die Verfolgungen bestätigen, von denen ich mir drei in Abschrift beizulegen erlaube.

Es wäre ausserordentlich liebenswürdig von Ihnen, wenn ich Ihnen meine Dokumente im Original vorlegen dürfte. Vielleicht hätten Sie die grosse Güte, mir Zeit und Ort mitteilen zu lassen.

Ich habe nur den einen Wunsch, niemals nach Deutschland zurückzukehren.

In Ehrerbietung und Ergebenheit

Dr. Ursula Buchbeck.

321786

DECLASSIFIED

Authority NND968103  
By B NARA Date 4-20-0RG 131Entry FFC Control File  
File Economic Warfare  
Box 457

Bern, December 12, 1947

Dear Mr. Pommer,

The receipt is acknowledged of your letter of December 10, 1947, in which you state that Mr. McAvity and Mr. I. G. Alk who represent your interests in Washington have informed you that you should be considered as a persecuted person rather than an "enemy".

As I pointed out previously, this office will be glad to examine any documents which you may be in a position to submit which may serve as proof that you are a bona fide victim of Nazi persecution. Since you live in Zurich, it may be more convenient for you to call on me at the American Consulate General in that city where I will be all day next Monday, December 15, 1947.

Very truly yours,

(Signed)  
Walter W. Ostrow,  
U. S. Treasury Representative.

Mr. G. L. Pommer,  
Kurhaus Zürichberg,  
Zurich 7.

WWO:f1s

321787

DECLASSIFIED

Authority NND968103  
By B NARA Date 4-26-00

RG 131

Entry FFC Control File  
File Economic Warfare  
Box 457

C. L. POMMER  
 Kurhaus Zürichberg  
Zürich 7

Zürich, den 10. Dezember 1947.

Herrn Walter Ostrow  
 American Legation  
B e r n

Sehr geehrter Herr Ostrow,

Mein Anwalt in St. Louis, Mr. McNulty, teilt mir mit, dass nicht nur er, sondern auch der Anwalt Mr. I.G. Alk, der gemeinsam mit Mr. McNulty meine Interessen in Washington vertritt und als Autorität in Rechtsfragen des "Foreign Funds Control" gilt, nach eingehender Prüfung meines Falles die Ueberzeugung gewonnen hat, dass ich als bona fide "persecutee" und nicht "als Feind" zu betrachten bin. Ich wäre Ihnen zu grossem Dank verpflichtet, wenn Sie mir noch einmal Gelegenheit zu einer kurzen Aussprache geben und meine Unterlagen, die ich Ihnen auf Wunsch vielleicht am zweckmässigsten schon vorher zuschicke, prüfen würden.

Mit vorzüglicher Hochachtung

*T. L. Pommer*

321788

DECLASSIFIED	
Authority	NND968103
By	B NARA Date 4-26-00

RG 131  
 Entry FFC Control File  
File SWitz Defrost  
 Box 457

Bern, 10 March 1947.

Dr. Max Schwab,  
 President, Swiss Compensation Office,  
 Bürsenstrasse 26,  
 Zurich.

Dear Dr. Schwab:

Reference is made to the memorandum which I sent to the Swiss Compensation Office on January 29, 1947, concerning the certification of assets belonging to bona fide victims of persecution by the German National Socialist and Italian Fascist Governments.

I have just been advised by the Treasury Department that when, upon the basis of an appropriate determination by this office, you issue certifications for the assets of such persons, you should add the following clause to the usual certification form in order to make certain that the special freezing controls imposed by General Ruling No. 11A upon the accounts of certain German and Japanese nationals are lifted from the assets involved:

"By special arrangement with the United States Treasury Department, certification of this account is proper notwithstanding General Ruling No. 11A."

Very truly yours,

*James H. Mann*  
 James H. Mann  
 U. S. Treasury Representative

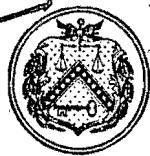
DJM:cm

321789

DECLASSIFIED

Authority NND968103  
By B NARA Date 4-26-01

RG 131

Entry FFC Control File  
File SWITZERDEFTROST  
Box 457AIR MAIL  
American LegationPOUCH

No. 328

UNITED STATES OF AMERICA  
TREASURY DEPARTMENT

105056

1446 60760485  
TO: MAIL & PERSON  
AM..  
DO ADD. MAIL  
MAILER  
DRAFT

Bern, 12 March 1947.

Dear John:

I am enclosing copies of the following documents concerning matters with respect to the certification procedure which we have had under discussion with the Swiss Compensation Office recently:

1. My letter to Dr. Schwab of March 11, 1947, concerning the implementation of numbered paragraph 9 of the confidential letter which, as you know, provides for the establishment of procedures for the import into the United States of certain securities from Switzerland notwithstanding General Ruling No. 5. In view of the fact that the Compensation Office has been pressing us very hard for this information, we decided to act on the basis of your memorandum of February 26, 1947, to George Baker at State and its enclosures, copies of which reached us by open air mail from your office a few days ago. The official copy of the airgram as sent out by State has not come in as yet, although I suppose it will be along in a week or so. I should welcome any comments which Treasury may have concerning the suggested certification for the securities. We felt it would be desirable in general to follow the lines of the text of the certification under General License No. 95.

You will note that in the letter to Dr. Schwab we also make reference to the currency import provision contained in numbered paragraph 10 of the confidential letter. I should appreciate your advising us concerning whether this program has also been put into effect through the issuance of the necessary blanket licenses, since we have promised the Compensation Office that we would check this point with the Treasury.

Action  
Nelson

2. My letter to Dr. Schwab of March 10, 1947, concerning the special clause waiving General Ruling No. 11A to be added to certifications issued for the accounts of bona fide victims of Nazi-Fascist persecution. This letter was prepared on the basis of your letter No. 103004 of February 27, 1947.

3. Memorandum dated March 6, 1947, concerning a telephone conversation which I had with Dr. Jahn, member of the supervisory

Action  
Gewirtz

cc: Banning, Nelson, Jones, Gewirtz, Duff, Rains, and Pollak

321790

DECLASSIFIED

Authority NND968103  
By J.B. NARA Date 4-26-00

RG 131

Entry FFC Control File  
File SWITZERDEFOEST  
Box 4157

- 2 -

commission for the unblocking, regarding my letter of March 3, 1947, to Dr. Schwab which was forwarded to the Treasury as an enclosure to my letter of March 4, 1947, to Mr. O'Connell. With respect to this conversation, I wish to point out that had we accepted the interpretation which the Swiss placed upon the information contained in your airgram A-323 of December 20, 1946, concerning the procedures to be applied in certifying the assets of blocked entities located outside of Switzerland in nonblocked areas, we would in effect have granted the Compensation Office authority to certify Unilac without further reference of the case to Treasury. We understand from your airgram A-35 of February 10, 1947, and from the memorandum of February 28, 1947, which Mr. Overby sent to Secretary Snyder and its enclosures (forwarded to us from your office) that Treasury does not intend such a course of action.

Incidentally, you will be interested to learn that the Compensation Office has requested a meeting with us tomorrow (March 13) for further discussion of my letter to Dr. Schwab of March 3, 1947, and has indicated that among the persons present will be Dr. Jahn and Mr. Waldesbuhl of Nestle's, who are both members of the supervisory commission for the unblocking. It would appear that among the subjects which the Swiss will raise will be the Unilac case. We shall of course report our discussions with the Compensation Office to you in full.

Very truly yours,

*Jim*

James H. Mann  
U. S. Treasury Representative

Mr. John S. Richards,  
Acting Director, Foreign Funds Control,  
Treasury Department,  
Washington 25, D. C.

321791

DECLASSIFIED

Authority NND968103

By B ARRA Date 4-26-00

RG

131

Entry FFC Control File

File SW 72 Defrost

Box 457

Bern, 26 March 1947.

Dear Mrs. Weil-Bernheimer:

Your letter of March 24, 1947, in which you request for the purposes of the unblocking of your assets in the United States a determination that you are a bona fide victim of persecution by the German National Socialist Government has been referred to this office for reply.

On the basis of your statement that you have been a resident of Switzerland uninterruptedly since October 12, 1939, it appears that your assets may be eligible for certification under Treasury General License No. 95 without further action by this office and, specifically, without any determination such as that mentioned above, since under the terms of the unblocking agreement concluded between Switzerland and the United States last November, the Swiss Compensation Office may certify the property of any individual residing in Switzerland except any citizen or subject of Germany or Japan who at any time on or since December 7, 1941, has been within the territory of either such country or within any other territory while it was under the occupation of Germany or Japan. Accordingly, I suggest you apply directly to the Compensation Office for the necessary certification.

In the event that you have been within Germany or Japan or any other enemy-dominated territory at any time on or since December 7, 1941, please communicate all of the pertinent details to this office and we will examine them to decide whether they warrant our making a determination that you are a bona fide victim of persecution by the German National Socialist Government. Such a determination, if given by this office, would entitle you to apply to the Swiss Compensation Office for certification notwithstanding your German nationality and your residence in enemy territory.

Very truly yours,

James H. Mann,  
U.S. Treasury Representative.

Mrs. Charlotte Weil-Bernheimer,  
Dufourstrasse 29, III Linke,  
Zurich 8.

321792

DECLASSIFIED	RG 131
Authority NND968103	Entry EFC Control File
By SB NARA Date 4-26-00	File SWITZ Defrost
	Box 457

17 March 1947.

Dear Dr. Kappeler:

I have your letter of March 4, 1947, in which you request that consideration be given to granting an increase to approximately \$720 in the monthly amount of dollar notes exchanged on behalf of Mr. J. J. Allard, a refugee of Belgian nationality, and his family.

As Mr. Ostrow and Mr. McAndrew indicated to Mr. Grenier at the time of their conversation on February 25, 1947, this request raises certain fundamental considerations which lead me to feel that it is appropriate for us at this time to reexamine the entire program of exchanging dollar notes on behalf of bona fide refugees. You will recall that when the Swiss and American authorities put the program into effect in 1945 it was agreed that as soon as normal facilities should become available for the shipment of currency to the United States, the forwarding of dollar notes for refugees through the official channels of the United States Government would be discontinued. I believe that we can say that that time has now been reached.

In the first place, the wartime property controls maintained by the United States Government have been relaxed to the point where, subject to certain limitations with respect to enemy property, currency may now be freely imported into the United States from Switzerland. General License No. 87 exempts all United States currency in denominations of \$20 or less from the provisions of General Ruling No. 5 which, as you know, is the document setting up the American import controls on securities and currency. In addition, you will recall that numbered paragraph 10 of the confidential letters exchanged between Mr. Hohl and us on November 25, 1946, provides for the establishment of procedures whereby the provisions of General Ruling No. 5 will be waived with respect to large denomination United States currency in Switzerland upon certification by the Swiss Government that no national of Germany or Japan, as defined in numbered paragraph 6 of Secretary Snyder's letter of November 22, 1946, to Mr. Petitpierre, has or has had an interest in such currency since the respective dates on which the Swiss freezing regulations were extended to Germany and Japan. In the second place, it is my understanding that postal and transport facilities are now adequate for the actual physical shipment of such currency from Switzerland to the United States, and that the banks here in Switzerland regularly

321793

DECLASSIFIED
Authority NND968103
By PB NARA Date 4-26-00

RG 131  
 Entry EFC Control File  
 File SWITZ DEFROST  
 Box 457

- 2 -

avail themselves of these facilities for such shipments. In view of these considerations, I feel sure you will agree that the facilities of the United States Government are no longer required for shipment of currency belonging to any special category of persons and that the Banque Populaire Suisse is now in a position to handle the shipments through private channels.

If the shipments are put on this footing, we do not perceive any objections from our point of view to discontinuing entirely the ceiling on the monthly amounts sent to the United States for the account of refugees who are entitled to certification by the terms of the unblocking agreement concluded between Switzerland and the United States last November. Furthermore, I should like to call your attention to the fact that if any of the persons whose currency may require certification is technically excluded from receiving such certification by reason of the fact that he is a citizen or subject of Germany or Japan who, at some time on or since December 7, 1941, has been within "enemy territory" as defined in the United States freezing regulations, his case may be submitted to this office for a determination that he is a bona fide victim of persecution by the German National Socialist or Italian Fascist Governments, as provided in numbered paragraph 3 under the definition of "enemy" set forth in Secretary Snyder's letter of November 22, 1946, to Dr. Petitpierre. At the same time, we would not view with favor the granting of certifications for the currency of any person for whom the Legation has refused the use of its good offices during the time that the present program has been in operation, since, as I believe you are aware, such refusals were based upon the belief that the persons involved were fleeing from the United Nations rather than from persecution by the Axis.

In brief, I should like to propose that, effective immediately, the shipment through United States Government channels of currency belonging to refugees should be discontinued and the facilities described above be used instead. I of course wish to point out to you that under the latter arrangement the Banque Populaire Suisse will be free to forward to the United States all or any part of Mr. Allard's currency, provided of course, that it is properly certified under the terms of the unblocking agreement.

Very truly yours,

James H. Mann,  
 U.S. Treasury Representative.

Mr. Ernste Kappeler,  
 Federal Political Department,  
 Division of Foreign Affairs,  
 Bern.

321794

DECLASSIFIED

Authority NND968103

By B NARA Date 4-20-00

RG 131

Entry FFC Control File

File ~~Trieste~~ ACIS

Box 475

25

109376

To : Mr. Eli Maurer,  
 Assistant to the Legal Advisor,  
 Department of State.

From : Bella R. Schwartz,  
 Acting Director.

APR 19 1948

The following is suggested as a basis for reply to cable No. 167  
 of April 1, 1948 from Trieste:

Procedure outlined in your cable 167 April 1 regarding unblocking  
 assets of residents FTT is satisfactory except for following two points:

1. Since Trieste will not be specified in General License No. 95  
 form of certification suggested by you is not appropriate. Suggest in  
 lieu thereof the following:

"The AMG certifies that, for the purpose of securing the  
 unblocking of assets in the United States (Name of individual  
or person applying) is regarded as a bona fide resident of  
 Trieste and is not a citizen or subject of Germany or Japan  
 who on or since January 1, 1945, has been within Germany,  
 Japan, Italy, Bulgaria, Hungary, or Rumania.

"This declaration is valid only with respect to the property  
 described below:

Description of Property	Location of Property"
-------------------------	-----------------------

2. Believe in order to restrict unblocking procedure to bona fide  
 residents of Trieste it is not necessary to require residence as far back  
 as August 26, 1946. Suggest procedure would be available to persons resident  
 in Trieste <sup>since June</sup> provided AMG satisfied of their intentions either to  
 remain permanently in Trieste or to migrate from Trieste to U.S. or country  
 in the Generally Licensed Trade Area.

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Authority	NND968103
By	B NARA Dte 4-26-00

RG 131  
 Entry FFC Control File  
 File TFE-1  
 Box 475

- 2 -

Although procedure outlined in your cable No. 167 did not so indicate, it is assumed that you understand that applications for unblocking assets in US should be filed on application form TFE-1 with Federal Reserve Bank of New York either by owner of assets or by custodian of such assets in the US. In either case application should be accompanied by declaration quoted above, and evidence re ownership of assets.

If you have no objection to the points discussed above assume you will promptly implement program by issuance of press release.

(Signed) Reilla R. Shwartz

P.W. *[initials]* 111P  
 RWWilliams:ebb 4/15/48

321798

DECLASSIFIED	
Authority	968103
By	TV
NARA Date 3/7/00	

RG 131  
 Entry F F Control  
File Fed Res Bnk NY.  
 Box 122

25560

FILING AUTHORITY

TO: Mail &amp; Files

ANS.....

NO ANS. REQ.....

INITIAL.....

DATE Sept 15

## FEDERAL RESERVE BANK

OF NEW YORK

FISCAL AGENT OF THE UNITED STATES

September 15, 1942.

TO: Mr. Paul Parker  
 Foreign Funds Control  
 Treasury Department  
 Washington, D. C.

FROM: B. F. Wyatt  
 Foreign Property Control Department

SUBJECT: American Jewish Joint Distribution Committee, Inc.  
 and  
Jewish National Fund, Inc.

Referring to our telephone conversation, I am attaching hereto two lists, one giving the numbers of a few licenses issued to the American Jewish Joint Distribution Committee, Inc., and the other showing a few issued to the Jewish National Fund, Inc.

Practically all of the licenses listed concern the payment of dollars here to refugees who had deposited French francs abroad with the agencies or representatives of the above mentioned organizations.

In each case the rate paid for the francs is well below 1 cent per franc, which appears to be extremely low and which leads us to believe that this type of transaction would warrant very careful scrutiny as to the source of the local currency and the disposition thereof. It will be noted, however, that applications are made for reimbursement long after the underlying transactions have been completed.

321797

DECLASSIFIED  
Authority 968103  
By FU NARA Date 3/1/00

RG 131  
Entry F F Control  
File Fed Res Bnk NY  
Box 722

F  
IAMERICAN JEWISH JOINT DISTRIBUTION COMMITTEE, INC.

NY 270471      Francs 1,806,000 turned over to Ose in Montpelier by M. Michel Chaim Berchin for which the American Jewish Joint Distribution Committee, Inc., paid him \$14,000.00 in reimbursement.

Rate appears to be approximately 77/100ths of a cent per franc.

NY 279567      Purchase of French francs 1,600,000 from Universal Pictures Company, who were licensed to sell such francs to licensed buyers, for \$10,000.00.

Rate appears to be approximately 66 2/3/100ths of a cent per franc.

NY 430253      Francs 720,000 turned over to American Jewish Joint Distribution Committee, Inc., in Marseille, by Pierre Dreyfuss for which they paid him \$6,000.00 in reimbursement.

Rate appears to be approximately 88 1-3/100ths of a cent per franc;

NY 436634      Francs 1,420,000 turned over to American Jewish Joint Distribution Committee, Inc., in Marseille, (and made available to Ose) by Fanny Lipschitz for which the American Jewish Joint Distribution Committee, Inc., paid her \$10,000.00 in reimbursement.

Rate appears to be approximately 70/100ths of a cent

NY 442055      Francs 1,380,000 turned over to American Jewish Joint Distribution Committee, Inc., in Marseille, (and made available to Ose) by Leiba Lachter-Pachter and Jean Lacter-Pachter, for which they paid them a total of \$11,500.00 or \$5,00 and \$6,500 respectively.

Rate appears to be approximately 83 1-3/100ths of a cent per franc.

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 Authority F NARA D210 3/7/00  
 By

RG 131  
 Entry F F Control  
 File Fed Res Bnk NY,  
 Box 122

F

I

JEWISH NATIONAL FUND, INC.

NY 324514      Francs 600,000 turned over to Kerin Hayemeth Le Israel (eventually turned over to Ose etc.) by Isaac Naiditch for which the Jewish National Fund Inc., paid him \$4,610.00 in reimbursement.

Rate appears to be approximately 77/100ths of a cent per franc.

NY 324515      Francs 4,650,000 turned over to Kerin Hayemeth Le Israel by Mr. Szlama (Solomon) Monoson for which the Jewish National Fund Inc. paid him \$30,000.00 in reimbursement.

Rate appears to be approximately 64 1-2/100ths of a cent per franc.

NY 324516      Francs 4,500,000 turned over to Kerin Hayemeth Le Israel by Mr. Georges Simon for which the Jewish National Fund Inc. paid him \$30,000 in reimbursement.

Rate appears to be approximately 66 2-3/100ths of a cent per franc.

321799

RG 131  
 Entry FFC General File  
 File Vesting of enemy Assets  
 Box 488

DECLASSIFIED NWD 968103  
 Authority By MR NARA Date 3-28-09

26 SEP 1946

Secretary Snyder

A. N. Overby

Volume of assets vested or to be vested by A. P. C.

This is with reference to your recent request for a statement of the volume and type of assets which have been or are to be vested by the A. P. C.

1. Value of Vested Assets: The Alien Property Custodian's net equity in vested property was \$266,900,000 as of March 31, 1946. This figure, according to the A. P. C., represents the estimated total value, on liquidation, of all property to which the Custodian has taken title, subject to future debt and title claims filed against the A. P. C., and does not include assets located in enemy or enemy occupied countries of business enterprises or patents, trademarks, copyrights and other properties for which dollar valuations are not practicable. Table 1, attached, shows the types and values of the properties making up this total.

2. Value of Assets to be Vested: The Custodian as of June 30, 1945 roughly estimated that between \$180,000,000 and \$210,000,000 represented the net value of vestible property not already vested, and has made no later estimate. Between June 30, 1945 and March 31, 1946 \$15,964,000 in property was vested, thereby reducing the June 30, 1945 estimate by that amount. Table 2 indicates the types and values of properties included in this estimate.

RShwartz: M.L.Bell  
 MBell:emj 9/17/46 mwt

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RG 131

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Authority NND 968103  
By MR NARA Date 3-28-00Entry FFC General File  
File Vesting of enemy assets  
Box 488

F. B. I. DATED

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Table I - Net Equity Vested to March 31, 1946 Classified by Type of Property, valued as of March 31, 1946. (From Statistical Summary for March 31, 1946, Office of Alien Property Custodian.)

(In thousands of dollars)

Type of Property	Value as of March 31, 1946
<u>Cash:</u> 1/	
Income	16,660
Principal	46,937
63,597	
<u>Interests in vested business enterprises:</u>	
Stock	110,770
Equity in enterprises whose assets or excess assets have been vested	38,940
Partnership interests	300
Bonds	440
Notes and accounts receivable	4,690
	155,140
<u>Royalties on patents, copyrights and trademarks (accrued prior to dates of vesting):</u> 2/	
Patents, patent applications and patent contracts	550
Copyrights and copyright contracts	---
Trade-marks and trademark contracts	550
<u>Real property:</u>	
Real estate	2,180
Mortgages	757
Ground rents, leaseholds and other interests in real property	14
	2,951
<u>Personal property:</u>	
Tangible personal property	221
Chattel mortgages	2
Stocks	1,160
Bonds	2,451
Mortgage participations	319
Bank balances	903
Notes, claims and credits	2,292
Currency and coin	171
Life insurance and annuities	35
Miscellaneous contractual interests	7,554

321801

RG 131

DECLASSIFIED

Authority NWD 968103

MAY 21 1968

Title of Stock:

Entry FCC General file  
File Vesting of enemy  
Assets  
Box 488By MR NARA Date 3-28-68  
(oyjje)

- 2 -

Type of Property	Value as of March 31, 1946
<u>Interests in estates and trusts:</u>	
Decedents' estates	7,920
Trusts under wills	16,353
Trusts inter vivos	5,014
Guardianship estates	1,249
	30,536
<u>Property in the Philippine Islands</u>	<u>6,572</u>
Total	266,900 3/

- 1/ These figures are net of direct expenses immediately borne by the vested property but not of cash transferred to the administrative expense fund to defray the administrative expenses of the Office. Cash assets of vested business enterprises are excluded inasmuch as they are reflected in the valuation of "interests in vested business enterprises."
- 2/ Values stated include only royalties actually collected and royalties on which estimates are available. Additional royalties of indeterminate amounts are known to be payable.
- 3/ This figure is before deduction of cash transferred to the administrative expense fund for defraying the administrative expenses of the Office.

321802

RG 131

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Entry FFC General file  
File Vesting of enemy  
AssetsAuthority NWD 968103  
By MK NARA Date 3-28-00

Box 488

JULY 1945 BY ALIEN PROPERTY

APPROVED BY THE SECRETARY OF STATE

June 30, 1945. (From Annual Report Office of Alien Property Custodian, Fiscal Year Ending June 1945, page 9).

Type of Property	Estimated net value of vestible property not vested as of June 30, 1945
A. Property of nationals of Germany or Japan: Interests in business enterprises.	\$1,000,000 to \$31,000,000 depending on the outcome of current investigations.
Royalties on patents, copyrights and trademarks (accrued prior to date of vesting).	Small and indeterminate.
Real property (including mortgages) and tangible personal property.	\$4,000,000
Interests in estates and trusts under judicial supervision.	\$20,000,000.
Interests in estates and trusts not under judicial supervision.	\$25,000,000
Securities (stocks, bonds, etc., not representing substantial interests in business enterprises).	\$26,000,000
Bank accounts	\$20,000,000
Notes claims, and credits.	\$31,000,000
Life insurance and annuity contracts and other property.	\$3,000,000
Property located in the Philippine Islands and other United States territory formerly occupied by Japan.	\$50,000,000

321803

REPRODUCED AT THE NATIONAL ARCHIVES

RG B) FRC General file  
Entry Resting of engineering assets  
File Box 488

DECLASSIFIED  
NND 968 103  
Authority  
By MR NARA Date 3-29-00

ABSTINENCE BLOOMFIELD UPP  
WATERFORD HARVEST COT

*Alma 18:10. "Thus saith the Lord a)"*

#### Type of Property

Estimated net value of  
vestible property not  
vested as of June 30, 1945

Property of nationals of  
other countries.

small and indeterminate.

3003

~~\$180,000,000 to \$210,000,000.~~

321804

RG 131

DECLASSIFIED

Entry EEC General File  
File Vesting of enemy assets  
Box 488Authority MND 968103  
By MR NARA Date 3-28-00

## FOREIGN FUNDS CONTROL

## DEPARTMENT

September 7, 1945

## General Records:

Memo not given to Mr. White,  
contents discussed with him orally  
by Messrs. Aarons and Hoffman.

MLH

M. L. HOFFMAN

321805

RG 131

DECLASSIFIED

Entry FFC General File  
File Resting of enemy assets  
Box 488Authority NWD 968103  
By MR NARA Date 3-28-00Treasury Department  
Division of Monetary Research

Date 1945

To:

From:

*W. J. K. files**Revised 1945**Velco*

321806

RG 131

DECLASSIFIED

Entry FFC General File  
File ~~Vesting of enemy assets~~  
Box 488Authority NWD 968103  
By MR NARA Date 3-28-09

Assistant Secretary of the Treasury

Date: August 31, 1945

To: Mr. White

Mr. Hoffman and Mr. Aaron

would like to discuss this  
with you at your convenience.The matter is considered urgent  
by the Alien Property Custodian.

JMS

Mr. White  
Room 3434

321807

RG 131  
 Entry ~~General File~~  
 File ~~Vesting of enemy assets~~  
 Box 488

DECLASSIFIED  
 Authority NND 968103  
 By MR NARA Date 3-28-00

## TREASURY DEPARTMENT

## INTER OFFICE COMMUNICATION

DATE August 29, 1945

TO Mr. Harry White  
 FROM Michael L. Hoffman *M.L.H.*

Re: Sale of Vested Property by APC

We have consistently urged the eradication of enemy control over property in the United States and the taking of every step to preclude the possibility of the reestablishment of such control after the war. In this connection, the program for dealing with German and Japanese assets jointly recommended to the President by the Alien Property Custodian, the Department of State, and the Treasury Department provided that the Custodian continue to eliminate German and Japanese interests or influence with respect to all vested properties, and that German and Japanese property vested by the Custodian be liquidated and sold as soon as practicable.

Nevertheless, the APC has not to date liquidated any important vested enterprise. His failure to carry out the program of liquidation is due undoubtedly to his fear that any attempt to sell vested assets will induce the commencement of an action on the part of the claimant seeking a return of the assets under Section 9(a) of the Trading with the enemy Act. A number of actions seeking the return of vested assets have been filed in the courts by persons claiming not to be an "enemy" or "ally of an enemy" of the United States within the meaning of the Trading with the enemy Act, or nationals of a designated enemy country. In these actions, the Alien Property Custodian maintains that, since he has vested the property under Section 5(b) of the Trading with the enemy Act, as amended by the First War Powers Act of 1941, the claimant, in order to be entitled to relief, must show not only that he is not an "enemy" or "ally of an enemy", etc., but that he is not a national of a foreign country. However, until such time as the courts may finally pass upon this and other questions raised in connection with the right of the Alien Property Custodian to seize assets of nationals of a foreign country, the sale of vested assets by him can and will be delayed by the commencement of actions asking for the return thereof, which will automatically prevent sale prior to final disposition thereof. In the meantime, he may contemplate selling, without fear of injunction, only those assets belonging to persons with whom he has been able to negotiate an agreement which by its terms will permit the sale, reserving to the claimant the right to subsequently institute suit for the recovery of the proceeds thereof.

The problem is strongly emphasized by the situation existing with respect to General Aniline and Film Corporation. The Treasury Department,

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Entry EFC General file  
File Vesting of enemy assets  
Box 488Authority NWD 968103  
By MR NARA Date 3-28-00

- 2 -

on February 16, 1942, vested the shares of General Aniline and Film registered in the name of I. G. Chemie and Company, a national of Switzerland. On April 24, 1942, the Department relinquished control of these vested shares to the Alien Property Custodian. I. G. Chemie, Dr. Felix Iselin (an officer of such company), and others connected therewith have been placed on the Proclaimed List of Certain Blocked Nationals. John J. Wilson of the Washington law firm of Whiteford, Hart, Carmody and Wilson, in May 1945, was authorized by Treasury license to communicate with his client, I. G. Chemie, in Switzerland. On May 26 Wilson received a reply from his client stating in substance that in the event the GA&F shares vested by the APC are offered for sale, he is requested and authorized to enjoin such sale by recourse to the courts if necessary and to seek the return of the shares, and concluding with the admonition that any other solution must be subject to preliminary direct negotiations, and suggesting a conference be held to be attended by representatives of I. G. Chemie, Wilson, and the representative of the Alien Property Custodian in Switzerland or elsewhere in Europe or in the United States. Wilson thereupon applied for permission to go to Switzerland to discuss the matter with his clients. The application for a license to so communicate with the Proclaimed List nationals was denied. Recently the State Department has requested from this Department and the Alien Property Custodian an expression of views with respect to whether a visa should be issued to Dr. Iselin for the purpose of coming to the United States to discuss with responsible Government officials the status of the vested shares of I. G. Chemie. Mr. Wilson has also requested that his application for permission to go to Switzerland be reconsidered. The Office of the Alien Property Custodian has indicated that it would welcome the opportunity of having Dr. Iselin come to this country, and has so expressed itself to the Department of State. Previously it had indicated to this Department that it would have no objection to permitting Mr. Wilson to go to Switzerland. To permit Iselin, a Proclaimed List national, to come to the United States would be a deviation from the established policy of the Department with respect to persons included on such list. To permit Wilson to go to Switzerland to then and there discuss with Proclaimed List nationals the status of their affairs in the United States, while also a deviation from such policy, can, nevertheless, be more readily justified on the ground that this would result in permitting only the legal representative to communicate with his client, and such communication would occur outside of the United States.

From statements recently made by members of the Alien Property Custodian's Office and Mr. Wilson, it appears improbable that the APC will secure a waiver from I. G. Chemie as a result of conferences with its representatives which would permit the sale of its assets at this time. Consequently, the Department is faced with the unpleasant prospect of having to agree to permitting a Proclaimed List national to come to the United States and discuss his problems with the APC, and probably with many other business associates, without having much hope of accomplishing the desired result, to wit, the early elimination of I.G.C. influence over GA&F.

321809

RG B1Entry EEC General file  
File Vesting of enemy assets  
Box 488

DECLASSIFIED

Authority MND 968103  
By MR NARA Date 3-28-00

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Members of the Alien Property Custodian's Office have informally stated to representatives of this Department that it is their intention to seek legislation which will permit the Alien Property Custodian to sell vested assets without fear that he may be enjoined by the courts. In order to avoid situations as outlined above, it is suggested that we urge the Alien Property Custodian to actively push for the enactment of such legislation, so that he may no longer have to rely upon his practice of negotiating with foreign nationals, seeking to obtain by agreement in each case the benefits which Congress can provide.

321810

RG 31

Entry FFC General File  
 File Vesting of enemy assets  
 Box 488

DECLASSIFIED  
 Authority NWD 968103  
 By MR NARA Date 3-28-00

FFC

The Files

April 3, 1951

Max Wilfand and Paul Gewirtz

Vesting Under the Snyder-Vandenberg Program  
 from Transfer Agents' Reports on Form OAP-700

On March 29, 1951 Mr. Gorsuch of our New York Office and we met with members of the Foreign Exchange Sub-Committee who are directly interested in matters relating to transfer agents. The purpose was to explore the most feasible means of vesting securities under the Snyder-Vandenberg Program from the reports filed by the various transfer agents. Mr. Wilfand explained that with a view to possible vesting action in this area, three alternative procedures are under consideration by this Office:

1. To issue a vesting order vesting all securities reported by transfer agents, identified by number, but excluding those which had been unblocked by license since the reporting date.
2. To issue a vesting order vesting all securities blocked on the books of the transfer agent as of the vesting date, registered in the name of a particular blocked national, but not identified as to number.
3. To request a more current report from the transfer agents of the securities remaining blocked and to issue a vesting order vesting them by number in accordance with such reports.

It was explained that the first alternative involves considerable clerical effort on the part of the Office and that it is subject to substantial error.

The second alternative, according to members of the Sub-Committee appears to involve certain legal difficulties which they felt might cause them to resist a demand from turnover.

The third alternative was considered a possibility by all concerned. However, it involved a time factor.

321811

RG 13  
 Entry EEC General File  
 File Vesting of enemy assets  
 Box 488

DECLASSIFIED  
 Authority NND 968 103  
 By MR NARA Date 3-28-00

EEC

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The principal objection raised by the Sub-Committee to the program was the difficulty involved in insuring that none of the securities vested from the transfer agents' reports were held in free accounts in the United States. It was much too burdensome, they stated, to check back on their records to ascertain in which cases securities registered in the names of blocked nationals were held in custody accounts in the United States which had been unblocked but with respect to which no notification had been given to the transfer agents. In general, these securities continue to be registered in the names of such nationals until the time they are sold or otherwise transferred, so that the transfer agent has no indication of the unblocking. It was conceded, however, that there would be relatively few of such cases.

The Sub-Committee finally agreed that from an operating point of view it could see no objection to the issuing of a vesting order listing all the numbers contained in a report on form OAP-700 which provided for the exclusion from the effect of the vesting order of those securities with respect to which the transfer agent had received an unblocking license or had been properly advised of the unblocking up to the time of the issuance of the order. However, this was not to be construed as binding on the banking community since the legal situation would have to be examined by the senior Foreign Exchange Committee. Mr. Timoney, Chairman of the banking group, indicated that Mr. Atkin, Chairman of the Senior Committee had strong reservations with respect to the proposed vestings.

It was suggested to the Sub-Committee that it might wish to take a sample of the accounts unblocked in the past, in order to determine the size of the problem. However, the Sub-Committee did not react one way or the other to this suggestion.

Mr. Wilfand pointed out that in several cases reports on form OAP-700 had been filed by transfer agents with respect to securities registered in the names of nominees who were not blocked nationals, and the reporters had indicated that a blocked interest was believed to exist in the securities. It was explained that in these cases the chances were that the securities had been unblocked and no occasion had presented itself for the transfer agents to be notified. In any event, it was agreed that this was probably a very minor problem.

It was the general impression of all attending the meeting that there were relatively few securities reported by transfer agents, registered in the names of individuals. Mr. Wilfand pointed out that these cases were

321812

RG 131

Entry FFC General File  
File Vesting of enemy assets  
Box 488DECLASSIFIED  
Authority NND 968103  
By MR NARA Date 3-28-00

FEC

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not under consideration for the present for vesting, nor are the cases involving registrations in the names of Dutch Administration Offices.

Several members of the Sub-Committee declared that with respect to issues that were not paying dividends the addresses of the registered owners were far from current, and that in a number of these cases, the securities would not be considered blocked if additional information were furnished.

It was emphasized that it might be very difficult for transfer agents to report the numbers of licenses unblocking any of the securities described in a report on form OAP-700. (This is a matter to be settled with Walter Roth.)

Tentative arrangements were made for a further meeting on April 6th, after the Sub-Committee will have had an opportunity to report to the senior committee.

M.W. & P.G.  
(Signed) M.W. & P.G. -

321813

## VESTING REPORT NO. 2

Treasury Department  
FOREIGN FUNDS CONTROL  
May 22, 1942

RECORD OF VESTING ACTION TAKEN BY ALIEN PROPERTY CUSTODIAN

Order No.	Date	Name of Firm	Extent of Vesting Order
6	April 28, 1942	I. G. Farbenindustrie, et al	244 patent applications on behalf of or assigned to I. G. Farbenindustrie.
7	May 16, 1942	Steel Union, Inc.	25 shares of the capital stock registered in the name of Aktiebolaget Pars.
8	May 19, 1942		Copyright entitled "Grundriss der Luftsaftmedizin" claimed by Johann Ambrosius Barth, Leipzig.
			Copyright application on "Die Sirene" claimed by Deutscher Verlag, Berlin.
			Copyright claim on "Gasschutz und Luftschutz" claimed by Dr. Ebeling Kommanditges, Berlin.
			Copyright claim on "Die Gasmaske" claimed by Auergesellschaft A.G., Berlin.
			Copyright claim on "Luftschutz" claimed by Carl F. Lederer, Vienna.
9	May 19, 1942	American Bosch Corp.	535,000 shares of capital stock.

RG 131  
 Entry FFC General File  
 File Vesting Report  
 488  
 Box

27 MAR 1942  
 NARA D224  
 44-38888-2000000  
 DECLASSIFIED

321814

VESTING REPORT NO. 3  
RECORD OF VESTING ACTION TAKEN BY ALIEN PROPERTY CUSTODIAN

Treasury Department  
FOREIGN FUNDS CONTROL

June 4, 1942

Order No.	Date	Name of Firm	Extent of Vesting Order
10	May 26, 1942	Rare Chemicals, Inc. Boehringer Corp.	All capital stock All capital stock
11	May 26, 1942	Asahi Garasu Kabushiki Kaisha (Asahi Glass Co., Ltd.) Asahi Denka Kogyo Kabushiki Kaisha Asahi Kenshoku Kabushiki-Kaisha Chemische Forschungsgesellschaft Dai Nippon Junzohiryō Kabushiki Kaisha Franz Fischer and Helmut Pichler Kabushi Kaisha Smitomo Densen Seizosho Kabushiki Kaisha Asahi Koki Seisakusho Kabushiki Kaisha Azumi Daiyakuho Kabushiki-Kaisha Hitachi-Seisakusho Kabushiki Kaisha Fukushin Denki Seisakusho Kabushiki Kaisha Keanisni Kikai Seisakujo Kabushiki Kaisha Kawasaki Zosenho (Kawasaki Dockyard Co., Ltd.) Kabushiki Kaisha L. Leybold Shakwan Kabushiki Kaisha Makamoto Honpo Eijo To Ikuji No Kui Kabushiki Kaisha Ninon Seikasho Kabushiki Kaisha Okura Denki Kenkyusho Kabushiki Kaisha Sonoik Seisakusho Kabushiki Kaisha Sumitomo Seikoshio Kabushiki Kaisha Suzuki Shoten Kabushiki-Kaisha Takeda Cober' Shoten Kabushiki Kaisha Yokogaw Denki Seisakusho Kabushikigaisha Takenaka Kanten The Mitsubishi Denki Kabushiki-Kaisha Mitsubishi Jukogyo Kabushiki Kaisha Mitsubishi Kogyo Kabushiki Kaisha Mitsubishi Zosen Kabushiki Kaisa Mitsui Mining Co., Ltd. Nihon Koshuha Jukogyo Kabushiki Kaisha Tei Kawata Tobata Imono Kabushi Kaisha Zaidan Hojun Rikagaku	3 patents 2 patents 1 patent 1 patent 1 patent 1 patent 1 patent 1 patent 1 patent 5 patents 1 patent 1 patent 3 patents 1 patent 2 patents 1 patent 3 patents 4 patents 4 patents 1 patent 1 patent 1 patent 1 patent 2 patents 2 patents 3 patents 6 patents 1 patent 1 patent 2 patents 2 patents 22 patents  Twenty-two \$1,000 First Mortgage 7 percent Serial Gold Bonds owned by Dr. Aldo Castellani
12	May 27, 1942	Crescent City Laundries, Inc. (Formerly Laundry and Dry Cleaning Service, Inc.)	

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(Over)

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Box 488

Order No.	Date	Name of Firm	Extent of Vesting Order
13	May 29, 1942	Robert Bosch, G.m.b.H. Fides Gesellschaft fur die Vermaltung und Verwertung von Gewerblichen Junkers Flugzeug und Motorenwerke Akt. Lorenz, C. Aktiengesellschaft Admos Blerbronze, Dr. Springorum & Co. Korm. Ges. Adrema Maschinenbauges m.b.H. "Aeroplani" Caproni Societa Anonima Agricultural and Chemical Works Public Co. Aktiengesellschaft Kuhnle Aktiengesellschaft vorm Seide & Naumann Alexanderwerk a Von Der Namer A.G. Aluminiumwerke Gottingen G.m.b.H. Anna-Sofia Grafin Resseguer Ansaldo Societa Anonima Arado Flugzeugwerke G.n.b.H. Argus Motoren G.m.b.H. Aschaffenburger Zellstoffwerke A.G. Askania-Werke A.G. Atlas Werke A.G. Auergesellschaft A.G. Auja Industrie Komm Ges Werner Kornfeld Auker-Werke A.G. Auschutz & Co., G.n.b.H. Automatic Druckmaschinenfabrik Dr. W. Hininger Auto Union A.G. Bamag-Meguin A.G. Barmer Maschinenfabrik A.G. Barnhardt, F. A. Baier & Schauerte Baumann H. F., The firm of Bayerische Motoren Werke Aktiengesellschaft Bayerische Stickstoff-Werke A.G. Berlin-Suhler Waffen- und Farbzeugwerke Bergwerksgesellschaft Hibernia A.G. Billeter & Klunz Bleichert Transportanlagen G.m.b.H. B.M.W. Flugmotorenwerke Brandenburg Bochumer Verein fur Gustahlfabrikation A.G. Bohme Fettschemie G.m.b.H. B.R.A.S.A. Compagnia Commerciale Caproni	78 patents 119 patents 44 patents 124 patents  1 patent 38 patents 2 patents 1 patent 1 patent 3 patents 2 patents 1 patent 1 patent 10 patents 2 patents 1 patent 61 patents 3 patents 1 2 patents 1 patent 22 patents  1 patent 8 patents 1 patent 4 patents 1 patent 5 patents 1 patent 14 patents 2 patents 2 patents 23 patents 1 patent 1 patent 2 patents 1 patent 4 patents 1 patent 1 patent

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Order No.	Date	Name of Firm	Extent of Vesting Order
3	April 7, 1942	Luscombe Airplane Corp.	\$300,000 note dated December 30, 1940, due Dec. 31, 1945, issued by Luscombe Airplane Corp. to Leopold H. P. Klotz and convertible into stock of Luscombe Airplane Corp. prior to 1945.
			Demand notes in the amount of \$157,000 to Leopold H. P. Klotz issued by Luscombe Airplane Corp.
			Option contract of December 29, 1939 (amended Nov. 18, 1941) between North American Investing Co. Inc. and Leopold H. P. Klotz whereby Leopold H. P. Klotz had the option to purchase on or before December 31, 1946 all or any part of the shares of Luscombe Airplane Corp. owned, or to be owned, by North American Investing Co. Inc.
		North American Investing Co. Inc.	All of the right, title and interest of Leopold H. P. Klotz and North American Investing Co. Inc. in 225,000 shares in Luscombe Airplane Corp.
			Collateral notes in amount of \$251,500 issued to Leopold H. P. Klotz due December 31, 1945.
4	April 18, 1942	Schering Corp. (New Jersey)	All collateral security deposited with Leopold H. P. Klotz for the payment of the foregoing collateral notes.
		Schering Corp. (New York)	43,994 shares common stock. 2,225 " preferred stock.
		Sherka Chemical Co., Inc. (New York)	10 shares common stock.
5	April 24, 1942*	General Aniline & Film Corp.	100 shares common stock.
			2,050,000 shares of Class B common stock. 459,448 " " " A " "

\*Vested by the Treasury Department on Feb. 16, 1942.

VESTING REPORT NO. 1  
RECORD OF VESTING ACTION TAKEN BY ALIEN PROPERTY CUSTODIAN

Treasury Department  
FOREIGN FUNDS CONTROL  
May 6, 1942

o.	Date	Name of Firm	Extent of Vesting Order
March 25, 1942	Jasco Inc.	All capital stock of company in which I. G. Farbenindustrie A. G. has an interest.	
		All patents and patent applications of company in which I. G. Farbenindustrie A. G. has an interest.	
		All contracts in which I. G. Farbenindustrie A. G. has an interest.	
		Stock of company in which I. G. Farbenindustrie A. G. has an interest. Patents of company in which I. G. Farbenindustrie A. G. has an interest. Contracts and other rights of company in which I. G. Farbenindustrie has an interest.	
April 4, 1942	Standard I. G. Company	Patents of company in which I. G. Farbenindustrie A. G. has an interest.	
	W. E. Currie	Trust agreement of August 31, 1939 between Frank A. Howard and W. E. Currie and P. L. Young. All patents and patent applications of company in which I. G. Farbenindustrie A. G. has an interest.	
	Magnesium Development Corp.	5,000 shares of Class A stock owned by I. G. Farbenindustrie A. G.	

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File various documents

*Mr. Stream*

## VESTING REPORT NO. 15 (See Note below)

Treasury Department  
FOREIGN FUNDS CONTROL  
January 29, 1943

## RECORD OF VESTING ACTION TAKEN BY ALIEN PROPERTY CUSTODIAN

ORDER NO.	DATE	NAME OF FIRM	EXTENT OF VESTING ORDER
96	August 6, 1942	Tsutakawa and Company	728 shares of the capital stock
181	September 28, 1942	Osaka Syosen Kaisya	Assets
185	September 28, 1942	Okura and Company (Trading) Ltd.	70% of the capital stock and assets of Okura and Company; Tokyo, Japan
190 (amend.)	September 28, 1942	Japan Cotton and Silk Trading Co.	300 shares of common stock registered in the name of Wasaburo Uemura; Osaka, Japan
193	September 28, 1942	Hesco Import Company	Interests of partners
195	September 29, 1942	Banco di Napoli Trust Company of New York	97.62% of the capital stock
213	October 3, 1942	Kunio Izumi and Company	Assets
218	October 7, 1942	Assicurazioni Generali di Trieste e Venezia	Assets; property in the process of administration by the Superintendent of Insurance of the State of New York
224	October 9, 1942	Nippon Trading Company	Interests of partners
234	October 14, 1942	Robert Bosch, G.m.b.H.	Trade-marks, commercial prints, and labels
239	October 15, 1942	Nichibei Securities Corporation of Los Angeles	16% of the capital stock; all indebtedness to Tokyo Nichibei Shoken, Tokyo, Japan
240	October 15, 1942	Nichibei Securities Company, Ltd.	25.47% of the capital stock; all indebtedness to Tokyo Nichibei Shoken, Tokyo, Japan
245	October 19, 1942	Asahi Shimbun	Assets
246	October 19, 1942	Shochiku Company, Ltd.	Assets; All indebtedness owing to Shochiku Company, Ltd., Tokio, Japan

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ORDER NO.	DATE	NAME OF FIRM	EXTENT OF VESTING ORDER
249 (amend.)	November 19, 1942	American Potash and Chemical Corporation	
256	October 27, 1942	Yokohama Specie Bank, Ltd.	Certain indebtedness owing to Yoshio Muto, and Nippon Yusen Kaisha
257	October 28, 1942	Christine Hollenbech et al.	Real property and two bank accounts in Minneapolis, Minnesota, owned by nationals of Germany
258	October 28, 1942	Joseph and Helene Feiler	Certain real and personal property in Brooklyn, New York
259	October 28, 1942	Seamless Steel Equipment Corporation	All of the capital stock
260	October 28, 1942	Dr. Oidtmann Studios, Inc.	All of the capital stock and certain indebtedness owing by it
261	October 28, 1942	Holland-American Trading Corporation	All of the capital stock
262	October 28, 1942	Oana Brothers	Assets and interests of partners
271	October 29, 1942	Enterprises Leon Ballot, Paris	Concrete mixers
272	October 29, 1942	Patents	78
273	October 29, 1942	Patents	262
274	October 29, 1942	Amministrazione dei Monopoli di Stato of the Kingdom of Italy	Assets
276	October 31, 1942	Adolff Bobbin Company, Inc.	All of the capital stock
277	October 31, 1942	Gustav and Charlotte Schiwek	Certain real property in Flushing, New York
278	October 31, 1942	Y. I. Kato	Real property in Hominy, Oklahoma, and a bank account in the First National Bank in Hominy, Oklahoma
279	October 31, 1942	Katharina Barth et al (Doerr Estate)	Real and personal property in Salt Lake and Carbon Counties, Utah

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ORDER NO.	DATE	NAME OF FIRM	EXTENT OF VESTING ORDER
280	October 31, 1942	Steaua Romana, Bucharest, Rumania	Engine parts, rods, and steel tubing
281	October 31, 1942	Teplitzer Maschinenfabrik A.G. of Teplitzer-Schoenau, Czechoslovakia	Spengler Dry Press
282	October 31, 1942	Robert C. Mayer and Company	Interest of August T. Gausebeck
283	October 31, 1942	Asahi Corporation	All of the capital stock
284	October 31, 1942	Certain German nationals	Interests in nine contracts
285	November 2, 1942	Ichthyol Company	50% of the capital stock and certain indebtedness owing by it
287	November 2, 1942	Ichiro Aoki	Leasehold of real property in Visalia, California, and bank accounts
289	November 2, 1942	Kokusai Kisen Kaisha	Assets
290	November 2, 1942	Patents	57
291	November 2, 1942	Patents	242
292	November 2, 1942	Patents	41
293	November 2, 1942	Patents	465
294	November 2, 1942	Patents	43
295	November 2, 1942	Patents	17
296	November 2, 1942	Patents	16
297	November 2, 1942	Patents	53
298	November 2, 1942	Patents	18
299	November 2, 1942	D. Apostolou	1 patent

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321822

ORDER NO.	DATE	NAME OF FIRM	EXTENT OF VESTING ORDER
300	November 2, 1942	Patents	3
301	November 2, 1942	Patents	4
302	November 2, 1942	Patents	3
303	November 2, 1942	Patents	2
304	November 2, 1942	Patents	1
305	November 2, 1942	G. Guterman	1 patent
306	November 2, 1942	L. Gabrilovitch	1 patent
307	November 2, 1942	A. Polgar et al.	1 patent
308	November 2, 1942	Patents	4
309	November 2, 1942	Z. Volpertas	2 patents
310	November 2, 1942	A. Wolfner	1 patent
311	November 2, 1942	L. Petrovitch	1 patent
312	November 2, 1942	J. Marette, et al.	1 patent
313	November 2, 1942	J. Mercier	2 patents
314	November 2, 1942	V. Harms	1 patent
315	November 2, 1942	C. Cito	4 patents
316	November 2, 1942	Patents	2
317	November 2, 1942	Patents	3
318	November 2, 1942	G. Weber	1 patent
319	November 2, 1942	C. Dippel, et al.	1 patent

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ORDER NO.	DATE	NAME OF FIRM	EXTENT OF VESTING ORDER
345	November 7, 1942	Bank of Japan, New York	All property of any nature in which Bank of Japan, Tokyo, has an interest
346	November 7, 1942	Amerlux Steel Corporation	70% of the capital stock
347	November 7, 1942	Amerlux Steel Products Corporation	All of the capital stock
350	November 11, 1942	Amber Mines, Inc.	Indebtedness owing to Preussische Bernstein Manufactur
351	November 11, 1942	Cedar Swamp Road Realty Corporation	All of the capital stock
352	November 11, 1942	International Mortgage and Investment Corporation	80.49% of the capital stock and 66.08% of the common stock
353	November 11, 1942	Bridge Import Corporation	Interests of partners
354	November 11, 1942	Pioneer Import Corporation	85.71% of the capital stock
355	November 13, 1942	H. Scholler	3 patents
366	November 14, 1942	John Jacob Nortz, et al.	Interests in Louise Barbara Graue Trust, John Jacob Nortz Trust, and Liliam Mary Wolz Trust
367	November 14, 1942	Pacific Trading Company, Inc.	840 shares of capital stock
368	November 14, 1942	Certain repatriated Japanese nationals	88 radios
369	November 14, 1942	Yamakawa and Company	Interests of partners
370	November 17, 1942	Silesian-American Corporation	41.67% of the preferred stock and 49% of the common stock
371	November 18, 1942	Nippon Yusen Kaisha	All rights and claims of NYK (including but not limited to those of each and all of its American branches) in and to all property within the United States held by Sterling Carr, trustee in bankruptcy
372	November 18, 1942	Josephine M. Lorsch	Mortgage covering real property in New York City

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ORDER NO.	DATE	NAME OF FIRM	EXTENT OF VESTING ORDER
373	November 18, 1942	Chemical Marketing Company, Inc.	All of the capital stock
374	November 18, 1942	Eastern Tricosal Company, Inc.	All of the Class A common capital stock
375	November 18, 1942	Protinol Products, Inc.	All of the capital stock
376	November 18, 1942	Takamine Corporation	Indebtedness owing to Tatsumi Engineering Company, Ltd.
377	November 18, 1942	African-Asiatic Trading Company, Inc.	Indebtedness owing to H. N. Capelluto
378	November 18, 1942	Ohmi Company	Interest of U. H. Okubo
379	November 18, 1942	Meito China Corporation	10 shares capital stock
380	November 18, 1942	Frederick Herbener, deceased	Estate
381	November 18, 1942	Mathilde Lankenau, deceased	Estate
382	November 18, 1942	Margaretha Heilich, deceased	Estate
383	November 18, 1942	Carlo Casagrande, deceased	Estate
384	November 18, 1942	Katie L. Powell, deceased	Estate
385	November 18, 1942	Dorothy Ehleben, deceased	Estate
386	November 19, 1942	Diamond Rice Company	Assets of Matsuye Koike
387	November 19, 1942	Taiyo Trading Company	635 shares capital stock
388	November 19, 1942	Gaspero Lucchesi	Certain real properties in Washington, D. C. and certain savings accounts in the Riggs National Bank, Washington, D. C.
389	November 19, 1942	Japan Products Company, Inc.	100 shares of capital stock
390	November 19, 1942	Magario and Company, Inc.	200 shares capital stock and indebtedness to Hisao Magario

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ORDER NO.	DATE	NAME OF FIRM	EXTENT OF VESTING ORDER
391	November 19, 1942	San Cristobal Apartments, Inc.	All of the capital stock and a second mortgage owned by Mrs. Willi Lutz on the real estate of the corporation
392	November 19, 1942	Herman P. Lottmann and Lena Lottmann	Certain real and personal property
393	November 19, 1942	The Ultra Corporation	200 shares of capital stock
394	November 19, 1942	Southern Cotton Company, Ltd.	Indebtedness to certain Japanese nationals
396	November 19, 1942	G. Ricordi & Company	All of the capital stock
397	November 19, 1942	G. Ricordi & Company, Milan, Italy	Interest in a certain contract
398	November 19, 1942	Joseph Ferigo	Assets of the business enterprise
399	November 19, 1942	Hautz and Company (a partnership)	Interest of Victor Fruehauf
400	November 19, 1942	Robert E. Hautz and Company, Inc.	124½ shares of capital stock
401	November 19, 1942	Hashimoto Company	Assets
403	November 19, 1942	Robert W. Pommer Trust	Interests of certain German nationals
404	November 19, 1942	Metropolitan Auto Repairs	Assets
405	November 19, 1942	Buffalo Electro Chemical Co., Inc.	Certain indebtedness
406	November 19, 1942	Turner Estate, Inc.	33 1/3% of the capital stock
407	November 28, 1942	Ferd. Muhlens, Inc.	All interests of Paul Peter Muelhens and Aktian-Gesellschaft fur Aetherische Oele
408	December 1, 1942	Moshulu Realty Corporation	50 shares of capital stock and interests of Rosa Pratos Simonelli
409	December 1, 1942	2380 Arthur Avenue Corporation	100 shares of capital stock owned by Rosa Pratos Simonelli and Amalia de Curtis

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ORDER NO.	DATE	NAME OF FIRM	EXTENT OF VESTING ORDER
410	December 1, 1942	Rosa Pratos Simonelli	Certain real property in New York and New Jersey, and a bank account
411	December 1, 1942	Frederick Berthold Viertel, deceased	Estate
412	December 1, 1942	Frederick E. Watermeyer, deceased	Estate
413	December 1, 1942	Edward J. deJonge, deceased	Estate
414	December 1, 1942	Albert Bohl	Trust for benefit of Bohl
415	December 1, 1942	Max Forke, deceased	Estate
416	December 1, 1942	Eugen Trost, deceased	Estate
417	December 1, 1942	Karoline Kunkel, deceased	Estate
418	December 1, 1942	Bertha Kreisel, deceased	Estate
419	December 1, 1942	W. L. Harbach, deceased	Estate
420	December 1, 1942	Victoria Di Re Durando, deceased	Estate
421	December 1, 1942	Elizabeth A. Heil, deceased	Estate
422	December 1, 1942	Margaret Lauer, deceased	Estate
423	December 1, 1942	Emanuel G. Bellon, deceased	Estate
424	December 1, 1942	Japanese Foreign Trade Bureau	Underwood Typewriter in possession of Supervising Custom Agent, Houston, Texas
425	December 1, 1942	Adlanco X-Ray Corporation	Certain indebtedness owing to Siemens-Reiniger-Werke
426	December 1, 1942	M. Hensoldt and Sons, Inc.	All of the capital stock and certain indebtedness
427	December 4, 1942	Theodore Buhmann, deceased	Estate
428	December 4, 1942	Albert T. Friedmann, deceased	Estate

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ORDER NO.	DATE	NAME OF FIRM	EXTENT OF VESTING ORDER
429	December 4, 1942	Edward F. Heuner, deceased	Real and personal property of the German heirs-at-law and next of kin
430	December 4, 1942	Clara Alpers, deceased	Estate
431	December 4, 1942	Gustave A. Berghoff, deceased	Estate
432	December 4, 1942	Rose O. Baer, deceased	Estate
433	December 4, 1942	Anna Bruggen, deceased	Estate
434	December 4, 1942	Anna Essers, deceased	Estate
435	December 4, 1942	N. V. Handel-Maatschappij "Waldorf"	Certain securities
437	December 4, 1942	Conrad Herrmann, deceased	Estate
438	December 4, 1942	Nicholas Istrate, deceased	Estate
439	December 4, 1942	Mary Emily Jones, deceased	Estate
440	December 4, 1942	Helene Klaus, deceased	Estate
441	December 4, 1942	Von Bredow Trust	Certain property held in trust by the Union Trust Company of the District of Columbia, Washington, D. C.
443	December 4, 1942	Helene Metka	Certain real property in New York, New York, a mortgage, and a bank account
444	December 4, 1942	Otohiko and Takes Ishimoto	Real property in Lyndhurst, New Jersey, and personal property
445	December 7, 1942	Bertha Tienken, deceased	Estate
446	December 7, 1942	Theresa Wollenberg, deceased	Estate
447	December 7, 1942	Joseph Trifiro, deceased	Estate
448	December 7, 1942	Lizzie Terppe, deceased	Estate

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ORDER NO.	DATE	NAME OF FIRM	EXTENT OF VESTING ORDER
449	December 7, 1942	Joseph Schmitt, deceased	Estate
450	December 7, 1942	Harry J. Schmidt, deceased	Estate
451	December 7, 1942	Alwine Schmidt, deceased	Estate
452	December 7, 1942	Emil Schaffald, deceased	Estate
453	December 7, 1942	Heinrich E. F. Sandhagen, deceased	Estate
454	December 7, 1942	Hedwig Ritzkik, deceased	Estate
455	December 7, 1942	Mary E. Rosenweig, deceased	Estate
456	December 7, 1942	Heinrich Wilhelm Ignatz Reimann, deceased	Estate
457	December 7, 1942	Henry Rademacher, deceased	Estate
458	December 7, 1942	Otto Pressprich, Jr., deceased	Estate
459	December 7, 1942	Wilhelmina Ott, deceased	Estate
460	December 7, 1942	Charles Philip Oberheim, deceased	Estate
461	December 7, 1942	Anna M. Lutz, deceased	Estate
462	December 7, 1942	Gustov Loew, deceased	Estate
463	December 7, 1942	Sybilla Lenz, deceased	Estate
464	December 7, 1942	Louis Lang, deceased	Estate
465	December 7, 1942	Otto Kruger, deceased	Estate
466	December 7, 1942	Phillipine Klener, deceased	Estate
467	December 7, 1942	Arno Koerner, deceased	Estate

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ORDER NO.	DATE	NAME OF FIRM	EXTENT OF VESTING ORDER
468	December 7, 1942	Assicurazioni Generali di Trieste e Venezia	Excess, if any, of the reserve fund retained by Louis H. Pink, Superintendent of Insurance of the State of New York, liquidator of United States branch of Assicurazioni Generali, after determination and payment of claims and liquidation expenses
471	December 9, 1942	Rinzo (Rindo) Iwamoto and Yasu Motto, also known as Yasu Iwamoto	Safe deposit box
474	December 10, 1942	Aetna Life Insurance Company	Interest of Giuseppe Cesarini in an insurance policy issued by Aetna Life Insurance Company of Hartford, Connecticut, upon the life of Angelo Cesarini, deceased
475	December 10, 1942	Paul Karl Breitenfelder and Caroline Breitenfelder (alias Lena Schnoor and Linchen Schnoor) Adolph Simsich and Peter Eugene Simsich d/b/a Adolph Simsich & Son	Property of herein named interned enemy aliens
479	December 11, 1942	Oriental Show-You Company	132 shares of common stock and 20 shares of preferred stock
481	December 11, 1942	August Amalie Friedrich, deceased	Estate
482	December 11, 1942	Leonie de Bary Lyon Brewster	Trust under the Last Will and Testament of Adolphe de Bary, deceased
483	December 11, 1942	Adolph Greenberger, deceased	Estate
484	December 11, 1942	Francesco Grisafi, deceased	Estate
485	December 11, 1942	Theresia Eiersheim, deceased	Estate
486	December 11, 1942	Joseph John Berghian, deceased	Estate
487	December 11, 1942	Ida Diedrich, deceased	Estate
488	December 11, 1942	Ubalda Chiara, deceased	Estate

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ORDER NO.	DATE	NAME OF FIRM	EXTENT OF VESTING ORDER
489	December 11, 1942	Caroline Breisacher	Trust under the Will of Theodore Brentano, deceased
490	December 11, 1942	Max R. Bashford	Estate
491	December 11, 1942	Rosa Golluber, deceased	Estate
496	December 12, 1942	Paul Knuth, deceased	Estate
497	December 12, 1942	Alfred Herz, deceased	Estate
498	December 12, 1942	Margareta Haller, deceased	Estate
499	December 12, 1942	Katharina Lehmann, deceased	Estate
500	December 12, 1942	George Pohlig, deceased	Trust
501	December 12, 1942	Alice Pine, deceased	Estate
502	December 12, 1942	Paul Pelz, deceased	Estate
503	December 12, 1942	Domenico Punzi, deceased	Estate
504	December 12, 1942	Adolf Rieger, deceased	Estate
505	December 12, 1942	Gennero Ruggiers, deceased	Estate
506	December 12, 1942	Otto Schmitt, deceased	Estate
507	December 12, 1942	Carmine La Morte, deceased	Estate
508	December 12, 1942	Martin Luippold, deceased	Estate
509	December 12, 1942	Henrietta Littman, deceased	Estate
510	December 12, 1942	Otto Mittman, deceased	Estate
511	December 12, 1942	Domenic Marinelli, also known as Domenico Marinelli, deceased	Estate

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ORDER NO.	DATE	NAME OF FIRM	EXTENT OF VESTING ORDER
489	December 11, 1942	Caroline Breisacher	Trust under the Will of Theodore Brentano, deceased
490	December 11, 1942	Max R. Bashford	Estate
491	December 11, 1942	Rosa Golluber, deceased	Estate
496	December 12, 1942	Paul Knuth, deceased	Estate
497	December 12, 1942	Alfred Herz, deceased	Estate
498	December 12, 1942	Margareta Haller, deceased	Estate
499	December 12, 1942	Katharina Lehmann, deceased	Estate
500	December 12, 1942	George Pohlig, deceased	Trust
501	December 12, 1942	Alice Pine, deceased	Estate
502	December 12, 1942	Paul Pelz, deceased	Estate
503	December 12, 1942	Domenico Punzi, deceased	Estate
504	December 12, 1942	Adolf Rieger, deceased	Estate
505	December 12, 1942	Gennero Ruggiers, deceased	Estate
506	December 12, 1942	Otto Schmitt, deceased	Estate
507	December 12, 1942	Carmine La Morte, deceased	Estate
508	December 12, 1942	Martin Luippold, deceased	Estate
509	December 12, 1942	Henrietta Littman, deceased	Estate
510	December 12, 1942	Otto Mittman, deceased	Estate
511	December 12, 1942	Domenic Marinelli, also known as Domenico Marinelli, deceased	Estate

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ORDER NO.	DATE	NAME OF FIRM	EXTENT OF VESTING ORDER
512	December 14, 1942	Domestic Fuel Corporation	375 shares of common stock and interests of N. V. Handels-en-Transport Maatschappij "Vulcaan" and Franz Haniel and Cie.
513	December 14, 1942	Romualdo Locatelli	Paintings owned by and indebtedness owing to Locatelli
514	December 14, 1942	Rudolf Joseph Wessoly, deceased	Estate
515	December 14, 1942	Henry Weiner, deceased	Estate
516	December 14, 1942	Elizabeth Walter, deceased	Estate
517	December 14, 1942	Meta Sehlmeyer, deceased	Estate
518	December 14, 1942	Frederick Schniepp, deceased	Estate
519	December 14, 1942	Frank Uebel, also known as Frank Übel, also known as Frank Hubel	Estate
520	December 14, 1942	Albert Strokol, deceased	Estate
521	December 14, 1942	Klara Wenzel, also known as Clara Wenzel deceased	Estate
522	December 17, 1942	Louisa Herle, deceased	Estate
523	December 18, 1942	Paul Peters Muhlens	Trademark
527	December 18, 1942	Gus Bartels, deceased	Estate
528	December 18, 1942	Angelina Delaidotti, deceased	Estate
529	December 18, 1942	Germano Delaidotti, deceased	Estate
530	December 18, 1942	Louise E. Dondell, deceased	Estate
531	December 18, 1942	Robert H. Duesing, deceased	Estate
532	December 18, 1942	Bertha Fischer, deceased	Estate

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ORDER NO.	DATE	NAME OF FIRM	EXTENT OF VESTING ORDER
533	December 18, 1942	Max E. Beghardt, deceased	Estate
534	December 18, 1942	Alessio Giannini, deceased	Estate
535	December 18, 1942	Bernhard Hahn, deceased	Estate
536	December 18, 1942	Anna Hecker, deceased	Estate
537	December 18, 1942	Alfred G. Hinderer, deceased	Estate
538	December 18, 1942	Wilheim Hoffmann, deceased	Estate
539	December 18, 1942	Hugo Hohenstein, deceased	Estate
540	December 18, 1942	Frederick B. Klein, deceased	Estate
541	December 18, 1942	August Kleist, deceased	Estate
542	December 18, 1942	Johann Kremenezky, deceased	Estate
543	December 18, 1942	Henry Klinkebiel, Detrich Klinkebiel, and Fred Bruntzen	Partition Suit
544	December 18, 1942	Anna Krupper, deceased	Estate
545	December 18, 1942	Julius Kuhn, deceased	Estate
546	December 18, 1942	Joseph Liebel, deceased	Estate
547	December 18, 1942	Heinrich Max Lutzner, deceased	Estate
548	December 18, 1942	Anna Machalke, deceased	Estate
549	December 18, 1942	Karl Alvin Mader, deceased	Estate
550	December 18, 1942	Lloyd Richard Manning, deceased	Estate
551	December 18, 1942	Verona Mortiz, deceased	Estate
552	December 18, 1942	Maria Guimelli Musetti, deceased	Estate

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ORDER NO.	DATE	NAME OF FIRM	EXTENT OF VESTING ORDER
553	December 18, 1942	Otto Neumann, deceased	Estate
554	December 18, 1942	Frank Abraham Andreas Nissen, deceased	Estate
555	December 18, 1942	L. Perl, deceased	Estate
556	December 18, 1942	Peter Schflechner, deceased	Estate
557	December 18, 1942	Christine Catherine Schluter, deceased	Trust
558	December 18, 1942	Emma Stadelman, deceased	Estate
559	December 18, 1942	Heinrich Sodeman, deceased	Estate
560	December 18, 1942	Kunigunde Weber, deceased	Estate
561	December 18, 1942	Bernard Wohl, deceased	Estate
562	December 29, 1942	Fannie P. Woodward, deceased	Estate
563	December 29, 1942	Agesilao Vincenzo Vicinanza, deceased	Estate
564	December 29, 1942	Alfred M. Tobias, deceased	Estate
565	December 29, 1942	Henry Steiner, deceased	Estate
566	December 29, 1942	Gertrude Spechtmeri, deceased	Estate
567	December 29, 1942	Isidor Solomon, deceased	Estate
568	December 29, 1942	Antonio Schiaffino, deceased	Estate
569	December 29, 1942	Vincenzo Ruggiero, deceased	Estate
570	December 29, 1942	Frank Romano, deceased	Estate
571	December 29, 1942	William Roessler, deceased	Estate
572	December 29, 1942	Silvio Pedrotti, deceased	Estate

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ORDER NO.	DATE	NAME OF FIRM	EXTENT OF VESTING ORDER
573	December 29, 1942	Lorenzo Nicastro, deceased	Estate
574	December 29, 1942	John Lihner, deceased	Estate
575	December 29, 1942	Adolph Lauscher, deceased	Estate
576	December 29, 1942	Oscar A. Herrmann, deceased	Estate
577	December 29, 1942	Conrad Heinekamp, deceased	Estate
578	December 29, 1942	Aniello Demo, deceased	Estate
579	December 29, 1942	Alfonso Curatolo, deceased	Estate
580	December 29, 1942	Gaspar Cerfoglia, deceased	Estate
581	December 29, 1942	Abraham Brown, deceased	Estate
582	December 29, 1942	Paolo Bardoni, deceased	Estate
583	December 29, 1942	Martha Bachrach, deceased	Estate
584	December 29, 1942	Sebastiano Aiello, deceased	Estate
585	December 29, 1942	Emma G. Archdeacon, deceased	Estate

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## VESTING REPORT NO. 4

## RECORD OF VESTING ACTION TAKEN BY ALIEN PROPERTY CUSTODIAN

Treasury Department  
FOREIGN FUNDS CONTROL

June 12, 1942

Order No.	Date	Name of Firm	Extent of Vesting Order
14	June 4, 1942	Spur Distributing Co., Inc.	73,039 shares of common stock registered in name of Houvis & Co. and deposited with a bank as collateral for loan made to Fritz von Opel.
15	June 4, 1942		Patent-licensing agreement between G. Wolff Jr. Kom. Ges. of Bochum-Linden, Germany, as licensor, and Koppers Company, as licensee
16	June 4, 1942	Daimler-Benz A. G. Deutsche Gold und Silber Scheideanstalt vormals Roessler Deutsche Hollerith Maschinen G.m.b.H. Deutsche Hydrierwerke, A. G. Dornier-Werke, G.m.b.H. Radioaktiengesellschaft D. S. Loewe Siemens Apparate Und Maschinen Gesellschaft mit beschränkter Haftung Telefunken Gesellschaft fur Drahtlose Telegraphie m.b.H Zeiss Ikon A. G. Brandenburgische Motorenwerke G.m.b.H. Braun Franz A. G. Brussing-nag Vereinigte Nutzkraftwagen A. G. Buttner-Werke A. G. Byk-Guldenwerke Chemische Fabrik A. G. Carbo-Norit-Union Verwaltungs G.m.b.H. Chemische Fabrik Bredenheim A. G. Chemische Fabrik von Heyden A. G. Chemische Fabrik Pfersee G.m.b.H. Chemische Fabrik Promonta G.m.b.H. Chemische Werke Albert Chemische Werke Aussig Falkenau G.m.b.H. Chemische Werke Dr. Klopfer G.m.b.H. Chemische Werke Marienfelde Richard Bosche Chemische vorm. H. & E. Albert	18 patents 27 patents 14 patents 19 patents 43 patents 50 patents 40 patents 214 patents 42 patents 2 patents 1 patent 1 patent 7 patents 1 patent 1 patent 1 patent 4 patents 1 patent 1 patent

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Order No.	Date	Name of Firm	Extent of Vesting Order
		Chinoin Gyogyszer es Vegyeszeti Termeket Gyara R. T. Christopher & Unmack A. G. Concordia Elektrizitata A. G. Conradty, C. Firm Consortium fur Electrochemische Industrie G.m.b.H. Continental Gummi Werke A. G. Danuvia Fegyver-es Loszergyar Reszvenytarsasag Deback, Deutsche Backmittel Gesellschaft Quaschning & Co. Demag A. G. Deschimag Deutsche Celluloid Fabrik A. K. Deutsche Edelstahl-werke A. G. Deutsche Erdol A. G. Deutsche Kurtin-Oel Fabriken Deutsche Maizena G.m.b.H. Deutsche Petroleum A. G. Deutsche Rohrenwerke A. G. Deutsche Tafelglas A. G. Deutsche Werke Kiel A. G. Didier Werke A. G. Duisburger Kupferhuette Dynamit Actien Gesellschaft vormals Alfred Nobel & Company Emil Busch A. G. Halstenbach & Co. Hans Treckmann	5 patents 1 patent 2 patents 1 patent  6 patents 3 patents 1 patent  1 patent 4 patents 1 patent 3 patents 1 patent 2 patents 1 patent 1 patent 1 patent 1 patent 1 patent 1 patent 1 patent 1 patent 1 patent 2 patents  2 patents 1 patent 5 patents 1 patent  345,760 shares of common stock held by 4 firms for account of Arnhold & S. Bleichroeder, Inc.
17	June 4, 1942	Harvard Brewing Co.	
18	June 4, 1942	Westminster Industrial Corporation	100 shares of common capital stock held in trust for Overseas Finance Corporation, Ltd. of Liesthal, Switzerland
19	June 4, 1942	Amerlagene, Inc.	300 shares of common capital stock
20	June 4, 1942		6 patent applications owned by Fritz von Opel
21	June 9, 1942	I. G. Farbenindustrie A. G.	1 patent

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VESTING REPORT NO. 5  
RECORD OF VESTING ACTION TAKEN BY ALIEN PROPERTY CUSTODIAN

Treasury Department  
FOREIGN FUNDS CONTROL  
June 30, 1942

Order No.	Date	Name of Firm	Extent of Vesting Order
22	June 16, 1942	The Nirosta Corporation	715 shares of capital stock, of which 615 shares are registered in the name of Wolframertz, A.G., and 100 shares in the name of Emil Schill.
23	June 16, 1942		Copyright claim (and copyright, if any) on "Handbuch Der Klimatologie"
			Copyrights on (a) "Geographie Des Atlantischen Ozeans" by Gerhard Schott; (b) "Geographie Des Indischen Und Stillen Ozeans" by Gerhard Schott; (c) "Der Mythus Des 20 Jahrhunderts; Eine Wertung der Seelischgeistigen Gestaltenkampfe Unserer Zeit" by Alfred Rosenberg; and (d) "Die Ewige Frau, Die Frau in Der Zeit, Die Zeitlose Frau" by Gertrude von Le Fort
24	June 16, 1942	Lingner Corporation	1677 shares of no par value common capital stock, registered and owned as follows: 1630 shares—Lingnerwerke, A.G., Berlin; and 47 shares—Bank fur Industrie und Verwaltung, A. G., Berlin.
25 & 26	See footnote		
27	June 18, 1942	Edeleanu G.M.B.H. Fabbrica Italiana Magneti Marelli S.A. Fernseh A. G. I. G. Farbenindustrie A. G. Kalle & Co., A. G. Patentverwertungs G.m.b.H. Hermes Amiti, Luigi Berglein, Maria Bredtschneider, Kurt Chemische Forschungsgesellschaft m.b.H. Consortium Fuer Elektrochemische Industrie Eberspacher, J. Edeleanu G.m.b.H. Edler & Krische O.H.G. Ehrich & Graetz A. G. Eisen-Und Huttenwerke A. G. Electroacoustic G.m.b.H.	17 patents 22 patents 22 patents 218 patents 14 patents 27 patents 1 patent 2 patents 1 patent 12 patents 2 patents 2 patents 1 patent 1 patent 1 patent 1 patent 1 patent 4 patents

Note:—Vesting orders No. 25 and 26 have not yet been made public and will appear in a later report.

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Order No.	Date	Name of Firm	Extent of Vesting Order
		"Elin" Aktiengesellschaft fur elektrische Industrie Erdolproduktions G.m.b.H.	1 patent
		Ernst Krause & Co.	1 patent
		Fabbrica Automobili Isotta Fraschini S.A.	1 patent
		Faber, A.W. Castel-Bleistift-Fabrik A.G.	2 patents
		Fahlberg-List Aktiengesellschaft	1 patent
		Fa. Maschinenfabrik Backau R. Wolf A.G.	1 patent
		Felten & Guilleaume Carlswerk A.G.	2 patents
		Fernseh G.m.b.H.	8 patents
		Fiat Societa Anonima	3 patents
		Fichtel & Sachs, A.G.	8 patents
		Firma Autogenwerk "Sirins" G.m.b.H.	1 patent
		Firma Emil Wirth Wirkmaschinenfabrik	2 patents
		Firma Osnabrucker Kupfer-und Drahtwerk	1 patent
		Firma Paul Lechler	1 patent
		Firma Sachsische Armaturen Fabrik, W. Michalk & sohn.	1 patent
		Fischer & Krecke G.m.b.H.	1 patent
		Focke-Wolf Flugzeugbau G.m.b.H.	3 patents
		Forschungsanstalt Prof. Junkers G.m.b.H.	1 patent
		Frankfurter Maschinenbau, A.G. vorm. Pokorney & Wittekind	2 patents
		Fr. Hesser Maschinenfabrik A.G.	1 patent
		Friedmann, Alex.—Firm.	2 patents
		Friedrich Boedecker, Gustave Revery & Hans Volk	1 patent
		Gebr. Bohler & Co. A.G.	4 patents
		Gebruder Bohringer G.m.b.H.	1 patent
		Gebrueder, Eickhoff	1 patent
		Gebrueder Eickhoff Maschinenfabrik	5 patents
		Gebrueder Eickhoff Maschinenfabrik und Eisengiesserei	11 patents
		Germer, Wilhelm E.	3 patents
		Gesellschaft fur Linde's Eismaschinen A. G.	11 patents
		Gesellschaft Zur Konstruktion und Verwertung	4 patents
		Gewerkschaft Leubsdorf	1 patent
		Goldschmidt, Th. A. G.	5 patents
		Goshi Kaisha Mazuzawa Shoten	1 patent
		Gothaer Waggonfabrik A.G.	1 patent
		Gotthard Sachsenberg Zentralgesellschaft m.b.H.	1 patent
		Gottlob Widmann K.G. Elektround Radiofabrik	1 patent
		Gritzner-Kayser, A. G.	1 patent

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Hafta Handelgesellschaft Fur Technische Neubetten m.b.H.	1 patent
Hans Neuerberg Kolin, Zweig der H. F. & Ph. F. Reemstsma	2 patents
Hauptner, H.	1 patent
Hugo, Heiermann,	1 patent
Heimbach, Thomas Josef G.m.b.H.	1 patent
Hein & Hein	1 patent
Heinrich Habig A.G.	1 patent
Hellige & Co.	2 patents
Hemmi Seisakusho Co.	1 patent
Henkel & Cie., G.m.b.H.	2 patents
Henschel Flugzeugwerke A.G.	11 patents
Henschel & Sohn, G.m.b.H.	3 patents
Heraeus Vacuumschmelze A. G.	6 patents
Heraeus, W.C., G.m.b.H.	3 patents
Hilscher, G.	1 patent
Hoesch A. G.	1 patent
Humboldt-Deutzmotoren A. G.	1 patent
Huth, Eric F., G.m.b.H.	4 patents
Hutten-Gesellschaft Lohse-Lindhorst Paxmann G.m.b.H.	3 patents
Huttenwerke Siegerland A. G.	1 patent
Hydraulik G.m.b.H.	1 patent
Ihagee Kamerawerk Steenbergen & Company	1 patent
Ing. C. Olivetti & C.S.A.	3 patents
Ingenieurburo Fur Huttenbau Wilhelm Schwier	1 patent
International Baumaschinenfabrik	2 patents
Internationaler Maretti Maschinenverhauf	1 patent
I.S.S.A. Industria Specializzata Strumenti Aeronavigazione S.A.	1 patent
Junkers & Co., G.m.b.H.	8 patents
Junkers Flugzeug-und-Motorenwerke	3 patents
Kahushiki Kaisha Shimizugumi	1 patent
Kaiser, Fr. & Co.	1 patent
Kalle & Co. A. G.	1 patent
Kampf & Spindler	2 patents
Kappier, Paul	1 patent
Katakura Seishi Boseki Kabushiki Kaisha (Katakura Reeling & Spinning Co. Ltd)	2 patents
Kayser, J. G.—Firm	1 patent
Kienzle Taxameter-und Apparate A.G.	2 patents
Kienzle-Apparate A. G.	1 patent
Kinzoku Zairyo Kenkyusho	8 patents

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Hafta Handelgesellschaft Fur Technische Neubetten m.b.H.	1 patent
Hans Neuerberg Kolin, Zweig der H. F. & Ph. F.	2 patents
Reemstsma	1 patent
Hauptner, H.	1 patent
Hugo, Heiermann,	1 patent
Heimbach, Thomas Josef G.m.b.H.	1 patent
Hein & Hein	1 patent
Heinrich Habig A.G.	1 patent
Hellige & Co.	2 patents
Hemmi Seisakusho Co.	1 patent
Henkel & Cie., G.m.b.H.	2 patents
Henschel Flugzeugwerke A.G.	11 patents
Henschel & Sohn, G.m.b.H.	3 patents
Heraeus Vacuumschmelze A. G.	6 patents
Heraeus, W.C., G.m.b.H.	3 patents
Hilscher, G.	1 patent
Hoesch A. G.	1 patent
Humboldt-Deutzmotoren A. G.	1 patent
Huth, Eric F., G.m.b.H.	4 patents
Hutten-Gesellschaft Lohse-Lindhorst	3 patents
Paxmann G.m.b.H.	1 patent
Huttenwerke Siegerland A. G.	1 patent
Hydraulik G.m.b.H.	1 patent
Ihagee Kamerawerk Steenbergen & Company	1 patent
Ing. C. Olivetti & C.S.A.	3 patents
Ingenieurburo Fur Huttenbau Wilhelm Schwier	1 patent
International Baumaschinenfabrik	2 patents
Internationaler Maretti Maschinenverhauf	1 patent
I.S.S.A. Industria Specializzata Strumenti Aeronavigazione S.A.	1 patent
Junkers & Co., G.m.b.H.	8 patents
Junkers Flugzeug-und-Motorenwerke	3 patents
Kahushiki Kaisha Shimizugumi	1 patent
Kaiser, Fr. & Co.	1 patent
Kalle & Co. A. G.	1 patent
Kampf & Spindler	2 patents
Kappier, Paul	1 patent
Katakura Seishi Boseki Kabushiki Kaisha (Katakura Reeling & Spinning Co. Ltd)	2 patents
Kayser, J. G.—Firm	1 patent
Kienzie Taxameter-und Apparate A.G.	2 patents
Kienzle-Apparate A. G.	1 patent
Kinzoku Zairyo Kenkyusho	8 patents

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Order No.	Date	Name of Firm	Extent of Vesting Order
		Klangfilm G.m.b.H.	2 patents
		Klockner-Humboldt Deutz A.G.	5 patents
		Koepf, Rudolph & Co. Chemische Fabrik A.G.	1 patent
		Knorr-Bremse A.G.	1 patent
		Koch, Josef	1 patent
		Kohle-und Eisenforschung G.m.b.H.	1 patent
		Kommandit-Gesellschaft in Firma Peter Kolln	1 patent
		Konig, Fritz	1 patent
		Kosmograph Diktiermaschinenfabrik G.m.b.H.	4 patents
		Krupp, Fried Grusonwerk A.G.	6 patents
		Lanbein-Pfanhauser Werke A.G.	2 patents
		Lanninger, Karl	1 patent
		Lanz, Heinrich, A.G.	2 patents
		Lehmann, J.M. (Firm)	4 patents
		Leipziger Leichtmetall Werk Rackwitz Bernhard	
		Berghaus U. Co.	1 patent
		Leitz, Ernst, G.m.b.H.	2 patents
		Licentia Patent-Verwaltungs-Gesellschaft	3 patents
		List, Heinrich	1 patent
		Loibl, Anton G.m.b.H.	1 patent
		Luftschiffbau Zeppelin G.m.b.H.	1 patent
		Lukacs, Ernst	2 patents
		Lutz & Weiss G.m.b.H. Silber & Metallwarenfabrik.	1 patent
		Magdeburger Werkzeugmaschinenfabrik G.m.b.H.	3 patents
		Magyar Ruggyautaarugyar Reszvenytarsasag	1 patent
		Magyar Wolframlamda Gyar Kramenezky	2 patents
		Mainzer, Berthold	1 patent
		Mannesmann Stahlblechbau, A.G.	3 patents
		Maschinenfabrik Einsiedel G.m.b.H.	1 patent
		Maschinenfabrik Paul Leistriz	1 patent
		Maschinenwerke zu Frankfort A.M.	1 patent
		vorm. Kolk, Rieber & Co. G.m.b.H.	1 patent
		Mascjomiefabrik Paul Leistritz	6 patents
		Maybach Motorenbau G.m.b.H.	1 patent
		Mechanische Weberei G.m.b.H.	2 patents
		Meiller, F.X. Maschinenfabrik und	1 patent
		Wagenbauanstalt	3 patents
		Melitta-Werke Benz & Sohn	1 patent
		Mercedes Buromaschinen-Werke A.G.	1 patent
		Messer & Co. G.m.b.H.	3 patents
		Messerschmitt A. G.	1 patent
		Metallbesatz Willy Klotzer & Co.	1 patent

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Metallurgica Bresciana Gia Tempini Societa Anonima	1 patent
Metallwarenfabrik Eugen Ising	3 patents
Metallwerk Terna	1 patent
Mijoshi Kagakukogyo Kabushiki Kaisha	1 patent
Minerva S. A.	1 patent
Mitteldeutsche Spinnhutte G.m.b.H.	2 patents
Mitteldeutsche Stahlwerke A.G.	1 patent
Mix & Genest A. G.	3 patents
"Montecatini" Societa Generale per l'Industria Mineraria e Chimica	4 patents
Monts, A. Maschinenfabrik und Eisengiesserei	1 patent
Moritz & Gerstenberger	1 patent
Moritz, Joh.	1 patent
Naftolen-Gesellschaft Zur Verwertung der Rostler-Mehnerschen Verfahren m.b.H.	3 patents
Naturin-Werk Becker & Co.	1 patent
Nippon Filmon Kabusiki Kaisya	2 patents
Nippon Gakki Seigo Kabushiki Kaisha	2 patents
Nitrokemia Ipartelpek Reszvenytarsasag	1 patent
Nollesche Werke K.G.	1 patent
Oesterreichische Magnesit A.G.	2 patents
Officina Meccanica Della Stanga, S. A.	1 patent
Olympia Buromaschinenwerke A.G.	3 patents
Osnabrucker Kupfer und-Draktwerk	1 patent
Osterr Knopf-und Metallwarenfabrik J. Meister & Co.	1 patent
Osterr Sauer-Werke A.G.	1 patent
Otto Siemen & Johannes Hinsch.	4 patents
Pallas Apparate G.m.b.H.	1 patent
Pemetzrieder, Georg. Metallgiesserei, G.m.b.H.	1 patent
Persil G.m.b.H.	1 patent
Pfaff, G.M., A.G.	1 patent
Pintsch, Julius, Kommanditgesellschaft	5 patents
P.I.V. Antrieb Werner Reimers K.G.	1 patent
Polysius, G.A.G.	4 patents
Porzellanfabrik Weiden Gebr. Bauscher Zweigniederlassung der Lorenz Hutschenreuther, A.G.	1 patent
Pracisions Mechanische und Optische Anstalt Ferdinand Suss A.G.	1 patent
Rabald & Co. Apparatebau	1 patent
Reissnagel und Metallkurzwarenfabrik Heinrich Sachs.	1 patent

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Order No.	Date	Name of Firm	Extent of Vesting Order
		Rentrop, R.A.A.G.	1 patent
		Rheiner Maschinenfabrik Windhof A.G.	1 patent
		Rheinische Metallwaaren und Maschinenfabrik Sonnerda A. G.	1 patent
		Rheinmetall-Borsig A.G.	4 patents
		Riedel, J. D.-E. de Haen A.G.	2 patents
		Rikur K. Kurt Richter G.m.b.H.	1 patent
		Rockstroh-Werke A.G.	1 patent
		Roehlingsche Eisen-und Stahlwerke G.m.b.H.	2 patents
		Rommler, H.A.G.	1 patent
		Rompler, Joseph A.G.	1 patent
		Ruetgerswerke A.G.	3 patents
		Russ-Elektrofen, K.G.	6 patents
		Sachsische Textil-Maschinenfabrik vorm. Rich. Hartmann A.G.	1 patent
		Sachtleben A. G.	1 patent
		Sacora Soc. An. Commercio Olii Rappresentanza Autotraporti	1 patent
		Schering, A.G.	1 patent
		Schimmel & Co. A.G.	1 patent
		Schlafhorst, W. & Co.	1 patent
		Schneider, Jos. & Co. Optotechnische Gesellschaft	2 patents
		Schnellpressenfabrik A. G.	1 patent
		Schokolandenfabrik Mauxion	1 patent
		Schorch Werke A. G.	1 patent
		Schubert-Salzer Maschinenfabrik A. G.	7 patents
		Schuler, L., A.G.	1 patent
		Schumag Schumacher Metallwerke A.G.	1 patent
		Schutte, Alfred, H.	3 patents
		Sendlinger Optische Glaswerke G.m.b.H.	2 patents
		Siemens & Halske A.G.	6 patents
		Siemens-Reiniger-Werke, A.G.	8 patents
		Siemens Schuckertwerke A.G.	1 patent
		Silesia Verein Chemischer Fabriken	4 patents
		Skrebbra Buromaschinenfabrik K.G.	1 patent
		"Snia-Viscosa" Societa Nazionale Industria Applicazioni Viscosa	1 patent
		Societa Anonima Brevetti Cecchet	1 patent
		Societa Anonima Electrovetro Nazionale	1 patent
		Societa Anonima Manifatta Ceramico Pozzi	1 patent
		Soc. An. Microtecnica	1 patent
		Societa Anonima Officine Galileo	1 patent

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Societa Anonima-Officine di Villar Perosa.	2 patents
Societa Anonima Pignone Officine Meccanich e Fonderia	1 patent
Societa Anonima S.I.M.B.I.	2 patents
Societa Antonio Ryba a Garanzia limitata	1 patent
Societa Italiana Pirelli	2 patents
Societa Italiana Potassa	1 patent
Societa Scientifica Radio Brevetti Ducati	1 patent
Societe D'Exploitation Des Brevets Moinean S.A.R.L.	1 patent
Sohenck, Carl Eisengiesserei U. Maschinenfabrik Darmstadt G.m.b.H.	1 patent
Spinnfaser, A.G.	2 patents
Springer, Berthold	1 patent
Stablivolt G.m.b.H.	1 patent
Staehle G., Kommandit-Gesellschaft	2 patents
Stahlwerke Brunninghaus A.G.	1 patent
Stahlwerk Mark Wengern A.G.	1 patent
Steatit-Magnesia A.G.	1 patent
Suddeutsche apparaefabrik G.m.b.H.	10 patents
Sumitomo Denki Kogyo Kabusiki Kaisya, known as Sumitomo Electric Industries, Ltd.	1 patent
Sumitomo Kinzoku-Kogyo Kabushiki Kaisha	3 patents
Sworavsky D. Glasfabrik und Tyroletschleifmettal-Werke	1 patent
Takara Jidosha Kogyo Kabushaki-Kaisha	1 patent
Telefonbau und Normalzeit G.m.b.H.	1 patent
Teves, Alfred Maschinen-und Armaturen Fabrik G.m.b.H.	1 patent
Tobis Tonbild Syndikat A.G.	1 patent
Tokyo Shibaura Denki Kabushiki	1 patent
Torpedo-Werke Aktiengesellschaft Fahrrader und Schreibmaschinen	1 patent
Toyo Tokushu Imono Kabushiki Kaisha	1 patent
Trikotfabriken J. Schiesser, A. G.	1 patent
Triumphator-Werk Heer & Co.	1 patent
Troponwerke Dinklage & Co.	2 patents
Turba Torfindustrie G.m.b.H.	1 patent
Typograph G.m.b.H.	1 patent
Udo, Bamberger	6 patents
Union-Special Maschinenfabrik G.m.b.H.	1 patent
United Incandescent Lamp & Electric Co.	3 patents
Zellstofffabrik, Waldhof	1 patent
Zoppi, Emanuele	1 patent

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Order No.	Date	Name of Firm	Extent of Vesting Order
28	June 18, 1942	Adlanco X-Ray Corporation	700 shares of common stock of which 505 shares are held by Siemens-Reiniger-Werke, Berlin, and 195 shares are held by General Radiological, Ltd. London.
29	June 18, 1942	J. M. Lehmann Co. Inc.	All of the right title, and interest of Franz B. Lehmann, Philipp Elimeyer, and the Deutsche Bank Filiale Dresden in the 1,225 shares of common stock registered in the name of Franz B. Lehmann, and presently in the custody of the New York Supreme Court.
30	June 18, 1942	Avonzel Corporation	One (1) share of \$100 p.v. common capital stock and any voting trust certificate issued in the name of Georg von Zedlitz und Leipe.
31	June 18, 1942	Ergen Corporation	8,253 shares of common capital stock owned by Carl Stangen.
32	June 18, 1942	Estate of Anna M. von Zedlitz	All right, title and interest of Georg von Zedlitz und Leipe, his wife and children, Leopold Georg, Monica and Adolf Georg von Zedlitz, in such Estate, of which Thomas F. Morris is trustee.

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## VESTING REPORT NO. 6

## RECORD OF VESTING ACTION TAKEN BY ALIEN PROPERTY CUSTODIAN

Treasury Department  
FOREIGN FUNDS CONTROL  
July 13, 1942

Order No.	Date	Name of Firm	Extent of Vesting Order
25	June 16, 1942	Yamanaka & Co., Inc., New York	4000 shares no par value common capital stock owned by Yamanaka & Co., Ltd., Japan.
		Yamanaka & Co., Inc., Chicago	400 shares of \$25 par value common capital stock owned by Yamanaka & Co., Ltd., Japan.
		Yamanaka & Co., Inc., Boston	150 shares no par value common capital stock owned by Yamanaka & Co., Ltd., Japan.
26	June 18, 1942		Certain processes and formulae, described in a document dated January 16, 1942 and signed by Erwin T. Fritzsching; now in the possession of Empire Trust Co., New York.
33	June 30, 1942	General Dyestuff Corporation	All outstanding shares of capital stock.
34	June 30, 1942	Byk, Incorporated	All capital stock.
		Byk-Guldenwerke Chemische Fabrik, A.G., Berlin	1 trademark.
35	June 30, 1942	Siemens, Incorporated	All outstanding shares of common capital stock.
36	June 30, 1942	Ajax Transportation Company	7,304 shares of common capital stock registered in names of Hanns Frankenberg and Adolf Gaeng.
37	June 30, 1942	Bisleri Company, Inc.	697 shares of common capital stock, of which 695 shares are registered in the name of S. A. Bisleri & Cia., Milan, and 2 shares in the name of Michele Bonelli, Milan.
		S. A. Felice Bisleri & Cia., Milan	1 trademark
38	June 30, 1942	American Obermaier Corporation	100 shares of common capital stock owned by Obermaier & Cie., Germany.
39	June 30, 1942	Castle Rayon Corporation	All capital stock.
40	June 30, 1942	Butte Farm Land Company	1000 shares of capital stock, owned as follows:— Toyotara Ikuta — 400; K. Ikuta — 1; S. Yamada — 199; Hideo Yamada — 200; Sumi Yamada — 100; Kazuko Yamada — 100.

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Order No.	Date	Name of Firm	Extent of Vesting Order
41	June 30, 1942	American Lurgi Corporation	All outstanding capital stock.
42	July 1, 1942	Buffalo Electro-Chemical Co., Inc.	3691 shares of common capital stock owned by various residents of Germany.
43	July 1, 1942	Amber Mines, Inc.	All capital stock.
44	July 1, 1942	Orma Realty Corporation	All capital stock.
45	July 1, 1942	Compagnia Italiana Turismo, Inc.	Promissory note in the amount of \$314,455 payable to Banco de Sicilia, Palermo.
46	July 2, 1942	Cisatlantic Corporation	All capital stock.
		Cisoceanic Corporation	All right, title and interest of Cisatlantic Corp., Cisoceanic Corp., Edgar Ausnit and/or Societatea Industria Romana Mechanica si Chimica in forge shop equipment held by, or stored with, Steamship Terminal Operating Corp., Brooklyn, and Bethlehem Steel Co., Bethlehem, Pa.

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## VESTING REPORT NO. 7

## RECORD OF VESTING ACTION TAKEN BY ALIEN PROPERTY CUSTODIAN

Treasury Department  
FOREIGN FUNDS CONTROL  
August 4, 1942

Order No.	Date	Name of Firm	Extent of Vesting Order
47	July 8, 1942	Telefunken Gesellschaft fur Drahtlose Telegraphie m.b.H. Daimler-Benz Aktiengesellschaft Dr. ing. h.c.F. Porsche, K.-G. I. G. Farbenindustrie A. G. Chemische Forschungsgesellschaft m.b.H. Deutsche Celluloid—Fabrik Dr. ing. h.c.F. Porsche G.m.b.H. and R. Haag Dr. ing. h.c.F. Porsche G.m.b.H. and Karl Rabe R. Dumpelman and P. Ehlers Paul Franke and Reinhold Heidecke Gustav Schwarz G.m.b.H. Junkers-Flugzeug-und-Motorenwerke E. Lederle and R. Brill J. Mickl, Robert Alt & Co., F. Mannsbart and J. Labut Motorenfabrik Deutz A. G. F. Porsche Carl Still Titangesellschaft A. G.	1,317 patents 136 patents 59 patents 22 patents 1 patent 8 patents 1 patent 1 patent 1 patent 1 patent 2 patents 2 patents 1 patent 1 patent
48	July 8, 1942	Pacific Hog Company	All capital stock
49	July 8, 1942	L. and N. Feeding Corporation	All capital stock
50	July 8, 1942	Ufa Films, Inc.	All capital stock
51	(See footnote)		
52	July 22, 1942	Listed below are the names (as formerly known) of 29 vessels and alongside are the names of the nationals whose right, title and interest in the respective vessels (and cargos where designated) have been vested:  S.S. Ada O	Navigazione Odero Societa Anonima; Societa Navigation Odero.

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Note: Vesting Order No. 51 will appear in a later report.

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Order No.	Date	Name of Firm	Extent of Vesting Order
		S.S. Alberta	"Italia" Societa Anonima di Navigazione.
		S.S. Antoinetta	G. Bozzo fu L; Giuseppe Bozzo fu Lorenzo.
		S.S. Arsa	"Italia" Societa Anonima di Navigazione.
		S.S. Aussa	"Italia" Societa Anonima di Navigazione.
		S.S. Belvedere	"Italia" Societa Anonima di Navigazione.
		S.S. Brennero and cargo	Societa Anonima Cooperativa di Navigazione Garibaldi; Royal Italian Government; Italian Navy.
		S.S. Clara	"Italia" Societa Anonima di Navigazione.
		S.S. Colorado	"Petroleum" Societa' Anonima di Navigazione.
		S.S. Confidenza	"Corrado" Societa Anonima di Navigazione.
		S.S. Conte Biancamano	Lloyd Triestino Societa Anonima di Navigazione; Linee Triestine per l'Oriente S. A. di Navigazione
		S.S. Euro	Societa Ligure de Armamento; Societa Navigazione Ligure di Armamento.
		S.S. Giuan	Giovanni Gavarone; Giovanni Gaviarione, fu.
		S.S. Guidonia	A. Lauro; Achille Lauro
		S.S. Ida Z. O.	Navigazione Odero Societa Anonima; Navigazione Odero S.A.
		S.S. Ircania	Societa Anonima Cooperativa di Navigazione "Garibaldi"; Garibaldi Societa Anonima Cooperativa di Navigazione.
		S.S. Laconia	Laconia SS. Co; D. Tripovich; D. Tritcovich.
		M.S. Leme	"Italia" Societa' Anonima di Navigazione; (Italia) Societa' Anonima Di Navigazione.
		S.S. Mar Glauco	M. Maresca & Co.; Mariano Mafesca and Company
		S.S. Monfiore	Navigazione Alta Italia Societa Anonima; Societa Navigation Odero.
		S.S. Mongioia	Navigazione Alta Italia Societa Anonima; Navigazione Alta Italia.
		S.S. Pauline Friederich	Reederei Eugen Friederich; Reederei Eugen Friederich
		S.S. Pietro Campanella	Tito Campanella Societa di Navigazione; Societa Navigazione Tito Campanella.
		S.S. San Giuseppe	Societa Anonima per l'Industria ed il Commercio Maritimo "Nova Genuensis"; Messrs. Ravano and Corrado.

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		S.S. San Leonardo S.S. Santa Rosa M. S. Villarperosa S.S. Vittorin M.S. Odenwald (Willmoto) and cargo.	Compagnie Ligure di Navigazione S.A.; Cia Ligure di Navigazione. A. T. Rosasco Societa Commerciale di Navigazione. Comm. Giuseppe Bozzo; Giuseppe Bozzo fu L. Hamburg-Amerikanische Packetfahrt Actien-Gesellschaft; (Hamburg-Amerika Linie); Hamburg American Line.
53	July 22, 1942	Hara & Company	All right, title and interest of Ryozebara Hara, Tasaburo Hara, Takeo Saigo, Kenichiro Saigo and Sue Hara as co-partners.
54	July 22, 1942	American Felsol Company	245 shares of capital stock owned by Roland Kommandit Gesellschaft, G.m.b.H., Essen.
55	July 22, 1942	Joh. Barth & Sohn, Inc.	All capital stock.
56	July 23, 1942	Arushee Company	15,388 shares of \$1.00 par value common capital stock owned by various residents of Germany.
57	July 23, 1942	Gosho Concentration & Compress Co.	3,398 shares of capital stock owned as follows: H. Nose—300, R. Murato—500; K. Yamada—500, T. Nishikawa—48, C. Nishikawa—300, I. Asahi—100, M. Yoshida—500, G. Kikkawa—100, M. Nakao—300, K. Akashi—300, R. Sasaki—450.
58	July 23, 1942	Ataka & Co., Ltd.	All capital stock and all indebtedness owing to Ataka & Co., Ltd., Osaka, Japan.
59	July 24, 1942	Southern Cotton Co., Ltd.	All right, title and interest as co-partners of J. Arakawa, K. Ito, S. Nakamura, K. Otani, T. Okamoto, R. Toyoda, H. Yamanouchi, E. Fujise J. Inouye, Y. Shinohara and S. Takebe.

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		S.S. San Leonardo S.S. Santa Rosa M. S. Villarperosa S.S. Vittorin M.S. Odenwald (Willmoto) and cargo.	Compagne Ligure di Navigazione S.A.; Cia Ligure di Navigazione. A. T. Rosasco Societa Commerciale di Navigazione. Comm. Giuseppe Bozzo; Giuseppe Bozzo fu L. Hamburg-Amerikanische Packetfahrt Actien-Gesellschaft; (Hamburg-Amerika Linie); Hamburg American Line.
53	July 22, 1942	Hara & Company	All right, title and interest of Ryozebara Hara, Tasaburo Hara, Takeo Saigo, Kenichiro Saigo and Sue Hara as co-partners.
54	July 22, 1942	American Felsol Company	245 shares of capital stock owned by Roland Kommandit Gesellschaft, G.m.b.H., Essen.
55	July 22, 1942	Joh. Barth & Sohn, Inc.	All capital stock.
56	July 23, 1942	Arushee Company	15,388 shares of \$1.00 par value common capital stock owned by various residents of Germany.
57	July 23, 1942	Gosho Concentration & Compress Co.	3,398 shares of capital stock owned as follows: H. Nose—300, R. Murato—500; K. Yamada—500, T. Nishikawa—48, C. Nishikawa—300, I. Asahi—100, M. Yoshida—500, G. Kikkawa—100, M. Nakao—300, K. Akashi—300, R. Sasaki—450.
58	July 23, 1942	Ataka & Co., Ltd.	All capital stock and all indebtedness owing to Ataka & Co., Ltd., Osaka, Japan.
59	July 24, 1942	Southern Cotton Co., Ltd.	All right, title and interest as co-partners of J. Arakawa, K. Ito, S. Nakamura, K. Otani, T. Okamoto, R. Toyoda, H. Yamanouchi, E. Fujise, J. Inouye, Y. Shinohara and S. Takebe.

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## VESTING REPORT NO. 8

## RECORD OF VESTING ACTION TAKEN BY ALIEN PROPERTY CUSTODIAN

Treasury Department  
FOREIGN FUNDS CONTROL  
September 8, 1942

Order No.	Date	Name of Firm	Extent of Vesting Order
51	July 10, 1942	Amerlagene, Inc.	All right, title and interest of Ubersee Finanz-Korporation, A.G., (Overseas Finance Corp., Ltd.) and/or Arnhold and S. Bleichroeder, Inc., in a contract dated October 18, 1940, between Arnhold and S. Bleichroeder, Inc. and Amerlagene, Inc.
66	July 28, 1942	Korfund Co., Inc.	500 shares of no par value common capital stock owned in equal shares by Dr. Hugo Stoessel and Werner Genest, both of Germany.
67	July 28, 1942	Cork Foundation Co., Inc.	All indebtedness, contingent or otherwise, owing to Dr. Hugo Stoessel and Werner Genest by the Korfund Co., Inc.
68	July 30, 1942		150 shares of no par value common capital stock owned in equal shares by Dr. Hugo Stoessel and Werner Genest, both of Germany.
69	July 30, 1942		2,301 patent applications in which nationals of Germany have an interest.
70	July 30, 1942	Midland Investment Co.	997 shares of \$100 par value common capital stock registered in the name of L. Zuleikha von Vietinghoff. Also, all right, title and interest of L. Zuleikha von Vietinghoff in 3 additional shares of such stock, one registered in the name of Edwin H. Cassels, a second in the name of Edward H. Carus, and the third in the name of Karl Gruenwald.
72	July 30, 1942	Johann Maria Farina, Inc.	98 shares of no par value common capital stock owned by Franz Karl von Bock.
86	July 31, 1942	Estate of Henry Elias, deceased	All right, title and interest of Katie von Kracker (Katie Kracker von Schwartzenfeld) as life tenant, and of Hubertus Kracker von Schwartzenfeld, Erika Kracker von Schwartzenfeld, Edith von Bauer and Maria (Marie) Kracker von Schwartzenfeld (Frau Hans Staubenmayer) as remaindermen.

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Order No.	Date	Name of Firm	Extent of Vesting Order
89	July 31, 1942	R.A.C.E., Inc.	245 shares common capital stock owned by Leonardo Cerini.
93	August 6, 1942	Kiyono Nurseries	All indebtedness, contingent or otherwise, owing to Leonardo Cerini by R.A.C.E., Inc.
94	August 6, 1942		All right, title and interest of Tsukusa Kiyono and Mrs. Tomoe Kiyono as co-partners.
97	August 6, 1942	G. Bruning Tobacco Extract Co., Inc.	445 patent applications in which foreign nationals have an interest.
99	August 6, 1942		82 shares common capital stock owned by the estate of Mrs. G. Schilling, Bremen, Germany.
			Undivided interest of Polysius Offene Handelsgesellschaft in 3 patents.
			Non-exclusive license, dated May 10, 1937, granted by Projector, G.m.b.H. to Siemens and Halske, A.G. under 16 patents.
			$\frac{1}{2}$ interest in 6 patents in the name of Allessandro Magnani (also claimed by Magnani Societa Comentifera Italiana).
			All reversionary and other rights of I.G. Farben-industrie A.G. in a patent assigned by it on March 13, 1936, to General Dyestuff Corp.
			All reversionary and other rights of I.G. Farben-industrie A.G. in 4 patents assigned by it to Magnesium Development Corp. on April 1, 1932.

Note: Where there is a lack of continuity in the numerical sequence of published vesting orders, those orders which have been omitted will appear in later reports.

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## VESTING REPORT NO. 9

## RECORD OF VESTING ACTION TAKEN BY ALIEN PROPERTY CUSTODIAN

Treasury Department  
FOREIGN FUNDS CONTROL  
September 18, 1942

Order No.	Date	Name of Firm	Extent of Vesting Order
60	July 28, 1942	South Texas Compress Co.	2,355 shares of \$100 par value common capital stock, owned as follows: H. Nanri, 1,105 shares; S. Tagawa, 1,050 shares; and K. Sasaoka, 200 shares.
62	July 28, 1942	S. Hata Shoten, Ltd.	740 shares of \$100 par value common capital stock, owned by Tamotsu, Sadanosuke and Masa Hata.
63	July 28, 1942	Orange Petroleum Corp.	988 shares no par value capital stock.
64	July 28, 1942	Asano & Company of New York, Inc.	All indebtedness, contingent or otherwise, owing to Kawasaki Dockyard Co., Ltd., and/or its successor, Kawasaki Heavy Industries, Ltd.
65	July 28, 1942	Heidelberg Printing Machinery Corp.	All capital stock.
69	July 30, 1942	Shinyei Corp.	All capital stock.
71	July 30, 1942	Hinode Petroleum Co., Ltd., Kobe, Japan	All property of any nature whatsoever, owned or controlled by, or owing to, the Japanese firm or its American branch located at Los Angeles.
73	July 30, 1942	Metro Stamp Co., Ltd.	All right, title and interest of Vittorio LoBianco in 100 shares of no par value common capital stock which are registered in the name of, and held under a voting trust agreement by, Leo Walton, as attorney and trustee.
74	July 30, 1942	The American Platinum Works	All indebtedness, contingent or otherwise, owing to Vittorio LoBianco.
75	July 30, 1942	S. Ishimitsu Co.	1,523 shares of \$100 par value common capital stock, owned by W. C. Heraeus, G.m.b.H., Germany.
76	July 30, 1942	Japan Cotton Co.	All right, title and interest of Sueo Ishimitsu, Kobe, Japan.
77	July 30, 1942	Kawasaki Kisen Kaisha, Ltd., Kobe, Japan	6,800 shares of common capital stock.
78	July 30, 1942	Asano Bussan Co., Ltd., Tokyo, Japan	All property of any nature, whatsoever, owned or controlled by, or owing to, the Japanese firm or its three American branches in New York, San Francisco and Seattle.

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Order No.	Date	Name of Firm	Extent of Vesting Order
79	July 30, 1942	Morimura Bros., Inc., Tokyo, Japan	All property of any nature whatsoever, owned or controlled by, or owing to, the Japanese firm or its American branch in New York.
80	July 30, 1942	Kawasaki Kisen Kabushiki Kaisha, Kobe, Japan	All property of any nature whatsoever, owned or controlled by, or owing to, the Japanese firm or its American branch in San Francisco.
81	July 30, 1942	Iwai & Co., Ltd., Osaka, Japan	All property of any nature whatsoever, owned or controlled by, or owing to, the Japanese firm or its American branch in New York.
82	July 30, 1942	Toyo Machine Co., Inc.	All capital stock.
83	July 30, 1942	S. Suzuki & Co. of New York, Ltd.	All indebtedness, contingent or otherwise, owing to Toyo Machine Co., Osaka, Japan.
84	July 31, 1942	Atlantic Assets Corp.	All capital stock. 2,500 shares of no par value capital stock, owned by Mrs. Claere Hugo Stinnes, Hanns H. Stinnes and Mrs. A. Fischer, all of Germany.
87	July 31, 1942	Gosho Co., Inc.	7,405 shares of \$100 par value common capital stock.
88	July 31, 1942	African-Asiatic Trading Co., Inc.	66 2/3 shares of common capital stock, owned by H. N. Capelluto, Kobe, Japan.
90	August 6, 1942	George Ehret Brewery, Inc.	2,370 shares of no par value common capital stock registered in the name of Carl Stangen.
		George Ehret Properties, Inc.	60 shares of no par value common capital stock registered in the name of Carl Stangen.
91	August 6, 1942	Katakura Corporation	998 shares of \$100 par value common capital stock.
92	August 6, 1942	Mogi, Momonoi & Co., Inc.	All capital stock.
			All indebtedness, contingent or otherwise, owing to T. Momonoi and Y. Wakamiya.
95	August 6, 1942	Rondak Corporation	All capital stock.
96	August 6, 1942	Tsutakawa & Co.	728 shares of \$100 par value common capital stock, owned by S. Tsutakawa and George J. Tsutakawa.
98	August 17, 1942		All right, title and interest of Rohm & Haas, A.G., Darmstadt, Germany, in two contracts with Rohm & Haas Company, Philadelphia, and all sums which have accrued or may accrue to Rohm & Haas, A.G. by virtue of these two contracts.
100	August 7, 1942	Bodee Realty Corp.	All capital stock.
101	August 7, 1942	Bolle and Detzel, Inc.	All capital stock.

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103	August 11, 1942	Banco di Napoli Trust Co. of Chicago	2,690 shares of common capital stock registered in the name of Banco di Napoli, Direzione Generale, Naples, Italy.
104	August 17, 1942	Central American Plantations Corp.	12,152 shares of \$100 par value common capital stock.
105	August 17, 1942	Mitsui & Co., Ltd. Tokyo, Japan	All property of any nature whatsoever, owned or controlled by, or owing to, the Japanese firm or its American branches in New York, San Francisco, and Seattle.
106	August 17, 1942	K. Mikimoto, Inc.	All capital stock.
109	August 24, 1942		All right, title and interest of J.D. Riedel-E. de Haen, A.G., Berlin, Germany, in and to a license agreement with Riedel-de Haen, Inc., New York, and all sums which have accrued or may accrue to J.D. Riedel-E. de Haen, A.G. by virtue of this license agreement.
110	August 24, 1942	Riedel-de Haen, Inc.	All capital stock.
111	August 24, 1942	Pettingell Machine Co.	All right, title and interest of Heni Farkas, Frida Gluck, David Gottlieb and Jenny Guttman, as co-partners.
113	August 25, 1942	Akawo and Co., Inc.	All capital stock.
118	August 25, 1942		Real property in Baldwin, New York, owned by Charles J. Koeppel.
119	August 25, 1942		Real property in New York City owned by William Dannhaeuser and Helen Annette Streit Dannhaeuser.
120	August 25, 1942	Refractories Improvement Co.	1,750 shares of no par value common capital stock registered in the name of Allen P. Green, as voting trustee for Didier-Werke, A.G., Berlin, Germany. All indebtedness, contingent or otherwise, owing to Didier-Werke, A.G., Berlin, Germany.
121	August 25, 1942		Real property in Richmond, California, owned by M. Miyaoi.
122	August 25, 1942	Yokohama Nursery Co., Inc.	125 shares of \$100 par value capital stock owned by S. Suzuki of Japan.
123	August 28, 1942	B. Westermann Co., Inc.	940 shares of \$100 par value common capital stock. All indebtedness, contingent or otherwise, owing to August Scherl, G.m.b.H., and the Berlin branch of B. Westermann Co., Inc.
124	August 28, 1942	Williamson Cotton Co.	1,025 shares of \$100 par value common capital stock registered in the name of R. W. Williamson.
125	August 28, 1942	American Askania Corp.	All capital stock.

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Order No.	Date	Name of Firm	Extent of Vesting Order
126	August 28, 1942	Hamburg American Line-North German Lloyd	All property of any nature whatsoever, owned or controlled by, or owing to, Hamburg-Amerikanische Packetfahrt, A.G., (Hamburg-American Line), Hamburg, Germany, and Norddeutscher Lloyd (North German Lloyd), Breman, Germany, or any or all of the American branches operated by such German corporations jointly under the name of Hamburg American Line-North German Lloyd.
127	August 28, 1942	Japan Tea Buying Agency	All right, title and interest of Kenzo Ikeda.
130	August 28, 1942	Resinous Products & Chemical Co.	5,640 shares of \$100 par value common capital stock registered in the name of Chemie Holding, A.G., Luxembourg.
131	August 28, 1942	Rohm & Haas Co.	18,801 shares of \$100 par value common capital stock registered in the name of Otto Haas, trustee for Dr. Otto Rohm and his children.
133	August 28, 1942	Mitsubishi Shoji Kaisha, Ltd., Tokyo, Japan	All property of any nature whatsoever owned or controlled by, or owing to, the Japanese firm or its three American branches in New York, San Francisco, and Seattle.
134	August 28, 1942	Yamacho & Co., Ltd., Kobe, Japan	All property of any nature whatsoever, owned or controlled by, or owing to the Japanese firm or its American branch in Seattle.
135	August 28, 1942	Yamashita Lines (Yamashita Kisen Kabushiki Kaisha), Kobe, Japan	All property of any nature whatsoever, owned or controlled by, or owing to, the Japanese corporation or its American branch in New York.
136	August 28, 1942	Yamashita Shipping Co.	All capital stock.
137	August 28, 1942		All indebtedness, contingent or otherwise, owing to Kawasaki Kisen Kabushiki Kaisha and Yamashita Kisen Kabushiki Kaisha, both of Kobe, Japan.
138	August 28, 1942	Carl Zeiss, Inc.	Real property, and fire insurance policy on such property, owned by Joseph Schoebel and Else Schoebel.
			All indebtedness, contingent or otherwise, owing to Joseph and Else Schoebel by Columbia National Bank, Washington, D.C., including particularly the checking account which is carried in the name of S.C. Cissel, agent.
			All capital stock.
			All indebtedness, contingent or otherwise, owing to Carl Zeiss, Jena, Germany, and Zeiss Ikon, Dresden, Germany.

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## VESTING REPORT NO. 10

## RECORD OF VESTING ACTION TAKEN BY ALIEN PROPERTY CUSTODIAN

Treasury Department  
FOREIGN FUNDS CONTROL  
September 29, 1942

Order No.	Date	Name of Firm	Extent of Vesting Order
102	August 11, 1942	Roentgen Supplies, Inc.	All capital stock.
107	August 24, 1942	E. Leitz, Inc. (1916)	All capital stock.
108	August 24, 1942	E. Leitz, Inc. (1941)	All capital stock.
114	August 25, 1942	American Voith Contact Co., Inc.	All indebtedness, contingent or otherwise, owing to E. Leitz, Inc., (1916 Corporation), Dr. Ernst Leitz, and E. Leitz, G.m.b.H.
115	August 25, 1942	J. M. Voith Co., Inc.	1,990 shares of \$100 par value common capital stock registered in the name of Christian F. Benz.
116	August 25, 1942	Voith-Schneider Propeller Co., Inc.	All capital stock.
128	August 28, 1942		All right, title and interest of any nature whatsoever of the author and copyright owners in and to the copyrights and copyright claims on the publications entitled "Mein Kampf" and "Hitler-Worte, Aussprache Aus 'Mein Kampf' and Aus den Reden des Fuhrers".
139	September 2, 1942	The Schering Corp.	1 share of common capital stock registered in the name of Julius Weltzien.
142	September 8, 1942		All unpaid dividends declared prior to April 18, 1942 on the 1 share of stock described above and on the 43,994 shares of common stock and 2,225 shares of preferred stock which were vested pursuant to Vesting Order No. 4.
			Legacy of \$100 payable under the terms of the last will and testament of Peter Emmerich, deceased, to Catharine Artmann, Trier, Germany.

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## VESTING ORDER NO. 11

## RECORD OF VESTING ACTION TAKEN BY ALIEN PROPERTY CUSTODIAN

Treasury Department  
FOREIGN FUNDS CONTROL  
October 5, 1942

Order No.	Date	Name of Firm	Extent of Vesting Order
25, as amended	Executed June 16, 1942, amended Sept. 24, 1942	Yamanaka & Co., Inc.	4,000 shares of no par value common capital stock of the New York branch; 400 shares of no par value common capital stock of the Chicago branch; and 150 shares of no par value common capital stock of the Boston branch. All stock is registered in the name of Yamanaka & Co. Ltd., Osaka, Japan.
112	August 25, 1942	Dr. Alexander Wacker Gesellschaft fur Electro- chemische Industrie G.m.b.H. Alex. Friedmann Chemische Forschungsgesellschaft m.b.H. Consortium Fuer Electrochemische Industrie. Seitzwerk G.m.b.H. Heraeus Vacuum Schmelze G.m.b.H. Siemens & Halske A.G. Siemens-Schuckertwerke A.G. Siemens-Schuckertwerke G.m.b.H. Allgemeine Elektricitats Gesellschaft. Askania-Werke A.G. D. Barricelli B. Berghaus R. Bosch A.G. R. Bosch G.m.b.H. Byk-Guldenwerke Chemische Fabrik A.G. L. Cerini J. Dahl Daimler-Benz A.G. A. D'Ambrosio and A. Corbellini	55 patents. 11 patents. 37 patents. 17 patents. 15 patents. 11 patents. 31 patents. 162 patents. 44 patents. 1 patent. 1 patent. 1 patent. 1 patent. 1 patent. 1 patent. 2 patents. 1 patent. 1 patent. 1 patent.

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Order No.	Date	Name of Firm	Extent of Vesting Order
		Deutsche Gold-und Silber-Scheideanstalt Vormals Rössler.	1 patent.
		W. Esser	1 patent.
		I. G. Farbenindustrie	1 patent.
		Fernseh G.m.b.H.	1 patent.
		Fiat S.A.	1 patent.
		"Fides" Gesellschaft für die Verwaltung und Verwertung von gewerblichen Schutzrechten, m.b.H.	1 patent.
		Gustav F. Gerdts	1 patent.
		Zeiss Ikon A.G.	1 patent.
		H. Kallmann and E. Kuhn	2 patents.
		Klangfilm G.m.b.H.	1 patent.
		H. Koch	1 patent.
		H. Lindner	1 patent.
		C. Lorenz A.G.	7 patents.
		Maschinenfabrik Einsiedel	1 patent.
		Mercedes Buromaschinen-Werke A.G.	2 patents.
		G. Meyer	1 patent.
		H. Meyer	1 patent.
		V. Nicolescu	1 patent.
		Patentverwertungs-Gesellschaft mit beschränkter Haftung Hermes.	1 patent.
		O. Riszdorfer	1 patent.
		Rosenthal-Isolatoren G.m.b.H.	1 patent.
		Schnellpressenfabrik A.G.	2 patents.
		G. Siebel and E. Nachtgall	1 patent.
		Siemens Apparate und Maschinen G.m.g.H. Gesellschaft mit beschränkter Haftung	2 patents.
		I. Sors	1 patent.

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		Suddeutsche Apparate-Fabrik G.m.b.H. Telefunken Gesellschaft fur Drahtlose Telegraphic m.b.H.  H. Tonnies  United Incandescent Lamp & Electrical Co., Ltd.  J. Voith	1 patent.  5 patents.  2 patents.  1 patent.  2 patents.  14 patent applications by various inventors.
143	September 8, 1942		Railroad equipment owned by Italian State Highways, and now stored at Kearny, New Jersey, in a storage building belonging to Pennsylvania Railroad.
144	September 8, 1942	Amerop Travel Service, Inc.	All capital stock.
155	September 15, 1942		Certain securities which are claimed by A.J. Stern & Cie, en liquidation, of Paris, France
158	September 21, 1942	Harvard Brewing Co.	36,940 shares of \$100 par value common capital stock registered in the name of Dominick and Dominick, deposited with Arnold & S. Bleichroeder, Inc., and held for the benefit of Margot von Opel. This represents 5.91% of the company's outstanding common stock and is in addition to 55.32% formerly vested pursuant to Vesting Order No. 17.
186	September 28, 1942	Japan Cotton Company	3,200 shares of common capital stock. This represents 32% of the outstanding capital stock and is in addition to 68% previously vested pursuant to Vesting Order No. 76.
188	September 28, 1942	Gosho Concentration & Compress Co.	100 shares of capital stock owned by Kyoichi Sugimoto. These shares together with those vested pursuant to Vesting Order No. 57 constitute 99.943% of all outstanding shares.

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## VESTING ORDER NO. 12

## RECORD OF VESTING ACTION TAKEN BY ALIEN PROPERTY CUSTODIAN

Treasury Department  
FOREIGN FUNDS CONTROL  
November 3, 1942

ORDER NO.	DATE	NAME OF FIRM	EXTENT OF VESTING ORDER
117	August 25, 1942	Cantieri Riuniti Dell'Adriatico	128,164 pounds of steel owned by Cantieri Riuniti Dell'Adriatico
129	August 28, 1942	Morimura Bros., Inc.	223 patents
		G. Polysius, A. G.	36 patents
		Fried Krupp A. G.	101 patents
		Fried Krupp Grusonwerk, A. G.	101 patents
		Fried Krupp Germaniawerft, A. G.	54 patents
		Rheinische Metallwaaren-Und Maschinenfabrik	23 patents
141	September 8, 1942	Actien-Gesellschaft "Weser"	1 patent
		Allgemeine Elektricitatgesellschaft	2 patents
		Anker-Werke A. G.	2 patents
		August Thyssen-Hutte, A. G.	1 patent
		Auto Union A.G.	1 patent
		Wilhelm Bech	1 patent
		Bergmann-Elektricitats-Werke A.G.	1 patent
		Bohme Fettchemie Gesellschaft	1 patent
		Robert Bosch G.m.b.H.	1 patent
		Franz Braun A. G.	3 patents

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ORDER NO.	DATE	NAME OF FIRM	EXTENT OF VESTING ORDER
		Firma Franz Braun Akt. Ges.	1 patent
		Chinoin Gyogyszer is Vegyeszeti Termeket	1 patent
		Chinoin Gyosgyzer is Vegyeszeti Termeket Gyara R.R. (Dr. Kereszty/ Dr. Wolf)	1 patent
		Chinoin Gyosgyzer is Vegyeszeti Termeket Gyara R.T. (Dr. Kereszty and Dr. Wolf)	1 patent
		Degea, A.G.	1 patent
		Deutsche Atlantische Telegraphen- gesellschaft	1 patent
		Deutsche Edelstahlwerke H.G.	1 patent
		Deutsche Eisenwerke A.G.	2 patents
		Deutsche Hydrierwerke A.G.	8 patents
		Deutsche Werke Kiel A.G.	3 patents
		Wilhelm Dreusiche & Co. G.m.b.H.	1 patent
		Walter Dux	2 patents
		Eisemann Werke A.G.	1 patent
		Electricitas Gesellschaft, "Sanitas"	1 patent
		Electricitatgesellschaft, "Sanitas" G.m.b.H.	1 patent
		Elektrizitaets-Gesellschaft Richter, Dr. Weil & Co. A.G.	1 patent

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ORDER NO.	DATE	NAME OF FIRM	EXTENT OF VESTING ORDER
		E. Elster	1 patent
		I. G. Fabenindustrie A.G.	12 patents
		I. G. Farbenindustrie Akt.	1 patent
		Fides Gesellschaft Findie Verwaltung und Verwertung von Gewerblichen Schutzrechten m.b.H.	1 patent
		J. Fischer	1 patent
		Fridolin Glass	1 patent
		Fried Krupp A.G.-Friedrich Alfred Hütte	1 patent
		H. Geffchen & H. Richter	1 patent
		Gesellschaft fur Electrisch apparate m.b.H.	2 patents
		Gesellschaft fur Electrisch apparate m.g.H.	1 patent
		Gesellschaft fur Electrisch apparate G.m.b.H.	1 patent
		Gesellschaft fur Electrisch apparate	1 patent
		Gutehoff-Nungshutte A.G.	2 patents
		Gutehoffnungshutte Oberhausen A.G.	6 patents
		Heinrich Koppers Akt.	1 patent
		Helmut Junghans and Gebruder Junghans A.G.	3 patents
		W. Heraeus G.m.b.H.	18 patents

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ORDER NO.	DATE	NAME OF FIRM	EXTENT OF VESTING ORDER
		Heraeus Vacuumschmelze A.G.	14 patents
		Hohenzollern Aktiengesellschaft fur Lokomotivbau	1 patent
		Humboldt-Deutzmotoren A.G.	1 patent
		ICA Aktiengesellschaft	1 patent
		B. Jacob	1 patent
		Jenaer Glaswerke Schott & Gen.	2 patents
		H. Junghans	1 patent
		O. Junghans	2 patents
		O. Junghans A.G.	1 patent
		Junkers & Co. G.m.b.H.	3 patents
		G. Kogel	1 patent
		Kohle und Eisenforschung G.m.b.H.	1 patent
		Krupp Patent-Platten GussformVertrieb G.m.b.H.	1 patent
		Kurt Lange	1 patent
		E. Lehman	1 patent
		W. Lehman	1 patent
		E. Leitz Optische Werke	1 patent
		Ernst Leitz G.m.b.H.	10 patents
		Ernst Leitz Optische Werke	2 patents
		Ernst Leitz Optische Werke G.m.b.H.	3 patents

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ORDER NO.	DATE	NAME OF FIRM	EXTENT OF VESTING ORDER
		Lorenz-Zeiss Zugsicherungs G.m.b.H.	1 patent
		C. Lorenz A.G.	11 patents
		C. Lorenz A.G. & Siemens & Halske A.G.	1 patent
		Maschinenfabrik Augsberg Nuernberg A.G.	11 patents
		Maschinenfabrik Einseidel	1 patent
		Meutsch Voightlander & Co. Vormals Gewerkschaft Wallram	1 patent
		The Firm Meier & Weichert	1 patent
		Motoren-Werke Mannheim A.G. Vorm. Benz Abt. Stationar Motorenbau	1 patent
		The Firm Johann Carl Muller	1 patent
		Naftolen-Gesellschaft zur Verwertung der Rostler-Mehner'schen Verfahren m.b.H.	1 patent
		Julius Pintsch K.G.	1 patent
		Projector G.m.b.H.	3 patents
		Quarzlampen G.m.b.H.	1 patent
		V. Rembold	1 patent
		Rheinmetall Borsig et al	1 patent
		Firma Johannes Richter A.G.	1 patent
		B. Roos	1 patent
		Ruhrchemie	1 patent
		Schloemann A.G.	1 patent

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ORDER NO.	DATE	NAME OF FIRM	EXTENT OF VESTING ORDER
		K. Schrader	1 patent
		W. Schrauth	1 patent
		J. Schreiber et al	1 patent
		Seyfort & Donner	1 patent
		Siemens Apparate und Maschinen G.m.b.H.	13 patents
		Siemens-Bauunion G.m.b.H.K.G.	3 patents
		Siemens-Elektro-Osmose G.m.b.H.	1 patent
		Siemens-Reineger-Velfa Gesellschaft fur Medezenesche Technik m.b.H.	1 patent
		Siemens Reineger Werke A.G.	2 patents
		Siemens-Schuckertwerke A.G.	1 patent
		Telephon-Apparate-Fabrik E. Zwertusch & Co. G.m.b.H.	4 patents
		Telephon-Apparate-Fabrik E. Zwertusch & Co.	6 patents
		Telephon-Apparate-Fabrik E. Zwertusch G.m.b.H.	1 patent
		Tiefbau-und Kalteindustrie Akt. Vormals Gebhardt & Koenig & Siemens-bau-Union Gesellschaft m.b.H.K.G.	1 patent
		Triumph-Werke Nurnberg A.G.	1 patent
		Universum-Film A.G.	1 patent
		Voightlander & Sohn A.G.	16 patents
		Vereinigte Lausitzer Glaswerke A.G.	1 patent

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ORDER NO.	DATE	NAME OF FIRM	EXTENT OF VESTING ORDER
146	September 15, 1942	Erich Weber	1 patent
		G. von Poser et al	1 patent
		Oswald Wyss	1 patent
		Zeiss-Aerotopograph G.m.b.H.	2 patents
		Zeiss-Ikon A.G.	2 patents
		(a) Viktor Kaplan	1 patent
		J. M. Voith	2 patents
		Carlos Schmitthenner	2 patents
		(b) Viktor Kaplan	All right, title and interest of Viktor Kaplan, Unterach, Austria in and to that certain contract dated February 1, 1932, by and between said Viktor Kaplan and S. Morgan Smith Company
		(c) J. M. Voith	All right, title and interest of J. M. Voith, Maschinenfabrik, Heidenheim, Germany in and to that certain contract, by and between said J. M. Voith, Maschin- enfabrik and S. Morgan Smith Com- pany
147	September 17, 1942	Taiyo Trading Company, Inc.	18.113% of the capital stock
151	September 17, 1942	Askania-Werke A.G. Vormals Centralwerk- statt-Dessau und Carl Bamberg-Friedenau	71 patents
		Askania-Werke A.G.	14 patents
		Felten & Guilleaume Carlswerk A.G.	22 patents

321869

ORDER NO.	DATE	NAME OF FIRM	EXTENT OF VESTING ORDER
152	September 17, 1942	Siemens & Halske A.G. Wernerwerk Siemens & Halske A.G.	185 patents 500 patents
153	September 17, 1942	The Firm of Carl Zeiss Zeiss Ikon, A.G. Zeiss Ikon, A. G. Dresden O. Buromaschinenfabrik A.G. H. Hanemann Ehrhard Henke ICA Aktiengesellschaft Klangfilm G.m.b.H. Optische Anstalt C. P. Goerz A.G. M. Ulrich Wanderer-Werke vorm. Winklhofer & Jaenicke Akt.-Ges. Weberlicht A.G. Zeiss Ikon A.G. Goerzerk	246 patents 92 patents 16 patents 1 patent 1 patent 1 patent 1 patent 1 patent 3 patents 3 patents 1 patent 2 patents 5 patents
154	September 17, 1942	Real Property in New York City Owned by Mrs. Josephine Lorsch	All right, title, interest and es- tate, both legal and equitable, of Mrs. Josephine M. Lorsch, Dure-et- Loire, France
156	September 21, 1942	Gunze Silk Corporation	All of the capital stock
159	September 21, 1942	Fratelli-Branca & Company, Inc.	All of the capital stock

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ORDER NO.	DATE	NAME OF FIRM	EXTENT OF VESTING ORDER
160	September 22, 1942	Schenker & Company, Inc.	All of the capital stock
161	September 22, 1942	Draeger Shipping Company, Inc.	All of the capital stock
163	September 24, 1942	Reis & Company, Inc.	150 shares of stock
165	September 24, 1942	Graff Building	Assets of Graff Building. All right, title, interest and estate of Hildegarde Mueller Melheimer, Trarbach ad Mosel, Germany, and Carmelita Mueller Meyerhoff, Goettinger, Germany. 80% of outstanding capital stock, which shares are registered in the name of Paul Gutschow
166	September 24, 1942	Jungmann & Company, Inc.	35.975% of the common and 23.25% of the preferred capital stock
168	September 24, 1942	Arabol Manufacturing Company	102 patents
171	September 24, 1942	Fernseh, A.G.	(1) 205 pigs of tin consigned for export to Intercontinentale A.G. Budapest, Hungary  (2) 8 cases of pumps and parts consigned for export to Cantieri Riuniti dell' Adriatico, Trieste, Italy
174	September 28, 1942	Three Navy Department Awards	(3) 3,536 cobalt steel drills, possession of Gizo Uyeno, a Japanese national, while he was illegally transporting them out of the United States
177	September 28, 1942	Empire Import and Export Corporation	All of the capital stock
182	September 28, 1942	"Italia" Societa Anonima Di Navigazione	All of the assets

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ORDER NO.	DATE	NAME OF FIRM	EXTENT OF VESTING ORDER
184	September 28, 1942	Fritz Von Opel	Bankruptcy claims
190	September 28, 1942	Japan Cotton and Silk Trading Company, Inc.	All of the capital stock
191	September 28, 1942	Buffalo Electro-Chemical Company, Inc.	2,396 additional shares of the capital stock
197	September 29, 1942	Central American Plantations Corporation	3,211 shares of the capital stock
206	October 3, 1942	Maywood Chemical Works	35,785-29/40th shares of \$10 par value capital stock owned by  Amalia Janner, Partenkirchen, Bavaria, Germany
			Central Hanover Bank & Trust Co., New York, N.Y. as trustee for Ernst Kyriss, Stuttgart, Germany.
			Dr. Carl G. Grossman, Brooklyn, N. Y. as trustee for Kurt Kyriss, Bavaria, Germany
210	October 3, 1942	Simpson Lange & Co., Inc.	81.94% of capital stock
211	October 3, 1942	Gosho Concentration & Compress Company	0.057% of capital stock (2 shares)  Sherwood Brown holding for the benefit of Gosho Concentration and Compress Company 1 sh. N. L. Edmonson holding for the benefit of Gosho Concentration and Compress Company 1 sh.
212	October 3, 1942	Gosho Company, Inc.	1.27% of capital stock (95 shares of \$100 par value common) the registered owners of which are

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ORDER NO.	DATE	NAME OF FIRM	EXTENT OF VESTING ORDER
			K. Sugimoto, Japan 43 S. Bando, Japan 50 Sherwood Brown, holding for the benefit of Gosho Co., Inc. Galves- ton, Texas 1 G.W. Talley, holding for the benefit of Gosho Co., Inc. Galves- ton, Texas 1 36.3% of capital stock (5,386 shares)
223	October 9, 1942	American Magnesium Metals Corporation	
226	October 10, 1942	Estate of William Gaspar, deceased	All right, title and interest and claim of any name or nature what- soever in and to the Estate of William Gaspar, deceased, of John Gaspar and Mrs. Elena Gaspar Cornea, Chisindia, Rumania
247	October 19, 1942	Buffalo Electro-Chemical Co., Inc.	All right, title, interest and claim of Elektrochemische Werke Muenchen, Hoellriegelskreuth, Germany

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## VESTING ORDER NO. 13

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Treasury Department  
FOREIGN FUNDS CONTROL  
November 6, 1942

ORDER NO.	DATE	NAME OF FIRM	EXTENT OF VESTING ORDER
82	July 30, 1942 Amended October 28, 1942	Toyo Machine Company, Inc.	All of the issues and outstanding capital stock consisting of three shares of no par value common stock registered in the name of, and owned by, Toyo Machine Company, Osaka
132	August 28, 1942	Kageyama and Company, Inc.	All of the capital stock
140	September 2, 1942	Real Property in Bar Harbor, Maine owned by Yamanaka and Company, Ltd.	All right, title, interest, and estate, both legal and equitable of Yamanaka and Company, Ltd., Osaka, Japan
157	September 21, 1942	M. Nishimoto and Company	Assets
162	September 24, 1942	Sumitomo Bank of Seattle	97.75% of the capital stock
164	September 24, 1942	Rikimaru Bros. and Company	Interests of partners, Mataji Rikimaru and Isamu Rikimaru
167	September 24, 1942	Saint-Denis, Kuhlmann, Saint-Clair Dyestuff Corporation	95.238% of the capital stock (20 shares of \$500 par value common), registered in the following names:  Compagnie Nationale des Matieres Colorantes et Manufactures de Produits Chimiques du Nord Reunies Establissements Kuhlmann, Paris, France

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ORDER NO.	DATE	NAME OF FIRM	EXTENT OF VESTING ORDER
			Societe Anonyme des Matieres Colorantes et Produits Chimiques de Saint-Denis, Paris, France
			Compagnie Francaise de Produits Chimiques et Matieres Colorantes de Saint - Clair - du Rhone, Paris, France
			Societe des Produits Chimiques et Matieres Colorantes de Mulhouse, Paris, France
169	September 24, 1942	O. Yoshizawa and Company	Interest of Otokichi Yoshizawa
170	September 24, 1942	Nippon Dry Goods Company	50% of the capital stock (175 shares of \$100 par value common)
			Indebtedness owed to Takemura Company, Ltd., Yokohama; Yokohama Specie Bank, Ltd., Yokohama; and J. Nakayama (alien detention camp)
173	September 28, 1942	Haruta and Company, Inc.	All of the capital stock, the registered owners of which are Franklin Chino, 40 shares, Marie Chino, 30 shares, and Marcelia Chino, 30 shares
175	September 28, 1942	North American Mercantile Company	53.41% of all outstanding shares (1,385)
176	September 28, 1942	Meito China Corporation	97.5% of the capital stock (300 shares)
178	September 28, 1942	Showa Tsusho Kaisha, Ltd.	Assets

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ORDER NO.	DATE	NAME OF FIRM	EXTENT OF VESTING ORDER
179	September 28, 1942	The United Ocean Transport Company	Assets
180	September 28, 1942	Fiat Societa Anonima	Assets
187	September 28, 1942	Godo Match Company, Inc.	90% of the capital stock (270 shares)
189	September 28, 1942	Kanematsu Trading Corporation	All of the capital stock (175 shares)
192	September 28, 1942	Fujita and Company	Interest of Ukichi Fujita
194	September 28, 1942	Takamine Corporation	50% of the capital stock (800 shares) owned by Sankyo Company, Ltd., Tokyo, Japan
198	September 30, 1942	Z. Horikoshi and Company, Inc.	All of the capital stock
202	October 2, 1942	Steel Union-Sheet Piling, Inc.	All of the capital stock
205	October 2, 1942	H. Appel	1 patent
		J. Aron, et al	1 patent
		G. Bakos	1 patent
		H. Bergmann	1 patent
		J. Bruck, et al	1 patent
		V. Bubenik	1 patent
		E. Bugatti	1 patent
		P. Chelazzi	1 patent
		H. Cohen	1 patent
		H. Davidson	1 patent

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