

DECLASSIFIED  
 Authority 968103  
 By JR NARA Date 6-15-00

RG 131  
 Entry FFC Investigative Reports 1942-60  
 File NY 3-787  
 Box 22

WAN:HS

NY 2-787



TREASURY DEPARTMENT

WASHINGTON

FOREIGN FUNDS CONTROL

FOREIGN FUNDS CONTROL  
 253 Broadway, New York  
 REctor 2-7373

CONFIDENTIAL: FURTHER REPRODUCTION PROHIBITED

MAR 25 1942

John W. Pehle, Esquire,  
 Assistant to the Secretary,  
 Treasury Department,  
 Washington, D. C.

Sir: Re: HANS FERDINAND HEYE,  
 MARGARETE HEYE,  
 955 Bay Esplanada,  
 Clearwater, Florida.

Reference is made to Mr. May's memorandum of February 18, 1942 with which he transmitted a memorandum from Mr. Pehle dated January 12, 1942 and a copy of a report on the above individuals made by the Supervising Customs Agent at Jacksonville, Florida.

Mr. May's memorandum instructed that an investigation of these individuals be made and that accounts in their names at the Commercial National Bank and the Chase National Bank be blocked immediately.

Mr. Pehle's memorandum cited a comparison of the TFR 300 reports filed by Hans F. Heye with the Federal Reserve Bank of Atlanta which showed that Heye had disposed of \$33,086 of foreign securities since June 1, 1940 and that he has increased his indebtedness secured by property in the United States by \$11,756 between June 1, 1940 and June 14, 1941.

SUMMARY

Hans F. Heye is a German born naturalized Dutch citizen. He last came to this country with his family as a quota immigrant from Canada on March 26, 1941 and now resides in a home he has purchased at the address given above in Clearwater, Florida.

He has returned to Florida several weeks ago and, hence, it was not possible to interview him. It would appear that it is necessary

FOR DEFENSE



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to predicate any investigation of the questions raised in connection with foreign securities sales by Heye, as well as a reconciliation of his TFR-300 reports, on a preliminary conversation with Heye himself, as his numerous bank and brokerage accounts do not seem to disclose this information.

In addition to the accounts maintained at the Commercial National Bank and the Chase National Bank this investigation disclosed thirteen other bank and brokerage accounts in which Hans F. Heye or Margarete have an interest or affiliation. All of these accounts were blocked through Mr. Crane of the Federal Reserve Bank of New York who confirmed this blocking by letter when informed of the existence of the accounts by the writer.

The comment elicited from the several people interviewed who have known Heye personally was all favorable regarding his background and political sympathies and no one suspects him of having any pro-Nazi sentiments or affiliations.

A tabulation of the numerous bank and brokerage accounts in which Heye has an interest or affiliation is attached to this report as Exhibit "A".

PERSONAL HISTORY AND REFERENCES.

Netherlands Consulate General.

The Vice Consul Mr. Furnee stated that their records show that Hans F. Heye was born in Dusseldorf, Germany on December 3, 1897 and that he came to Holland in the last ten years where he has resided for at least five years prior to November 17, 1939 on which date he became a naturalized Dutch Citizen. They have only had limited contact with Heye at the Consulate in connection with minor official matters relating to his immigration to this country with his wife and two children from Genoa, Italy from whence he emigrated from Holland. Beyond this very limited contact with Heye the Consulate has no additional knowledge of his background or activities upon which to base an opinion as to his character.

William E. Cross. - Middletown, N. Y.

On March 3, 1942 the writer went to Middletown, N. Y. and interviewed Mr. William E. Cross who is a Vice President of Clemson

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Brothers of that city. Mr. Cross stated that he first met Heye through the medium of Heye's nephew Herbert Haniel who had come to this country in 1938 from Germany as an exchange Boy Scout. This boy became friendly with Mr. Cross' son and had spent some time as a house guest in the home of Mr. Cross before his return to Germany. When Heye subsequently came to this country on a visit in the latter part of 1938 he carried a letter of introduction from his nephew Haniel to Mr. Cross.

From this introduction and subsequent meetings the acquaintance between Cross and Heye developed into an intimate friendship and, when Heye finally came to this country to stay with his family, Cross introduced him in the Community of Clearwater, Florida. This eventually resulted in Heye buying a home next door to Cross in Clearwater and the friendship between the two families became more intimate.

Mr. Cross elaborated on this background to demonstrate the fact that he had come to know the Heye family well enough to enable him to speak without reservation regarding them and the opinions they hold. Cross is firmly convinced of Heye's anti-Nazi sentiments based on the frequent conversations which he has had with him on the subject. He stated that Heye claims that if he were to return to Germany that he would be executed as a traitor because of the fact that he renounced his German citizenship in favor of becoming a naturalized Dutch citizen. Moreover, he says that Heye is entirely out of sympathy with the Nazi regime which he claims is responsible for the loss of a considerable portion of the family fortune in Germany. From what Heye says it appears that he never got along with his father who was a leading German industrialist and that is the reason why he left Germany and took up his residence in Holland. Mr. Cross was only able to talk of the subject of Heye and his background in a general way and said that he did not know enough about Heye's financial affairs to have any information regarding his securities transactions. He did say that Heye was continually worrying about his fortune and that he was anxious to make an investment in some business as a hedge against inflation. It was in this connection that he was interested in taking over the New York Hospital and Surgical Supply Co. but this deal never went through according to Mr. Cross as the owners jumped their price in the middle of the discussions.

Mr. Cross stated that Heye is definitely not Jewish but that in spite of his German ancestry and birth that he is nevertheless decidedly anti-Nazi.

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BANK AND BROKERAGE ACCOUNTSChase National Bank, 60th St.

At this branch a safe deposit box is carried in the name of H. F. Heye and while the bank does not have an inventory of its contents it is understood that it contains only jewelry.

An account is carried under the title of Hans F. Heye #1. This account was opened on May 18, 1940 and the present balance is \$45,374.23. At this time this is merely a routine checking account.

Other affiliated accounts which have since closed out include: the account of H. F. Heye and/or Margarete Heye which closed out on January 21, 1941, the account of Margarete Heye #2 closed out on January 30, 1941, and the account of N. V. Amelandsch Handelskantoor #1 which was carried as an affiliated account, closed out on August 16, 1940.

Chase National Bank Havana, Office

An account was opened in the name of Hans F. Heye on September 16, 1940. This is merely a routine checking account and the present balance is \$2,448.12. The highest balance ever carried in the account was \$32,624.12 on January 17, 1941. A letter from the Havana office to the main office of the Chase dated March 2, 1942 stated that full information regarding this account was recently given to Mr. Charles E. Brown, U. S. Treasury Representative In Charge, in Havana.

Chase National Bank 11 Broad Street.

Trust Department - A trust was established in the name of Hans F. Heye and Margarete Heye on December 7, 1939. The income from this account is paid to Mr. Heye and he retains full control over the securities and investment policy to be followed in the account. The present cash balance in the account is \$11,723.72. The last review of the account was made in February 6, 1942 and at that time the value of the securities held in the account was approximately \$169,101. These securities consisted of a diversified list of American securities and according to the bank's records no sales of foreign securities were made through the account.

Custody Department. An account is maintained in this department under the name of "H. F. Heye account of Anglo Dutch Bank". This is

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merely a custodian account in which both cash and securities are held. At the present time the cash balance is \$28,000. and the securities have an approximate value of \$270,000.

While this account is carried by the bank as an affiliation of H. F. Heye they have not established his right to it. It arises from the transfer of securities from the account of the Anglo Dutch Bank on which Heye formerly had power of attorney. The Chase Bank, however, has not satisfied itself that Heye has the right to claim this account as his personal property and until they are able to do so they are segregating these assets as above and in the meantime Heye has no control over them.

Commercial National Bank.

On December 30th 1940 a custody account was opened in the name of Margarete Heye by a transfer of securities from the Chase National Bank. On January 22, 1940 the securities in this account were transferred under license to an account in the name of Margarete Heye at Lazard Freres, 120 Broadway, New York City. The present balance in the account consists of cash only in the amount of \$14,332.45. No affiliated accounts are maintained with this bank.

United States Trust Company.

H. F. Heye established a trust under deed of September 30, 1940 in favor of Margarete Heye. Assets in the account include a balance of \$7,000 in cash and securities with an approximate value of \$150,000.

Margarete Heye established a trust under deed of September 30, 1940 in favor of H. F. Heye. Assets in this account consist of \$600 in cash and securities with an approximate value of \$105,000.

Chase National Bank Foreign Department.

An account is carried in this department by the Anglo Dutch Banking and Trading Company of The Hague, Holland. This is considered by the bank to be an affiliation of H. F. Heye although they don't know what its exact status is at this time. Heye formerly had sole and complete signatory power in this country as the managing director of the Anglo Dutch Banking and Trading Company (N. V. Englesch Hollandsche Bank

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en Handelning). This power was given to Heye in September of 1939 and was later revoked. The exact date of the revocation was not available. Since the revocation of the signatory power from abroad the bank no longer considers this account to be property over which Heye has control in spite of the fact that Heye contends that he owns 70% of the stock of the Company.

Information received by the Chase National Bank in April of 1936 from their correspondents in Holland indicated that the Anglo Dutch Banking and Trading Company was controlled by the well-known group of glass factories namely; Messrs. Heye and Professor I. P. de Voys. The bank was originally a glass company and changed later to a bank. It was founded by Gerresheimer Glasshauertwerke, formerly Heye of Dusseldorf and acted as a holding company for the Dusseldorf concern.

This explains somewhat the background of Heye in Europe as a factor in the glass industry in which he is understood to have had extensive interests.

Lazard Freres.

A brokerage account for the purchase and sale of securities is maintained in the name of H. F. Heye. The account opened on June 8, 1940 and at the present time includes a cash balance of \$14,410 and a diversified list of American securities. No sales of foreign securities appear to have been made through this account.

A similiar account is maintained in the name of Margarete Heye. There is a cash balance of \$20,987 in this account and a list of securities is held in custody.

Two small accounts are carried in the names of the Heye children, Alice and Harold but these accounts were only used for the purchase of \$5,000 in Defense Savings Bonds in each name.

A reference to the \$20,000 in Kingdom of Norway bonds mentioned in despatch No. 9279 was found in a letter from Heye to Lazard Freres from Florida on December 4, 1941. This communication was as follows:

"I am not sure if I have asked to obtain a license to bring into Mrs. Heye's account \$20,000 Kingdom of Norway bonds.

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These bonds are at present in Buenos Aires at Banco Hollandes Unido and Mrs. Heye has already given instructions to send them to your firm but they have not reacted and have just sent me a letter that I should get a license from the Federal Reserve Bank to be allowed to have them transmitted to Mrs. Heye's account with your firm.

The bonds have been at Credit Suisse Geneva since before the invasion of Holland which I can prove from documents from Credit Suisse Geneva".

Lazard Freres have not received the bonds since and have no information as to their whereabouts.

Swiss American Corporation.

H. F. Heye opened a stock brokerage account on June 13, 1940 by a transfer of securities from the Credit Suisse, Geneva. The cash balance in this account at the present time is \$17,650.05 and they hold securities in custody with an approximate value of \$30,000.

Margarete Heye opened an account on the same date as that above by means of a similiar transfer. The present cash balance in this account is \$5,429.48 and there are no securities held in the account.

Carl M. Loeb Rhodes & Company.

H. F. Heye opened a small commodity trading account in May of 1941. Only a few transactions covering the purchase of contracts in rye wheat and cotton were made through this account. It has been inactive for some time. At the present time there is a cash balance of \$2,025.80 in the account. They never had a stock brokerage account from Heye nor do they have an account from Margarete Heye. Several stock orders were executed on a give-up basis from Lazard Freres in the name of H. F. Heye.

G. M. P. Murphy & Company.

H. F. Heye opened a stock brokerage account on December 24, 1941. At the present time the cash balance in this account is \$2,963.25

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and some 400 shares of domestic securities are held in custody. No foreign securities were made through this account.

Respectfully,

*William A. Nugent*  
 WILLIAM A. NUGENT,  
 Senior Investigator,  
 Foreign Funds Control

Respectfully forwarded:

1. I concur in the suggestion that Heye be interviewed in Florida, as it is unlikely that an analysis of his bank and security accounts would account for the increase in his indebtedness.

2. We shall further examine his security accounts to determine the disposition of the securities removed therefrom. However, Heye should also be questioned on this point.

3. Heye's TFR-300 was filed at Atlanta and is not available to this office. It is suggested that it be checked to see if he reported the coupons which are mentioned in Despatches 5478. Heye should also be questioned on this point, and it is suggested that the British Censorship could advise the Department what disposition was made of these coupons.

4. On page 6 of the foregoing report, reference is made to \$20,000 Kingdom of Norway bonds, and it is stated that these bonds were mentioned in Despatch 9279. These bonds are almost certainly those mentioned in Despatches 11836 and 12881, as well as in No. 9279.

5. Despatch 2799 deals with the possible transmission of \$25,000 from Zurich to Heye's account in Havana. The only account we have located in Havana is in the Chase National Bank's branch there. Details of this account are not available in New York but, as stated on page 4 of the foregoing report, this account has been examined by the U. S. Treasury Representative (Customs Service) there.

6. We shall make inquiry of the New York Hospital and Surgical Supply Co. to ascertain the details of the proposed deal with Heye, why it fell through, and what they know about Heye.

*S. J. Kennedy*  
 S. J. KENNEDY,  
 Treasury Representative,  
 Acting in Charge.

Copy to: F.R.B., New York (Caveat Section)

This case also indexed under:  
 C 220 William E. Cross, Middletown, New York - 1-120  
 New York Hospital and Surgical Supply Co., New York City

Enclosure:  
 Exhibit "A".

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AN:RS

EXHIBIT "A"

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List of Bank and Brokerage Accounts  
Hans and Margarete Heye

	<u>Cash</u>	<u>Securities</u> <u>Approx. Value</u>
<u>Chase National Bank 60 St.</u> Hans F. Heye #1 Safe Deposit Box	\$ 45,374.23	-o-
<u>Chase National Bank Havana</u> Hans F. Heye	2,448.12	-o-
<u>Chase National Bank Main Office</u> Anglo Dutch Banking & Trading Co. (an affiliated account over which Heye has no control)		
<u>Chase National Bank 11 Broad St.</u> Hans F. Heye Trust Account	11,723.72	\$169,101.00
"H. F. Heye Account Anglo Dutch Bank" (Heye has no control on this account)	28,000.00	270,000.00
<u>Commercial National Bank</u> Margarete Heye Custody Account	14,332.45	-o-
<u>United States Trust Co.</u> H. F. Heye Trust Account	7,000.00	150,000.00
Margarete Heye Trust Account	600.00	105,000.00
<u>Lazard Freres</u> Hans F. Heye Brokerage Account	14,410.00	securities value?
Margarete Heye Brokerage Account	20,987.00	" "
Alice Heye Brokerage Account		5,000.00
Harold Heye Brokerage Account		5,000.00
<u>Swiss American Corp.</u> H. F. Heye & Margarete Heye Brokerage Account	17,650.05	30,000.00
Margarete Heye	5,429.48	
<u>Carl M. Loeb Rhodes &amp; Co.</u> H. F. Heye Brokerage Account (Commodities)	2,025.80	
<u>G. M. P. Murphy &amp; Co.</u> H. F. Heye Brokerage Account.	2,963.25	400. shares of stock
Totals....	172,944.10	734,101.00

All of the above accounts are now blocked.

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# Clemson Bros., Inc.



MANUFACTURERS OF  
**STAR HACK SAW BLADES**  
TUNGSTEN STEEL · HIGH SPEED STEEL · MOLYBDENUM STEEL (MOLY)  
**CLEMSON PRECISION LAWN MACHINE**

Middletown, N.Y.

*Not answered*

ESTABLISHED 1879  
INCORPORATED 1917  
CABLE ADDRESS: STARSAW

RICHARD D. CLEMSON, PRES.  
WILLIAM E. CROSS, V. PRES. & TREAS.  
RICHARD W. CANFIELD, SEC.

March 11, 1942

Mr. Wm. A. Nugent,  
U.S. Treasury Department,  
Foreign Funds Control,  
253 Broadway,  
New York, N.Y.

Dear Mr. Nugent:-

Following your recent visit, I wrote to my friend, Mr. Heye, at 955 Bay Esplanade, Clearwater, Fla. and today I have a letter from him, thanking me for my interest as well as evidencing a great desire to have some one from the Dept. of Justice approach him in order that he may clear any understanding, or misunderstanding, concerning him and his family.

Mr. Heye writes that the Atlanta Federal Reserve Bank has written him, asking him to make a new application to unblock his account and to state the reasons why they should be unblocked. He feels that he should not make this application while the present investigation is not yet finished. The letter from the Federal Reserve Bank is a personal letter from one of the officers and he dislikes to disregard this officer's suggestion regarding his presenting a new application.

I trust that the Dept. of Justice thru whom you received your instructions to visit me will soon issue instructions to visit Mr. Heye and clear up this matter which is disturbing him. I am sure Mr. Heye will appreciate a letter direct, or thru you, whichever is your pleasure, but I do feel that he should have some word from the Department of Justice as to their future activities concerning his unblocked account.

Very truly yours,

*William E. Cross*

WEC/G

*This is Cross' idea - I gave him no such impression. WEC*

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OFFICE OF  
DISTRICT COORDINATOR  
TREASURY DISTRICT NO. 6

TREASURY DEPARTMENT

COORDINATOR TREASURY ENFORCEMENT AGENCIES

Atlanta, Georgia  
408 Ten Forsyth Street Bldg.  
March 9, 1942

Mr. Elmer L. Irey  
Chief Coordinator  
Treasury Enforcement Agencies  
Washington, D. C.

In re: Hans Ferdinand Heye  
955 Bay Esplanade  
Clearwater, Florida

Dear Mr. Irey:

Transmitted herewith are copies of correspondence in connection with the activities of Hans Ferdinand Heye and his wife, Margaret Heye, all of which relate to banking accounts of the subject in Havana Cuba and elsewhere.

It is thought that this correspondence might be of interest to Mr. May of the Foreign Funds Control.

Very truly yours,

*[Signature]*  
W. D. HEARINGTON  
District Coordinator  
Treasury Enforcement Agencies

ks  
Enclosure

*3/13  
one copy of each of corresp. recd.  
all sent to Enforcement  
Section. CPH*

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TREASURY DEPARTMENT 26/22

UNITED STATES CUSTOMS SERVICE

CUSTOMS AGENCY SERVICE  
SUPERVISING CUSTOMS AGENT  
P. O. Box 4699

JACKSONVILLE, FLA.

March 7, 1942.

Honorable Elmer L. Irely,  
Chief Coordinator,  
Treasury Enforcement Agencies,  
253 Broadway,  
New York, N. Y.

Through: Mr. W. D. Hearington,  
District Coordinator.

Sir:

Further in connection with the investigation conducted by this office relative to the activities of Mr. & Mrs. Hans Ferdinand Heye, there are transmitted copies of correspondence in connection with the account of Mr. Heye in the Chase National Bank in Havana, Cuba.

Respectfully,

*Edward A. Gleason*  
Edward A. Gleason  
Supervising Customs Agent.

EAG:mn

*3/13/42*  
*All copies sent to Enforcement Section*  
*[initials]*

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CUSTOMS AGENCY SERVICE  
 SUPERVISING CUSTOMS AGENT  
 P. O. Box 4699

TREASURY DEPARTMENT 26/22

UNITED STATES CUSTOMS SERVICE

JACKSONVILLE, FLA.

February 28, 1942.

Honorable Elmer L. Ireys,  
 Chief Coordinator,  
 Treasury Enforcement Agencies,  
 253 Broadway,  
 New York, N. Y.

Through: Mr. W. D. Hearington,  
 District Coordinator.

Sir:

Advertence is made to an investigation I was directed to conduct relative to the activities of Mr. & Mrs. Hans Ferdinand Heye.

Submitted for your information regarding these persons is a copy of a self-explanatory report addressed to me by Charles E. Brown, Treasury Representative in Charge, Havana, Cuba. It will be noted that Mr. Heye has had money deposited in the Chase National Bank of Havana.

Respectfully,

*Edward A. Gleason*  
 Edward A. Gleason  
 Supervising Customs Agent

EAG:mn

*1*  
~~\_\_\_\_\_~~  
 3/5/42 CAK  
 with 4 copies report

*3/6/42*

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OFFICE OF  
DISTRICT COORDINATOR  
TREASURY DISTRICT NO. 6

TREASURY DEPARTMENT

TREASURY ENFORCEMENT AGENCIES

Atlanta, Georgia  
408 Ten Forsyth Street Bldg.  
March 3, 1942



Mr. Elmer L. Irey  
Chief Coordinator  
Treasury Enforcement Agencies  
Washington, D. C.

In re: Hans Ferdinand Heye  
955 Bay Esplanade  
Clearwater, Florida

Dear Mr. Irey:

Transmitted herewith is a copy of a letter from Mr. Charles E. Brown, Treasury Representative in Charge, Habana, Cuba, dated February 25, 1942, together with a copy of a balance sheet of the Chase National Bank of New York, Habana, Cuba, showing deposits and withdrawals made by the above subject. This is forwarded to you with the thought that Mr. May, Head of the Investigative Unit, Foreign Funds Control, might be interested.

Very truly yours,

W. D. HEARINGTON  
District Coordinator  
Treasury Enforcement Agencies

ks  
Enclosure - 5 copies

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February 27, 1942.

Supervising Customs Agent,  
P. O. Box 4699,  
Jacksonville, Florida.

Sir:

Reference is made to my letter of February 25, 1942 in connection with banking accounts of Hans Ferdinand Heye and wife Margaret Heye in Habana, Cuba.

Attached find copies of correspondence in connection with the account of Mr. Heye in the Chase National Bank of New York.

Respectfully,

Charles E. Brown  
Treasury Representative in Charge

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OFFICE OF  
U. S. TREASURY REPRESENTATIVE  
CUSTOMS AGENCY SERVICE  
P. O. Box 2158  
CABLE ADDRESS: SPAGENT

## TREASURY DEPARTMENT

UNITED STATES CUSTOMS SERVICE

HABANA, CUBA

February 25, 1942.

Supervising Customs Agent,  
P. O. Box 4699,  
Jacksonville, Florida.

Sir:

Reference is made to your verbal request of February 18th, asking that the undersigned contact the various banks in Habana in order to ascertain whether or not one Hans Ferdinand Heye and wife Margaret Heye had, or had had, accounts in banks in Habana. You also requested that if such accounts were found that a list of their securities be secured, as well as a transcript of their banking account.

The following banks were visited:

First National Bank of New York  
First National Bank of Boston  
Chase National Bank of New York  
Bank of Nova Scotia  
Royal Bank of Canada  
Canadian Bank of Commerce  
Bank of Nunez  
Bank of Popular

Attached as Exhibit A is a transcript of the account of Hans Ferdinand Heye furnished by the Manager of the Chase National Bank of New York, Mr. Thomas M. Findlay. This bank has no record of any transactions with Mrs. Heye. None of the other banks have any record of transactions with Hans Ferdinand Heye or Margaret Heye.

It will be noted from the transcript of Heye's account that the two main deposits were:

September 1940	6948.04
March 1941	24975.00

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The main debits to the account were:

Jan. 3, 1942 (including charges)	\$ 15,176.00
Jan. 23, 1942	15,000.00

The first was a withdrawal by draft through the main office of the Chase National Bank at New York to the Chase National Bank at Clearwater, Florida in the amount of \$15,176.00.

Under date of January 23, 1942 check No. 18269 was drawn, signed by H. F. Heye with the additional signature of Margaret Heye and an indistinguishable mark, in the amount of \$15,000 payable to the Chase National Bank at Clearwater, Florida.

The auditor's report dated January 27, 1942 shows 30 sheets of coupons of the Anglo-Dutch Banking and Trading Company, The Hague. Mr. Finlay was unable to tell the undersigned the value of these coupons.

Inasmuch as you expressed the desire to secure this information as early as possible, the above information is being forwarded now. There is considerable correspondence now being copied for me by Mr. Finlay of the Chase National Bank. This will be forwarded to you by air mail within the next several days.

The address on file at the Chase National Bank at Habana of Hans Ferdinand Heye is as follows:

Hans Ferdinand Heye  
955 Bay Esplanade  
Clearwater, Florida

Respectfully,

Charles E. Brown  
Treasury Representative in Charge.

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January 27, 1942.

Mr. H. F. Haye,  
955 Bay Esplanade,  
Clearwater, Florida  
U. S. A.

Dear Sir:-

In connection with a regular examination of this Branch we shall be pleased if you will confirm the correctness of the following by signing and returning the attached carbon copy of this letter to the writer.

As at the close of business, January 26, 1942 we held the undernoted securities or documents in safekeeping for your account:

30 sheets of coupons of the Anglo-Dutch Banking and Trading Company.

Yours very truly

A u d i t o r

WJB.or.  
copy  
P.

DECLASSIFIED	
Authority	968103
By	JR NARA Date 6-15-00

RG	131
Entry	FFC Investigative Reports 1942-60
File	NY 3-787
Box	22

955 Bay Esplanade,  
Clearwater, Florida  
U. S. A.

Dec 30/41

The Chase National Bank of the  
City of New York  
Habana.

Gentlemen:-

Enclosed I am sending you the necessary  
declaration. I assume that it is not necessary for me  
to know, what is contained in the decree 3366. I can't  
understand a word of Spanish.

Very truly yours,

H. F. Heye

Please be kind enough to sent at once the \$15.00 to my  
Clearwater Bank.

copy  
by p.

334439

DECLASSIFIED	
Authority	968103
By	JR
NARA Date	6-15-00

RG	131
Entry	FFC Investigative Reports 1942-60
File	NY 3-787
Box	22

December 27, 1941.

Mr. H. F. Heye  
955 Bay Esplanade  
Clearwater, Florida

Dear Sir:-

We have for acknowledgement your communication of the 22nd, requesting that we debit your account with \$15,000. to be transferred to the First National Bank of Clearwater Clearwater, Fla., for the credit of your account with them.

Before complying with your request and as per recent Decrees promulgated here in Cuba, it will be necessary that you fill up and return to us duly signed the enclosed sworn declaration.

The main object of the declaration is that you specifically declare what the money is intended for.

As the form that we have in our possession is written in Spansih we are enclosing herewith a translation into English.

Yours very truly,

Assistant Manager.

jmz/rgg.  
copy  
p.

DECLASSIFIED
Authority <u>968103</u>
By <u>LR</u> NARA Date <u>6-15-00</u>

RG	<u>131</u>
Entry	<u>FFC Investigative Reports 1942-60</u>
File	<u>NY 3-787</u>
Box	<u>22</u>

The Chase National Bank  
Havana, Cuba.

Dear Sirs:-

Please be kind enough to pay from my account with you \$15,000. (Fifteen thousand) to the First National Bank of Clearwater, Clearwater, Florida for my account at that Bank.

Very truly yours,

H. F. Heye

955 Bay Esplanade, Clearwater, Florida.  
Dec. 22, 1941.

334441

DECLASSIFIED  
Authority 968103  
By LR NARA Date 6-15-00

RG 131  
Entry FEC Investigative Reports 1972-60  
File NY 3-787  
Box 22

March 24, 1941.

Mr. H. F. Heye  
191 Devon Drive  
Clearwater,  
Florida.

Dear Sir:-

Referring further to your wire of the 14th dealing with the sum of \$25,000.00 which we had to receive for your account, we wish to advise that we have to-day credited you with \$24,975.00; the difference of \$25.00. being 1/10 of 1% exchange on New York.

Yours very truly

Assistant Manager.

JMZ/rb.  
copy p.

DECLASSIFIED  
Authority 968103  
By JR NARA Date 6-15-00

RG 131  
Entry FFC Investigative Reports 1942-60  
File NY 3-787  
Box 22

DATE March 17, 1941

12.10

MR. M. F. HEYE  
191 DEVON DRIVE  
CLEARWATER, FLORIDA

FILE COPY OF MESSAGE DISPATCHED

L.C.  
H. F. HEYE  
CLEARWATER FLORIDA

AMOUNT RECEIVED BY US THRU CHASE NEW YORK IN FAVOR OF BANQUE POUR  
VADEURS DE PLACEMENT ZURICH WHICH HAS WIRED US OVER UNTESTED WIRE  
TO USE FUNDS FOR F. HEYE NOT FOR H. F. HEYE HAVE ASKED OUR MAIN OFFICE  
CABLE VALORBANK REQUESTING THEY CABLE US BY TESTED MESSAGE AUTHORI-  
ZING FUNDS BE PLACED IN FAVOR OF H F HEYE.

334443

DECLASSIFIED	
Authority	968103
By	LR NARA Date 6-15-00

RG	131
Entry	FFC Investigative Reports 1942-60
File	NY 3-787
Box	22

H.F. HEYE  
CLEARWATER, FLA.

(8)

DATE March 14th, 1941 8.30  
Rec'd March 15th, 1941.

FILE COPY OF MESSAGE RECEIVED.

PLEASE CABLE AS SOON YOU RECEIVE DOLLARS 25000 FOR MY ACCOUNT 2186

H.F. HEYE TEMPORARY ADDRESS 191 DEVON DRIVE CLEARWATER FLORIDA.

DECLASSIFIED	
Authority	968103
By	JR
NARA Date	6-15-00

RG	131
Entry	FFC Investigative Reports 1942-60
File	NY 3-787
Box	22

March 13th, 1941 A.M.

Mr. C. A. Richards, Assistant Manager,  
Foreign Department  
Main Office.

Dear Mr. Richards:-

We have in our books a checking account in the name of Mr. H. F. Heye, which was open with the proceeds of your telegraphic transfer of September 10th 1940 for \$6,955.00 by order of Banque Pour Valeurs de Placement, Zurich, Switzerland, (their cable address VALORBANK). This account, was established after receiving our Park Avenue Branch's letter of September 19th, in reply to ours of the 17th giving us information on this gentleman.

In connection with this name we have today received from that Bank in Switzerland, the following untested wire, (that Bank maintains no testing arrangement with us):

"RECEIVE FROM NEWYORK OFFICE ORDER SWISSBANK  
OUR BEHALF 25000 USE FAVOR F. HEYE."

This wire, as you can see is rather confusing because no currency is mentioned and besides the account here stands Mr. H. F. Heye and not F. Heye. Also the amount in question has not yet been received from you.

If you have any news on this transfer kindly let us hear from you by air mail.

Yours very truly

Assistant Manager.

JMZ:rb.  
copy p.

334445

DECLASSIFIED  
Authority 968103  
By FR NARA Date 6-15-00

RG 131  
Entry FEC Investigative Reports 1942-60  
File NY 3-787  
Box 22

*Salvadoro - Current Act N.Y.*

E 15 A  
11-37-500 J

**The Chase National Bank**  
OF THE CITY OF NEW YORK  
CABLE ADDRESS-CHASEBANK  
Habana, Cuba

**AIR MAIL**

**PAYMENT ORDER**

When referring to this transaction please mention our Foreign Payment

January 3rd, 1941

No. 8990

TO	Mr. C. J. Spies, Assistant Manager Foreign Department 11 Broad Street New York, N.Y.	Amount	\$15,000.00
----	---	--------	-------------

PLEASE PAY THE SUM OF FIFTEEN THOUSAND AND NO. 100 DOLLARS

TO the First National Bank of Clearwater, Clearwater, Florida

FOR ACCOUNT OF Mr. H. F. Heye, 955 Bay Esplanade, Clearwater, Florida

BY ORDER OF same

IN REIMBURSEMENT kindly debit our account with you.

At the request of

Mr. H. F. Heye,  
955 Bay Esplanade  
Clearwater, Florida

Assistant Manager  
Accountant

Countersignature

DECLASSIFIED	
Authority	968103
By	L/R NARA Date 6-15-00

RG	131
Entry	FFC Investigative Reports 1942-60
File	NY 3-787
Box	22

December 10, 1940

Mr. H. F. Heye,  
Westchester Country Club,  
Rye, New York,  
U.S.A.

Dear Mr. Heye:

We acknowledge receipt of your letter of December 5, 1940, and are pleased to inform you that we make no charge in connection with the mailing of monthly statements of account to our clients. In fact, under our system of accounting and proof, it is convenient to prepare and mail monthly statements and we shall be glad to continue this service to you.

Yours very truly,

A u d i t o r .

WJB.cr.  
copy p.

334447

DECLASSIFIED	
Authority	968103
By	FA NARA Date 6-15-00

RG	131
Entry	FFC Investigative Reports 1942-60
File	NY 3-787
Box	22

H. F. Heye  
 Westchester Country Club  
 Rye, N.Y.

December 5, 1940

The Chase National Bank  
 Sucursal en la Habana  
 Apartado No. 642,  
 Habana, Cuba.

Gentlemen:-

I do not think it would be necessary for me to receive monthly statements of my account. A statement every six months would be entirely sufficient. However, if your charges would be the same for monthly statements I of course have not any objection to receiving them.

Very truly yours,

H. F. Heye

HFh/Cm  
 copy p.

DECLASSIFIED  
Authority 968103  
By JR NARA Date 6-15-00

RG 131  
Entry FFC Investigative Reports 1942-60  
File NY 3-787  
Box 22

H. F. Heye  
Westchester Country Club  
Rye, N.Y.

November 30, 1940

Chase National Bank  
Havana, Cuba.

Gentlemen:

Thank you for your kind letter of November  
25th.

Please be kind enough to charge the services  
charge amount to my checking account.

Very truly yours,

H. F. Heye.

Hfh/Cm  
copy p.

334449

DECLASSIFIED	
Authority	968103
By	JR NARA Date 6-15-00

RG	131
Entry	FFC Investigative Reports 1942-60
File	NY 3-787
Box	22

November 25th, 1940.

Mr. Hans Ferdinand Heye  
Westchester Country Club,  
Rye, N.Y.

Dear Sir:-

We acknowledge receipt of your letter of the 12th instant sending instructions in connection with the coupons of Anglo-Dutch Banking & Trading Co., which we are now holding for your account, and are pleased to enclose herewith our receipt No. 197, covering said coupons.

Please be advised that our service charge amounts to \$5.00 for 1 year from November 11, 1940 to November 11, 1941, which we shall appreciate your sending to us at your earliest convenience.

Very truly yours,

Manager.

JAG.  
copy  
P.

DECLASSIFIED  
Authority 968103  
By HP NARA Date 6-15-00

RG 131  
Entry FFC Investigative Reports 1942-60  
File NY 3-787  
Box 22

H. F. Heye  
Westchester Country Club  
Rye, N.Y.

November 19, 1940

The Chase National Bank  
Habana  
Cuba

Gentlemen:-

I just received your kind letter of November 11th regarding thirty coupon sheets Engelsch-Hollandsche Bank. Please keep them in safekeeping, and don't collect any coupons without receiving special instruction from me.

Very truly yours,

H. F. Heye

Hfh/Cm  
copy p.

DECLASSIFIED	
Authority	968103
By	JR NARA Date 6-15-00

RG	131
Entry	FFC Investigative Reports 1942-60
File	NY 3-787
Box	22

November 11th, 1940.

Mr. Hans Ferdinand Heye  
Westchester Country Club  
Rye, N.Y.

Dear Sir:-

Please be advised that we have received for your account from Credit Suisse, Geneve, the following:

30 Coupon sheets, with coupons #26 to 36 each, 211/212, 296/300, 461/466 and 470/477, of Engelsch-Hollandsche Bank & Handelsmaatschappij (Anglo Banking & Trading Company).

We are holding these securities in Safe-keeping for your account, and shall appreciate your sending to us your instruction in connection with same.

Very truly yours,

M a n a g e r .

JAG.  
copy  
P.

334452

DECLASSIFIED  
Authority 968103  
By FR NARA Date 6-15-00

RG 131  
Entry FFC Investigative Reports 1942-60  
File NY 3-787  
Box 22

November 11, 1940.

Crédit Suisse,  
Geneve,  
Switzerland.

Gentlemen:

We acknowledge receipt of your remittance dated October 24th, 1940, enclosing by order and for account of Mr. Hans Ferdinand Heye the following:

30 Coupon sheets, with coupons #26 to 36 each, No. 196/204, 211/212, 296/300, 461/466 and 470/477 of Engelsch-Hollandsche Bank & Handelmaatschappij (Anglo Dutch Banking & Trading Company), Gevestigd Te's, The Hague, Netherlands.

We are communicating to-day with Mr. Heye, but will also appreciate your letting us know your instructions in connection with this matter.

Very truly yours,

Manager.

JAG/  
copy p.

DECLASSIFIED  
Authority 968103  
By FR NARA Date 6-15-00

RG 131  
Entry FEC Investigative Reports 1942-60  
File NY 3-787  
Box 22

ACCUSE DE RECEPTION

Envoi du

24 Octobre 1940

Au Crédit Suisse  
Service des Titres

GENEVE.

The Chase National Bank.

Monsieur Hans Ferdinand Heye

H a v a n a.

30,000. --- cap. act Engelsch-Hollandsche Bank & Handelmaatschappij  
No. 196/204. 211/12, 296/300, 461/66, 470/7. 79063/7

Feuilles de coupons seulement. (Cps. No. 26 á 36 et

talon att.)

334454

DECLASSIFIED	
Authority	968103
By	LR
NARA Date	6-15-00

RG	131
Entry	FFC Investigative Reports 1942-60
File	NY 3-787
Box	22

Westchester Country  
 Rye, New York  
 September 28, 1940.

Chase National Bank  
 Havana, Cuba.

Gentlemen:-

I acknowledge your letter of September 16,  
 and am sending you enclosed two signature cards  
 and receipt for the checkbook.

My address has been changed to the Westchester  
 Country Club, Rye, New York.

Yours very truly,

H. F. Heye.

copy  
 p.

DECLASSIFIED	
Authority	968103
By	JR NARA Date 6-15-00

RG	131
Entry	FFC Investigative Reports 1942-60
File	NY 3-787
Box	22

THE CHASE NATIONAL BANK.

New York, Sept. 19th, 1940.

Park Avenue Branch.

Mr. Guillermo E. Carreras, Assistant Manager,  
The Chase National Bank,  
Havana, Cuba.

Dear Mr. Carreras:-

We have your letter of September 17th, inquiring about Mr. H. F. Heye, who has requested you to open a current account for him.

In reply, we wish to inform you that he has been a depositor of this Branch since November of 1939 and at present maintains a personal checking account in the name of Hans Ferdinand Heye and a joint account with his wife, Margarete Heye. Aggregate average balance in both accounts is in low five figures but the accounts have been blocked in accordance with an Executive Order of the President of the United States, as Mr. Heye, is a national of Holland being a subject of the Dutch East Indies. We are also holding in safekeeping for Mr. Heye and his wife some securities which are also subject to the President's Order. Mr. Heye is a deputy member of the Board of Directors of the Anglo Dutch Banking and Trading Company, the Hague, Holland, which maintains account at the Head Office, Foreign Department, and is regarded as a valuable client. Whatever transactions you may have with him will, in our opinion, be conducted in a proper and satisfactory manner.

Yours very truly,

H. F. Poor,  
Vice President.

CWB:LK  
Air Mail  
copy p.

334456

DECLASSIFIED  
Authority 968103  
By LR NARA Date 6-15-00

RG 131  
Entry FEC Investigative Reports 1972-60  
File NY 3-787  
Box 22

September 17, 1940. A.M.

Mr. C. A. Richards,  
Assistant Manager,  
Foreign Department.

Dear Mr. Richards:-

This is to advise you that Mr. H. F. Heye  
has requested us to open a current account for him here.

As we understand that he is known at your  
office, we would appreciate very much your forwarding  
us, by air mail, whatever details you may have on him.

Very truly yours,

Asst. Manager.

FB;lf.  
ccyp.

DECLASSIFIED  
 Authority 968103  
 By JR NARA Date 6-15-00

RG 131  
 Entry FFC Investigative Reports 1942-60  
 File NY 3-787  
 Box 22

September 16, 1940.

Mr. H. F. Heye,  
 Hotel Delmonico,  
 Park Ave. at 59th St.,  
 New York, N.Y.

Dear Sir:-

We acknowledge receipt of your letter of Sept. 10, 1940 and according with your instructions we have opened a current account in your name with an initial deposit of \$6,948.04 which sum represents proceeds of transfer from our Main Office of \$6,955.00 after deducting \$6.96 representing 1/10 of 1% exchange on New York in accordance with agreement of the Banks associated in the Havana Clearing House.

We wish to advise you that this account will be subject to the following terms and conditions:

In the case of average balance in this account running below \$500.00 a service charge of \$2.00 per month will be made where the number of checks cashed is 10 or more and \$1.00 for less than 10 checks monthly.-

Exportation of capital is subject to a tax of 1/4 of 1%.

Checks drawn on foreign countries are subject to revenue stamps as per the following schedule:

Up to		\$1.00 . . . . .	\$0.02
From	\$1.01 to	\$5.00 . . . . .	0.03
"	\$5.01 "	\$10.00 . . . . .	0.06
"	\$10.01 "	\$50.00 . . . . .	0.12
"	\$50.01 "	\$100.00 . . . . .	0.24
"	\$100.01 "	\$500.00 . . . . .	0.60
"	\$500.01 "	\$1000.00 . . . . .	0.90
"	\$1,000.01 "	\$2,000.00 . . . . .	1.20
"	\$2,000.01 "	\$5,000.00 . . . . .	2.40
"	\$5,000.01 "	\$10,000.00 . . . . .	6.00
"	\$10,000.01 "	\$20,000.00 . . . . .	12.00
"	\$20,000.01 "	\$50,000.00 . . . . .	24.00
More than	\$50,000.01 - - - - -		60.00

Checks on New York as well as incoming and outgoing cable or Mail transfers are subject to an exchange charge of 1/10 of 1%.- This is also a Clearing House Member Banks Agreement.

DECLASSIFIED
Authority <u>968103</u>
By <u>[initials]</u> NARA Date <u>6-15-00</u>

RG	<u>131</u>
Entry	<u>FEC Investigative Reports 1972-60</u>
File	<u>NY 3-787</u>
Box	<u>22</u>

Mr. H. F. Heye

2.

We enclose check-book #D-359 together with receipt thereof and two signatures cards which we shall appreciate your returning to us duly signed and authenticated by our Head Office.

Yours very truly,

Manager.

JOG/h,  
Enc. credit slip.  
Check-book.

copy p.

DECLASSIFIED  
Authority 968103  
By FR NARA Date 6-15-00

RG 131  
Entry FIC Investigative Reports 1942-60  
File NY 3-787  
Box 22

HOTEL DELMONICO  
Park Ave. at 59th St.,  
New York.

September 10, 1940.

Chase National Bank  
Havana, Cuba.

Gentlemen:-

I cabled you yesterday, In the meantime, you probably will have received a sum of approximately \$7,000. from my friends in Zurich. Please be kind enough to open an account for me at your Havana Branch, and please send me the necessary signature cards, which I will return with signatures authenticated by your head office.

Yours very truly,

H. F. Heye

HFH;lr.  
copy p.

DECLASSIFIED  
Authority 968103  
By JRP NARA Date 6-15-00

RG 131  
Entry FFC Investigative Reports 1942-60  
File NY 3-787  
Box 22

*Wn 3-64*

**TREASURY DEPARTMENT**

**INTER OFFICE COMMUNICATION**

DATE FEB 20 1942

TO Mr. May  
FROM Mr. Pehle  
Re: Hans Ferdinand Heye

Reference is made to Customs Report No. 26/22 dated February 13, 1942 submitted by Edward A. Gleason, Supervising Customs Agent, and forwarded by W. D. Hearington to Mr. Elmer Ireys.

In confirmation of Mr. Seibel's conversation with Mr. Howard, it will be appreciated if this investigation is further pursued in New York.

*JRP*  
*See our memo to Kennedy of 1/18/42*  
*[Signature]*

**FORWARDED**  
for appropriate action  
To N.Y.  
Date 2/20/42  
**FOREIGN FUNDS CONTROL**  
Investigative Section

334461

DECLASSIFIED	
Authority	968103
By	NRARA Date 6-15-00

RG	131
Entry	FEC Investigative Reports 1942-60
File	NY 3-787
Box	22

## TREASURY DEPARTMENT

### INTER OFFICE COMMUNICATION

DATE February 18, 1942

TO Mr. Kennedy, New York City  
 FROM Mr. May, Washington

RE: Hans Ferdinand Heye  
 Marguerite Heye  
 955 Bay Esplanada  
Clearwater, Florida

There are transmitted herewith copies of request dated January 12, 1942, from Mr. Pehle relative to the subject persons, together with a copy of report of investigation dated February 13, 1942, which was made by the Supervising Customs Agent at Jacksonville, Florida. There are also enclosed copies of memoranda dated February 5 and 6, prepared by Mr. R. P. Aikin. These were prepared after a conversation with Mr. Frazer of the Federal Reserve Bank at Atlanta, Georgia, and are based on information given Mr. Frazer by Mr. Gleason.

Please arrange for a further thorough investigation at New York as indicated by the report in question. Apparently considerable information will be obtainable from Mr. William E. Cross, who, as indicated in the report of Mr. Gleason, is connected with the Clemson Brothers Manufacturing Company located at Middletown, New York. It is possible that Mr. Cross has an office in New York City.

Your office was informed today by telephone that a blocking order was issued today to the Federal Reserve Bank at New York for the purpose of freezing all funds of the subject persons in banks in New York City, and requesting you to contact Mr. Crane of the Federal Reserve Bank, New York City, asking him to immediately block accounts of the subject persons with the Chase National Bank and the Commercial Bank and Trust Company.

In view of the fact that this particular case cannot be acted upon until your report of investigation is received, it is requested that you proceed with this case at the earliest date possible.

FEB 19 1942

*ESM*

334462

DECLASSIFIED	
Authority	968103
By	JR NARA Date 6-15-00

RG	131
Entry	FFC Investigative Reports 1942-60
File	NY 3-787
Box	22



TREASURY DEPARTMENT 26/22

UNITED STATES CUSTOMS SERVICE

CUSTOMS AGENCY SERVICE  
SUPERVISING CUSTOMS AGENT  
P. O. Box 4699

JACKSONVILLE, FLA.

February 13, 1942

STRICTLY CONFIDENTIAL

Honorable Elmer L. Irey  
Chief Coordinator  
Treasury Enforcement Agencies  
Washington, D. C.

Sir:

Pursuant to the request of District Coordinator W. D. Hearington I proceeded to Clearwater, Florida where I instituted a discreet investigation relative to Mr. and Mrs. Hans Ferdinand Heye, 955 Bay Esplanade, Clearwater, Florida.

From the sources of information available to me I learned that Mr. and Mrs. Heye came to Clearwater some time in January, 1941 at which time they rented a house at 191 Devon Drive and occupied it until May, 1941. During this period of time the Heyes made arrangements to purchase from Fred Boyle the residence which they now occupy at 955 Bay Esplanade.

After the Heyes left Clearwater in May, 1941 they went to the Westchester Country Club in New York City subsequent to which in about July, they went to the Glen Brook Inn and Ranch at Lake Tahoe, Nevada thence to the Hotel Mark Hopkins in San Francisco, Calif., after which they returned to New York. This was approximately October 7, 1941 at which time they occupied a suite in the Park Lane Hotel. During this period they corresponded with a real estate broker in Clearwater named Paul Randolph in an effort to consummate the arrangements to purchase the residence above mentioned. The cost of this home was \$18,000 which was paid in cash by Mrs. Margaret Heye with funds secured from the Commercial Bank and Trust Company of New York.

The Heyes have a daughter who is now going to Chiply Private School in Germantown, Pa. Mr. Heye was sponsored in Clearwater by William E. Cross who, it has been indicated to me, controls the Clemson Bros. Manufacturing Company located at Middletown, New York.

FOR DEFENSE



BUY  
UNITED STATES  
SAVINGS  
BONDS  
AND STAMPS

334463

DECLASSIFIED	
Authority	968103
By	FR NARA Date 6-15-00

RG	131
Entry	FFC Investigative Reports 1942-60
File	NY 3-787
Box	22

-2-

This concern manufactures tools and I believe is now engaged in certain Defense contracts. I interviewed several people that came in close contact with Mr. and Mrs. Heys socially and the consensus of opinion was to the effect that Mr. Heys was a man with a nervous temperament, an exceedingly good mind, a very retentive memory and an extraordinary ability as a rapid calculator. During conversations with these people he is alleged to have said that he has a sister in Sweden or Norway where he also has considerable financial assets.

Heys divulged on these occasions that he and his wife had traveled all over the world, that they were familiar with the Dutch East Indies, Japan, Mexico and various countries in South America. He stated that he had anticipated this war situation for four or five years during which time he arranged to transfer a great part of his assets and funds to Mexico, South American countries and the West Indies. During one of the conversations with the aforementioned people, he stated that his funds were frozen and that he was operating on an allowance of \$500 per month although it will be noted from the accompanying bank transcripts of The First National Bank of Clearwater that during some months he spent considerably more than the allowed sum. He purchased the furnishings for his home in Clearwater from various local merchants in Tampa, Clearwater and nearby towns.

During his sojourn in Clearwater he became friendly with a man named Joe Davis who is alleged to be a director in the American Locomotive Company which firm is now said to be engaged in the manufacture of tanks in behalf of the Government. Among the acquaintances he made since his arrival in Clearwater, and most of these people are well off financially, he has already acquired a reputation of being a speculator in the money market on a large scale.

All the while I spent in Clearwater on this investigation Mr. Heys was in New York City. Mrs. Heys remained in Clearwater. One of my informants disclosed to me that Heys was attempting while in New York to secure the control of a hospital supply business for an investment in the neighborhood of \$450,000. One of the persons from whom I received information stated that

334464

DECLASSIFIED	
Authority	968103
By	JR NARA Date 6-15-00

RG	131
Entry	FEC Investigative Reports 1942-60
File	NY 3-787
Box	22

-3-

Mrs. Heye had remarked that he was endeavoring to purchase the New York Hospital and Surgical Supply Company, Inc., although she was not certain of the exact title, however, I ascertained that there are two firms in New York engaged in this type of business, namely, the Hospital Equipment Corp., 72 Madison Avenue and the Hospital Supply Company, 155 East 23rd Street. One of Heye's confidants at Clearwater rendered the opinion that the company which Heye was endeavoring to purchase was the last mentioned company, the Hospital Supply Company. One of my contacts stated at this time also that it was Mr. Heye's intention to visit Mr. W. E. Cross at Middletown, New York during this period.

In my conversations with officials of The First National Bank of Clearwater I was informed that Mr. Heye was alleged to have stated that he had certain money deposited in Havana, Cuba which he had secured as a result of the transfer or sale of certain securities from abroad. Mr. Heye was alleged to have stated also that he had a considerable bank account at Chase National Bank at New York and Mrs. Heye informed the bank officials that she had funds in the Commercial National Bank and Trust Company at New York.

Submitted also are copies of correspondence between Mrs. Heye and various banking institutions in connection with her purchase of the home she now occupies in Clearwater. At the present time the Heyes have on deposit in The First National Bank, Clearwater, a little better than \$10,000 which has been ordered frozen pursuant to a conference with the Federal Reserve Bank in Atlanta.

Mr. Heye on various occasions remarked that he had been engaged in the banking business and investment business in Holland for a number of years as had his family before him.

All the persons whom I contacted in Clearwater had no information in their possession that would tend to show that either Heye or his wife are engaged in any activities detrimental to the United States. The Heyes have acquired a reputation of being personable people socially and well off from a financial standpoint, although on occasions Mr. Heye indicated that he was considerably bored with Americans as a whole as he declared that they were uninteresting and not very cosmo-

334465

DECLASSIFIED	
Authority	968103
By	JR NARA Date 6-15-00

RG	131
Entry	FFC Investigative Reports 1942-60
File	NY 3-787
Box	22

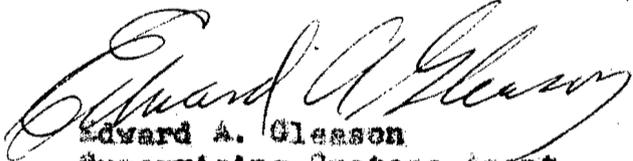
-4-

politan. He has led all the folks in that section with but very few exceptions, to believe that he is a native of Holland. He has stated that he has lived in Germany but did not indicate that he was of German birth except when it could not be avoided. To banking officials of The First National Bank he stated that he had certain foreign securities under his control that could be converted into cash and stated that he had made arrangements for the disposal of some of them, and the results of the sale deposited in a bank in Cuba.

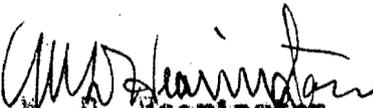
In connection with the specific inquiry referred to in the request for investigation relative to the sums of \$33,086 and \$11,756, I am inclined to believe that these sums will be covered by the information contained in the enclosed bank balances given to me by The First National Bank of Clearwater. No information could be learned except from the bank, which is also reflected in the transcript of the statement enclosed, relative to any connections that the Heyes might have with any South American firms.

The persons who submitted the information contained in this report are of a high type and do not wish to be embarrassed by having it disclosed that they were in any way responsible for the dissemination of any information relative to the activities of Mr. and Mrs. Heye. I did not interview either Mrs. Heye or Mr. Heye as I did not deem it necessary in view of the information herein submitted, however, if it is desired by officials in Washington that these people be interrogated, if advice will be sent to that effect I will gladly comply.

Respectfully,

  
Edward A. Gleason  
Supervising Customs Agent.

Respectfully forwarded,  
Approved:

  
W. D. Hearington,  
District Coordinator.  
EAG:mn  
Enclosures

334466

DECLASSIFIED  
 Authority 968103  
 By FR NARA Date 6-15-00

RG 131  
 Entry FEC Investigative Reports 1942-60  
 File NY 3-787  
 Box 22

Tr ript of Account

NAME H.F. HEYE OR M. HEYE

CHECKS	DEPOSITS	DATE	BALANCE
	300.00	Mar 1 '41	300.00*
2.05		Mar 7 '41	297.95*
1.20		Mar 8 '41	296.75*
30.00		Mar 10 '41	266.75*
20.00		Mar 11 '41	246.75*
100.00		Mar 13 '41	146.75*
3.00		Mar 21 '41	143.75*
3.15		Mar 22 '41	140.60*
2.60		Apr 2 '41	138.00*
2.60		Apr 4 '41	135.40*
9.00		Apr 7 '41	126.40*
12.88		Apr 18 '41	113.52*
2.82		Apr 24 '41	110.70*
1.00		Apr 28 '41	109.70*
5.13		May 2 '41	104.57*
5.00		May 8 '41	99.57*
5.00		May 12 '41	94.57*
5.00		May 20 '41	89.57*
5.00		Jun 21 '41	84.57*
1.00	30	Jun 26 '41	83.57*
.79		Jun 27 '41	82.78*
4.20		Jun 27 '41	78.58*
	100.00	Jul 19 '41	178.58*
20.00		Jul 21 '41	158.58*
.10		Jul 26 '41	158.48*
1.40		Aug 5 '41	157.08*
3.74		Sep 6 '41	153.34*
10.00		Oct 3 '41	143.34*
2.73		Oct 3 '41	140.61*
5.69		Oct 4 '41	134.92*
15.00		Oct 24 '41	119.92*
3.88		Oct 29 '41	116.04*
6.00		Nov 12 '41	109.94*
14.97		Nov 13 '41	94.97*
5.75		Nov 24 '41	89.22*
3.60		Nov 25 '41	85.62*
5.00		Dec 3 '41	80.62*
1.00		Dec 4 '41	79.62*
22.30		Dec 5 '41	57.32*
6.75		Dec 5 '41	50.57*
5.36	Lazard Freres & Co. Nat'l. City Bk. N.Y. 500.00	Dec 6 '41	545.23*
14.68		Dec 9 '41	530.55*

DECLASSIFIED  
 Authority 968103  
 By FR NARA Date 6-15-00

RG 131  
 Entry FFC Investigative Reports 1942-60  
 File NY 3-787  
 Box 22

Transcript of Account

CHECKS		DEPOSITS	DATE	BALANCE
Amount	Bro't Forward		Dec 9 '41	530.65*
		10,000.00	Dec 9 '41	10,530.65*
15.00			Dec 13 '41	10,515.65*
25.00			Dec 13 '41	10,490.65*
100.00	33.95		Dec 15 '41	10,356.70*
72.45	21.54		Dec 16 '41	10,262.71*
127.50			Dec 17 '41	10,135.21*
15.60	15.75		Dec 17 '41	10,103.86*
50.00	21.50		Dec 18 '41	10,032.36*
5.37	6.70			
50.00			Dec. 19 '41	9,970.29*
50.00			Dec. 22	9,920.29*
7,000.00	100.00			
20.00	15.00		23	2,785.29*
400.00			Dec. 24 '41	2,385.29*
17.40	1.80			
7.95	14.18		Dec 24 '41	2,343.96*
39.00			Dec. 26 '41	2,304.96*
50.00			Dec. 26 '41	2,254.96*
500.00	100.00		Dec 29 '41	1,654.96*
24.50	43.50		Dec 30 '41	1,586.96*
6.53			Dec 30 '41	1,580.43*
14.50			Dec 31 '41	1,565.93*
8.26			Jan 3 '42	1,557.68*
9.83			Jan 3 '42	1,547.85*
200.00	100.00	"G" 10,000.00	Jan 6 '42	11,247.85*
20.55			Jan 7 '42	11,227.30*
2.35		"H" 15,000.00	Jan 7 '42	26,224.95*
1.25	95.00			
35.00	14.55		Jan 8 '42	26,079.15*
15,000.00	(5,000 Swiss Am. Corp, (10,000 Chase		Jan 8 '42	11,079.15*
7.74			Jan 8 '42	11,071.41*
70.75	38.25		Jan 9 '42	10,962.41*
22.08			Jan 10 '42	10,940.33*
5.00	10.00			
13.15			Jan 12 '42	10,912.18*
19.95			Jan 12 '42	10,892.23*
6.00			Jan 13 '42	10,886.23*
40.88			Jan 14 '42	10,845.68*
76.75	25500		Jan 15 '42	10,743.93*
5.95	24.00		Jan 15 '42	10,713.98*
37.50			Jan 17 '42	10,676.48*
50.00			Jan 17 '42	10,626.48*
4.45			Jan 21 '42	10,622.03*
22.65		"C" 9,194.24	Jan 21 '42	19,793.59*
8.00			Jan 22 '42	19,785.59*

DECLASSIFIED  
 Authority 968103  
 By JR NARA Date 6-15-00

RG 131  
 Entry FEC Investigative Reports 1942-60  
 File NY 3-787  
 Box 22

Transcript of Account

CHECKS	DEPOSITS	DATE	BALANCE
Amount Bro't Forward			19,785.59*
50.00		Jan 24'42	19,735.59*
5.50		Jan 26'42	19,730.09*
6.00		Jan 27'42	19,724.09*
6.46		Jan 28'42	19,717.63*
10,000.00 Chase		Jan 29'42	9,717.63*
50.00		Feb 2'42	9,667.63*
7.60		Feb 3'42	9,660.03*
200.00 37.50			
15.89		Feb 4'42	9,406.64*

"C" Banco Holandes Unido Caracas  
 Continental Bank & Trust Co. N.Y.

"H" Chase Natl. Bank, N.Y. Cashiers  
 Check # 199769 Jany 5 1942.

DECLASSIFIED	
Authority	968103
By	FR NARA Date 6-15-00

RG	131
Entry	FEC Investigative Reports 1972-60
File	NY 3-787
Box	22

FIRST NATIONAL BANK  
CLEARWATER, FLORIDA

THE COMMERCIAL NATIONAL BANK AND TRUST COMPANY  
OF NEW YORK

Charles Kraemer, Jr.  
Assistant Trust Officer

Fort Six Wall Street

May 22, 1941

First National Bank  
Clearwater, Fla.

Gentlemen:

We have today deposited with the Chemical Bank and Trust Company of New York for credit to your account \$18,000.00 in accordance with instructions of Mrs. Margarete Heye.

Please pay this amount against delivery of deed to property situated in Pinellas County, Florida: "The South 20 feet of Lot 10, all of Lot 11, and the North 20 feet of Lot 12, of Block 265 of Carlouel Subdivision, according to the map or plot thereof as the same appears of record in the public records of Pinellas County, Florida, etc." to the seller.

Please contact Mr. S. E. Simmons, Attorney at Clearwater, Florida, who has investigated title to the property and obtain his written approval to the deed which will be sufficient authority for you to complete payment.

For your information, we are enclosing a copy of Mrs. Heye's instructions to us covering this transaction. After this transaction has been consummated, kindly hold the deed in your bank until Mrs. Heye's next visit to Florida, at which time she will contact you further.

If there is anything that is not entirely clear, please communicate with us at once as Mrs. Heye is most anxious to close this matter.

Very truly yours,

C. Kraemer, Jr.  
Assistant Trust Officer.

Enclosure  
cc: Mrs. Margarete Heye

copy from copy:mn

334470

DECLASSIFIED	
Authority	968103
By	LFR NARA Date 6-15-00

RG	131
Entry	FEC Investigative Reports 1972-60
File	NY 3-787
Box	22

THE COMMERCIAL NATIONAL BANK AND TRUST COMPANY  
OF NEW YORK

Trust Department

Forty Six Wall Street  
May 22, 1941.

Chemical Bank and Trust Company  
165 Broadway  
New York, N.Y.

Gentlemen:

We are enclosing our Cashier's check in the amount of \$18,000.00 for deposit to the credit of the First National Bank in Clearwater, Florida, under advice to them.

Please instruct the First National Bank in Clearwater, Florida, that complete details covering the disposition of these funds will be received from us.

Very truly yours,

Assistant Trust Officer

OK TP'CM

CUSTODY

Enclosure

cc: First National Bank  
in Clearwater, Florida

copy from copy:mn

334471

DECLASSIFIED  
 Authority 968103  
 By FR NARA Date 6-15-00

RG 131  
 Entry FFC Investigative Reports 1942-60  
 File NY 3-787  
 Box 22

Westchester Country Club  
 Rye, New York  
 May 22, 1941.

The Commercial National Bank and  
 Trust Company of New York  
 46 Wall Street  
 New York, N. Y.

Gentlemen:

Please pay to the First National Bank in Clearwater, Florida, eighteen thousand dollars (\$18,000) from my account and instruct the First National Bank in Clearwater to pay this amount against delivery of deed to property situated in Pinellas County, Florida: "The South 20 feet of Lot 10, all of Lot 11, and the North 20 feet of Lot 12, of Block 266 of Carlouel Subdivision, according to the map or plat thereof as the same appears of record in the public records of Pinellas County, Florida, etc." to the seller. I have instructed Mr. S. E. Simmons, attorney at Clearwater, Florida, to investigate if the title to this property is in order. He will give his written approval to the deed and title to the First National Bank in Clearwater and I therefore hereby ask you to direct the First National Bank in Clearwater to take over the deed and to effect payment to the sellers only on approval of said attorney, Mr. S. E. Simmons in Clearwater.

Please instruct the First National Bank in Clearwater after the transaction has been consummated to hold the deed for me until my next visit to Clearwater.

Yours very truly,

Margarete Heye

copy from copy:mn

DECLASSIFIED	
Authority	968103
By	JR
NARA Date	6-15-00

RG	131
Entry	FFC Investigative Reports 1942-60
File	NY 3-787
Box	22

POSTAL TELEGRAPH

DX29 TWS PAID 3 MINS-SCB NEW YORK NY 329P 27  
 The First Natl Bank  
 Clearwater Flo

1941 May 27 PM 4 16

ARE INFORMED THAT PROPERTY FREDERICK R. A. BOYLE, BLOCK 265  
 CARLOUEL SUBDIVISION HAS DESCRIPTION ENTIRE LOT NINE AND  
 NORTH POKEY FEET LOT TEN. PLEASE READ OUR LETTER OF  
 INSTRUCTION MAY TWENTY SECOND ACCORDINGLY-

THE COMMERCIAL NATIONAL BANK AND TRUST  
 C. OF NEW YORK

COPY FROM COPY:mn

DECLASSIFIED	
Authority	968103
By	FR NARA Date 6-15-00

RG	131
Entry	FEC Investigative Reports 1942-60
File	NY 3-787
Box	22

**FIRST NATIONAL BANK**  
Clearwater, Florida

May 28, 1941

The Commercial National Bank & Trust Company  
46 Wall Street  
New York, N. Y.

Attention of Mr. Charles Kraemer, Jr.  
Assistant Trust Officer

GENTLEMEN:

The transaction involving sale of entire Lot 9 and the North 40 ft of Lot 10 in Block 265, Carlouel Subdivision by Mr. Frederic A. Boyle to Margarete Heye was closed today and we made payment of \$18,000 against delivery to us of deed to the above described real estate.

We enclose receipt from the Clerk of Circuit Court for deed showing it to be left for record. When the deed is returned to us, it will be held for Mrs. Heye, as instructed in your letter.

We, also, enclose statement from Mr. Simons in regard to the abstract and the deed referred to, which is as per your request.

Very truly yours,

Vice President & Cashier.

RCC:H

copy from copy:mn

334474

DECLASSIFIED	
Authority	968103
By	AP NARA Date 6-15-00

RG	131
Entry	FFC Investigative Reports 1942-60
File	NY 3-787
Box	22

CRH:MWG

NY 2- 787

February 18, 1942.

MEMORANDUM FOR INVESTIGATION

Re: - Hans Ferdinand Heye  
955 Bay Esplanade,  
Clearwater, Florida

Mr. Howard telephoned from Washington, as follows:

The Treasury Department is issuing a blocking order by telegram to Federal Reserve Bank today. This means that Federal Reserve Bank will circularize all banks in New York. Mr. Crane of the Federal Reserve Bank, New York, has charge of blocking transactions.

The Washington office is sending up copies of a report made in Florida with request for further investigation by us.

(Hans Ferdinand Heye and his wife Marguerite Heye are believed to have accounts in the Commercial Bank and Trust Company and Chase National Bank. Mr. Howard would like you to contact Mr. Crane immediately and have him call the two banks and have the blocking accomplished.)

4 copies to Mr. May

334475

DECLASSIFIED  
 Authority 968103  
 By LR NARA Date 6-15-00

RG 131  
 Entry FFC Investigative Reports 1942-60  
 File NY 3-787  
 Box 22

*2h-m 3-64*



OFFICE OF  
 DISTRICT COORDINATOR  
 TREASURY DISTRICT NO. 6

TREASURY DEPARTMENT

TREASURY ENFORCEMENT AGENCIES

Atlanta, Georgia  
 408 Ten Forsyth Street Bldg.  
 February 16, 1942



Mr. Elmer L. Irey  
 Chief Coordinator  
 Treasury Enforcement Agencies  
 Washington, D. C.

Dear Mr. Irey: In re: Hans Ferdinand Heye  
 955 Bay Esplanade  
 Clearwater, Florida

Reference is made to your letter of January 24, 1942, transmitting a memorandum addressed to you from Mr. Erwin May, Investigative Unit, Foreign Funds Control, with enclosures referred to therein, in connection with the above-named individual.

Immediately upon receipt of this communication, copies were forwarded to Mr. Edward A. Gleason, Supervising Customs Agent, Jacksonville, with the request that he either assign a qualified officer or conduct the investigation himself concerning the activity of Mr. Heye.

Mr. Gleason personally conducted this investigation and there are enclosed herewith the original and three copies of his report, to which are attached copies of bank statements, letters, etc.

You will please note that neither Mr. or Mrs. Heye were interviewed since it is believed the copies of the bank statements answer the questions raised by Mr. May. Mr. Heye has been in New York during the period of time this investigation was conducted.

Should the information as contained in this report be deemed insufficient and it is your desire that these parties be interrogated, kindly advise and I will have Mrs. Heye interviewed immediately.

Attention is also called to the fact that Mr. Heye has some connections in Middletown, New York and elsewhere. It is assumed that the New York investigation will cover these angles.

334476

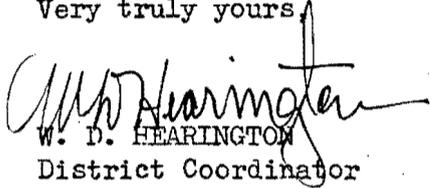
DECLASSIFIED  
Authority 968103  
By LR NARA Date 6-15-00

RG 131  
Entry FFC Investigative Reports 1942-60  
File NY 3-787  
Box 22

Mr. Elmer L. Irey  
February 16, 1942  
Page two

I regret the delay in forwarding this report but same was just received in my office today.

Very truly yours,



W. D. HEARINGTON  
District Coordinator  
Treasury Enforcement Agencies

ks  
Enclosure

DECLASSIFIED  
Authority 968103  
By JR NARA Date 6-15-00

RG 131  
Entry FIC Investigative Reports 1942-60  
File NY 3-787  
Box 22

COORDINATOR

D42 FEB 11 AM 11 46

TREASURY AGENCY SERVICES

MHEARINGTON TO ELMER L IREY CHF COORDINATOR

OK GA

INVESTIGATION HANS FERDINAND HEYE NOW COMPLETE AND REPORT SHOULD BE IN NY OFFICE BY SATURDAY. THIS REPORT WILL BE FORWARDED TO YOU IMMEDIATELY BY AIR MAIL. INVESTIGATION IN NEW YORK WILL IN NO WAY INTERFERE WITH FLORIDA INVESTIGATION. BANK ACCOUNT OF HEYE IN FLORIDA BLOCKED.

END

OK THANKS END

DECLASSIFIED	
Authority	968103
By	JR NARA Date 6-15-00

RG	131
Entry	FEC Investigative Reports 1942-60
File	NY 3-787
Box	22

February 6, 1942

MEMORANDUM FOR THE FILES

Mr. Frazer of the Atlanta Federal Reserve Bank telephoned this morning concerning the investigation of Mr. Hans Ferdinand Heye. Mr. Gleason, Treasury representative in Tampa, has informed Mr. Frazer that it is the New York Hospital and Surgical Supply Company, Inc., New York, in which Mr. Heye is interested, according to information obtained from a friend of Mr. Heye's wife. The amount involved in the "deal" is reported to be \$450,000.00.

Mr. Frazer informed me that Mr. Heye is a close personal friend of Mr. W. E. Cross, who owns the Clemson Brothers Tool Co., Middletown, New York. Mr. Frazer understands that Mr. Heye is consulting Mr. Cross in connection with the New York Hospital and Surgical Supply Company deal and that it is believed Mr. Cross would be able to give information concerning Mr. Heye and the "deal" if approached properly. Mr. Frazer said that the information he had indicated that Mr. Cross was a "100 percent American" and that his Company was presently engaged in defense work.

Mr. Frazer said that another of Mr. Heye's close personal friends was Mr. Jos. Davis, a director of the American Locomotive Works.

R. P. Aikin

Copy to:  
Mr. Sherbondy  
Mr. Seibel

RPAIKIN: doc 2/6/42

334479

DECLASSIFIED	
Authority	968103
By	JR NARA Date 6-15-00

RG	131
Entry	FEC Investigative Reports 1942-60
File	NY 3-787
Box	22

February 5, 1942

MEMORANDUM FOR THE FILES

Mr. Frazer of the Atlanta Federal Reserve Bank telephoned today concerning the investigation of Mr. Hans Ferdinand Heye. According to Mr. Frazer, the investigation which has not yet been completed has indicated that some of the transactions mentioned in the dispatches were actually consummated. Mr. Frazer referred to the sale in Cuba and South America of large amounts of Norwegian securities subsequent to June 14, 1941. Mr. Heye, who has an account with the Clearwater First National Bank of Clearwater, Florida, has availed himself of the privileges of General License No. 42A, but the investigator has requested the Atlanta Federal Reserve Bank to instruct the bank not to unblock the account pending completion of the investigation.

Mr. Frazer stated that the investigator had information that Mr. Heye was presently in New York and was about to close a deal today in connection with a hospital supply business amounting to \$400,000. Mr. Heye filed TFR-300, Series "A", reports, Atlanta Serial Nos. 388, 389, 390 and 391, reporting total assets of \$445,000. His wife reported total assets amounting to \$168,000.

After checking with Mr. Siebel I called Mr. Frazer back and referred him to Confidential Circular No. 71 with respect to the unblocking of Mr. Heye's account with the Clearwater bank.

Mr. Frazer informed me that Mr. Heye and his wife, Mrs. Marguarite Heye, maintain accounts in New York with the Chase National Bank and the Commercial Bank & Trust Company. I gave this information over the telephone to Mr. Sherbondy.

R. P. Aikin

Copy to:  
Mr. Sherbondy  
Mr. Siebel

334480

DECLASSIFIED  
Authority 968103  
By LRP NARA Date 6-15-00

RG 131  
Entry FFC Investigative Reports 1942-60  
File NY 3-787  
Box 22

Atlanta, Georgia  
408 Ten Forsyth Street Bldg.  
February 4, 1942

Mr. Elmer L. Irey  
Chief Coordinator  
Treasury Enforcement Agencies  
Washington, D. C.

In re: Hans Ferdinand Heye  
955 Bay Esplanade  
Clearwater, Florida

Dear Mr. Irey:

Through an oversight, I neglected to reply to your letter of January 24, 1942, transmitting a memorandum addressed to you from Mr. Erwin May, Investigative Unit, Foreign Funds Control, concerning the above subject.

This matter was duly received and has been assigned to a capable investigator who is now conducting an investigation concerning the activity of the above individual. You will be advised fully the result obtained in the near future.

Very truly yours,

W. D. HEARINGTON  
District Coordinator  
Treasury Enforcement Agencies

ks

RECEIVED  
FEBRUARY 10 1942  
TREASURY ENFORCEMENT AGENCIES  
WASHINGTON, D. C.

DECLASSIFIED	
Authority	968103
By	LFR NARA Date 6-15-00

RG	131
Entry	FFC Investigative Reports 1942-60
File	NY 3-787
Box	22

## TREASURY DEPARTMENT

### INTER OFFICE COMMUNICATION

DATE January 28, 1943.

**TO** Investigator Arac, Investigative Unit, Foreign Funds Control, New York, N.Y.

**FROM** Theodore H. Ball, Chief Field Investigative Staff, Foreign Funds Control, Washington, D.C.

**Re** Lazard Freres,  
New York, New York

Information has been received which indicates that the Banco Hollandes Unido, Buenos Aires, advised Hans F. Heye, Clearwater, Florida, that \$20,000 in Norwegian bonds had been sent by insured air mail on November 6, 1942, to the subject for the account of Mrs. Margarete Heye, and that on November 7, 1942 they had forwarded the coupon sheets for these bonds.

It would be appreciated if you would check this matter to see whether the bonds have been received and, if so, advise what disposition has been made of the securities.

*THBall*

334482

DECLASSIFIED  
Authority 968103  
By LR NARA Date 6-15-00

RG 131  
Entry FFC Investigative Reports 1942-60  
File NY 3-787  
Box 22

*Wm 3-67*

January 20, 1942

Mr. Irey

Mr. May

RE: Hans Ferdinand Heye ✓  
955 Bay Esplanade  
Clearwater, Florida

(Previous Address)  
(191 Devon Drive)  
(Clearwater)

It would be appreciated if you could arrange for an appropriate investigation of the subject person under authority of Section 4-A of Executive Order 8389, as amended, in accordance with the request of Mr. Pehle dated January 12, 1942, which is transmitted herewith in duplicate, together with copies of Despatches Nos. 2799, 5478, 9279, 11836, 12881 and 17213.

It is requested that four copies of the report of the investigating officer be furnished for the use of this office.

ERWIN G. MAY/mu



334483

DECLASSIFIED  
Authority 968103  
By FR NARA Date 6-15-00

RG 131  
Entry FFC Investigative Reports 1942-60  
File NY 3-787  
Box 22

TREASURY DEPARTMENT  
INTER OFFICE COMMUNICATION

DATE JAN 12 1942

TO Mr. May  
FROM Mr. Pehle

Re: Hans Ferdinand Heye  
955 Bay Esplanade  
Clearwater, Florida

We have received confidential information to the effect that the subject is the son of a German industrialist who as owner of valuable patents became quite a figure in the international glass industry prior to the war. At that time he maintained headquarters in the Rhine district of Germany and in Holland. The business of the Holland office was largely conducted through a firm called "Bank Fuer Anlagewerte," a holding company for the firms interests in Holland, Switzerland, the Dutch East Indies and the United States. The German office is stated to have had many connections with rearmament and to have organized a particular business to rent storage facilities for the Reich for grain storage.

The subject is also stated to have been affiliated with the Reichsdeutsche Gemeinschaft, an organization composed of German citizens in Holland. It appears that the organization is political in nature although certified to the Dutch government by the German government as being non-political.

There is attached a copy of despatch number 17215 containing general information with respect to the subject and copies of despatches numbers 2799, 5478, 9279, 11836 and 12881 concerning various security transactions in which he is involved.

DECLASSIFIED  
 Authority 968103  
 By JR NARA Date 6-15-00

RG 131  
 Entry FFC Investigative Reports 1942-60  
 File NY 3-787  
 Box 22

- 2 -

The TFR-300 report filed by the subject indicates that since June 1, 1940 he has disposed of \$33,086 in foreign securities and that between June 1, 1940 and June 14, 1941 he increased his indebtedness, secured by property in the United States, by \$11,756.

In view of the information contained in the despatches and the fact that the subject conducted certain operations for the benefit of the Reich prior to the war it would be appreciated if you will have a thorough investigation made of his activities and those of his wife. The investigation should disclose; the nature of the security transactions discussed in the despatches, the ultimate disposition of the securities, the number of such transactions that have been completed and the number in process of completion; the subject's present occupation and affiliations and those of his wife. Particular attention should be given to any affiliations that either of them have with firms or persons in South America. It should also disclose the persons to whom the foreign securities valued at \$33,086 were sold; the manner in which the subject's indebtedness was increased by \$11,756 and the location of all of the accounts maintained by himself and his wife; the type of the accounts and a statement as to whether the accounts are blocked.

*JTP*

FEB 10 1947

FORWARDED  
 for appropriate action  
 To NY  
 Date JAN 28 1947  
 FOREIGN FUNDS CONTROL  
 Investigation Section

334485

DECLASSIFIED	
Authority	968103
By	FR NARA Date 6-15-00

RG	131
Entry	FFC Investigative Reports 1942-60
File	NY 3-787
Box	22

Hans Ferdinand Heye was born in Dusseldorf on the 30th December 1897. He was an officer in the German army during the last war and lived in Holland since 1921. He became a Netherlands subject by naturalization on the 16th November 1939.

He is a member of the board of the N.V. Engelsch-Hollandische Bank en Handelmaatschappij, the Hague, telegraph address Anglo-bank. Of this company he owns 70% of the shares. He is also director of the Handelskantoor, the Hague of which he owns 100% of the shares.

Early this year he infringed the Trading with the Enemy Decree by corresponding with enemy occupied territory and the Commission for Legal Relations in Time of War at Curacao took up the case against him on 17th February 1941. Afterwards he requested permission before writing to enemy and enemy occupied territory. This permission was refused, but he may of course have written anyhow.

The Anglo-Holland Bank mentioned before, holds Ds. 2,300,000 shares Siemens Glass and Dm. 1,500,000 Siedenburg Glass and controls a large share of the Leerdam and Schiedam glass factories in Holland.

Mr. Heye has stated being convinced Germany will lose the war and people who have met him repeatedly in New York have stated in writing that he made a reliable impression and probably is not a Nazi sympathizer. In how far this is the result of a willful pose is of course difficult to say.

(Initialed) J.W.P.

CONFIDENTIAL

17213

334486

DECLASSIFIED  
Authority 968103  
By ~~FR~~ NARA Date 6-15-00

RG 131  
Entry FCC Investigative Reports 1942-60  
File NY 3-787  
Box 22

2-787

On the 6th March, one Keys of 191 Devon Drive,  
Clearwater, Florida, instructed the Banque pour  
Valeurs de Placement, Zurich, to sell all his  
Swiss securities and Helvetia shares without  
declaration, and to buy from the proceeds  
\$25,000, sending them by cable to his account in  
Havana.

*Bank file  
arrangement*

*proceeds to Caracas. Havana  
liquidated by June 22, 1961  
money went to S.A. so  
that it would not  
be blocked when  
embarked brought  
most of money to U.S.  
still has \$15 in Mexico*

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2799

334487

DECLASSIFIED  
Authority 968103  
By LR NARA Date 6-15-00

RG 131  
Entry FFC Investigative Reports 1942-60  
File NY 3-787  
Box 22

2-789.

It is understood from a delicate source that Bank for Anlagewerte, Talstrasse 15, Zurich, wrote on June 5th, 1941, to M. F. Heye, Westchester Country Club, Rye, New York, sending a letter signed G. H. Vogel.

*friend of Heye - Pres of bank in England*

From correspondence, addressee appears to be a Dutch national.

Writer mentions that the coupon sheets sent on March 21st, 1941, detached from Dordtsche Petroleum Industrie Maatschappij N.V. have been held by the British Censorship. He also mentions that there is still no trading in Dutch shares on the Swiss market, but as soon as reliable quotations are again available, he will cable addressee. Writer's firm is evidently holding a number of Dutch securities in safe keeping for addressee's account.

*checking bearing above Heye must indicate share in reports*

*are there coupons listed on T & A-300 they mean meeting in foreign countries reported*

*coupons are in handwriting*

DECLASSIFIED  
 Authority 968103  
 By FR NARA Date 6-15-00

RG 131  
 Entry FFC Investigative Reports 1942-60  
 File NY 3-787  
 Box 22

2-787

It is understood from a delicate source that Credit Suisse, Geneva, wrote on 15th August 1941 to Hans Ferdinand Hays, Westchester Country Club, Rye, New York.

Writers advise having sent to the Banque Francaise & Italienne p. L'Americque du Sud, Buenos Aires, via France-Saville-Lat 1, \$20,000.-5% Kingdom of Norway 1930/70, in three registered parcels, for delivery to Hollandsche Bankunie, Buenos Aires for account of Addressee.

HR

*subject suggested to witness Sumner*



9279

SEARCHED  
 SERIALIZED  
 INDEXED  
 FILED

334489

DECLASSIFIED  
Authority 968103  
By JR NARA Date 6-15-00

RG 131  
Entry FFC Investigative Reports 1942-60  
File NY 3-787  
Box 22

2-787

It is understood from a delicate source that N.P. Heye, Westchester Country Club, Rye, New York wrote on 16th October 1941 to Banco Holandes Unido, P.O. Box 171, Buenos Aires sending instructions to forward to Lazare (Lazard) Freres & Co., New York by Steamer for the account of Mrs. Margaret Heye, \$20,000 5% Kingdom of Norway Municipal Bank Bonds recently received by addressees from Geneva. Bonds and coupon sheets to be sent in separate shipments, and provision to be made for insurance against transportation risk but not War Risk.

*Banco Holandes Unido  
requested by Heye  
which was denied  
Heye was then advised  
& advised to Banco Unido in  
L.A.  
12/14/41 -*

1941

CONFIDENTIAL

12881

334490

DECLASSIFIED  
Authority 968103  
By JR NARA Date 6-15-00

RG 131  
Entry FFC Investigative Reports 1942-60  
File NY 3-787  
Box 22

2-787

It is understood from a delicate source that Credit Suisse, Geneva, wrote on August 15th, 1941 to Hans Ferdinand Heye, Westchester Country Club, Rye, N. York, informing addressee that in order to avoid seizure by the Censorship authorities in Bermuda they are forwarding enemy securities (\$20,000 -5% KINGDOM OF NORWAY 1930/70) via Lati to a bank (on Statutory List) in B. Aires, viz. Banque Francaise et Italienne pour l'Amerique du Sud.

HRH

11836

334491

DECLASSIFIED	
Authority	968103
By	FR NARA Date 6-15-00

RG	131
Entry	FFC Investigative Reports 1942-60
File	NY 3-787
Box	22

955 Bay Esplanade  
Clearwater, Florida  
December 9, 1941

Federal Reserve Bank of Atlanta  
Foreign Property Department  
Atlanta, Georgia

Gentlemen:

Some time ago I filed TFR-300 Reports for Mrs. Heye and myself, which will be in your files.

I have not included my bank accounts in Cuba and in Caracas, Venezuela, as the officer in charge of giving advice on TFR-300 Reports at the Federal Reserve Bank of New York told me that it was not intended to have inserted such accounts. Speaking of this question today at my bank in Clearwater, they had some doubt that this advice was right and in order to be absolutely sure and have your written opinion, I thought it advisable to write you on this subject.

I had an account at the Chase National Bank in Havana, Cuba with an amount of \$32,629.12 and of \$36,978.01 at the Banco Hollandes Unido, Caracas; both on June 14, 1941. Mrs. Heye is owner of half of both accounts.

Thanking you in advance for your kind reply,  
I am

Very truly yours,

H. F. Heye

334492

DECLASSIFIED	RG	131
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By <del>FR</del> NARA Date 6-15-00	File	NY 3-783
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FOREIGN FUNDS CONTROL  
 2020120  
 Salaries and Expenses  
 Foreign Exchange Control 1942 - Allot. 1-b

FEDERAL RESERVE BANK OF NEW YORK  
 NEW YORK, NEW YORK

ATTENTION: HERBERT KINGSALL - CONFIDENTIAL

YOU ARE INSTRUCTED TO DIRECT IRVING TRUST COMPANY  
 TO BLOCK AS AUSTRIAN, FRENCH AND SWISS THE CUSTOMER ACCOUNT  
 IN NAME OF STANLEY ROBERTS, 52 WEST BOSTON STREET, NEW YORK  
 CITY. REPORTS ON FORM TFR-300 ARE REQUIRED.

ACTING SECRETARY OF THE TREASURY.

L E ACKERMANN:emj 5/7/42

334493

DECLASSIFIED	RG	131
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By <u>HR</u> NARA Date 6-15-00	File	NY 3-783
	Box	22

May 7, 1942

## SPECIAL UNIT A FILES

Re: Stanley Roberts,  
52 West Houston Street,  
New York City, N.Y.

Stanley Roberts was born in Vienna in 1910. He arrived in New York on August 28, 1939, on an immigration visa. His name was changed to Stanley Roberts from Sigmund Rosenfeld by a court order in November 1939. He has received his first papers.

His uncle, Sigmund Popper, now residing in Switzerland, to which place he fled from Austria as a Jewish refugee, transferred to him through the Swiss Bank Corporation 600 shares of United States Steel Corporation, 100 shares of Bethlehem Steel Corporation and 250 shares of Union Pacific Railroad having a total market value of \$55,375. Roberts claims that these shares, which are deposited with the Irving Trust Co. in a custody account, were a gift and that they were transferred to him by Popper so that he could assume a moral responsibility to assist various members of the family, who had fled from Austria to various parts of the world. He submitted a letter from Popper dated March 10, 1941, which contained a statement to the effect that the securities had been credited to Roberts without any reservation and Roberts could deal with them in the same manner as he would any other property of his own. Taken out of its context, this statement would support Roberts' position. However, the statement in the letter is preceded and followed ~~and followed~~ by other language which clearly indicates that it was Popper's intention to avoid freezing regulations and licenses.

Another letter dated October 21, 1941, from Popper to Roberts also outlines in general the method by which payments are to be made. In this same letter Popper stated, "You will agree that I have great confidence in you, putting this 'trustee' mission into your hands. You are a man of honor and you won't disappoint me or your aunt." Copies of both of these letters are annexed hereto.

It is not clear from the report where all of the various beneficiaries of this "trust" are located. However, the letter of March 10, 1941, says that at least one of the beneficiaries is located in Nice, France.

334494

DECLASSIFIED	RG	131
Authority 968103	Entry	FFC Investigative Reports 1942-60
By LRP NARA Date 6-15-00	File	NY 3-783
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It is, therefore, recommended that the Custody Account of Stanley Roberts with the Irving Trust Co. be blocked as Swiss, French and Austrian. I do not believe that we should block any other accounts of Roberts as there is no evidence or any other indication that his ordinary personal affairs involve funds or property which are foreign-owned. I believe that our purpose will be accomplished by blocking the securities account. Blocking telegram is submitted herewith.

*L. E. Ackermann*

L. E. Ackermann

L. E. Ackermann: emj 5/7/42

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DECLASSIFIED	RG	131
Authority 968103	Entry	FFC Investigative Reports 1942-60
By <u>FR</u> NARA Date 6-15-00	File	NY 3-783
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JA:TW

NY 3-783

## FILE COPY

FOREIGN FUNDS CONTROL  
253 Broadway, New York  
REctor 2-7373

CONFIDENTIAL: FURTHER REPRODUCTION PROHIBITED

APR 6 1942

Bureau of Internal Revenue,  
Gift Tax Division,  
225 Broadway,  
New York, N.Y.

Sir: ✓ Re: STANLEY ROBERTS, 52 W. Houston St., New York

Enclosed is a copy of our report NY 3-783 prepared by one of our investigators in connection with the gift tax on certain securities transferred and listed in the report.

✓ While information contained in correspondence from Sigmund Popper, the donor and resident of Switzerland, could be construed as being somewhat restricted and indicative that ownership had not actually passed, it is believed that it is now in the hands of a potential U.S. citizen and will not be used for other purposes than for relief to relatives in the U.S.

In order to more definitely qualify Roberts statement that the transfer of the securities was an outright gift, thus making him subject to the gift tax, an affidavit was prepared on March 6, 1942 and was signed by Roberts who is a naturalized citizen.

It is noted that Roberts filed a gift tax return on March 13, 1942 subsequent to the last interview listing the securities mentioned in the report and paid a tax of \$2,611.13. This gift on which the tax was paid was made according to the affidavit, on February 20, 1941 and the value should be assessed as of that date.

Income tax returns have not been checked to determine whether or not dividends have been reported on these stocks during 1941 which Roberts claims amounted to \$3,750. An affidavit to this effect is in the files of this office under the case number mentioned above.

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JA:TW

NY 3-783

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The signed affidavit supporting the gift to Roberts is attached to case #NY 3-783, Foreign Funds Control office, Washington, D. C.

Respectfully,



JOSEPH ARROWSMITH,  
Special Agent

Respectfully forwarded,  
APPROVED:



S. J. KENNEDY,  
Treasury Representative,  
Acting in Charge

334497

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WAN:HS

NY 3-783

01-3-00783

file 4/23/44



TREASURY DEPARTMENT

WASHINGTON

FOREIGN FUNDS CONTROL

FOREIGN FUNDS CONTROL  
253 Broadway, New York  
REctor 2-7373

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APR 4 1942

John W. Pehle, Esquire,  
Assistant to the Secretary,  
Treasury Department,  
Washington, D. C.

Sir:

R163  
Re: STANLEY ROBERTS, 1-120  
52 West Houston St., New York City.  
P160 SIGMUND POPPER, 1-421  
Hotel Steinbock,  
Chur, Switzerland.

Reference is made to your memorandum of February 14, 1942 to which a copy of despatch No. 12409 is attached. The latter document refers to correspondence between the two individuals listed above. In this correspondence Stanley Roberts suggested to Sigmund Popper that certain securities transferred from the account of Sigmund Popper by the Swiss Bank Corp. to the account of Stanley Roberts at the Irving Trust Co. in New York be considered henceforth as the property of Stanley Roberts. This suggestion was made by Roberts to facilitate the free use of the funds by him in the discharge of a moral obligation which he had assumed to assist various members of the family who might be in financial need.

SUMMARY

Stanley Roberts maintains that he has received a gift of the securities in question from Sigmund Popper without recourse and that it is rightfully to be considered his property. In view of this fact he had not felt obliged to file a TFR-300 covering these funds. In this connection he has paid a gift tax to the United States covering this transfer. He denies any intent to evade or circumvent Treasury regulations by his suggestion to Popper.

ANTECEDENTS

Stanley Roberts was born in Vienna, Austria on January 11, 1910. He arrived in New York on August 28, 1939 on an immigration

FOR DEFENSE



BUY  
UNITED STATES  
SAVINGS  
BONDS  
AND STAMPS

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visa issued by the American Consul in London, England. His name was formerly Sigmund Rosenfeld but was legally changed by Court Order in November of 1939. He has received his first citizenship papers and holds certificate No. 447870 issued by the U. S. District Court in New York on December 6, 1939.

He is a Vice President and one of the principals at interest in Tersch Patents and Chemicals Inc. at 52 West Houston Street, New York City. He is also a Vice President and Secretary of William Schlesinger Inc. of 24 Stone Street, New York City and the sole proprietor of Rostra South American Trading Company, 52 West Houston St., New York City.

CORRESPONDENCE WITH SIGMUND POPPER

Sigmund Popper is an uncle of Stanley Roberts and resides in Switzerland whence he fled from Austria as a Jewish refugee after the absorption of Austria by Germany. Sigmund Popper was formerly the senior partner of the banking house of Rosenfeld & Company of Vienna, Austria. Before coming to the United States, Stanley Roberts was also a member of this firm.

According to Roberts, Popper who was the head of the family banking business, was likewise considered the paternal head of the family. Therefore, when Roberts came to this country he was asked by Popper to assume certain responsibility to assist various members of the family who had fled from Austria to various parts of the world. To aid Roberts in these efforts, Sigmund Popper transferred certain securities from the Swiss Bank Corp. to the account of Stanley Roberts at the Irving Trust Company.

These transfers were confirmed as the following transactions:

Swiss Bank Corp.: On February 21, 1941 they delivered 500 shares of United States Steel common stock to the Irving Trust Company for the account of Stanley Roberts. This delivery was made on instructions received from the Zurich office by order of Sigmund Popper.

On February 28, 1941 they delivered 100 shares of United States Steel, 250 shares of Union Pacific common and 100 shares of Bethlehem Steel to the Irving Trust Company on order from their Zurich office for the account of Stanley Roberts.

These securities were not held in the name of Sigmund Popper but in the account of the Zurich office. Sigmund Popper's account at

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the New York office was closed out on June 28, 1940 by the transfer of \$8,000 City of Milan bonds to the account of the Zurich office. No account is open on the books of the New York office in the name of Sigmund Popper.

Irving Trust Company: The account of Stanley Roberts opened in August of 1939 under the name of Rosenfeld was changed to the present style in November of 1939. It has been only a routine checking account on which balances have averaged in low five figures.

They also have a custody account in which the securities transferred from the Swiss Bank Corp. are held.

The accounts are not blocked as Roberts is a generally licensed national.

Basis of the Gift: Roberts states that these funds were transferred to him by Popper to be used by him without restriction and according to his sole discretion in assisting various members of the family who might be in need of financial assistance. This transfer according to Roberts involved no obligation on his part to return any of the money to Popper at any time in the future and he therefore mingled these funds with his own at the Irving Trust Company.

A copy of a letter from Popper to Roberts dated October 21, 1941 concerning this matter is attached to this report as Exhibit "A". A later letter from Popper dated March 10, 1942 is attached to this report as Exhibit "B". This letter includes the statement by Popper to Roberts that: "The securities have been remitted to you to Irving Trust Company for your account, have been credited to you without reservation, they, therefore, are passing over into your actual property and you can deal with them exactly like your other property".

Roberts contends that his correspondence with Popper in which he mentioned that the securities should be regarded as a gift so as to avoid their being blocked while in his possession as property of Popper, was not made with any intent to evade the provisions of the Executive Order but rather to facilitate the discharge of his moral obligation to help other members of the family when in need. He states that he felt that if the funds were not his property that he would have had difficulty in obtaining authorization to use them freely as contingencies might demand.

Attached to this report as Exhibit "C" is a copy of an affidavit made by Roberts affirming the fact that the securities which were transferred by Popper to him were actually a gift.

334500

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WAN:HS

NY 3-783

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Gift Tax: On March 26, 1942 Narcotic Agent Leo Palier visited the office of The Collector of Internal Revenue at the Custom House, New York City, and ascertained that Stanley M. Roberts had filed a tax return for the gift of stocks from his uncle, Sigmund Popper. A gift tax of \$2,611.13 had been paid by Roberts on March 13, 1942.

The tax return itemized the gifts in the following manner:

500 shares U. S. Steel	\$25,250.00
100 shares U. S. Steel	5,150.00
100 shares Bethlehem Steel	6,100.00
250 shares Union Pacific R. R.	<u>18,875.00</u>
Total	\$55,375.00
Statutory Exclusion	<u>4,000.00</u>
Amount on which tax is payable	\$51,375.00
Tax paid.	2,611.13

Respectfully,

*William A. Nugent*  
 WILLIAM A. NUGENT,  
 Senior Investigator,  
 Foreign Funds Control.

Respectfully forwarded,  
 APPROVED:

*S. J. Kennedy*  
 S. J. KENNEDY  
 Treasury Representative,  
 Acting in Charge.

Copy to: F.R.B., New York (Caveat Section)  
 Cable Censor (Lt. Bound), 67 Broad Street, N.Y.C.  
 Bureau of Internal Revenue

This case also indexed under:  
 T 620 Tersch Patents and/Chemicals Inc., 52 West Houston St., N.Y.C. 3-120  
 S 425 William Schlesinger Inc., 24 Stone St., N.Y.C. 1-120  
 R 234 Rostra South American/Trading Company, 52 West Houston St., N.Y.C.  
 R 251 Rosenfeld & Company, Vienna, Austria. 3-423 3-120

Enclosures:  
 Exhibits "A", "B" and "C".

334501

DECLASSIFIED	RG
Authority 968103	Entry 131
By <u>FR</u> NARA Date 6-15-00	File FCC Investigative Reports 1942-60
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EXHIBIT "A"

NY 3-783

## TRANSLATION OF ORIGINAL LETTER ATTACHED

Chur, October 21, 1941

Dear Stanley,

I received your letter of September 30, take note of it's contents and therefore have not cabled you. You will agree that I have great confidence in you putting this "trustee" mission into your hands. You are a man of honor and you won't disappoint me or your aunt. As long as I live I shall give you instructions, if necessary, myself, but I am 82 years of age and will not live long any more. After my death the aunt will be entitled in first line to make arrangements, but also uncle Julius and Wilhelm Kux, and in case of need yourself.

Care should be taken in first line of all the descendants of your paternal grandfather, Sigmund Rosenfeld, i.e. Sigmund and Erwin Neustadt, George Kien, yourself and the children of the deceased uncle Robert, Susi and Rudi Rosenfeld, further as far as possible of my personal nephews and nieces. Further, such amounts as might be necessary for the support of Wilhelm Kux should be remitted to him as long as he cannot dispose of his own property because of the political circumstances. This frame should guide you in case I, the aunt, uncle Julius and Wilhelm Kux should not be able to make arrangements. Our right to make provisions, of course, is not limited. For you, however, the above frame should only symbolize the intentions that guide me, but should not restrict your privilege of free use.

For the case of your premature death I should like to have you make the following insurance: Should you die earlier than the aunt (born 1871) she should receive payment of \$ 30,000. Should the aunt die before you, but uncle Julius (born 1866) then still be alive he should get the \$ 30,000, and should he die before you, payment should be made to Wilhelm Kux (born 1864). With the death of the 3 named persons the insurance should end. I believe it would be suitable to cover an insurance for 3 to 5 years as it is to be expected that after the war there will be conditions which would make such an insurance appear superfluous. Ask a firstclass Life Insurance Company as to what the premium for such an insurance would be. The premium of course would have to be paid by the funds.

I request you to excuse me that I am causing you so much trouble with that matter. But you will understand that such a provision is necessary.

With kindest regards,  
Yours,

ZSIGA

334502

DECLASSIFIED	
Authority	968/03
By	FR
NARA Date	6-15-00

RG	131
Entry	FFC Investigative Reports 1942-60
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Sigmund Popper  
HOTEL STEINBOCK  
CHUR

Einschreiben

CHUR, den 21. Oktober 1941  
TELEPHON: Direktion 701  
Fremdenstation 702  
Restaurant 703

Lieber Stanley!

Ich erhielt Deinen Brief v. 30. September, nehme von dessen Inhalt Kenntnis und habe Dir daher nicht gekabelt. Du wirst zugeben, dass ich, wenn ich Dir diese "Trustee" - Mission übertrage, grosses Vertrauen zu Dir habe. Du bist ein Ehrenmann und wirst mich sowie die Tante nicht enttäuschen. Solange ich lebe, werde ich selbst die notwendigen Dispositionen treffen. Aber ich bin 82 Jahre alt und habe daher kaum mehr lange zu leben. Nach meinem Tode wird in erster Linie die Tante verfügungsberechtigt sein, aber auch Onkel Julius und Wilhelm Kux sind dispositionsberechtigt, ebenso, im Falle Force majeure, Du selbst.

Die Fürsorge soll sich in erster Linie erstrecken auf alle Nachkommen Deines Grossvaters väterlicherseits, Sigmund Rosenfeld, also auf Sigmund und Erwin Neustadt, Georg Kien, Dich selbst und die Kinder des verstorbenen Onkel Robert, Susi und Rudi Rosenfeld, ausserdem, soweit als möglich, auf meine persönlichen Neffen und Nichten. Ferner soll Wilhelm Kux, insoweit er infolge der politischen Verhältnisse über sein eigenes Vermögen nicht verfügen kann, die für seinen Lebensunterhalt erforderlichen Beträge zugewiesen erhalten. Dieser Rahmen gilt für Dich, wenn ich, die Tante, Onkel Julius und Wilhelm Kux unfähig werden sollten; unsere Verfügungsberechtigung ist selbstverständlich unbeschränkt. Aber auch Dir soll der obige Rahmen nur die Intentionen versinnbildlichen, die mich leiten, nicht aber deine Verfügungsberechtigung beschränken.

Ich möchte für den Fall Deines vorzeitigen Ablebens folgende Versicherung eingehen: solltest Du vor der Tante (geboren 1871) mit Tod abgehen, soll sie einen Betrag von 20.000.- ausgezahlt bekommen. Ist die Tante vor Dir gestorben, aber Onkel Julius (geboren 1866) noch am Leben, soll er die 20.000.- erhalten und wenn auch dieser vor Dir sterben sollte, Wilhelm Kux (geboren 1864). Mit dem Tode der genannten drei Personen endet die Versicherung. Ich glaube, es wäre am zweckmässigsten, die Versicherung vorerst nur auf 3 - 5 Jahre abzuschliessen, denn nach dem Kriege werden voraussichtlich Verhältnisse eintreten, die eine derartige Versicherung überflüssig machen. Erkundige Dich bei einer ersten Lebensversicherungsgesellschaft, wie hoch sich die Jahresprämie für eine derartige Versicherung stellen würde. Die Prämie müsste selbstredend von dem Fonds bestritten werden.

Ich bitte Dich zu entschuldigen, dass ich Dir mit dieser Sache so viel Mühe verursache. Du wirst aber verstehen, dass diese Regelung notwendig ist.

Mit herzlichsten Grüssen

Dein

*Popper*

334503

DECLASSIFIED	
Authority	968103
By	JR NARA Date 6-15-00

RG	131
Entry	FFC Investigative Reports 1942-60
File	NY 3-783
Box	22

EXHIBIT "B"

-703

## TRANSLATION OF ORIGINAL LETTER ATTACHED

Chur, March 10 1941

Dear Stanley,

I received your letter of February 14. I am surprised that on that day you had not yet received a credit note from Irving Trust Company for the \$ 15.000 remitted on February 11. Swiss Bank Corporation, Zurich, received a cable confirmation for the remittance on February 12 already. The cable confirmations for the remittance of securities to Irving Trust Company for your account were received by Swiss Bank Corporation on February 25 ( 500 Steel ) and on March 6 ( 100 Steel, 100 Bethlehem, 250 Union Pacific ). I request you to inform me whether you have been credited by Irving Trust Company for the amount and securities in good order.

As far as the further contents of your letter are concerned we do not wish to consider such terrible disaster as would be your premature death. I request you not to make any change of your will, but to send me a letter addressed to the aunt in which you acknowledge holding for her 600 U.S.Steel, 100 Bethlehem Steel and 250 Union Pacific, all common shares. In possession of such a letter the aunt will be able to maintain any claim against your heirs successfully should the terrible happen against all expectations. As to your position towards the American authorities: The securities have been remitted to Irving Trust Company for your account, have been credited to you without any reservation, they therefore are passing over into your actual property and you can deal with them exactly like with your other property. You are not obliged, whatever any freezing regulation to come may look like, to declare that you owe securities of the same denomination. I wrote to you in one of my earlier letters you should treat support payments made upon my or the aunt's request as made by yourself and regard the securities which are in your possession and due to your aunt as a security for the amounts paid for support-payments made upon our request and which therefore will be owed to you by your aunt. If for instance we should request you to remit an amount to uncle Julius to Nice, you would have to ask the authorities for a license to be able to make the remittance as France is blocked; however the remittance would be made from you own means, not from the aunt's deposit. In this manner you would have to act upon issuance of a new freezing order, and you would have to examine whether you could not make the remittance via a country that is not blocked in order to avoid applying for every license. In the example cited above

you could according to the present legislature remit money destined for Julius to Erwin who could buy French Francs in Rio, as at present Brazil neither is blocked by the U.S.A. nor is France blocked by Brazil. Such a transaction probably would involve a loss of 20 to 30% in the exchange rate, but in an emergency one would have to take it. Your suggestion for the purpose of saving. I believe to have explained the matter to you very clearly. Should you, however, have only the slightest scruple to comply with my request I ask to you to say so frankly. I shall not take it ill and request you to remit in that case the securities to Georg Kien at once and to inform him about the whole matter. Ich have full confidence to Georg's responsibility and intelligence. He certainly maintain an account with a bank where a custody account would be absolutely secure.

What about the \$ 50.000. which Georg claimed from the Settlement? Should he not yet have received an information I would suggest to urge by nightletter, referring to the fact that his parents will now come to the U.S.A. where he will have to support them.

I am looking forward to your news here-about and am with kindest regards from us and Willo to yourself and dear Elizabeth

Yours

ZSIGA

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By <u>LR</u> NARA Date 6-15-00	File	NY 3-783
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Popper  
teinbock  
jr

Geneve, den 10. März 1941

Lieber Stanley!

Ich erhielt Deinen Brief vom 14. Februar. Es fällt mir auf, dass Du am 6. dem Tage von der Irving Trust Company noch keine Gutschriftsaufgabe über die ihr am 11. Februar erlegten \$ 15'000.- hattest. Der Schweizerische Bankverein, Zürich, hatte bereits am 12. Februar die telegraphische Bestätigung über den erfolgten Erlag. Die telegraphischen bestätigungen über die für Deine Rechnung an die Irving Trust Company erfolgte Effekten-Einfügung waren am 25. Februar ( 500 Steel ) und am 6. März ( 100 Steel, 100 Bethlehem, 250 Union Pacific ) im Besitze des Schweizerischen Bankvereines, Zürich. Ich bitte Dich nun, mir mitzuteilen, ob Du von der Irving Trust Company die Gutschrift des Geldes und der Effekten ordnungsgemäss erhalten hast.

Was den weiteren Inhalt Deines Briefes anbelangt, so wollen wir, <sup>im Falle</sup> ~~im Falle~~ <sup>einem</sup> ~~einem~~ <sup>un-</sup> ~~un-~~ <sup>glück-</sup> ~~glück-~~ <sup>loses</sup> ~~loses~~ <sup>Unglück</sup>, wie Deinen vorzeitigen Tod überhaupt nicht als möglich in Berücksichtigung ziehen. Ich bitte Dich, keinerlei Aenderung Deines Testamentes vorzunehmen und keinen Nachtrag zu demselben zu machen, sondern mir lediglich einen an die Tante gerichteten Brief zu senden, in welchem Du ihr bestätigst, dass Du 600 U.S.Steel, 100 Bethlehem Steel und 250 Union Pacific, sämtlich common shares, für sie in Verwahrung hast. Im Besitze dieses Schreibens wird die Tante, wenn das Furchtbare wider Erwarten doch eintreten sollte, ihre Rechte gegenüber Deinen Erben mit Erfolg geltend machen können. Und nun zu dem Verhältnis zwischen Dir und den amerikanischen Behörden! Die Effekten wurden für Deine Rechnung an die Irving Trust Company erlegt, Dir von dieser Bank vorbehaltlos zugeschrieben, sie gehen daher in Dein häusliches Eigentum über und Du kannst mit denselben genau so schalten und walten wie mit Deinem sonstigen Eigentum. Du bist durchaus nicht verpflichtet, mag das kommende Blockierungsgesetz wie immer beschaffen sein, spontan zu deklarieren, dass Du Deinerseits Effekten der gleichen Kategorie schuldest. Ich habe Dir bereits in einem meiner früheren Briefe geschrieben, Du mögest die auf meinen oder der Tante Wunsch gewährten Unterstützungen den Behörden gegenüber als von Dir selbst geleistet behandeln und die in Deinen Händen befindlichen, der Tante schuldenden Effekten als Pfand für die Beträge der Unterstützungen, die Du auf unsere Veranlassung leistest, welche Dir daher die Tante ihrerseits schulden wird, ansehen. Wenn wir Dich beispielsweise jetzt ersuchen sollten, einen Betrag an Onkel Julius nach Nice zu überweisen, so müsstest Du, da Frankreich blockiert ist, bei den Behörden zwar ansuchen, die Ueberweisung vorzunehmen, aber nicht aus dem Guthaben der Tante, sondern aus Deinen eigenen Mitteln. In dieser Weise wirst Du nach Erlassung des neuen Blockierungsgesetzes vorzugehen haben, wobei Du die Möglichkeit zu prüfen haben wirst, ob Du nicht behufs Vermeidung jedes Bewilligungsansuchens einen nichtblockierten Staat einschalten könntest. In dem oben angeführten Beispiel könntest Du bei dem gegenwärtigen Stand der Gesetzgebung das für Julius bestimmte Geld an Erwin überweisen, welcher <sup>die</sup> ~~die~~ <sup>franzö-</sup> ~~franzö- <sup>sischen</sup> ~~sischen~~ <sup>Francs</sup> in Rio kaufen würde, denn gegenwärtig ist weder Brasilien seitens U.S.A. blockiert, noch Frankreich seitens Brasiliens. Eine derartige Transaktion würde allerdings gegenwärtig vielleicht einen 20 - 30 prozentigen Wechselkursverlust bedingen, aber in dringenden Fällen müsste man das eben mit in Kauf nehmen. Deinen Vorschlag, Dir behufs Ersparung~~

ich glaube Dir die Materie sehr klar expliziert zu haben. Solltest Du auch nur das leiseste Bedenken haben, meinen Wünschen zu entsprechen, bitte ich Dich, es freimütig zu sagen. Ich werde es Dir nicht ~~hebel-~~ nehmen und ersuche Dich, in diesem Falle die Effekten gleich an Georg Klein ausfolgen zu lassen sowie ihn über die ganze Angelegenheit genau zu informieren. Ich habe zu Georgs Verlässlichkeit und Intelligenz vollstes Vertrauen. Gewiss hat er auch ein Konto bei einer Bank, bei welcher das Effektdepot absolut sicher sein wird.

Wie steht es mit den \$ 50'000 welche Georg vom Settlement anfordert? Sollte er noch keinen Bescheid erhalten haben, so würde ich empfehlen, per Nachtelegramm zu urgieren, unter Hinweis darauf, dass seine Eltern nunmehr nach U.S.A. kommen und er sie da selbst erhalten muss.

Ich sehe Deinen Nachrichten hierüber entgegen und bin mit herzlichsten Grüßen von uns und Wille an Dich und die liebe Elizabeth

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EXHIBIT "C"AFFIDAVIT

STANLEY M. ROBERTS herewith deposes and states that his place of business is at 52 West Houston Street, New York City; that on February 20, 1941, he received 500 shares of U.S. Steel Corporation common stock, and on February 28, 1941, received 100 shares of U.S. Steel Corporation common stock, 100 shares Bethlehem Steel common stock and 250 shares Union Pacific Railroad common stock from his uncle, Sigmund Popper, an Austrian citizen, at present residing in Chur, Switzerland. The transfer of all of the aforementioned stocks was intended as and did effectuate a gift of said stocks by the said Sigmund Popper to Stanley M. Roberts, without restriction and without limitation, to be used by Stanley M. Roberts as he saw fit, and no right, title or interest was retained in the said securities by the donor, Sigmund Popper.

(Signed) Stanley M. Roberts  
STANLEY M. ROBERTS

Sworn to before me  
this 6 day of March, 1942

Irving Schreiber (Signed)  
IRVING SCHREIBER, Notary Public, Kings County  
Kings Co. Clks. No. 94, Reg. No. 2116  
N.Y. Co. Clk's. No. 270, Reg. No. 2-S-195  
Commission expires March 30, 1942

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## AFFIDAVIT

I, STANLEY M. ROBERTS, herewith depose and state:

I immigrated into the United States of America on August 28, 1939, on an Immigration Visa issued by the American Consul in London, England. I arrived in the Port of New York on SS "Normandie", under the name of Sigmund Rosenfeld. I am born in Vienna, Austria, on January 11, 1910, and have been an Austrian citizen. My name was changed by Court Order in November 1939. My First Citizenship Papers were granted to me by the District Court of New York, NY, on December 6, 1939. The number of my First Citizenship Papers is: 447870. I have registered under the Alien Registration Act of 1940 and the number of my Alien Registration Card is 3061692. Having always been an Austrian citizen I am not obliged to re-register as an Enemy Alien. I have registered under the Selective Service Act with Board 69, Precinct 23, my order number being: 3231. Because of dependents I have been deferred into Class 3A.

I am a Vice President in Tersch Patents and Chemicals Inc., 52 West Houston Street, New York, NY. This firm has an authorized capital of \$ 30,000., of which \$ 20,000. are paid in. I hold 50% of the capital of that firm. The President of Tersch Patents and Chemicals Inc. is Mr. Walter von Feldau, a former Austrian citizen who immigrated into the United States of America in 1939, and holds 25% of the shares, while Mr. Adolf Tersch who also immigrated from Austria in 1939 is holding the remaining 25% of the capital. This firm is manufacturing an insecticide, known under the trade-mark "Quick-Cide" and acts as a jobber for Waxes, Metal Polish and other maintenance articles. The firm also manufactures toileteries which are distributed by Tero Products Co., 52 West Houston Street, New York, NY, in which company I am a partner. My partner in Tero Products Co. is Mr. Julius Meyer, a former Austrian citizen, who immigrated into this country in 1939. I have invested approx. \$ 8,000 in that company.

Further, I am a Vice President and Secretary in William Schlesinger Inc., 24 Stone Street, New York, NY, and hold one third of its capital of 120 shares (no par value), paid in with \$ 1,200. Mr. William Schlesinger, a former Austrian citizen, who immigrated into the United States in 1939 also holds one third of the shares of this firm, while the balance is being held by Mr. A. Henry Floch who immigrated into this country in 1938 from Austria. William Schlesinger Inc. is active in the export field, mainly to South America.

Further, I am the sole proprietor of Rostra South American Trading Co., 52 West Houston Street, New York, NY. That firm is acting as an agent for Rostra Importadora Exportadora Ltda., Rio de Janeiro, Brazil, in which my half-brother, Mr. George K. Stransky and my cousin, Mr. Ervino Neustadt, both of Rio de Janeiro, Brazil, are interested. The purpose of Rostra South American Trading Co. is to purchase for the Brazilian firm and to consolidate their orders for shipment. Inasmuch as Rostra Importadora Exportadora Ltda., Rio de Janeiro, Brazil, has established so good a credit with the American manufacturers it represents in Brazil that those manufacturers are now prepared to ship merchandise against sightdraft or on consignment. Rostra South American Trading Co. will be liquidated in the near future.

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In Austria I have been a Junior Partner in the banking firm: Rosenfeld & Co., Vienna, Rathausstrasse 20. The firm was owned by Mr. Sigmund Popper who was the Senior Partner, Mr. Julius Neustadt, - both uncles of mine -, my father, Mr. Alfred Rosenfeld who deceased on January 9, 1939, and my cousin, Mr. Ervino Neustadt. The firm had existed for 40 years when it had to be "aryanized" because of Hitler's conquest of Austria. During its activity in the hands of my family, Rosenfeld & Co. acted as the Viennese agent of a number of large European Banks (Kommandite) and was well known the whole world over as one of the first Austrian banks. Rosenfeld & Co. enjoyed high credits also in the United States where it's connections were: Irving Trust Company, The Chase National Bank of the City of New York and Hallgarten & Co. After the "aryanization" of the firm the whole Austrian property of my family and myself was blocked with that firm - and later confiscated.

The partners in Rosenfeld & Co. were beneficiaries under a Trust-Settlement in London, England. After my immigration into the United States of America my share in that trust was remitted to me and deposited into my account with Irving Trust Company, 1 Wall Street, New York, NY.

The other members of my family left Vienna and settled in various European countries. Mr. Sigmund Popper went to Switzerland and is staying at the Hotel Steinbock in Chur (Coire). He is more than 85 years of age and in a very poor health. Mr. Sigmund Popper's main concern was the well-being of all the members of the family Rosenfeld for whom he was a kind of a good father. When it became apparent that the war would last for a long time Mr. Popper wished to make sure of the future support of those members of the family who had been compelled to leave Austria penniless and who had to rely on his support. He also realized that some family members who were living abroad on funds they had been able to save would have to leave Europe without being able to take their funds along and would have to be supported.

Mr. Popper therefor requested me to care for any needy members of our mutual relationship as to my best knowledge and to enable me to take proper care at any time of whoever may need my support he remitted to me a number of securities. He empowered me to use the dividends of these securities, to sell the principal or to pledge the securities in my own discretion.

On February 20, 1941, Irving Trust Company, 1 Wall Street, New York, NY, credited my custody account with 500 common shares of United States Steel Corp., under the heading: "Received for your account from Swiss Bank Corporation by order of Societe de Banque Suisse, Zurich, by order of Sigmund Popper, Chur, Switzerland". On February 28, 1941, my custody account with the same Bank was credited under the same heading with:

- 100 common shares of United States Steel Corp.
- 100 common shares of Bethlehem Steel Corp.
- 250 common shares of Union Pacific Railroad Co.

The above securities were credited to my custody account by Irving Trust Company without any reserve and dividends were credited to my and my wife's Joint Account "Stanley M. and/or Elizabeth A. Roberts" with the same Bank.

The events during the following months showed that my uncle, Mr. Sigmund Popper, was right to be anxious about the fate of various members of our family. Upon having previously executed Affidavits of Support for my mother, Mrs. Rosa Rosenfeld, my parents-in-law, Mr. Hermann Rand and Mrs. Terese Rand, to enable them to immigrate into the United States of America, I was compelled also to execute Affidavits of Support in favor of Dr. Simon Kien and Mrs. Ella Kien and of Mr. Gyula Neustadt and Mrs. Helene Neustadt. To have so many Affidavits of Support, all for old people who would not be able to earn anything and who would have to rely on my support after their arrival in this country, accepted by the Department of State it was necessary to give proof of considerable own means. In view of my arrangement with Mr. Sigmund Popper I did, of course, not hesitate to declare the above mentioned securities as my property and assumed the guarantee against the Department of State to support the beneficiaries of my Affidavits of Support during their lifetime in this country.

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While my mother arrived in this country on August 20, 1941, Dr. Simon and Mrs. Ella Kien have sailed from Lisbon a few days ago and are due to arrive in the United States in the near future. The Immigration Visa for Mr. Gyula and Mrs. Helene Neustadt not having yet been granted I had to secure Cuban Visas for them as I realized that a prolonged stay in Nice, France, might be dangerous for them, Mr. Gyula Neustadt being 75 years of age. I deemed it wiser to have them wait in Cuba for their American Immigration Visa and my money outlay to secure these Cuban Visas was over \$ 2,000. Upon their arrival in Cuba I will have to support them there, and shall, of course, then apply with the Federal Reserve Bank for a license to make payments to them. Another uncle of mine, Mr. and Mrs. Alexander Ambes, of Vienna, Austria, being in danger of deportation to Poland had to be brought to Cuba for which purpose I secured visas; I am supporting them in Havana. I am also supporting Mr. Wilhelm Kux, a cousin of my deceased father, who left Austria without any property of his own and is staying in Switzerland. Further, I am supporting Miss Cecile Schreyer, formerly a nurse, who is living in Switzerland. I am also paying the schooling fees for a cousin of mine, Miss Lilo Pollak, who is staying in England.

While I could ascertain that the dividends derived out of the securities remitted to me by Mr. Sigmund Popper have so far amounted to \$ 3,750. it is my guess that I have spent over \$ 10,000. for the benefit of my family, and I have assumed obligations to an amount which I cannot estimate.

When the report of all Alien Property was ordered I realized that it would be necessary to clarify the situation regarding the securities remitted to me by my uncle. I realized that as long as Mr. Sigmund Popper was entitled to ask for refund of such part of the securities which might at that time not have been used up for the support of my family or for guarantees assumed on behalf of family members such property would have to be reported. However, at that time I had assumed guarantees the liabilities of which I could not value in Dollars and Cents. It was impossible for me to state which amounts I would need to fulfil the obligations out of the Affidavits of Support I had assumed. In view of my personal commitments I honestly regarded the property as my own and I felt that my uncle would so regard it, but I wanted to be sure. Bearing in mind that Mr. Sigmund Popper is a gentleman of high age who is living in a small Swiss town and might not be able to keep pace with the developments I wrote to him as plainly as possible to explain to him the situation. In that letter I requested Mr. Popper to cable to me immediately upon receipt should he not agree with me that the securities were my own and unconditional property. I figured that his cable in such case would reach me in time to file a report before October 31, 1941. However, no such cable was received, but in his letter of October 21, 1941 Mr. Sigmund Popper declared to be in full agreement with me that title in the securities in question had passed to me unconditionally. Following his intention to use these funds for the welfare of the family he mentioned in this letter that for the future the responsibility of taking care of all needy family members would be mine. For my guidance he indicated which members of the family should primarily be taken care of should need arise. In addition to my own judgement he reserved the right for himself, his wife and two uncles for their lifetime to name me relatives in need of support. However, there is no doubt that it was the intention of my uncle to pass full title in the securities to me without any legal obligation on my part to pay any sum to any member of the family. Therefore no member of my family nor anybody else has the right to claim any payment from me and it is left entirely to my own discretion whether I shall be willing to make payments even if such payments should be suggested to me by Mr. Sigmund Popper. This fact was also emphasized by Mr. Popper himself in his mentioned letter and I translate as follows: "You will agree that I have great confidence in you putting this mission into your hands. You are a man of honor and you won't disappoint me or your aunt."

I further mention that any amounts remitted to members of my family or in favor of such relatives have been transferred abroad by Irving Trust Company

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1 Wall Street, New York, NY, or thru The Chase National Bank of the City of New York, Pine Street Corner of Nassau Street, New York, NY, in accordance with the regulations of the Federal Reserve Bank.

I therefore feel that I was entitled to regard and treat these securities as my own property and did not hold myself obliged to file any report as I am a generally licensed national.

*Paul M. Roberts*

Sworn to before me this 26 day of February 1942

*Irving William Sharaga*  
NOTARY PUBLIC

Irving William Sharaga, Notary Public  
Bronx Co. Clks. No. 307, Reg. No. 286543  
N. Y. Co. Clks. No. 1591 Reg. No. 351006  
Term Expires March 30, 1943

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**TREASURY DEPARTMENT**

**INTER OFFICE COMMUNICATION**

DATE **FEB 14 1942**

TO Mr. May  
FROM Mr. Pehle  
Re: Stanley M. Roberts  
52 West Houston St.,  
New York, New York

Attached is a copy of Despatch No. 12409 which is referred to you for such action as may be appropriate.

*Int*

FEB 14 1942

**FORWARDED**  
for appropriate action

To N.Y.  
Date 2/16/42  
**FOREIGN FUNDS CONTROL**  
Investigative Section

*SDM*

**884511**

DECLASSIFIED  
Authority 968103  
By JR NARA Date 6-15-00

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It is understood from a delicate source that Stanley M. Roberts, 52 W. Houston St., New York, wrote in German on September 30th, 1941 to Sigmund Popper, Hotel Steinbock, Chur, Switzerland.

Writer refers to Securities (unspecified) sent to him by addressee at the beginning of 1941.

Writer, to obviate the necessity of declaring the said securities as alien property, suggests they should be declared as Writer's assets. The writer states that he could draw on the value and make remittances to addressee or his wife.

Writer asks for cable advice.

Writer mentions that he would be helped in such an operation by his lawyer, Mr. Unger, by Mr. H. who is well known at the Federal Reserve Bank and by Mr. Singer of the N. York Accountants David Berdon & Co.

Copy of this letter is attached.

"H"

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3-783

STANLEY M. ROBERTS

52 West Houston Street  
New York City

September 30, 1941.

Mr. Sigmund Popper,  
Hotel Steinbock,  
Chur, Switzerland.

Dear Uncle Zeiga:

I refer to our previous correspondence concerning the securities sent to me at the beginning of the year, as well as to your remarks relative to the eventual declaration in accordance with the freezing regulations here.

I have studied this matter carefully with the bank and my accountant and my lawyer and wish to report on the result of my conferences in this connection.

According to the freezing regulations, one is obliged to send in a form to the Federal Reserve Bank before October 31st, giving the assets of the categories of persons mentioned in the regulations, or debts owed to them. After this these assets will be blocked by the Federal Reserve Bank and can only be released by obtaining a license. In practice it works out like this; the Federal Reserve Bank has a great deal of red tape and the liberation of any part of a blocked account is only obtained with the greatest difficulty. I believe I have already written to you about the practice.

My case presents itself thus: You have sent me the papers with a dedication and I have confirmed to you that I hold the securities at the disposal of you and your aunt. Later in your letter of March 10th you wrote me that the securities "which were unconditionally credited to me, are to be considered as my property ..... and that I can handle them exactly as if they were my property."

As you know, I have had certain expenditures in connection with the depositing of the securities and payment of dividends, which I have reported to you from time to time, and in the meaning of the dedication and instructions received I have executed affidavits for Mr. and Mrs. Kien, Mr. and Mrs. Neustadt and Erwin Neustadt. In these affidavits I obliged myself to support the future immigrants as long as they live in the United States. Even though Georg will be able to take of his parents and the Neustadt family will not need my support, I have in spite of everything assumed a responsibility towards the authorities the extent and amount of which I cannot estimate, and I can always say to the Federal Reserve Bank **that** as long as it is unknown to what extent my obligations may develop I do not owe you or aunt anything and therefore there is nothing to declare.

12409

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Further I would like to present the position according to law as it was explained to me by the Bank and the lawyer. Before a declaration is made, if it should be necessary to make one, it would be advisable to separate the securities to be declared from my account. Even to do this I would need a license from the Federal Reserve Bank, since I would have to declare foreign property, and without a license no movement can take place in the account. There would also be a transfer tax to pay which is estimated at 10¢ a piece. Then comes the declaration. The newly created account would be doubly blocked, under German assets, under which Slovakian assets fall, and under the blocking of Swiss assets. The bank further believes that it would be practically impossible to free anything from an account blocked in this manner, and that I - should I be called upon to make good my obligations- would have to pay out of my own pocket and would have no right to lay hands on the securities. If such blocked property should be converted into reparation obligations at the end of the war, I could still not have access to the securities.

However, in order to avoid the declaration, it must be ascertained that you have no interest in the deposited securities. In view of instructions contained in your letter I suppose I can take it for granted that you - having confidence in me - sent these securities to me quasi as a trustee for the family, and leave it to my judgment to use the deposit for the best of the members of the family, which you will enumerate, or if this is not possible, for those I select. It, therefore, seems to me that since you have no interest in the deposited securities, for whom I have accepted the above-mentioned obligations, so that it is not possible to estimate the amount of your interests in deposit, that I act in Good faith and in accordance with the law when I leave the securities undeclared in my account. Further I wish to add that on account of the deposit I can in no case make any payments abroad without a license, nor can I pay any money to nationals in the country without a license, so that in accordance with your instructions no sum can be paid out of the deposited securities without the knowledge of the Federal Reserve Bank.

My lawyer and I agreed that I should explain the case openly and exactly to you and inform you of the result of our conferences. If you should not approve of my actions, and because of the fact that you wish to retain an active interest in the deposit wish me to declare the same and therewith block it, please telegraph me upon receipt of this letter. If I do not receive a telegram from you, I shall assume that you agree to the above and that I can consider the securities as my property until such time when it will be possible to settle with you and aunt. It is unnecessary to assure you that

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I will never use the securities and dividends for any other purposes than those indicated in your previous instructions.

I will, of course, keep you informed as heretofore of the position.

I wish also to mention that I discussed the case in the Foreign Department of the Bank with Mr. H., who is very friendly, as well as with the Manager of the Securities Section and the Manager of the License Section, that my lawyer, Mr. Unger, is a respected man and well versed in Federal Reserve Bank affairs, and my accountant, Mr. Singer, is a friend of mine and a member of one of the best New York accountatn firms, David Berdon & Co. All were of the opinion that no declaration was necessary if there were no foreign interests involved, and that unless you change your mind, the present situation would not demand it.

Should you or auntie need any money, it would most likely be easier for me to get the authorization from the Federal Reserve Bank to remit it to you than it would be to obtain a license to free funds from a blocked account.

Please only cable if you do not approve of my intentions.

Sincerely, your always true,

12409

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ROBERTS, STANLEY X. <sup>120</sup>

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POPPER, SIGMUND <sup>421</sup>

R251

ROSENFELD & COMPANY <sup>423</sup>

R236

ROSTRA SOUTH AMERICAN TRADING COMPANY <sup>120</sup>

S425

SCHLESINGER, WILLIAM INC. <sup>120</sup>

T620

TERSCH PATENTS AND CHEMICALS INC. <sup>120</sup>

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By FR NARA Date 6-15-00

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Entry FEC Investigative Reports 1972-60  
File NY 2-750  
Box 21

MISC. 3.3-60M-6-41

3661

FEDERAL RESERVE BANK  
OF NEW YORK AUTHORITY

OFFICE CORRESPONDENCE

TO: Mail & Files

N. P. BUS: .....

DATE November 17, 1954 NO. ANS. REQ. ....

INITIAL .....

TO Mr. Davis

SUBJECT: Sales of safes DATE .....

FROM J. L. Kilduff

In speaking with Mr. Quirk of the Central Hanover Bank and Trust Company the other day, he mentioned that one of their customers, the York Safe Company, in talking about its sales activities, had informed them that quite a number of the safes which they are selling appear to be going to refugees. This condition may apply not only to the York Safe Company, but also to other companies engaged in the sale of safes. The thought occurs to me that Mr. Kennedy's office may be interested in visiting some of the safe companies in order to ascertain the names and addresses of individuals to whom safes have been sold, who may be nationals of blocked countries. Accordingly, I am attaching an extra copy of this memorandum in the event you should wish to submit it to Mr. Kennedy.

CZ  
*[Handwritten signature]*

NOV 11 1954

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Based on the same memo as the preceding case on "Diebold", relative to huge sale of safe deposit boxes to refugees, in possible violation of law.  
Re York Safe & Lock Co., NYC

Subject reported that a safe was bought by one Freda Gillies, N.Y.C., who was secretary for George Sylvester Verreck. The safe is in Mrs. Verreck's residence & she ~~wrote~~ that the contents thereof belong to herself personally and to "her family" and no one else.

[The "family" notation might cover assets of Mr. Verreck who has been ~~as~~ blocked. Is it worthwhile to investigate further?]  
FR

acted by officials of FRB of NY

Re Diebold Safe & Lock Co., NYC

The officials suspected that safe deposit boxes were going to refugees in large numbers, probably to avoid blocking.

Nothing worthwhile discovered

File

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PJD:EH

NY 2-750-D  
01-2-00750



TREASURY DEPARTMENT  
WASHINGTON

6/9/43

FOREIGN FUNDS CONTROL

FOREIGN FUNDS CONTROL  
258 Broadway, New York  
Director 2-7373

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APR 18 1942

John W. Pehle, Esquire,  
Assistant to the Secretary,  
Treasury Department,  
Washington, D. C.

Sir: Re: FRIEDA GILLAR, 65 West 85th Street, N.Y.C.

Reference is made to Mr. Kennedy's memorandum, dated November 21, 1941, addressed to Mr. Howard, regarding the possible sale of safes to refugees who are also nationals of blocked countries.

On February 25, 1942, this office received from the York Safe and Lock Company, 102 Maiden Lane, New York City a list of individuals, possibly refugees, who had recently purchased private safes with cash. Among the customers listed was one F. Gillar, of 305 Riverside Drive, New York City.

Investigation revealed that no F. Gillar resided at that address, but from questioning of the building employees, it was disclosed that a person by that name was formerly the secretary of GEORGE SYLVESTER VIERECK, a tenant in the building, and that she now lives at 65 West 85th Street, New York City. On April 9, 1942, Miss Gillar was interviewed at this address, and the following information elicited.

She was born in Hamburg, Germany, on February 17, 1875, and arrived in the United States on October 12, 1911, becoming a citizen on December 4, 1923. On January 6, 1933, she accepted a position as secretary to George Sylvester Viereck, German propagandist, who on March 13, 1942, was sentenced to two to six years in the penitentiary for violating the Foreign Agents Act. She served in this capacity until February 28, 1942, when she resigned because of ill health.

Frieda Gillar stated that about a year ago, George Sylvester Viereck, in order to avoid publicity, began to make most of his purchases in her name. In December, 1941, Viereck,



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NY 2-750-D

representing himself as F. Gillar, bought the safe in question from The York Safe and Lock Company for delivery to his apartment at 305 Riverside Drive, New York City.

As Mrs. Viereck still resides at this apartment, she was visited upon her return from Washington, D. C., on April 11, 1942, for the purpose of ascertaining whether any funds or property are held in the safe for the benefit of any foreign interests. Obeying her attorney's advice, she refused to open the safe, but consented to submit a statement indicating the ownership of its contents. According to this signed statement, which is attached hereto as Exhibit "A", all of the contents are either her own personal property or that of her family.

Mrs. Viereck is a native born citizen of the United States, and all members of her family are residing in this country. Only the funds of her husband, George Sylvester Viereck, are blocked.

Respectfully,

*Paul J. Davids*

PAUL J. DAVIDS,  
Investigator,  
Foreign Funds Control.

Respectfully forwarded:  
APPROVED:

*Chas R Clark*

~~G. J. KENNEDY~~,  
Treasury Representative  
Acting in Charge.

Enclosure: Exhibit "A".

Copy to: F. R. B., N. Y. (Caveat Section).  
Cable Censor (Lt. Bound).

This case also indexed under the following names:

V-620  
V-620 ~~GEORGE SYLVESTER VIERECK~~, New York. 1-120  
MRS. VIERECK, 305 Riverside Drive, N. Y. C. 1-120

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By JR NARA Date 6-15-00

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Entry FEC Investigative Reports 1942-60  
File NY 2-750  
Box 21 11 1942

PJD:ER

NY 2-750-D

Exhibit "A"

April 14, 1942.

TO, the Treasury Department:

This is to advise you that the contents of the safe purchased in December, 1941 and now contained in my apartment at 305 Riverside Drive, New York City, consist entirely of my own personal belongings and those of my family and that no property belonging to any other person or in which any other person has any interest of any kind whatsoever is contained therein.

(Signed) Margaret Viereck

Margaret Viereck

334521

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By FA NARA Date 6-15-00

RG 131  
Entry FFC Investigative Reports 1942-60  
File NY 2-750  
Box 21

EXHIBIT "A"  
NY-2-750 D

April 14, 1942.

TO, the Treasury Department:

This is to advise you that the contents of the safe purchased in December, 1941 and now contained in my apartment at 305 Riverside Drive, New York City, consist entirely of my own personal belongings <sup>and those of my family</sup> and that no property belonging to any other person or in which any other person has any interest of any kind whatsoever is contained therein.

*Margaret Viereck*  
Margaret Viereck

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By ~~FR~~ NARA Date 6-15-00

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File NY 2-750  
Box 21

NY 2-750

COHALAN & MOROSINI  
ATTORNEYS AT LAW

TELEPHONE CORTLANDT 7-3434  
CABLE "NALAHOC"

DANIEL F. COHALAN, JR.  
EMIL MOROSINI, JR.

170 BROADWAY, NEW YORK

April 15, 1942.

United States Treasury Dep't.,  
Foreign Funds Control,  
253 Broadway,  
New York City.

ATT: Mr. Paul Davids

Dear Mr. Davids:

Pursuant to our conversation of the other day, I am enclosing to you herewith a statement from Mrs. Viereck in regard to the contents of the safe at her apartment, 305 Riverside Drive. I trust that pursuant to the thoughts exchanged by us in our conversation of the other day, that this statement will satisfy your inquiry and take care of the matter.

Very truly yours,  
*Daniel F. Cohalan, Jr.*  
DANIEL F. COHALAN, JR.

DFC:MMH  
Enc.

334523

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TPD, Jr. : HS

NY 2-750-C



TREASURY DEPARTMENT  
WASHINGTON

FOREIGN FUNDS CONTROL

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FOREIGN FUNDS CONTROL  
253 Broadway, New York  
REctor 2-7373

John W. Pehle, Esquire,  
Assistant to the Secretary,  
Treasury Department,  
Washington, D. C.

APR 21 1942

5560

Sir:

Re: D. SCHNUR, New York, N. Y. 1-120

Reference is made to Mr. Kennedy's letter to Mr. Howard dated November 21, 1941, concerning the purchases of safes by refugees. A letter to Mr. Kennedy from the YORK SAFE AND LOCK COMPANY, 102 Maiden Lane, New York, dated February 25, 1942, lists the subject as a recent cash purchaser of safes. An investigation was requested to obtain further information.

On March 25, 1942, David Schnur at the Hotel Pierre was visited, and the information contained in this report results from personal inquiry of the subject, from an examination of documents hereinafter mentioned, and an inspection of the safes purchased.

SUMMARY

The investigation disclosed that David Schnur, a generally-licensed national of Spain, purchased five safes for the custody of the property reported by, or for him on the TFR-300 series.

DAVID SCHNUR

The subject is 59 years of age, married, and resides at Hotel Pierre, New York. He is a German-Jewish refugee of Spanish nationality, and entered the United States from France in August, 1939. Since that time he has resided continuously in the United States, except for a period of about twenty-four hours spent in Tia Juana, Mexico, obtaining a permanent quota number. This number was obtained on or about May 16, 1941, as Schnur re-entered the United States on that date and he has since filed a Declaration of Intention to become a citizen.



334524

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TPD, Jr.:HS

NY 2-750-C

- 2 -

Schnur was engaged in the tobacco business, principally in Germany, Holland and Greece, before coming to the United States. His Spanish citizenship was granted in 1935. He then established residence in France until 1939. The invading armies are believed by Schnur to have appropriated the assets of his tobacco operations in Europe.

Despite these losses, Schnur still has a substantial fortune in obligations of the United States Government and its subdivisions, securities of domestic and foreign corporations, U. S. currency, jewelry, stones, objects of art, books, silverware, household goods, and personal effects.

The accounts of the subject were blocked on June 14, 1941, and he has been the subject of specific licenses: NY 228669, 269268, 271715, 282893, 301674, 305176, 356872, and 362568. These licenses permitted the purchase of property at Babylon, Long Island, New York, and at 121 East 73 Street, New York, the making of property improvements, and remittances for living expenses of a sister living in Portugal.

PURCHASE AND USE OF SAFES

In November and December of 1941, Schnur purchased four small safe units, and a large unit from the York Safe and Lock Company. Two small units known in the trade as "chests", were installed in Schnur's residence at 121 East 73rd Street, and are empty. The other two small units were installed in Schnur's residence at Babylon, Long Island, and are also empty. The larger unit, known in the trade as a "steel lined" safe, is undelivered as yet, and is at the warehouse of the York Safe and Lock Company.

It is to be noted, that Schnur does not occupy either of the residences referred to above and there are no household furnishings in either of them.

OVERSEAS TOBACCO CORPORATION

This corporation is located at 39 Broadway, New York, and was incorporated under the laws of the State of New York on July 2, 1940, as INTERNATIONAL TOBACCO CORPORATION. The name was changed to OVERSEAS TOBACCO CORPORATION on September 26, 1940. The entire capital stock of the corporation is owned by David Schnur. It has also issued notes payable to Schnur in the aggregate amount of \$620,731, secured by pledge of tobacco in warehouses, a second mortgage the corporation holds on the premises at 152 Madison Avenue, New York, and cash on deposit in the Irving Trust Company, New York.

334525

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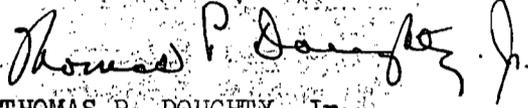
TPD, JR. :HS

NY 2-750-C

Directors of the OVERSEAS TOBACCO CORPORATION are Schmur, ERIC M. WARBURG, 52 William Street, New York, citizen of the United States, and GERTRUDE S. FRIETAG, 50 Park Terrace West, New York, a German refugee, who has filed first citizenship papers.

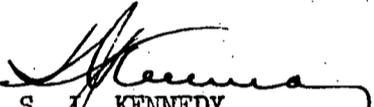
Property of this corporation has been reported on TFR-300 series. Its accounts have been blocked since June 14, 1941, but it is now considered a generally-licensed national.

Respectfully,



THOMAS P. DOUGHTY, Jr.  
Investigator,  
Foreign Funds Control

Respectfully forwarded,  
APPROVED:



S. J. KENNEDY  
Treasury Representative,  
Acting in Charge

Copy to: F.R.B., New York (Caveat Section)  
Cable Censor, Naval Intelligence, (Lt. Bound),  
67 Broad Street, New York City

This case also indexed under:

- ~~5560 D/Schmur~~
- F632 GERTRUDE/S. FRIETAG, 50 Park Terrace West, New York 1-120
- I536 INTERNATIONA TOBACCO CORP., 39 Broadway, New York 3-120
- O162 OVERSEAS TOBACCO CORP., 39 Broadway, New York 4-120
- W 616 ERIC/M. WARBURG, 52 William St., New York 1-120

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TPD:EH

NY 2-750 B



TREASURY DEPARTMENT  
WASHINGTON

FOREIGN FUNDS CONTROL

FOREIGN FUNDS CONTROL  
253 Broadway, New York  
REctor 2-7373

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APR 21 1942

John W. Pehle, Esquire,  
Assistant to the Secretary,  
Treasury Department,  
Washington, D. C. D 243

Sir: Re: MRS. MARIE ANNE DE GOLDSCHMIDT-ROTHSCHILD /  
New York, N.Y. and Old Westbury, N.Y. /<sup>2</sup>

Reference is made to Mr. Kennedy's letter to Mr. May, dated November 21, 1941, concerning purchases of safes by refugees. A letter from the York Safe and Lock Company, 102 Maiden Lane, New York, listed the subject as a cash purchaser of a safe, and an investigation to obtain additional information was requested.

On April 18, 1942, the undersigned called on Mrs. Marie Anne de Goldschmidt-Rothschild at her residence at Red Ground Road, Old Westbury, Long Island, N. Y., and from personal interview with her, examination of the contents of the safe, and an inspection of reports hereinafter mentioned the information contained in this report was obtained.

- - - \* \* \* - - -

SUMMARY

The investigation disclosed that Mrs. Marie Anne de Goldschmidt-Rothschild, a generally-licensed national of France, whose property was reported by or for her on the TFR-300 series, purchased a small safe for the custody of personal property.

- - - \* \* \* - - -

MARIE ANNE DE GOLDSCHMIDT-ROTHSCHILD

The subject is a French national who entered the United States on a visitor's visa on October 18, 1940. She resided in the United States continuously until May 8, 1941, at which time



334527

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NY 2-750 B

she went to Canada, and re-entered this country under the permanent immigration quota. She resides both at Hotel Volney, 23 East 74th Street, New York City, and at a rented house at Red Ground Road, Old Westbury, Long Island, N. Y. Her property was reported by or for her on the TFR-300 series, and her accounts with Brown Brothers, Harriman, 52 Wall Street, N. Y., and the Upper Park Avenue branch of the National City Bank of New York were blocked on June 17, 1940. She is now a generally-licensed national under General License No. 42, as amended on February 23, 1942.

Mrs. de Goldschmidt-Rothschild was the subject of Treasury licenses NY 197207, 280959, and 351691, which permitted withdrawals for living and travel expenses.

Purchase and Use of the Safe:

The subject purchased a small metal safe from the York Safe & Lock Company in December, 1941, and had it installed at her home in Old Westbury, L. I. Examination of the contents of this safe by the undersigned discloses that they consist of a few pieces of personal jewelry. Mrs. de Goldschmidt-Rothschild states that she owns this property and that no other party or parties have any interest therein whatsoever.

Respectfully,

*Thomas P. Doughty, Jr.*  
THOMAS P. DOUGHTY, Jr.,  
Investigator,  
Foreign Funds Control.

Respectfully forwarded:  
APPROVED:

*[Signature]*  
S. J. KENNEDY,  
Treasury Representative  
Acting in Charge.

Copy to: F. R. B., N. Y. (Caveat Section).  
Cable Censor (Lt. Bound).

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PJD:AS

NY 2-750-A



TREASURY DEPARTMENT

WASHINGTON

FOREIGN FUNDS CONTROL

FOREIGN FUNDS CONTROL  
253 Broadway, New York  
Director 2-7373

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APR 9 1942

John W. Pehle, Esquire,  
Assistant to the Secretary,  
Treasury Department,  
Washington, D. C.

*B625*

Sir:

Re: EDWARD BERESHEIM, 524 Riverside Drive,  
New York, N.Y.

*1-120*

Reference is made to Mr. Kennedy's memorandum dated November 21, 1941 which was sent to Mr. Howard and relates to the possible sale of safes to refugees who are nationals of blocked countries.

On February 25, 1942, this office received from the York Safe and Lock Co., 102 Maiden Lane, New York City, a list of individuals, possibly refugees, who recently had purchased private safes for cash. Among the customers listed was one Edward Beresheim.

On April 7, 1942, I visited Edward Beresheim's residence at 524 Riverside Drive, New York, N.Y. where I interviewed his wife, Maria. She informed me that her husband was serving on jury duty.

Both Edward Beresheim and his wife are citizens of the United States, the former by birth and the latter by marriage. Edward Beresheim was born in New York City on September 2, 1894. He married Maria Beresheim in 1919. She was born in Austria on June 8, 1894 and arrived in the United States in 1907.

Mrs. Beresheim stated that her husband owns and operates a number of small hotels in and near Chatham Square, New York City. For the purpose of safeguarding his various business papers and books and occasionally funds, he purchased, in December 1941, a

FOR DEFENSE



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PJD:AS

NY 2-750-A

- 2 -

burglar and fire-proof safe which he keeps in his apartment. He considers an individual safe more practical and more convenient than renting a safe-deposit box from a bank.

It was noted that the contents of the safe consisted principally of personal and legal documents. It is not believed that the Beresheims are holding securities or other items of value for the benefit of any foreign individuals or interests.

Respectfully,

*Paul J. Davids*

PAUL J. DAVIDS,  
Investigator,  
Foreign Funds Control.

Respectfully forwarded,  
APPROVED:

*S. J. Kennedy*  
S. J. KENNEDY,  
Treasury Representative,  
Acting in Charge.

Copy to: F.R.B., New York (Caveat Section)  
Cable Censor (Lt. Bound), New York City

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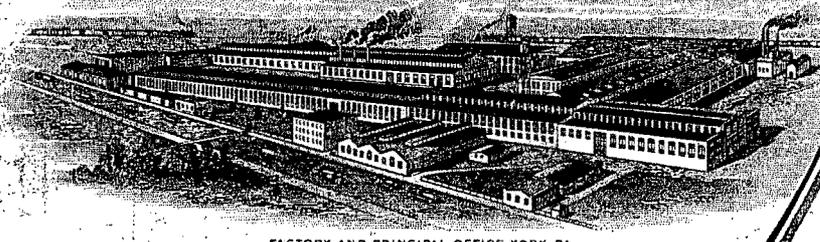
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File NY 2-750  
Box 21

S. FORRY LAUCKS  
PRESIDENT

CHARLES F. SIOBERG  
GENERAL MANAGER

CABLE ADDRESS  
SAFE

*Mr. Pelin*



FACTORY AND PRINCIPAL OFFICE YORK, PA.

# YORK SAFE AND LOCK CO.

MANUFACTURERS OF

**BANK AND SAFE DEPOSIT VAULTS**  
FIRE AND BURGLAR PROOF SAFES, VAULT DOORS, ETC.

102 MAIDEN LANE  
NEW YORK, N. Y.

TELEPHONES: 3-0895 - 6-7-8

WHITEHALL 3-0895 - 6-7-8

February 25, 1942

Mr. S. J. Kennedy  
U. S. Treasury Department  
Foreign Funds Control  
70 Pine Street  
New York City

Dear Mr. Kennedy:

Attached you will find a list giving the information requested in your letter of February 19, (N.Y. 2-750) covering the period from February 1, 1941 up to the present time.

Following some of the entries you will note the small letter c in parenthesis. This symbol indicates that payment was made in cash. We thought this might have some significance as far as your particular purpose is concerned.

The only omissions from this list are individuals with whose activities we are quite familiar and in which we are sure you will not be interested. However, this additional information is available to you if you consider it would serve any useful purpose.

Very truly yours,

YORK SAFE AND LOCK COMPANY

*R. N. Meier*  
ASSISTANT MANAGER

RECEIVED  
RWF/LB  
incl.

FEB 26 1942

Foreign Funds Control  
New York

334531

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Michael Trevisano  
2 West Blackwell Street  
Dover, N. J.

Frank Reuter  
414 East 204th Street  
New York City

Paul A. LaMontagne  
57 Morris Street  
Morristown, N. J.

Edward Beresheim (c)  
524 Riverside Drive  
New York City

A. D. Isbetcherian  
59 Pearl Street  
New York City

Morteza Khosrouschahi  
165 Broadway  
New York City

Michael Schimmel  
11 West 42nd Street  
New York City

Mrs. Goldsmith - Rothschild  
Hotel Volney  
23 East 74th Street  
New York City

E. H. Evans (c)  
Center Grove Road  
Dover, N. J.

George W. Brenner  
60 Wall Tower  
New York City

D. Schnur (5 units)  
121 East 73rd Street  
New York City

E. G. Maron  
45 Dwight Place  
Englewood, N. J.

W. P. Willetts  
Roslyn Heights - 175 Warner Ave.  
Roslyn, N. Y.

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L. G. Moses (c)  
111 John Street  
New York City

Alexander Belcha  
17 Seymour Street  
Yonkers, N. Y.

J. Schindler  
Hotel Navarra  
112 Central Park South  
New York City

Wm. Zowyrucha (c)  
711 So. 11th Street  
Newark, N. J.

R. W. Fouse  
71 Midwood Street  
Brooklyn, N. Y.

Madame Rosette Reine  
930 Fifth Avenue  
New York City

F. Gillar (c)  
305 Riverside Drive ✓  
New York City

Artur Nikoloric (c)  
37 West 43rd Street  
New York City

Dr. Herman Theaman  
501 Madison Avenue  
New York City

Moe Steinberg (c)  
225 Pennsylvania Avenue  
Brooklyn, N. Y.

R. E. Rufenacht (c)  
5021 Iselin Avenue  
Riverdale, N.Y.City

Mrs. Anna Fleming (c)  
458 Jefferson Avenue  
Brooklyn, N. Y.

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Cesareo Sanz  
Waldorf Towers  
Hotel Waldorf  
New York City

Olaf Thompson  
301 Melbourne Avenue  
Melbourne, Fla.

Mrs. Frances Puorro (c)  
1014 - 65th Street  
Brooklyn, N. Y.

J. S. Rabbie (c)  
240 Central Park So.  
New York City

Max Birner  
200 William Street  
New York City

Dr. A. Wm. Annucci  
175 East 116th Street  
New York City

Hans Weinmann  
70 Pine Street  
New York City

Dr. A. L. DeVita  
2 E. Northfield Road  
Livingston, N. J.

Andrew Budzenek  
711 Birch Street  
Boonton, N. J.

B. Buder  
356 Glenwood Avenue  
East Orange, N. J.

H. L. C. Bendis  
96 Front Street  
New York City

Salvatore Boffa  
107 Baldwin Avenue  
Jersey City, N. J.

R. C. Raynor  
249 East 176th Street  
New York City

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ETF:HS

NY 2-750



TREASURY DEPARTMENT

WASHINGTON

FOREIGN FUNDS CONTROL  
253 Broadway, New York  
REctor 2-7373

FOREIGN FUNDS CONTROL

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APR 18 1942

John W. Pehle, Esquire,  
Assistant to the Secretary,  
Treasury Department,  
Washington, D. C.

D-143

Sir:

Re: DIEBOLD SAFE & LOCK CO., New York City

Reference is made to Mr. Kennedy's memorandum to Mr. Howard dated November 21, 1941, concerning the sale of safes to refugees for the purpose of safekeeping their funds and other property, possibly in violation of Executive Order No. 8389, as amended.

On February 18, 1942, the offices of the DIEBOLD SAFE & LOCK CO., 372 Broadway, New York City were visited. The only person available at the time was a salesman who stated that so far as he knew no sales had been made to refugees, and that generally the company did not inquire into the purpose for purchasing a safe.

He further stated that several months previous a Mr. GOLDEN was sent to the Diebold Safe & Lock Co. by an insurance company in order to purchase a safe to keep \$50,000 in currency. The sale was never consummated. The salesman suspected that Golden was sent to them by MR. THURLOW, Head of the Burglary Division of the Aetna Casualty and Surety Co., 151 William Street, New York City.

Later Mr. Thurlow was questioned, but he does not recollect Mr. Golden, nor does he remember ever having insured any funds or jewels for refugees. On several occasions, he received calls from insurance brokers in the city concerning rates on this type of insurance, but none of it was ever written by his company. He suggested that MR. SAWYER of the National Bureau of Casualty and Surety Underwriters, 60 John Street, New York City be interviewed.

Mr. Sawyer thought it impracticable to have a circular letter sent to the members of his organization since it would not, in his opinion, bring any results. So far as he knew, there was



334535

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RG 131  
Entry FFC Investigative Reports 1942-60  
File NY 2-750  
Box 21

ETF:HS

NY 2-750

little if any of this type of business being written by the representative insurance companies in New York City.

Respectfully,

*Edward T. Foley*

EDWARD T. FOLEY,  
Investigator, Foreign  
Funds Control

Respectfully forwarded,  
APPROVED:

*Charles Clark*

~~S. J. KENNEDY~~,  
Treasury Representative,  
Acting in Charge

Copy to: F.R.B., New York (Caveat Section)  
Cable Censor (Lt. Bound), 67 Broad Street, N.Y.C.

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By FR NARA Date 6-15-00

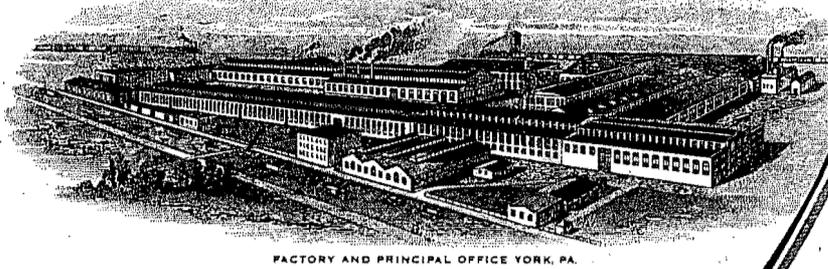
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Box 21

S. FORRY LAUCKS  
PRESIDENT

CHARLES F. SIOBERG  
GENERAL MANAGER

CABLE ADDRESS  
"SAFELOCK"

*Mr. Palmer*



FACTORY AND PRINCIPAL OFFICE YORK, PA.

# YORK SAFE AND LOCK CO.

MANUFACTURERS OF

**BANK AND SAFE DEPOSIT VAULTS**  
FIRE AND BURGLAR PROOF SAFES, VAULT DOORS, ETC.

102 MAIDEN LANE  
NEW YORK, N. Y.

TELEPHONES:  
WHITEHALL 3-0695 - 6 - 7 - 8

February 20, 1942

Mr. S. J. Kennedy  
U. S. Treasury Department  
70 Pine Street  
New York City

Dear Mr. Kennedy:

We have your letter of February 19, (N.Y. 2-750) and we shall be very glad to comply with the request contained therein. The desired list will be prepared as promptly as possible and you may expect its receipt in the immediate future.

Very truly yours,

YORK SAFE AND LOCK COMPANY  
*R. H. Meier*  
ASSISTANT MANAGER

RWF/LB

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FEB 23 1942

Foreign Control

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PJD:EH

NY 2-750

February 19, 1942

Mr. R. W. Freer, Assistant Manager,  
York Safe and Lock Company,  
102 Maiden Lane,  
New York, N. Y.

Sir:

In order to facilitate us in our investigation of the matter discussed with you by our representative, Mr. Davids, we would greatly appreciate it if you would have prepared for us a list showing the names and addresses of all persons purchasing personal safes during the past year.

Thank you for your cooperation in this matter.

Respectfully,

  
S. J. KENNEDY,  
Treasury Representative  
Acting in Charge.

*PJD*

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SJK:MG

Case NY 2-750

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70 Pine Street, New York

November 21, 1941.

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NOV 26 1941

Foreign Funds Control  
New York

TO: Mr. Howard  
FROM: Mr. Kennedy

I am in receipt of a copy of a memorandum addressed to Mr. Davis by Mr. Kilduff (both of the Federal Reserve Bank) reading as follows:

"In speaking with Mr. Quirk of the Central Hanover Bank and Trust Company the other day, he mentioned that one of their customers, the York Safe Company, in talking about its sales activities, had informed them that quite a number of the safes which they are selling appear to be going to refugees. This condition may apply not only to the York Safe Company, but also to other companies engaged in the sale of safes. The thought occurs to me that Mr. Kennedy's office may be interested in visiting some of the safe companies in order to ascertain the names and addresses of individuals to whom safes have been sold, who may be nationals of blocked countries. Accordingly, I am attaching an extra copy of this memorandum in the event you should wish to submit it to Mr. Kennedy."

Certainly a large number of refugees have legitimate uses for safes, and there is only a slight possibility that a general investigation of this kind would reveal any violations. At any rate, we do not at present have sufficient personnel to enable us to employ "dragnet" methods.

S. J. K.

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INVESTIGATIVE SECTION  
WASHINGTON, D. C.

*S. J. K.  
I agree. Later on when we get more men we may want to look into this, as suggest you keep it in mind. A few such cases might be good to break in the new men - also for moral effect is good.  
SJK  
11/21/41*

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TREASURY DEPARTMENT  
WASHINGTON

*fi 5/6/43*

FOREIGN FUNDS CONTROL

FOREIGN FUNDS CONTROL  
253 Broadway, New York  
REctor 2-7373

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MAR 6 1942

John W. Pehle, Esquire,  
Assistant to the Secretary,  
Treasury Department,  
Washington, D. C. *2623*

Sir: Re: ~~ALBERT ZERKOWITZ~~ New York City *120*  
*P534* ~~PAN ATLANTIC FORWARDING & SHIPPING CO.~~ New York City *120*  
*P534* ~~PAN ATLANTIC, INC.~~ New York City *120*  
AND OTHERS

Reference is made to a memorandum from Mr. Norman P. Davis to Mr. S. J. Kennedy dated January 22, 1942 in which reference is made to an attached letter dated January 19, 1942 from Rohner, Gehrig & Co., Inc., concerning the activities of Mr. Albert Zerkowitz and the Pan Atlantic Forwarding and Shipping Co., New York representatives of Manuel B. Vivas, Ida., Lisbon, Portugal.

SUMMARY

Investigation disclosed that Mr. Zerkowitz and the firm of Pan Atlantic, Inc. who are both blocked nationals have usually applied for and received specific licenses before delivering shipments to ultimate consignees in this country. However, personal effects arriving from Manuel B. Vivas, Ida., Lisbon, Portugal on s/s Gencalo Vehlo, January 11, 1942, for delivery to Mr. Isidor Weil, 715 West 170th Street, New York City were released to him by the Customs before a license was issued. However, on January 14, 1942, Pan Atlantic Inc. applied to the Federal Reserve Bank for a license, NY 348266. This application was denied as the effects had already been delivered without the knowledge of Pan Atlantic.

Investigation also disclosed that Zerkowitz has accepted charges from ultimate consignees on shipments from Vivas, Ida. for luggage as well as cable expenses, which have been deposited to his two blocked accounts one at the Guaranty Trust Co., 140 Broadway and the other at the National City Bank, 79th Street and Amsterdam Avenue, both in New York City.

ALBERT ZERKOWITZ

Albert Zerkowitz is now residing at 127 West 79th Street, New York City. He was born January 13, 1905 in Budapest, Hungary

*(over)*

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and was a Hungarian citizen until January 1, 1939 when by action of that country he became stateless. He did not acquire a new nationality or citizenship. From 1905 to 1925 he lived in Budapest, Hungary and from 1925 to 1939 in Paris, France. From 1939 to October, 1941, he lived in Lisbon, Portugal.

Zerkowitz entered the United States at New York on October 14, 1941 via s/s Exeter on quota immigration visa number 31-3467 issued by the American Consul General, Lisbon, Portugal.

Zerkowitz states that under Portuguese legislation he is considered a partner in the firm of Manuel B. Vivas, Ida., forwarding agents, Lisbon, Portugal, although he possesses shares to the value of only 2,000 escudos (\$50.00) in the firm. When in Portugal he was paid 3,000 escudos per month profits by Vivas, Ida. Now his share of the profits in said firm consists only of repayments in New York, by consignees here, of expenses prepaid by Vivas, Ida. in Lisbon on a few shipments of personal effects.

Such repayments are retained by Mr. Zerkowitz, in lieu of the profits he formerly received, and are deposited to one of his two blocked accounts at the Guaranty Trust Co. and the National City Bank. Since his arrival in the United States last October, Mr. Zerkowitz has collected about \$500. of these repayments.

Mr. Zerkowitz has a 25% interest in the Pan Atlantic, Inc. and is its vice president. He is allowed \$500. per month for living expenses under General License #11.

I called at the Guaranty Trust Co., 140 Broadway, New York City and learned that Zerkowitz opened an account there on October 20, 1937 with an original deposit of \$1,993.94. His present balance is \$13,041 (blocked). He also has an account at the branch of National City Bank at 79th Street and Amsterdam Avenue, New York City with a balance there of \$3,986.89 (also blocked).

Zerkowitz holds 25 shares of capital stock in Pan Atlantic Inc., for which he paid \$2,500. on December 17, 1941 under license NY325674BE. This is equal to a 25% interest in the firm which has a capitalization of \$10,000. with 100 shares outstanding at \$100.00 per share. He filed form TFR 300 on October 31, 1941. He receives a salary of \$25.00 per week from Pan Atlantic, Inc. He is married to Elizabeth Victoria Zerkowitz with whom he resides. She also has an account (blocked) at the Guaranty Trust Co., 140 Broadway, New York City.

PAN ATLANTIC FORWARDING & SHIPPING CO.

Pan Atlantic Forwarding & Shipping Co. of 8-10 Bridge Street, New York was organized on June 19, 1941 as a partnership to make shipments from England and to and from South American countries, with companies not on the Proclaimed List. Both partners are nationals of

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GRG

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Czechoslovakia. They were Mr. Joseph G. Fuchs, 40-45 Hampton Street, Elmhurst, Long Island and Frank Stern, 7017 34th Avenue, Jackson Heights, Long Island. Each had an equal share in the business contributing \$1,000. each. At the time of organization, the firm opened an account of \$1,000. at the Manufacturers Trust Co., 55 Broad Street, New York City and spent \$1,000 for furniture and fixtures, typewriters, etc. and in financing shipments. They operated under a specific operating license which provided for expenses, salaries and forwarding charges of \$500. per month. This firm is now in the process of liquidation and was replaced on December 11, 1941 by the firm of Pan Atlantic, Inc.

PAN ATLANTIC, INC.

Pan Atlantic, Inc., 59 Pearl Street, New York City was incorporated in New York State December 24, 1941. The business is forwarding and shipping. The only officers and members of the firm are the following: Michael Munk, President, 230 Central Park West, New York City; Albert Zerkowitz, Vice President, 127 West 79th Street, New York City; Joseph G. Fuchs, Treasurer, 4045 Hampton Street, Elmhurst, Long Island and Frank Stern, Secretary, 7017 34th Avenue, Jackson Heights, Long Island. The entire capital stock of 100 shares is held by these four men, 25 shares each at \$100 par value per share.

The company has an account at the Manufacturers Trust Co., 55 Broad Street, New York City. This account is blocked. The company is operating under license number NY334805 issued December 30, 1941 and expiring April 30, 1942. This license provides for expenditures aggregating \$42,100. covering normal conduct of business and further provides for the remitting of freight charges not exceeding \$2,000. to Messrs. Manuel B. Vivas, Ida., R. do Arsenal 124, Lisbon, during the license period.

Michael Munk and Albert Zerkowitz received licenses to make their investments in the company under license number NY325672BE and NY325674BE, respectively, both issued on December 17, 1941.

The actual paid in capital is \$7,500. as Munk and Zerkowitz each paid \$2,500. while Stern and Fuchs paid only \$1,250 each, and conveyed their interest in Pan Atlantic Forwarding & Shipping Co., to the extent of the good will, trade name, furniture and fixtures and customers' list; the cash and accounts receivable were not included. In other words, the partners in the old firm sold out for \$2,500. to the new corporation of Pan Atlantic, Inc. The partnership had no creditors. The corporate agreement can be found in license number NY325674.

The company started business January 1, 1942. On January 7, 1942, the firm applied for license authorizing them as representatives of Manuel B. Vivas, Ida., Lisbon, to deliver consignments received. This application was denied and consequently individual licenses for each shipment have had to be applied for.

All assets of the company are located in the United States, consisting of assets in cash, goodwill, furniture and fixtures.

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NY 7-692

MICHAEL MUNK

Michael Munk resides at 230 Central Park West, New York City. He was born July 13, 1884 at Z. Moravce, Czechoslovakia. He arrived in the United States, January 19, 1941, at New York under a Czech passport, from Portugal. He came under immigration visa and has applied for first papers. He has a blocked account at the Irving Trust Co., 1 Wall Street, New York City.

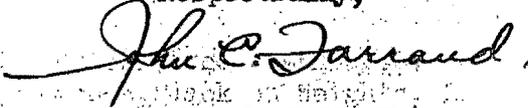
JOSEPH G. FUCHS

Joseph G. Fuchs resides at 40-45 Hampton Street, Elmhurst, Long Island. Up until January 1, 1939, he resided in Prague, Czechoslovakia being engaged there as head of the forwarding firm of Inter-Continentale, which is a subsidiary of Lep-Lassen, London. From January 1, 1939 he resided in Lisbon, Portugal arriving in New York from there on immigration visa, May 26, 1941. Fuchs has a checking account at the Manufacturers Trust Co., 55 Broad Street, New York City and a savings account at the Seamen's Bank for Savings, 74 Wall Street, New York City, both of which accounts are blocked.

FRANK STERN

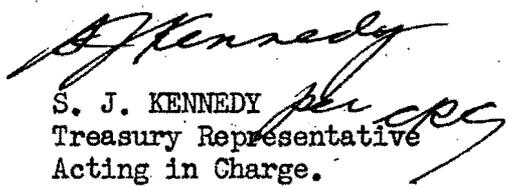
Frank Stern resides at 7017 34th Avenue, Jackson Heights, Long Island. Up until January 1, 1939, he resided in Prague where he was a partner in the forwarding firm of Stern & Co. He arrived at New York on June 13, 1939. Mr. Stern is not a blocked national and has received his first papers. Reference is made to our report NY 7-159 concerning Mr. Stern's activities in the food package business.

Respectfully,



JOHN C. FARRAND  
Investigator,  
Foreign Funds Control.

Respectfully forwarded,  
APPROVED:



S. J. KENNEDY  
Treasury Representative  
Acting in Charge.

Copy to: F.R.B., New York (Caveat Section)  
Collector of Customs, New York (Law Division)

This case also indexed under:

- 71 200 " Joseph G. Fuchs, 40-45 Hampton Street, Elmhurst, Long Island. 186
- 5365 " Frank Stern, 7017 34th Avenue, Jackson Heights, Long Island. 120
- M 520 " Michael Munk, 230 Central Park West, New York City. 120

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Pending

NY 7-692

FEDERAL RESERVE BANK  
of New York

OFFICE CORRESPONDENCE

Date January 22, 1942

To Mr. S. J. Kennedy Subject  
From N. P. Davis

Attached hereto for such action as you may deem appropriate is copy of a letter dated January 19, 1942 from Rohmer, Gehrig & Co. Inc., 15 Moore Street, New York, N. Y., relative to the activities of Mr. Albert Zerkowitz, who is said to be now connected with the Pan Atlantic Forwarding and Shipping Co., 59 Pearl Street, New York, N. Y.

Four copies to Mr. May.

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JAN 27 1942  
INVESTIGATIVE SECTION  
WASHINGTON, D. C.

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SJK:SG

NY 7-692

C O P Y

ROHNER, GEHRIG & CO., INC.

15 Moore Street  
New York, U.S.A.

January 19, 1942

HG:HS

Mr. Harold Gregg  
Federal Reserve Bank of New York  
Foreign Property Control Dept.  
Federal Reserve Station, P. O.  
New York, N. Y.

Dear Mr. Gregg:

In line with the various conversations had with you in the past, re license required for shipments arriving from foreign countries, I wish to bring to your personal attention the fact that Mr. Albert Zerkowitz, who you will recall called upon you a few months ago after his arrival from Lisbon, Portugal, is now connected with the Pan Atlantic Forwarding and Shipping Co., 59 Pearl Street, New York, a new forwarding firm.

I know that the aforesaid firm has been receiving shipments from Manuel B. Vivas Lda., Lisbon, with whom Mr. Zerkowitz was previously connected in Portugal, and perhaps also from other firms, and I wonder whether these people have made application for licenses or whether they perhaps obtained a general license to deliver such consignments to the ultimate consignees. No doubt, they also will have to collect C.O.D.'s now and then for account of the shippers abroad.

As you know, Federal Reserve license must be shown to the Collector of Customs before permission to reforward in transit to a foreign country can be obtained. However, when the imported goods remain in the United States, no license is required by the Collector of Customs, which may leave a loophole for such firms or individuals who choose to conveniently disregard regulations.

Believing that this information may be of interest to you, I am

Sincerely yours,

/s/ Hans Gehrig,  
Secretary of  
ROHNER, GEHRIG & CO. INC.

Four copies to Mr. May.

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By	LFR NARA Date 6-15-00

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Entry	FFC Investigative Reports 1942-60
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Box	19

DIGEST

NY 2-623  
February 3, 1942

Re: Werner Heilbrun, N.Y.  
Max Siegmund Nitke, N.Y.  
Fred Levy, N.Y.  
Frida and Richard Moser, Germany.

Basis of Investigation: T.F.O. in N.Y. received a letter from Dr. Heilbrun requesting advice as to the disposition of funds which had been received from Mr. Nitke.

Background information: Dr. Heilbrun is a naturalized U.S. citizen. Mr. Nitke entered the U.S. from Germany in October 1939 and has his first papers. He is employed as an accountant by J. & H. Stolow, stamp dealers. Mr. Levy entered the U.S. from Germany in July 1941. He was a lawyer for Dr. Heilbrun in Germany.

Mr. Levy was also a friend of the Mosers who, in turn, are the parents of Mrs. Heilbrun.

Results of the Investigation: When he left Germany, Mr. Nitke left behind assets in excess of 15,000 Reichmarks. Mr. Nitke's friend, Otto Zeutler (a resident of Germany but a citizen of Turkey), undertook to get Nitke's funds out of Germany via Turkey.

Mr. Zeutler was a friend of the Mosers. Consequently, Mr. Nitke, purely as an accommodation to Mr. Zeutler, agreed to furnish dollars to be used in helping the Mosers emigrate to the U.S. To this end, Mr. Nitke gave \$1,000 to Mr. Levy (about November 1941) and subsequently another \$500 to Dr. Heilbrun. These transactions were consummated without Treasury licenses.

Under present circumstances, the Mosers cannot emigrate from Germany. Therefore Mr. Levy is willing to return the \$1,000 to Mr. Nitke. Dr. Heilbrun requests advice as to what to do with the funds he holds in this case.

Conclusion: This report will be referred to the legal Division to determine whether any violations of the Order are involved and to answer Dr. Heilbrun's query.

cc: Mr. Bennett  
Mr. Carre  
Mr. Fox  
Mr. Olsen  
Mr. Quint  
Mr. Timmons  
Mr. Towson  
Mr. Volentine

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TREASURY DEPARTMENT

WASHINGTON

FOREIGN FUNDS CONTROL  
 70 Pine Street, New York

FOREIGN FUNDS CONTROL

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FEB 3 1942

John W. Pehle, Esquire,  
 Assistant to the Secretary,  
 Treasury Department,  
 Washington, D. C.

Sir: N-220 Re: MAX SIEGMUND NITKE, New York 120  
 L-100 FRED LEVY, New York 120  
 Mr-60 FRIDA and RICHARD MOSER, Germany 410  
 H-416 WERNER HEILBRUN, Flushing, L.I., N. Y. 120

Reference is made to letter dated December 29, 1941 from Dr. Werner Heilbrun, 141-25 Northern Boulevard, Flushing, L.I., N. Y., copies of which have been sent to you.

On January 19, 1942 I interviewed Max Siegmund Nitke at the office of J. & H. Stalow, stamp dealers, 475 Fifth Avenue, New York, where he is employed as an accountant. Mr. Nitke stated that he arrived in the United States from Germany as an immigrant in October 1939 and obtained his first papers in March 1940. He has a bank account and safe deposit box at the East End Branch of the National City Bank which are not blocked. Prior to leaving Germany he claims to have placed all of his money, amounting to 15,000 Reich marks and accounts receivable, in the hands of Otto Zeutler, a resident of Berlin but a citizen of Turkey. Zeutler has been able to send over through diplomatic channels, most of Nitke's money. The money was transmitted from the Banque Centrale de la Republique de Turquie, Ankara, to the Irving Trust Company, New York. At first a license, NY 198727, was obtained to pay Nitke but now is he paid under General License No. 11.

Mr. Nitke said he does not know the Mosers nor had he ever met Dr. Heilbrun, but that the Mosers are friends of Zeutler and that he advanced the funds which are entirely his own at the request and on the guarantee of Zeutler. It is entirely a friendly accomodation to Zeutler, and Nitke does not receive any bonus or interest for the use of the money. He said he would not have the money at all if it were not for Zeutler and he is glad to do him a favor. He said the purpose of the fund was to pay the Mosers' expenses to this country and that he had paid \$1000 to Fred Levy, 9204 43rd Avenue, Elmhurst, and \$500 to Dr. Heilbrun. In view of the present circumstances, which

FOR DEFENSE



BUY UNITED STATES SAVINGS BONDS AND STAMPS

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seem to make it impossible for the Mosers to immigrate, he expects to ask for the return of his money. He claims to have filed a T.F.R. 300 covering his property in Germany.

On January 26, 1942, I interviewed Fred Levy who stated that he arrived in the United States in July 1941 as an immigrant from Germany. He said he has his first papers, and has a bank account with the Manufacturers Trust Company, 513 Fifth Avenue, which is blocked but from which withdrawals are made under General License No. 11. Levy stated that he was lawyer for the Mosers in Germany and that Nitke has paid him \$1000. for the use of the Mosers. He verified Nitke's statement that the money is Nitke's. He said he had also been attorney for Dr. Heilbrun when he lived in Germany. He said he would return the \$1000. to Nitke any time he asks for it. According to both Nitke and Levy Dr. Heilbrun and his step-father-in-law, Richard Moser, are on very bad terms and that Heilbrun is anxious to have his mother-in-law come over but not his step-father-in-law.

This office has not replied to Dr. Heilbrun.

Respectfully,

*[Handwritten Signature]*

JOHN D. BARLOW,  
Investigator, Alcohol Tax Unit.

Respectfully forwarded,  
APPROVED:

*[Handwritten Signature]*  
S. J. KENNEDY,  
Treasury Representative,  
Acting in Charge.

Copy to: F.R.B., New York (Caveat Section)

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		Box	19

2-623

WERNER HEILBRUN, M. D.

141-25 NORTHERN BOULEVARD  
NORTHGATE APARTMENTS  
FLUSHING, L. I.

FLUSHING 5-2851  
December 29, 1941

Director of Foreign Property Control  
70 Pine street  
New York City, N.Y.

Dear Sir:

I herewith wish to bring to the attention of this department the following matter asking for advice.

I am a naturalized citizen and am married to Gerda Heilbrun who passed her examination for citizenship on September 15, 1941 but still is waiting to receive her final papers. My wife has a mother and a stepfather in Germany by the name of Frida and Richard Moser.

In July, 1941, a friend of Mr. and Mrs. Moser, by the name of Fred Levy, arrived in this country. He got in touch with us and stated that my mother-in-law and her husband wanted us to help them emigrate from Germany because of the persecution. In the middle of October their plight got so desperate that they sent cablegrams to Mr. Levy and me to try and effectuate their immediate departure from Germany by securing visas to Cuba from where they later hoped to come to this country. I advised them that we would not be in a position to take care of both of them but that I might be able to do something for one of them. They thereupon sent a cablegram to Mr. Levy and me advising us to contact certain people who would be willing to give us the necessary money in their behalf.

One of these people was a Mr. N.S. Nitke who resides at 350 East 77th street, New York City, and who called us up the next morning and stated that he had received a cablegram from Mr. and Mrs. Moser also advising him to pay a certain amount to Mr. Levy. He further stated that he had in his hands \$ 1000.- for quite a while and had been waiting for instructions from Mosers about this money. He promised to pay this money after having received permission from the Federal Reserve Bank to make payment, and according to informations the money was paid around November 20, 1941.

When we found out that the available money still

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WERNER HEILBRUN, M.D.  
 141-25 NORTHERN BOULEVARD  
 NORTHGATE APARTMENTS  
 FLUSHING, L. I.  
 FLUSHING, 5-2851

was insufficient to take care of the necessary expenses for both Mr. and Mrs. Moser, Mr. Levy and I sent another cablegram to this effect to Germany. On November 27, 1941, Mr. Nitke called again and advised me that he had received another cablegram asking him to pay an additional \$1000.- to me. The next day I received a letter from with a check in the sum of \$ 500.- and a promise that I would receive the other \$ 500.- in the early part of December. I had never known Mr. Nitke prior to this occasion and according to his own statements he himself does not know Mr. and Mrs. Moser personally. As Mrs. Moser in her letters to my wife and me repeatedly hinted that she had money in the United States, and now because of the declaration of war Mr. and Mrs. Moser are technical enemies of the United States, I am wondering what to do with the money I am holding now.

I have been unable to determine positively from Mr. Nitke whether the money belongs to him or to Mr. and Mrs. Moser. I was never able to get a clear statement from Mr. and Mrs. Moser as to whether actually had any money in the United States because German law makes it a crime to own any assets in a foreign country without declaring same and also because of the strict German censorship of all letters and cablegrams.

I therefore report this matter to you and ask that you investigate it so as to determine what should be done with this money. I am expecting your advice.

Very truly yours

*Werner Heilbrun, M.D.*

Werner Heilbrun, M.D.

141-25 NO BIVD  
 Flushing, N.Y.

RECEIVED  
 ATTENDED TO

BY \_\_\_\_\_  
 DEC 31 1941

DATE \_\_\_\_\_  
 Foreign Funds Control  
 New York

*4 copies to Mrs May*

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By JA NARA Date 6-15-00

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*for*

HEILBRUN, WERNER M. D.

LEVY, FRED

NITKE, MAX SIEGMUND

MOSER, FRIDA  
RICHARD

RG 131  
Entry FFC Investigative Reports: 1942-60  
File NY 2-600  
Box 19

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Authority 968103  
By [initials] NARA Date 6-15-00

TREASURY DEPARTMENT  
INTER OFFICE COMMUNICATION

DATE March 23, 1942

TO Mr. Kennedy, New York  
FROM Mr. May, Washington

RE Herr Hitler

Reference is made to telephonic conversation had with Miss Gerrity on March 20 regarding memorandum of your office dated December 18, 1941, relative to the subject person, bearing your number NY 3-583. A duplicate of said memorandum received in this office, and your report dated December 22, 1941, carry the number NY 2-600, and it is understood this number is correct.

This office does not have a report from your office bearing the number NY 3-583.

RECEIVED

MAR 24 1942

Foreign Funds Control  
New York

334552



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An investigation was conducted on December 18 and 19, 1941, covering an examination of all postal and customs receipts in the files of the Federal Reserve Bank, and interviews with officials of Federal Reserve Bank (Securities Division, Foreign Property Control), Main Post Office of New York City, and J. P. Morgan & Company.

OFFICE OF POSTMASTER AT NEW YORK CITY

Mr. Lubin, Assistant Postmaster:

He stated that he had heard some loose talk some time ago about securities received for the account of Hitler or other chiefs of the Nazi party. He considers it only as a rumor with absolutely no basis in fact.

Mr. Duran, Chief Postal Inspector:

He states that he knows nothing about it and had never even heard any rumors in that regard.

Mr. Eguiguran, Deputy Collector of Customs:

Mr. Eguiguran is in charge of the U. S. Customs operating in connection with the Post Office. He states that he had heard some rumors of funds or securities received in New York for the account of Hitler or other officials of the Nazi party in Germany, but he considered it only a wild rumor with no basis in fact. A complete search through the files of his office failed to reveal any receipts issued for such securities, as mentioned in the telephone communication instigating this investigation.

FEDERAL RESERVE BANK

A complete investigation was made in Mr. Baker's office (Safe-Keeping Department), Foreign Mails Section and Mr. Strom's office (Securities Section of Foreign Property Control). The receipts covering all securities held for or already delivered to J. P. Morgan & Company have been examined since date of June 1, 1940, as well as all T.F.A.1 affidavits which have been filed since that date by J. P. Morgan & Company. No evidence of any securities having the slightest resemblance to those in question was obtainable.

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DISCUSSION

- 3 -

J. P. MORGAN & COMPANY

Several hours were spent in examining the duplicates of postal and customs receipts for all securities received through the mails or express since June 1, 1940. The examination was conducted with the assistance of Mr. William Weaver, Vice President, without result.

CONCLUSION

In view of the fact that a thorough investigation conducted at the Post Office, Federal Reserve Bank and J. P. Morgan & Company has failed to reveal any evidence of such securities, it would appear quite probable that this is just another rumor without any foundation in fact.

Respectfully,

CHARLES SCHLAGER,  
Treasury Representative.

Respectfully forwarded,  
Approved:

S. J. KENNEDY,  
Treasury Representative,  
Acting in Charge.

12/22/48

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Box 19

DECLASSIFIED  
Authority 968103  
By *[initials]* NARA Date 6-15-00

*Room 7102*

*2-600*

*9100*

December 18, 1941.  
5:30 P. M.

HIGHLY CONFIDENTIAL

Mr. Kennedy -

Mr. Howard phoned from Washington the following:-

Mr. John Lamiell, Second Assistant Postmaster General in charge of foreign mail was informed some little time ago by the present Postmaster at New York City that there was received in New York from abroad a package which contained coupons cut from United States Government bonds. There were approximately 2500 of such coupons valued at \$31. each, said bonds being consigned to J. P. Morgan and Company, and it was stated that these coupons belonged to Herr Hitler. That package went to the Federal Reserve Bank and was opened there in the presence of a representative of J. P. Morgan & Company and certain post office officials. One of the postal inspectors, under the Post Office Inspector in Charge Doran, apparently saw these coupons and evidence of the ownership and that is presumed to be how the Postmaster at New York got his information. This package arrived in New York about one year ago. The Second Assistant Postmaster General Lamiell as well as Chief Postal Inspector Aldrich suggested that our representative from Mr. Kennedy's office contact the present Postmaster at New York and Mr. Doran in order to verify this information and also in order to secure what additional information he could and then check with the Federal Reserve Bank and J. P. Morgan and Company.

*ask Mr. Cream*

Mr. Pehle is very anxious to have this investigation made as quickly as possible. You might call Mr. Howard on the telephone as soon as you receive any information so that he in turn can keep Mr. Pehle advised.

*See Mr. Doran first. The Chf PO Inspector at Washington will authorize him to cooperate fully with us, in case he feels he needs such authority.*  
*4 copies to Mr. Tracy*  
*3/16/42 HS*

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By SP NARA Date 6-15-00

Vincent Miles Solicitor P.O. Dept. 3226 P.O. Bldg.  
John Lamell 2nd Asst. P.M. General (2 Change Foreign Mail) Apt 374  
Coupons U.S. Govt. Bonds owned by H. Consigned  
to J.P. Morgan and opened by their representatives at  
Federal Reserve Bank

12/18 Visited Mr Miles at Room 3226 New P.O. Dept Bldg  
arrd at 11 o'clock - Mr Lamell not available but finally  
saw him at 12:10 pm

Mr Miles (Judge) He had heard from a P.O. Inspector from  
Nyc, one of Soran's assistants that ~~some~~ a  
package addressed to Morgan had been opened in  
~~at~~ at Federal Reserve Nyc  
and was found to contain coupons from U.S. Bonds  
value of coupons over one million dollars. Said  
Coupons said to belong to H.

Mr Miles stated that an arrangement had been  
worked out between State Justice & P.O. to hold  
up suspicious mail chiefly subscription type  
for 48 hours so that search warrant could be secured  
and legal access to mail matter had. M.O. Justice  
would advise P.O. Inspector who in turn advise  
P.M. Justice when search warrant is time to

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Went more than 48 hr delay when warrant issued mail  
opened in Court? ...

Lammell (J.C.) He was not clear on the situation and  
only agreed with miles as to procedure as outlined  
above. He did, upon questioning, remember  
he that the P.M. at NY (Present incumbent) told  
him that a package opened at the Federal Reserve  
contained <sup>3500</sup> coupons from US Bonds each valued  
at \$1; that these coupons were the property of H and  
were consigned to J.P.M. ~~...~~

Suggested we contact:

L.M.C. Smith Dept. of Justice who handled  
warrant arrangements 5216 Justice Dept  
Bureau - P.O. Inspector in Charge NYC  
P.M. general NYC

no 1 for purpose of locating records of contents  
of mail opened on warrant. Any securities

Chief PO Inspector Aldrich - He was invited in company  
with miles & Aldrich suggested Justice (Smith) would be  
the one to furnish all info. P.O. Inspector would not  
have occasion to note contents. Their only function  
under the procedure to see that mail was held.  
He suggested we could see P.O. Inspector in Charge Bureau but in

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All probability he could not help me except to indicate ~~through~~ <sup>with</sup>  
 whom in Justice he wanted the procedure of Bureau wants  
 confirmation of Aldrich's suggestion to ~~visit~~ for our men to  
 visit him call Dixon to telephone Aldrich

Visited Mr. L. M. C. Smith 5216 Dept. Justice (FBI)  
 He stated ~~that~~ after confirmation from an assistant  
 that of one case had been handled in accordance  
 with the procedure outlined and this involved a  
 certain blue-prints of a military nature that were being  
 shipped out of the country

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DIGEST

Re: Ludwig Heymann, New York City  
"Subsida" Familienstiftung, Zurich, New York

NY 2-584  
January 21, 1942

Basis of the Investigation: Application NY 256099 was filed by Credit Suisse to pay funds to the subjects a/c with Chase National, New York.

Background Information: Mr. Heymann is a German refugee who immigrated to the U. S. from Switzerland on June 3, 1941. He has received his "first papers". "Subsida" is an incorporated trust fund (set up in Zurich) to hold funds of his family as assets were liquidated in Europe. All other members of the family reside in England, South America and Switzerland. The accounts of Mr. Heymann and of "Subsida" are blocked.

Prior to the Nazi domination of Europe, Mr. Heymann engaged in the manufacturing of leathersgoods, and may have been associated with the Bata Shoe Company.

Results of the Investigation: The greater portion of the assets of both "Subsida" and of Mr. Heymann is now in blocked accounts in the U. S. and has been transferred through domestic banks under appropriate license. TFR-300 reports have been filed.

Conclusion: The report does not indicate a violation of the Order. (The control copy of the report has been sent to Mr. Somlo.)

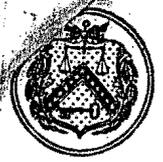
- cc: Mr. Bennett
- Mr. Carre
- Mr. Fox
- Mr. Olsen
- Mr. Quint
- Mr. Timmons
- Mr. Towson
- Mr. Volentine

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PCParker:lm 2/3/42

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FOREIGN FUNDS CONTROL

NY 2-584  
01-2-00584  
TREASURY DEPARTMENT  
WASHINGTON FOREIGN FUNDS CONTROL  
70 Pine Street, New York

RECEIVED  
January 21, 1942.  
FOREIGN FUNDS CONTROL

John W. Pehle, Esquire,  
Assistant to the Secretary,  
Treasury Department,  
Washington, D. C.

JAN 23 1942  
INVESTIGATIVE SECTION  
WASHINGTON, D. C.  
H-550  
Re: Ludwig Heymann,  
45 West 81 Street,  
New York, N. Y. 120

Sir:

Reference is made to your memorandum of December 19, 1941, regarding the ownership of four (4) shares of Sandoz S. A. sold by Credit Suisse, Zurich, and for which there is pending NY 278729 requesting license to pay the dollar equivalent to the blocked account of the above-named subject. Enclosed with the memorandum was a copy of Despatch No. 4787 setting forth various letters dealing with transfers of funds from a corporation known as "Subsida" Familienstiftung. An investigation was requested to determine the various holdings and business enterprises of both the subject and the aforementioned corporation, with particular reference to South American connections.

On January 12, 1942, and again on January 13, 1942, I interviewed Mr. Ludwig Heymann at his residence, Hotel Standish Hall, 45 West 81 Street, New York, N. Y. From such records as he was able to produce and from supplemental information given by him, the following report has been compiled:

SUMMARY

The subject, a German Jewish refugee, who has applied for United States citizenship, anticipated the trend of the Nazi movement and in 1933 began to dispose of his assets and convert the proceeds into Swiss francs. Shortly before the outbreak of the present war, he deemed it wise to convert these Swiss francs into British and American monies and set about doing so by organizing a corporation, which was later called "Subsida" Familienstiftung. This corporation was in effect a trust of which his family and himself were the sole beneficiaries, but it was administered as a Swiss corporation to facilitate transfers of money and securities. The greater portion of the assets of both "Subsida" and the subject



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is now in the United States and has been transferred through domestic banks under appropriate licenses. Such of his assets as still remain abroad and may be transferred, he intends to transfer at an early date. Transfers in the past have been both of his personal assets and those of "Subsida" and these assets are now intermingled, the greater portion being in the name of the subject. The four shares of Sandoz S. A., referred to, he states are his own property and not that of "Subsida."

According to his statements, he has no business connections in South America and money now on deposit there was transferred to diffuse his cash assets over a wider money exchange. These deposits have remained dormant since created.

The subject denies any relationship with H. Von Heymann, San Jose, Costa Rica, who is on the Proclaimed List. He states that he has only two relatives in South America, both of whom are German Jewish refugees, i.e., Walter Rector, a cousin, Caixa Postal 1073, Sao Paulo, who is manager of Warema Limitada, an import-export firm, and a sister, Mrs. Sophie Brenner, Calle, Cololo 2618, Montevideo.

PERSONAL HISTORY

The subject is a German national, having spent the greater portion of his life in Offenbach, where he was engaged in the leathergoods business. As the Nazi movement began to gain momentum in about 1933 he began to dispose of his assets, and having done so, left Germany in 1934 to live in Zurich, Switzerland, as a retired merchant. (It is he who is referred to in the despatch as living at Carlton Elite Hotel, Zurich.) As things grew progressively worse on the continent of Europe, he and his son, Fritz Heymann, began to transfer their assets to the United States and to England. Upon completion of the transfer of such assets as could be transferred, the subject immigrated to the United States, arriving at the Port of New York on the S.S. CIUDAD DE SEVILLA June 3, 1941. Immigration visa No. 19333 was issued February 13, 1941 by the United States Consul in Zurich. Citizenship was applied for, as per receipt for fee No. 912329 on June 18, 1941. First papers No. 506865 were issued October 24, 1941. The subject has resided at his present address since his arrival in the United States.

RELATIVES

There are no relatives in the United States. Mr. Heymann's immediate family consists of his son, Fritz Heymann, his wife and his daughter-in-law, the wife of Fritz. All reside in

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England at 72 Barons Keep, Gliddon Road, London. His son engages in no business enterprise and lives from funds of his own in England.

A sister, Mrs. Sophie Brenner, lives with her daughter at Montevideo, Calle, Cololo 2618. She is classed as a refugee and has lived with her son-in-law until his death some weeks ago. As to her present income or holdings, Mr. Heymann has no knowledge.

A cousin, Walter Rector, referred to in the despatch, is the manager of Warema Limitada, a manufacturers' agency, in Sao Paulo. Address there is Caixa Postal 1073. This man is a German national, who immigrated there in 1936. Mr. Heymann assures me that he is Jewish and is definitely not a Nazi sympathizer.

PERSONAL FUNDS

Foreign Deposits (Not reported on Form TFR 300)

Idgendossische Bank, Zurich	200	Swiss francs
Kantonal Bank, Lucerne	700	" "
Credit Suisse, Zurich	300	" "
Credit Suisse, St. Moritz	1,000	" "
Kantonal Bank, Lusanne	500	" "
In possession of S. Erlanger, Sr. Bruch Street, 3 Lucerne.	4,000	" "
A.G. Leathergoods Co., Zurich	5,000	" "
	<u>11,000</u>	Swiss francs
Banco De La Republic Oriental Del Uruguay, Montevideo, Uruguay	<u>4,955.36</u>	Pesos
In possession of Walter Rector Manager Warema, Limitada Sao Paulo, Brazil	<u>\$8,000</u>	(approximately) *

\*(A cable received January 12, 1942 from the son, Fritz Heymann, in London, suggests transfer of this sum to the Chase National Bank, New York, immediately.)

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"SUBSIDA" FAMILIENSTIFTUNG

This corporation was organized by Mr. Heymann in 1936 and is without shares. It is not a business enterprise, but is in effect a trust fund set up under corporate status to facilitate transfer of assets. Its directors are the members of the family before mentioned and S. Erlanger, Sr. of Zurich, who handles the Swiss investments and such personal business as the subject has remaining in Switzerland. The beneficiaries are the members of the immediate family.

Combined with the assets of "Subsida" are the assets of a similar Netherlands corporation, known as "Saluta." These assets were combined before transfers were made to this country.

The corporation mentioned in the despatch as "Standard" has no bearing on the present subject; it was the name under which his son transacted business while in the Netherlands.

All transfers of assets to this country were made through United States banking institutions under appropriate licenses.

Assets of "Subsida" Familienstiftung as of June 15, 1941

Cash	Credit Suisse, St. Moritz	10,000	Swiss francs
Cash*	National City Bank, New York	\$11,000	Blocked
Cash	Chase National Bank, New York	1,000	Blocked
Securities*	National City Bank, New York	37,000	Blocked

\*(These assets have now been transferred and are held by Mr. Heymann personally.)

LIABILITIES

Inasmuch as the corporation was not an operating corporation, there are no liabilities.

NOTE:- An unspecified amount of gold bullion is deposited in a London bank, but as the United States has no jurisdiction over this deposit, Mr. Heymann does not wish to reveal the amount nor the depository.

(Refer to TFR 300 filed for total assets in the United States.)

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SG

NY 2-584

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SECURITIES SOLD AND AWAITING LICENSE:

The four shares of Santoz S. A., referred to in the memorandum, were sold in keeping with the subject's policy of disposing of all foreign securities and converting the proceeds either into cash or domestic securities.

Respectfully,

*William E. Tague*

WILLIAM E. TAGUE,  
Investigator,  
Foreign Funds Control.

Respectfully forwarded:  
Approved

*S. J. Kennedy*  
S. J. KENNEDY,  
Treasury Representative,  
Acting in Charge.

cc to Federal Reserve Bank, New York.

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**FOREIGN PROPERTY CONTROL DEPARTMENT  
FEDERAL RESERVE BANK OF NEW YORK**

NY 278729 Approved for sale of Swiss francs to licensed buyers for account of Ludwig Heymann. Stipulation No. 1. as to proceeds. License expires March 15, 1942. Report at expiration.

*Action*  
*File in 2-584*

C.Somlo:cmj 1/29/42

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2-584

FOREIGN FUNDS CONTROL  
70 Pine Street, New York

January 15, 1942.

Mr. Ludwig Heymann,  
Standish Hall,  
45 West 81st Street,  
New York City, N. Y.

Dear Mr. Heymann:

In a report now being prepared by Mr. William E. Tague of this office, reference is made to your anticipated transfer of funds from South America. It will therefore not be necessary for you to inform this office of the transaction as it may be taken care of through your regular banking channels.

Respectfully,

  
S. J. KENNEDY,  
Treasury Representative,  
Acting in Charge.



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By ~~FR~~ NARA Date 6-15-00

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JAN 7 1942

Gentlemen:

Reference is made to your letter of December 1, 1941, and its enclosure, addressed to the Federal Reserve Bank of New York and forwarded to this Department for consideration.

Application No. NY 278729 is presently receiving the consideration of this Department and you will be further advised through the Federal Reserve Bank of New York when a decision is reached thereon.

Sincerely yours,

(Signed) J. W. Pehle

J. W. Pehle  
Assistant to the Secretary

Credit Suisse,  
30 Pine Street,  
New York, New York.

JMcHugh:ghm 1/6/42

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Authority 968103  
By JR NARA Date 6-15-00

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2-584

TREASURY DEPARTMENT  
INTER OFFICE COMMUNICATION

DATE DEC 17 1941

TO Mr. May  
FROM Mr. Pehle  
Re: Ludwig Heymann  
Standish Hall  
45 West 81st Street  
New York, New York

Reference is made to NY 256099 filed by Credit Suisse, New York Agency, requesting permission to pay the dollar equivalent of 31,996 Swiss Francs less expenses to the Chase National Bank of New York for credit to the blocked dollar account of the subject. This application was not approved on the basis of information submitted. Subsequently NY 278729 was filed renewing the request to complete this transaction. In response to telegram dated December 3, 1941 the New York Federal Reserve Bank was advised that the application was being examined as to the violation.

Letter dated September 6, 1941 accompanying the original application and addressed to Credit Suisse by the subject states that the funds involved represent proceeds of the sale of four shares of Sandoz S. A. stock. This stock was sold in Switzerland and the funds are held by Credit Suisse, Zurich. Letter dated October 6, 1941 accompanying the second application states that the funds involved are needed for the living expenses of the subject who is 76 years of age, has no profession or position from which he can draw income. The funds are also needed for his family in England.

There is attached a copy of Despatch No. 4787 which indicates that the subject is involved in quite a few financial transactions.

In view of the fact that the subject appears to be in close contact with persons in various occupied countries it will be appreciated if you will have an investigation made of the subject which will reveal the amount and extent of his holdings in the United States and elsewhere; his plans for disposition of property held in occupied countries, the location of various members of his family and his close associates and whether he has any connections or is related to H. von Heymann who is on the Proclaimed List. A particular effort should be made to ascertain any connections the subject may have with persons in South America.

RECEIVED

DEC 19 1941

Foreign Funds Control  
New York

FORWARDED  
for appropriate action

To NY  
Date DEC 17 1941

FOREIGN FUNDS CONTROL  
Investigative Section  
CRH

*JMP*

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By JR NARA Date 6-15-00

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We have received confidential information that a series of letters has been written by "Subsida" Familienstiftung, St. Moritz, Switzerland, and Ludwig Heymann of Carlton, Elite Hotel, Zurich, to Ludwig Heymann, c/o Guaranty Trust Company, 140 Broadway, New York; c/o Chase National Bank, New York; and c/o Mr. Weil-Erlanger, Standish Hall, 45 West 81st Street, New York City. The contents of the various communications are as follows:-

- (a) Letter of March 31st from "Subsida", St. Moritz, to Ludwig Heymann, c/o Guaranty Trust Company, containing -
  - (1) Declaration by the writers, dated 31st March, 1941, and certified by the Town Clerk of Lucerne to the effect that Ludwig Heymann's sole signature is binding for the Trust called "Subsida" Familienstiftung.
  - (2) Copy of declaration from Ludwig Heymann to Mr. Erlanger, dated Zurich 8th Nov. 1940, shows safe deposits in Erlanger's name at Zurich, Lausanne, Rotterdam and Lucerne.
  - (3) Receipt dated Zurich 10th Nov. 1940 for \$10,000 signed by Jacob Weil for safe custody this sum being handed over by the writers.
- (b) Letter postmarked May 1st, 1941, from Ludwig Heymann, Zurich, to Ludwig Heymann, 45 West 81st Street, New York City, containing - Power of Attorney dated 25th April 1941 granted by Ludwig Heymann of Carlton Elite Hotel, Zurich in favour of Fritz Heymann, 72 Barons Keep, Gliddon Road, London, W. 14, to administer all securities, cash, bullion or other assets which are now or which may be from time to time deposited by or on behalf of the writer with the Chase National Bank, New York.

There is also a statement which appears to be bank balances in different parts of the world - This statement is in code and the balances shown are also in code - However, they do not appear to have a code word for "Banco de la Republica Oriental del Uruguay, Montevideo" - hence the deduction.

- (c) Letter postmarked May 1st, 1941, from Ludwig Heymann, Zurich, to Ludwig Heymann, c/o Chase National Bank, containing certificates (copies) Nos. 1 and 2 each for 500 Florins in a company called "SALUTA" Maatschappij Voor Handel en Industrie N.V. domiciled in Amsterdam with coupons attached. These certificates are to Bearer, and are signed by Ludwig Heymann and Fritz Heymann as directors.

- (d) Letter postmarked May 1st, 1941, from "Subsida", St. Moritz, to Ludwig Leymann, c/o Guaranty Trust Company, containing -

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By

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1. Letter addressed to Guaranty Trust Co. New York, dated 26th April, requesting them to place to the debit of writers account an unspecified amount (left in blank) and the securities, not listed, (but space left for filling in) both to the credit of Mr. Ludwig Heymann, who will shortly travel to America to dispose of same.
  2. There is also a letter dated 22nd January addressed to the Guaranty Trust Co. authorizing Ludwig Heymann to dispose of writers assets.
  3. A document relative to the nationality of S. Erlanger who is a member of the Board of Directors of "SUBSIDA".
  4. Cable from the Chase National Bank, New York, advising Ludwig Heymann at Elite Hotel, Zurich, that \$12,000 have been placed to his credit by order of Union Bank Zurich.
- (e) Letter dated April 26th 1941, from "Subsida", St. Moritz, to Ludwig Heymann, c/o Chase National Bank, containing letter requesting Chase National Bank to debit writers No. 1 and 2 accounts with unspecified sums, (left in blank) and credit same to Mr. Ludwig Heymann, who will shortly be travelling to America to dispose of same. There is also letter dated 22nd January, addressed to the Chase National Bank, authorizing Ludwig Heymann to dispose of writers assets. There is also a photostatic copy of a document relative to Nationality and shares of S. Erlanger who is a member of the Board of Directors of "Subsida".
- (f) Letter postmarked May 1st, 1941, from "Subsida" to Ludwig Heymann, c/o Chase National Bank, containing -
1. Letter dated 25th April addressed to Guaranty Trust Co. New York, requesting them to close writers account and remit balance and securities to the Bar Custodian Corporation, as above, for and on behalf of Mr. Ludwig Heymann.
  3. Letter addressed to Guaranty Trust Co. of New York, dated 25th April, requesting them to close writers account and open with the balance and securities an account with the same bank in favour of Mr. Jakob Weil Erlanger, New York.
- (g) Letter dated April 25th, 1941, from "Subsida", St. Moritz, to Ludwig Heymann, c/o Guaranty Trust Company, containing -

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1. Letter addressed to Chase National Bank, New York, dated 25th April, requesting this bank to close writers No. 1 and 2 accounts and with the balances open an account in favour of Mr. Weil-Erlanger of New York.
  2. Letter addressed to Chase National Bank, New York, dated 25th April, requesting this bank to close writers No. 1 and 2 accounts and remit the balances to Bar Custodian Corporation, 67 Wall Street, New York, for and on behalf of Mr. Ludwig Heymann. BAER?
  3. Letter addressed to Chase National Bank, New York, dated 25th April, requesting them to close their No. 1 and 2 accounts, and remit the balances to Bar Custodian Corporation, for and on behalf of writers. BAER
- (h) Letter from "Subsida" postmarked May 1st, 1941, to Ludwig Heymann, 45 West 81st Street, New York, containing -
1. Letter dated Zurich 25th April 1941 from Advokaturbureau Dr. Georg Wettstein (Raemistrasse, 29) to Ludwig Heymann, Carlton Elite Hotel, Zurich, in connection with a Power of Attorney to Heymann's son.
  2. Receipt dated 25/4/41 by Schweizerische Bankgesellschaft to Ludwig Heymann of Sandoz Shares No. 591-93, 657, 677, 727, 761, 1128.
  3. List of securities partly in code.

NOTES:

1. Our records show that Dr. Georg Wettstein mentioned in (h) above, is a lawyer acting for, or having a very close connection with the Bata Shoe Company.
2. The address of "Subsida" is given as "Hans Gentiana", while that of "Lida" A.G. is given as "Villa Gentiana" and both are at St. Moritz. Lida A.G. is on the Statutory List.
3. The address of Mr. Weil Erlanger at Standish Hall, 45 W. 81st Street, New York, is the same as that of F. Muska, and correspondence with J. Bata of the Bata Shoe Co. Ltd. of Frankford, Ontario.
4. The Baer Custodian Corporation, (g) above, appears to be either a subsidiary or closely connected with Julius Baer & Co., the bankers, of Zurich.
5. Our records tend to show that the family are German refugees; that Fritz Heymann had lived in Holland for some six years, being registered at Euterpestraat 31 (?), Amsterdam Z., as F.D.H. Heymann,

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that he and his Father had interests in one or two Dutch firms; that he came to England in the Spring of 1939 for "study" with eventual intent to return and that meanwhile he was for some reason anxious to have correspondence sent to him at various "cover" addresses.

6. The correspondence was mainly concerned with the various places in England, Holland, and Switzerland, in which Heymann Securities, etc. were deposited, among them the Chancery Lane Safe Deposit, London, and organisations referred to as "Saluta", and "Subsida", and a "Standaard" firm in Holland, the assets of "Saluta" having been transferred to "Subsida", and a like transfer of "Standard" being debated.

7. Both the Father, Ludwig, and the Son, Fritz, were preoccupied with the possible effect on their property of a German conquest of Holland and/or Switzerland. On May 17th, 1941, Fritz commended his Father for "sending to Walter's firm immediately cable order six mille from Kaes" adding that "his firm's name is Warema Limitada, manager Walter Rector, Sao Paulo", while "Sao Paulo Caixa Postal 1073" was given as the address for a cable advice to "Walter".

8. Rough MS papers enclosed make it possible to identify the cipher for figures as M..1, D..2, T..3, N..4, O..5, A..6, I..7, X..8, P..9, R..0 with virtual certainty except as to A, I, and P., which, however, are probably correctly deciphered. But, unless the arithmetic of the family is bad, which is unlikely, a variant of this cipher is sometimes used.

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TREASURY DEPARTMENT  
INTER OFFICE COMMUNICATION

DATE December 4, 1941

TO Mr. Seibel  
FROM Mr. Somlo

Enclosed please find application NY 278729. It would appear that a violation has been committed, as Ludwig Heymann gave instructions to his bank in Switzerland for the sale of securities without a license. We are now requested to authorize the liquidation of the Swiss francs claimed to have resulted from the sale.

On account of the violation we are withholding action and should like to hear from you.

(I called up the New York Federal Reserve Bank in response to the teletype inquiry and explained to them that the case is being examined as to the violation.)

*S. S.*

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