

PHOTOCOPY  
PRESERVATION

07/14/1999

# ARCHIVES BOX REVIEW FORM

<b>TEAM</b> FINANCIAL ASSETS	<b>RESEARCHER</b> Sebastian SAVANIO Valerie Bauwens Abby Gilbert	<b>SITE</b> WNRC Suitland, MD	<b>DATE REVIEWED</b> Jan.-Nov. 2000
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WNRC=Washington  
National Records Center

Record Group:

Entry Number:

Entry Name:

Box Number:

Box Location:

Extent reviewed:

- Completely
- Skimmed
- Specific files only
- Specific documents only

Notes & Comments  
(Including cross reference notations)

Copies made: YES or NO

Vesting Orders were printed in the Federal Register. See OAP Annual Report for exact citation. Selected and random sample search of Vesting Orders made from these boxes. See attached list for boxes reviewed and searched.

19,312 Vesting Orders are archived in Boxes 335-474.

Vesting Orders, authorizing and stating that the Alien Property Custodian has seized enemy property, covered several types of assets. These assets were described in the Vesting Order and included corporations, factories, bank accounts, and securities including stocks, bonds, and mortgage participation certificates. Estates, Trusts, and insurance policies were also vested. Vesting Order gave Number of Order, Date Vesting Order was executed, and name of person whose property was vested. Names included individuals and banks as well as accounts maintained in the names of banks for persons whose names were unknown.

Sometimes Vesting Order files contained additional memos and other documents, including Return Orders, relating to claim for that Vested Property.

reverse side is available for additional notation space

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# ARCHIVES BOX REVIEW FORM

<b>TEAM</b>
FINANCIAL ASSETS

<b>RESEARCHER</b>
A. Gilbert V. Bauwens

<b>SITE</b>
Washington, DC Civil Division, DOJ

<b>DATE REVIEWED</b>
Oct.-Nov. 2000

**Record Group:** 131

**Entry Number:** not applicable

**Entry Name:** Vesting Orders; Return Orders

**Box Number:** not applicable

**Box Location:** see below

**Extent reviewed:**  
 Completely  
 Skimmed  
 Specific files only  
 Specific documents only

Notes & Comments  
(Including cross reference notations)

**Copies made:** YES or NO

The REcords Officer, Civil Division, Department of Justice, Washington, D.C. has files of

- 1 - Every Vesting Order in numerical order - 1-19,312
- 2 - Every Return Order in numerical order - 1-4,475

Both Vesting and Return Orders describe the property seized and returned by the Alien Property Custodian (1942-1946) and the Office of Alien Property (1946-1953-1966).

07/14/1999

# ARCHIVES BOX REVIEW FORM

<b>TEAM</b> FINANCIAL ASSETS	<b>RESEARCHER</b> A. Gilbert	<b>SITE</b> WNRC Suitland, MD	<b>DATE REVIEWED</b> May 11, 2000 August 4, 2000
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WNRC=Washington  
National Records Center

Record Group:

Entry Number:

Entry Name:

Box Number:  See Attached List

Box Location:

Extent reviewed:

- Completely
- Skimmed and some
- Specific files reviewed carefully
- Specific documents only

Notes & Comments  
(Including cross reference notations)

Copies made: YES or NO Boxes contain Vesting Orders SA1-SA292

Two boxes 482 and 483 contain the Satellite Asset Vesting Orders.

These are the Vesting Orders for property seized from the governments, corporatins, and indirectly held (throughand in the names of Swiss banks) individual property in the three Balkan enemy countries of Bulgaria, Rumania, and Hungary.

Vesting Order files include Vesting Orders which describe the property seized, such as bank accounts or debts, owner of the property, including unknown nationals of any of the thre Balkan countries, and date of execution of Vesting Order.

Vesting was carried out under the August 1955 Law (P.L. 295).

Satellite Assets refers to teh fact tht the assets were now in countries that wre satellites of the Communist system in Eastern Europ

Satellite Asset Vesting Orders were printed in the Federal Register. See Appendices of OAP Annual Reports after 1955. reverse side is available for additional notation spac

# ARCHIVES BOX REVIEW FORM

<b>TEAM</b> for <b>FINANCIAL</b> Assets	<b>RESEARCHER</b> Gene Sofer - PCHA a contract researcher	<b>SITE</b> Central Archives for History of the Jewish People Jerusalem, Israel	<b>DATE REVIEWED</b> Dec. 21, 1999 July 2000
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Record Group:

Entry Number:

Entry Name:

File Number:

Box Location:

Extent reviewed:

- Completely
- Skimmed and
- Specific files only
- Specific documents only

Notes & Comments

(Including cross reference notations)

Copies made:  YES or  NO

The Jewish Restitution Successor Organizatn's (JRSO) papers archived from their New York office and covering the years 1949-1963 were reviewed.

The JRSO papers in the Central Archives for the History of the Jewish People, housed in Hebrew University in Jerusalem, Israel, held some very important documnts for PCHA.

Most of the documents reviewed and photocopied covered the attempt to gain title to the Heirless Assets in the United States.

reverse side is available for additional notation spa

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# ARCHIVES BOX REVIEW FORM

<b>TEAM</b> FINANCIAL ASSETS	<b>RESEARCHER</b> Sebastian Saviano	<b>SITE</b> WNRC RECORDS MOVED TO Archives II College Park, MD WNRC-Washington National Records Center	<b>DATE REVIEWED</b> and Data Entered May-July 2000
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Record Group:

Entry Number:  (formerly Accession 64-A-382)

Entry Name:

Box Number:   
BUNDLES

Box Location:

Extent reviewed:

- Completely
- Skimmed
- Specific files only
- Specific documents only

Notes & Comments  
(Including cross reference notations)

Copies made: YES or NO Sample Ledger Sheets only (See attached for detailed information on ledger sheet)

ONE OF THE MOST IMPORTANT FINDS IN OAP RECORDS IN SUITLAND.  
 JRSO Claims Ledgers containing Claims Ledger Sheets for JRSO Claim Number J1 through J7078. Information on sheets entered into a PCHA database.  
 Each claim has one ledger sheet

The Ledgers are called Bundles

NO money data is provided on ledger sheets

Ledgers give information on  
 Date information placed in ledger  
 Posting Reference  
 Vesting Order Number  
 JRSO Claim Number  
 Type of Claim (title claim)  
 Number of Claims filed and pending for that Vesting Order  
 Claim Allowed column  
 Cash Paid or Property Returned Column  
 Account Number

07/14/1999

# ARCHIVES BOX REVIEW FORM

<b>TEAM</b> FINANCIAL ASSETS	<b>RESEARCHER</b> Sebastian Saviano Abby Gilbert Valeria Baucom	<b>SITE</b> WNRC Suitland, MD	<b>DATE REVIEWED</b> Feb., May, June 2000 Sept. 2000
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WNRC=Washington  
National Records Center

Record Group:

131

Entry Number:

60-89-31

Entry Name:

Office of Alien Property (Department of Justice)

Box Number:

1-10

SEE Below

Index Cards

Box Location:

17-36-00-3-1

Extent reviewed:

- Completely for specific groups of index cards  
 Skimmed  
 Specific files only  
 Specific documents only

Notes &amp; Comments

(Including cross reference notations)

Copies made: YES or NO

These 10 boxes held valuable information that led to the random sample study of how JRSO claims were treated, how long it took to adjudicate the claims, the amount of money in each claim, and leads to correspond about each claim.

Index cards were arranged in several categories, by Return Order Number by Country and then alphabetically by name of vestee (this group included the Stateless Cards), and by JRSO Number

Box 1 - Return Orders

Boxes 2-5 - Alphabetically by last name

Boxes 6-7 - JRSO Vesting Order Number

Box 8-9 - Country cards alphabetically arranged; then alphabetical by Vestee (person's whose property was seized as enemy property)

Box 10 - Copyrights (550-A numbers)

07/14/1999

# ARCHIVES BOX REVIEW FORM

<b>TEAM</b> FINANCIAL ASSETS	<b>RESEARCHER</b> A. Gilbert	<b>SITE</b> WNRC Suitland, MD	<b>DATE REVIEWED</b> Nov. 1999, May 2000 Summer 2000
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WNRC=Washington  
 National Records Center

Record Group:

131

Entry Number:

65-B-1063

Entry Name:

Office of Alien Property (Dept. of Justice)

Box Number:

1 and other boxes (SEE list attached to box form)

Box Location:

16-87-52-2-3

Extent reviewed:

- Completely  
 Skimmed  
 Specific files only  
 Specific documents only

Notes &amp; Comments

(Including cross reference notations)

Copies made: YES or NO

Boxes 1 through 319A contain enclosures to General Files

Generally these contain additional correspondence and memos relating to Vesting Orders.

Files are numerical and each Vesting Order has file numbers for enclosure in this series.

Box 1 contains the "Berlin Wallet", an expanding Wallet File that contains the correspondence, memos, and reports on the 1956 investigation by OAP's Munich Office on the Berlin Cases that grew out of trying to ascertain if JRSO claims were truly heirless assets.

Some case reports showed assets were heirless; some Vesting Order owners were traced to concentration camp victims who were murdered. In other cases the investigations found living heirs.

And in other cases survivors identified victims as being non-Jewish.

Enclosure to General Correspondence File No. 034-220 (Berlin Cases) is filed in Box 1 of 65-B-1063. The "Berlin Wallet" is attached to File No. 9-100-034-220 - whose subject is Heirless Assets. File 9-100-034-220 is filed in RG131 - Accsn. 61-N-0109. Box 196

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# ARCHIVES BOX REVIEW FORM

**TEAM**  
FINANCIAL  
ASSETS

**RESEARCHER**  
A. Gilbert

**SITE**  
Manuscript Room  
Library of Congress  
Washington, D.C.

**DATE REVIEWED**  
June 5, 2000

**Record Group:**

not applicable

**Entry Number:**

not applicable

**Entry Name:**

Robert Porter Patterson Papers

**Box Number:**

28-48

1947-1952

**Box Location:**

Mss. Division, Library of Congress

**Extent reviewed:**

- Completely
- Skimmed
- Specific files only
- Specific documents only

**Notes & Comments**  
(Including cross reference notations)

**Copies made:** YES or **NO**

Papers of Secretary of War Robert P. Patterson after he left office  
 Most were not relevant for PCHA's topics  
 No JRSO materials found in skimming through these boxes covering  
 the years 1947-1952.  
 Particular attention was paid to 1950, the year Secretary Patterson  
 testified at the hearings on the \$3 million ceiling for the JRSO's  
 share of heirless assets.

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# ARCHIVES BOX REVIEW FORM

**TEAM**  
FINANCIAL  
ASSETS

**RESEARCHER**  
A. Gilbert

**SITE**  
Manuscript Room  
Library of Congress  
Washington, D.C.

**DATE REVIEWED**  
June 5, 2000

**Record Group:**

not applicable

**Entry Number:**

not applicable

**Entry Name:**

Robert Porter Patterson Papers

**Box Number:**

93-98

Miscellany - 1925-1956

**Box Location:**

Mss. Division, Library of Congress

**Extent reviewed:**

- Completely
- Skimmed
- Specific files only
- Specific documents only

**Notes & Comments**  
(Including cross reference notations)

**Copies made:** YES or NO

Robert Porter Patterson was the Under Secretary and then Secretary of War during World War II. After the War, Judge Patterson resumed private law practice and in that capacity testified on the hill on a ceiling of the amount of heirless assets that should be given to the JRSO.

Robert Patterson's papers for the years 1947-1951 (he died in Jan. 1951) were searched for the background and any reasons as to why he chose a \$3 million ceiling.

NOTHING on the JRSO was found in the papers in the Library of Congress Boxes 93-98.

Available for additional notation s

07/14/1999

# ARCHIVES BOX REVIEW FORM

<b>TEAM</b> FINANCIAL ASSETS	<b>RESEARCHER</b> A. Gilbert	<b>SITE</b> WNRC Suitland, MD	<b>DATE REVIEWED</b> Nov. 1999, May 2000 Summer 2000
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WNRC = Washington  
National Records Center

Record Group:

Entry Number:

Entry Name:

Box Number:

Box Location:

Extent reviewed:

Completely

Skimmed

Specific files only

Specific documents only

Notes & Comments  
(Including cross reference notations)

Copies made:  YES or  NO

Boxes 1 through 319A contain enclosures to General Files

Generally these contain additional correspondence and memos relating to Vesting Orders.

Files are numerical and each Vesting Order has file numbers for enclosure in this series.

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# ARCHIVES BOX REVIEW FORM

<b>TEAM</b> FINANCIAL ASSETS	<b>RESEARCHER</b> V. Bauwens A. Gilbert	<b>SITE</b> WNRC Suitland, MD	<b>DATE REVIEWED</b> Feb. 2000, Summer 200
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WNRC = Washington  
National Records Center

Record Group:

Entry Number:

Entry Name:

Box Number:  See Attached List

Box Location:

Extent reviewed:

Completely

Skimmed an some

Specific files reviewed carefully

Specific documents only

Notes & Comments  
(Including cross reference notations)

Copies made:  YES or  NO

Three boxes 479, 480, and 481 contain the Copyright Vesting Orders.

Numbers are a special 500-A plus a number series

Copyright Vesting Orders cover books, plays, poetry, scientific treatises, and musical scores of chamber music, symphonies, operas, etc.

Copyright Vesting Order files sometimes include Return Orders which give dollar information on the amount of Royalties involved.

Vesting Orders for works of famous scientists, authors, and composers are found in these files.

Vesting Orders were printed in the Federal Register. Exact citations are printed in Appendix A of the OAP Annual Report.

07/14/1999

# ARCHIVES BOX REVIEW FORM

<b>TEAM</b> FINANCIAL ASSETS	<b>RESEARCHER</b> A. Gilbert	<b>SITE</b> WNRC RECORDS MOVED TO Archives II College Park, MD	<b>DATE REVIEWED</b> FEB. 14, 2000
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Record Group:

Entry Number:  (formerly Accsn. 67-A-10, Cubic boxes 2898-2903)

Entry Name:

Box Number:  Holinger Boxes

Box Location:

Extent reviewed:

- Completely
- Skimmed
- Specific files only
- Specific documents only

In place of entry number use: RECORDS RELATED TO THE LIQUIDATION OF VESTED ENEMY PROPERTY

Notes & Comments

(Including cross reference notations)

Copies made: YES or  NO

Officially labeled - Director's Congressional Files - 1953-1958.  
 Director's File - Legislation

Files have been refiled into Holinger Boxes. Files were disappointing  
 Consist mainly of form letters asking for OAP or DOJ reaction to bills  
 In boxes skimmed NO typed letters revealing any policy seen

Files need to be searched in conjunction with PCHA research completed  
 on Legislative History of bill mentioned and compare Archives boxes  
 with bills, hearings, and Committee Reports and Congressional debates.

Records specifically marked "Folders on Return Legislation" re regarding  
 return of German Vested Assets (formerly RG 131, Accsn 67-A-10,  
 Cubic boxes 2904-2905) were destroyed by the National Archives in  
 Nov. 1987.

Accession 67-A-10 cubic foot brown boxes were reboxed into Holinger  
 Boxes 277-293, several years prior to 2000.

reverse side is available for additional notation spac

07/14/1999

# ARCHIVES BOX REVIEW FORM

**TEAM**  
FINANCIAL  
ASSETS

**RESEARCHER**  
A. Gilbert

**SITE**  
WNRC  
RECORDS MOVED TO  
Archives II  
College Park, MD

**DATE REVIEWED**  
FEB. 14, 2000

Record Group:

Entry Number:  (formerly Accsn. 67-A-10, Cubic boxes 2898-2903)

Entry Name:

Box Number:

Box Location:

Extent reviewed:  
 Completely  
 Skimmed  
 Specific files only  
 Specific documents only

Notes & Comments  
(Including cross reference notations)

Copies made: YES or  NO

Director's File - Legislation  
Files have been refiled into Holinger Boxes. Files were disappointing  
Consist mainly of form letters asking for OAP or DOJ reaction to bills  
In boxes skimmed NO typed letters revealing any policy seen  
Files need to be searched in conjunction with PCHA research completed  
on Legislative History of bill mentioned and compare Archives boxes  
with bills, hearings, and Committee Reports and Congressional debates.  
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Cubic boxes 2904-2905) were destroyed by the National Archives in  
Nov. 1987.

reverse side is available for additional notation spec

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# ARCHIVES BOX REVIEW FORM

<b>TEAM</b> FINANCIAL ASSETS	<b>RESEARCHER</b> A. Gilbert	<b>SITE</b> WNRC RECORDS MOVED TO Archives II College Park, MD	<b>DATE REVIEWED</b> FEB. 14, 2000
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Record Group:

131

Entry Number:

264

(formerly Entry 131-94-030)

Entry Name:

Office of Alien Property (Dept. of Justice)

Box Number:

434

General File Correspondence on Copyr

Box Location:

230/39/4/3

Extent reviewed:

- Completely
- Skimmed
- Specific files only
- Specific documents only

Notes & Comments

(Including cross reference notations)

Copies made: YES or NO

Box contained correspondence relating to Copyrights

Covered files on Pre-War Contract files for File numbers 019-1-3 through 019-1-25. Period covered - 1935-1967.

Many of the pre-war contract files dealt with disposition of royalties.

One file (Oscar Weintraub) contains letter and news paper clipping on suggests that seized Nazi and German assets in the U.S. be used to help victims of Nazism and to aid in their rehabilitation.

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# ARCHIVES BOX REVIEW FORM

<b>TEAM</b> FINANCIAL ASSETS	<b>RESEARCHER</b> A. Gilbert	<b>SITE</b> WNRC RECORDS MOVED TO Archives II College Park, MD	<b>DATE REVIEWED</b> FEB. 14, 2000
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Record Group:

Entry Number:  (formerly Entry 131-94-031)

Entry Name:

Box Number:  General Files-Copyrights

Box Location:

Extent reviewed:

- Completely
- Skimmed
- Specific files only
- Specific documents only

Notes & Comments  
 (Including cross reference notations)

Copies made: YES or NO

Administration Files on Pre-War Contracts relating to Copyrights  
 Covers time period 1943-1967

Box contains some bills amending TWEA in the early 1960s

Contains Table of the approximate number of copyrights under claim and the approximate number returned

File - Statement Concerning Administration of Copyright Interests Vested by OAP" contains a paper dated Feb. 1, 1952 on Copyright Policy

(Box 436 cover AdministratrnFiles on Pre-War Contracts also but it was NOT seen)

reverse side is available for additional notation spac

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07/14/1999

# ARCHIVES BOX REVIEW FORM

<b>TEAM</b> for <b>FINANCIAL</b> Assets	<b>RESEARCHER</b> Gene Sofer - PCHA a contract researcher	<b>SITE</b> Central Archives for History of the Jewish People Jerusalem, Israel	<b>DATE REVIEWED</b> Dec. 21, 1999 July 2000
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**Record Group:**

**Entry Number:**

**Entry Name:**

**File Number:**

**Box Location:**

**Extent reviewed:**

- Completely
- Skimmed and
- Specific files only
- Specific documents only

Notes & Comments  
(Including cross reference notations)

Copies made: YES or NO

The Jewish Restitution Successor Organizatn's (JRSO) papers archived from their New York office and covering the years 1949-1963 were reviewed.

The JRSO papers in the Central Archives for the History of the Jewish People, housed in Hebrew University in Jerusalem, Israel, held some very important docuemnts for PCHA.

Most of the documents reviewed and photocopied covered the attempt to gain title to the Heirless Assets in the United States.

available for additional notation space

CENTRAL ARCHIVES FOR THE HISTORY OF THE JEWISH PEOPLE  
Jerusalem, Israel

**JEWISH RESTITUTION SUCCESSOR ORGANIZATION (JRSO) – New York Records**

The following files were reviewed in December 1999 by PCHA Deputy Director Gene Sofer

FILE	TOPIC	TIME PERIOD COVERED
541	Heirless Property Bill – JRSO	1955-1963
899	JRSO – Report by Seymour J. Rubin	Oct. 1955
900	Application of JRSO for designation as successor org	Sept. 30, 1954
901	Designation of JRSO as successor org under P.L.626	Jan. 17, 1955
914	Heirless Asset Bill-Memo to Executive Committee	July 31, 1958
916a	Trading with the Enemy Act-Heirless Property Legislation	1946-1965
916b	Trading with the Enemy Act-Heirless Property Legislation	1946-1965
916c	Trading with the Enemy Act-Heirless Property Legislation	1946-1965
916d	Trading with the Enemy Act-Heirless Property Legislation	1946-1965
916e	Trading with the Enemy Act-Heirless Property Legislation	1946-1965
916f	Trading with the Enemy Act-Heirless Property Legislation	1946-1965
937	Heirless Property Bill Publicity U.S.	Aug. 1954-Sept. 1963
938	Heirless Property – Miscellaneous	Mar. 1950-Aug. 1966
939	Heirless Property in the United States	Aug. 1954-Jan. 1960
940	German Assets in the USA	Feb.-Apr. 1958
949	Designation of JRSO Under P.L.626-Memo for Ex. Cmtee	Jan. 17, 1955
950	P.L. 626 – Amendments	July-Sept. 1955

Files Checked out and Not Seen in Dec. 1999

896a-c      Legal Matters Pertaining to Restitution

The following files were seen by a contract researcher in July 2000

No descriptive information was given by the researcher for the following searched files

Most of the files dealt with legislation on and the designation of the JRSO as the successor organization per content of photocopies

504a

599d

599f

635

636a

636e

The following files were seen by a contract researcher in July 2000 (continued)

869	various topics including Looted Property, JCR	1949
877a	Restitution incl. Brief in Support of Proposed Amendment to TWEA (no date)	
877b		
877c		
877d		
877e		
877f		
877g		
912	Copy of U.S. War Claims Commission, Report (95 pages)	1950
941	Dutch heirless assets	1954

07/14/1999

# ARCHIVES BOX REVIEW FORM

<b>TEAM</b> FINANCIAL ASSETS	<b>RESEARCHER</b> A. Gilbert	<b>SITE</b> WNRC Suitland, MD	<b>DATE REVIEWED</b> Jan. 2000
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WNRC=Washington  
 National Records Center

Record Group:

131

Entry Number:

65-A-1063

Entry Name:

Office of Alien Property (Dept. of Justice)

Box Number:

SEE Attached List

Box Location:

See attached box forms.

Extent reviewed:

- Completely  
 Skimmed  
 Specific files only  
 Specific documents only

Notes &amp; Comments

(Including cross reference notations)

Copies made: YES or

NO

Accession 65-A-1063, Office of Alien Property Records covered 11 separate types of records including Vesting Orders, Insolvent Estates, Patents, Copyright Licenses, Patent Licenses, and two series on the OAP 700 Forms for the 1950 survey. One series was by country and alphabetical thereunder and the second series was numerical by OAP-700 reporter number. Both of these OAP-700 Reporting Form series have been destroyed under the National Archives periodic culling review.

The subsets of this Assession contained valuable documents for the Presidentail Commission.

SEE attached box order forms and lists.

07/14/1999

## ARCHIVES BOX REVIEW FORM

<b>TEAM</b> FINANCIAL ASSETS	<b>RESEARCHER</b> A. Gilbert	<b>SITE</b> WNRC Suitland, MD	<b>DATE REVIEWED</b> Nov. 18, 1999
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WNRC = Washington  
 National Records Center

Record Group:

131

Entry Number:

53-B-552

Entry Name:

Office of Alien Property (Dept. of Justice)

Box Number:

18, 19, 21

Box Location:

16-86-41-2-6

Extent reviewed:

- Completely  
 Skimmed  
 Specific files only  
 Specific documents only

Notes &amp; Comments

(Including cross reference notations)

Copies made: YES or NO

These three boxes contained the Printouts for the Special Series on Reports of Property owned by Germans located in countries other than Germany, and the United States

Were tabulations of assets and property owned by German citizens who resided OUTSIDE the United States and OUTSIDE Germany. Data tabulated was from the Census of Foreign-Owned Property taken on Form TFR-300 as of June 1941.

Information was gained from the following respondents: ABA Banks, non-ABA (mainly foreign) banks, brokers and dealers, insurance companies, government agencies.

Second series was for Residents of Germany and gave name and property reported. Same types of respondents as above.

Almost all of this data would be tabulated for the published report. But this disaggregation is important because it identifies reporters by name of bank, etc. and provides a more detailed breakdown of the data

# ARCHIVES BOX REVIEW FORM

**TEAM**  
FINANCIAL  
ASSETS

**RESEARCHER**  
A. Gilbert

**SITE**  
WNRC  
Suitland, MD

**DATE REVIEWED**  
June 1999

WNRC=Washington  
National Records Center

**Record Group:** 56

**Entry Number:** 68-A-2606

**Entry Name:** Office of the Secretary (Treasury Dept.)

**Box Number:** 19-20

Records of the Division of  
Research and Statistics

**Box Location:** 17-53-36-1-1

**Extent reviewed:**  
 Completely  
 Skimmed  
 Specific files only  
 Specific documents only

Notes & Comments  
(Including cross reference notations)

Copies made: YES or NO

Some files precede creation of Foreign Funds Control  
Little of use for PCHA.

07/14/1999

# ARCHIVES BOX REVIEW FORM

<b>TEAM</b> FINANCIAL ASSETS	<b>RESEARCHER</b> A. Gilbert	<b>SITE</b> WNRC Suitland, MD	<b>DATE REVIEWED</b> May 1999
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WNRC=Washington National Records Center

Record Group:

56

Entry Number:

68-A-3063

Entry Name:

OASIA (Treasury Dept.) Census Machine Printouts

Box Number:

5-8

Box Location:

2-38-04-2-1

Extent reviewed:

- Completely
- Skimmed
- Specific files only
- Specific documents only

Notes & Comments  
(Including cross reference notations)

Copies made: YES or NO

Boxes 5-7 contain Machine Printouts run in 1944 from the Census of Foreign-Owned Assets in the United States as of June 1941 (Vols. I-XXI) giving property type by location and citizenship of national owning the property. Also provides information on type of owner such as individual

Box 8 is summary lists

Almost all of this data was tabulated and compiled for the published report, Census of Foreign-Owned Assets in the United States, GPO, 1945, which is easier to use.

## SUITLAND BOX LIST

The following accessions and box numbers holding records of the Alien Property Custodian, the successor organization, Office of Alien Property, and the Civil Division of the Department of Justice on Vesting Orders, Return Orders, and attendant correspondence and/or enclosures were reviewed by the Financial Assets Team of the Presidential Advisory Commission on Holocaust Assets in the United States between January and November 2000.

### **RECORD GROUP 60 – OFFICE of ALIEN PROPERTY, Department of Justice**

The following Accessions of RG 60 were searched

60-88-187 – Index Cards by Claim Number and Country (1942-1987)  
Boxes 1 – 3

60-88-188 – Index Cards by Alien Property Number (1942-1987)  
Box 1

60-88-189 – Index Cards - Copyrights Alphabetical by Name, Numerical by License Number,  
Patents by Name (1942-1987)  
Box 1

60-89-31 – Index Cards  
Box 1 – 10

60-88-0373 – Liquidation Records  
Boxes 1 – 7

### **RECORD GROUP 131 – OFFICE of ALIEN PROPERTY, Department of Justice**

The following Accessions of RG 131 were searched

64-C-411 – Hearing Examiners' Files  
Boxes 65-74

64-D-411 – Documents Attendant to Vesting Orders  
Boxes  
170-173  
917  
933  
934  
956

**RECORD GROUP 131 – OFFICE of ALIEN PROPERTY, Department of Justice**

The following Accessions of RG 131 were searched

Accession 65-B-1063 – Enclosures to General Files  
Boxes

1 (contains wallet envelope of correspondence and memos on 1956 Berlin investigations of  
JRSO Claims Cases – File 034-220 – Berlin Cases Section I and Section II)  
Enclosures belong with File 9-100-034-220 – Heirless Assets (RG131-61-N-0109, Box 196)

2

3

22

36

39

69

70

71

73

91

213

**65-F-1063 – Vesting Orders**

19,312 Vesting Orders were archived in Boxes 335-424)

Financial Assets Team reviewed Vesting Orders I the following boxes

Boxes

336

339

344

345

346

350

352

353

357

359

361

365

369

378

379

382

383

385

388

389

**RECORD GROUP 131 – OFFICE of ALIEN PROPERTY, Department of Justice**

**Accession 65-F-1063 (continued)**

Boxes

390  
391  
392  
393  
395  
398  
400  
402  
403  
404  
406  
407  
408  
409  
410  
412  
414  
419  
420  
421  
422  
429  
432  
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444  
447  
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456  
462  
463  
464  
465  
467  
470  
474

**RECORD GROUP 131 – OFFICE of ALIEN PROPERTY, Department of Justice**

The following Accessions of RG 131 were searched

Accession 65-H-1063 – Copyright Vesting Orders

Boxes

479

480

481

Accession 65-I-1063 – Satellite Asset Vesting Orders

(Vesting Orders for enemy property of the Balkan enemies Bulgaria, Hungary, and Rumania)

Boxes

482

483

**RECORD GROUP 131 – OFFICE of ALIEN PROPERTY, Department of Justice**

The following Accessions of RG 131 were searched (continued)

**67-A10 – various subsets including subset 67-Z-0010 – Vested Asset Reports**

67-I-0010 – Cash Transfer Audits by Satellite Asset Vesting Order Number  
Box 103 – Audit Reports of transfers of cash to the U.S. Treasury Dept. for credit to the  
Bulgarian, Hungarian, and Rumanian Claims Funds  
Reports are in numerical order by Satellite Asset Vesting Order Number

67-S-0010 – Business Case Files  
Box 213

67-Z-0010 LA – Copyright Correspondence  
Box 440

67-Z-0010 - General Files – Documents relating to Vesting Orders files in numerical sequence  
and by country (Country is designated by a number)

67-Z-0010 ZAB – General Files - Vested Asset Reports  
Box  
689

67-Z-0010 ZA – General Files - Vested Asset Reports  
Box  
881  
900  
932  
938  
1045  
1105  
1111  
1112  
1114  
1112  
1130  
1146  
1148  
1149  
1150  
1151  
1152  
1153  
1245

**RECORD GROUP 131 – OFFICE of ALIEN PROPERTY, Department of Justice**

**Accession 67-Z-0010 (continued)**

67-Z-0010 ZB – General Files - Vested Asset Reports

Box

1310

1371

1470

67-Z-0010 ZC – General Files - Vested Asset Reports

Box

1531

1639

1704

1726

1904

67-Z-0010 ZF - General Files - Vested Asset Reports

Boxes 2068-2080 – all boxes contained documents on claims against the Yokhama Specie Bank

67-Z-0010 ZH – General Files - Vested Asset Reports

Box

2495

67-Z-0010 ZI – General Files - Vested Asset Reports

2519

2525

2604

2605

2536

2703

67-Z-0010 ZPB – Hearings

2927

2928

2929

2930

**RECORD GROUP 131 – OFFICE of ALIEN PROPERTY, Department of Justice**

The following Accessions of RG 131 were searched

131-92-0001 – Accounting Ledgers

Boxes 1 - 20

131-95-0001 – Valueless Seized Securities (OAP Write-Offs)

Boxes 1-13 - skimmed

Boxes reviewed

14

15

16

**Accession 131-98-0002 – Indices to JRSO Claims Case Files – Alphabetical Index Cards by Name of Claimant (1954-1960)**

(Index cards alphabetical by Name of Vestee with JRSO Claim Number in urhc of index card and Vesting Order Number in lhrc of index card)

Boxes 1 – 2

(Financial Assets Team used Ledgers instead (see Archives II - RG131, Entry 131-64-0382))

**RECORD GROUP 131 – OFFICE of ALIEN PROPERTY, Department of Justice**

The following boxes and bundles of records were transferred to Archives II in College Park, Maryland during the course of the research for PCHA.

**Entry 131-64-0382 – CLAIMS LEDGERS**

These records turned out to be among the most important finds by the Financial Assets Team for the JRSO story.

Ledgers contained the following information:

Posting Date – Date of various actions

Posting Reference – Lists actions such as APC-1A Claim Form, the Balance, and an entry marked WITHDRAWN

Beside the word “withjdrawn” is an UNidentified number

V.O. Number –Vesting Order Number

Claim Number – JRSO Claim Number which is also in the urhc of each ledger sheet after the initial J

Type of Claim – identified by an initial T=Title Clam

Claimed Filed and Pending – gives NUMBER of Claims

Claims Allowed – Column tended to be blank or had an \* which was UNidentified

Cash Payments and Property Returned - Column tended to be blank or had an \* which was UNidentified

Account Number– Individual Accounts were set up in the Office of Alien Property in the NAME of the pre-vesting owner. Account includes property vested and any CASH Realized from the liquidation of the vested asset

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*DW*

# ARCHIVES BOX REVIEW FORM

**TEAM**  
FINANCIAL  
ASSETS

**RESEARCHER**  
A. Gilbert

**SITE**  
NARA  
Archives II  
College Park, MD

**DATE REVIEWED**  
8/12/00  
9/23/00-10/21/00

Record Group:

Entry Number:

Entry Name:

Box Number:

Box Location:

Extent reviewed:  
 Completely (almost every file seen)  
 Skimmed  
 Specific files only  
 Specific documents only

Notes & Comments  
(Including cross reference notations)

Copies made: YES or NO

SEE attached sheets  
Box contains many thin files on FFC Legal Records Precedent Files.  
Topics covered are Nationals, Transactions Prohibited under Executive Order 8389, Transactions Permitted by General Licenses, Transactions under Special Licenses, Patents, Trademarks and Copyrights, Securities Estates and Trusts, Trade, and General Licenses.  
Administrative File is one of most important files in Box 58. Tells story of how FFC became a bureau.  
Reports file is another important file. Both Administrative and Reports file contain Monthly Reports of Legal Division covering General Rulings 5, 6A, 11, 12, and 13; General Licenses, enemy status of Austrian and Sudentenland property, Dutch and French looted bearer securities, insurance problems, unblocking of assets of persons in satellite Balkan countries, investigation of the Swiss banks in New York, and relations between FFC and the APC.  
Several files specifically have documents about refugees, victims of

General Ruling No. 13 hearings on the unblocking of accounts  
General License 53  
General License for purchase of U.S. Government securities, particularly Defense (now Savings)  
Bonds from blocked accounts  
Advisability of amending General License No. 11 covering payment from blocked accounts for  
living expense in U.S.; later doubled amount of monthly withdrawal  
Enemy status of Austrian property but not Sudetenland property for Treasury release to APC  
for vesting  
Vesting of Italian property  
Authorizing governments in exile and neutral nations to receive funds for payment to their  
nationals in estate settlements  
Transfer of General Aniline and Film Corp. stock to the Alien Property Custodian (APC)  
Censorship  
Insurance problems  
Looted securities  
French bearer securities  
Relationship of FFC to APC and development of new Executive Order  
Discussions with APC to simplify procedure for Treasury release of German property to APC  
Discussion with ASPC on licensing distribution of property of enemy decedents to non-enemy  
distributees  
Relations with APC over certain general creditors' claims  
Review of procedure to block accounts  
Question of evidence of ownership with applications to transfer securities from omnibus  
accounts of banks in France and Belgium rested with certification  
Patents and license applications for filing patents in which there is a blocked interest  
Interpretative problems regarding enemy nationality  
Considered advisability of reports on contents of safe deposit boxes  
California escheat statutes and applications for licenses to transfer funds for escheat  
Study of US. Government cooperation with Dutch and Belgian refugee governments to obtain  
control of their nationals assets in the United States  
Unblocking of assets of persons in satellite countries  
Investigation of affairs of three Swiss banks in the U.S.  
Tracing history and development of Form TFR-300 and suggesting its future use  
Presidential request for a study by which foreign ownership of American corporations might be  
curtailed or regulated

The Monthly Reports were read and there were NO headings using the word refugees  
There were NO paragraphs on blocking or unblocking refugee accounts.  
Three reports were found that directly discussed refugees or victims  
In resolving case of a German refugee and her money it was decided that FFC should preserve a  
flexible approach even to cases involving enemy funds (July 1944; see also File 4.05)  
Discussions with State Dept. and OAP about restitution of German property acquired under  
duress; case raised problem of what procedure to follow to reconstitute property held in  
the U.S. which was claimed to be taken from rightful owner under duress (Dec. 1946)

World Jewish Congress wrote FFC asking that persecutee provision be applied to blocked property of persons in satellite countries; FFC proposed to allow special applications for the unblocking of such accounts (Dec. 1946)

World Jewish Congress asked FFC about disposition of blocked assets of victims of enemy persecution who died without heirs; FFC could not release enemy assets because they might be subject to future vesting (Dec. 1946)

File – Policy Statements (0.17) – Contains three letters specifically labeled as FFC policy. Two relevant statements were on policy of U.S. Government with respect to enemy-owned firms and policy on the importation of U.S. currency from Switzerland.

File – General Policy Matters (4.05) – Memo and handwritten notes on margin indicate reasons why FFC decided to take a flexible approach to approving application to unblock real enemy funds. (See also Monthly Report, July 1944)

File – General License No. 42 (1.11, 1.10, 1.09) – Through replies to letters get a picture of the operation of the licensing system. Replies make clear who did and who did not gain the privileges of G.L. 42 granting to blocked nationals the status of generally licensed nationals. File contains letters from Congressmen one concerned the status of a couple with a Jewish name; one was a case of a German Jewish citizen made stateless who came to U.S. and was applying to be a generally licensed national.

File – General License No. 42A (1.19) – Reply shows link between type of visa under which immigrants entered the U.S. and status under G.L. 42. NOTHING in these files on unblocking of refugees funds.

File – Scope of Special License (0.05, 0.09, 0.14, 1.28) – NOTHING in these files on refugees or victims. NOTHING about blocking or unblocking refugee accounts. NOTHING on unblocking by Specific License or Special License.

File – Interpretation of Several Documents (0.22) – Contains short summaries in a memo on FFC policy on several General Licenses and General Rulings and a letter addressing definition of several key terms including “blocked account.”

File – Definition – General (1.01) – Replies to correspondence provide FFC’s definition of terms. Provide difference between national, citizen, and subject. Letters further clarify who is and who is not a generally licensed national.

File – Individuals – Nationals (1.02) – Further clarifies definition of a blocked national.

File – General License No. 28 (1.06, 1.07, 1.08) – Replies clarify difference between meaning of a blocked national and generally licensed national and who is subject to having their accounts blocked. NOTHING in these files on unblocking refugee accounts by Specific License and NOTHING at all on refugee accounts.

File - General Statements (2.01) - Replies to letters asking about transactions prohibited under E.O.8389.

Reply to a letter from the Jewish National Fund informs organization they can only make a payment to an American citizen, as instructed by their Geneva representative, with a license.

File - Remittances (2.03) - Replies about remittances. In one case where money was needed for a hostel for needy refugee French children in Lorraine, the Quakers General License was seen as a more efficient route than the France-American Society's need to obtain a Special License.

One letter from Netherlands Chamber of Commerce in New York requests permission to pay for a Cuban visa so family can emigrate. Letter refers to family being in danger of "in the end being killed by those barbarians." FFC replied a license was needed to make the payment.

Files - (2.05, 2.08, 2.09, 2.11) are useful for their clarifications of key FFC terms.

File - Foreign Exchange (2.11) - Clarifies E.O. provision requiring a license when foreign exchange is involved in a transaction.

File contains case of Dutch broker who escaped without any records who was being pressed by claimants as to ownership of certain securities.

File - General ruling No. 5A (2.16) - Indepth analysis of Ruling sent to Diplomatic and Consular officers except those in Switzerland.

Several files dealt with transactions permitted by General License

File - General License No. 11 (3.03) - Documents in file provide an indepth look at G.L. 11, include discussion of number of persons covered by G.L. 11 in New York Federal Reserve Bank District, implementation of license, and consideration of revoking G.L. 11. One letter refers to nationals of Germany. NOTHING specifically on refugees or their accounts.

File - General Licenses 32, 32A, 33 (Remittances) (3.05) - Documents include a memo on Remittance Licenses looking at changes in licenses, an increase in amount that can be remitted abroad, and reporting requirements. Other letters give examples of FFC reasons for denial of license applications for remittances; guidelines for remittances, explanation of G.L. regulating remittances, and power of attorney; FFC rulings on G.L. 32 and 33; and FFC policy that remittances cannot be made from sub-accounts.

Incoming and outgoing letter from Office of Censorship deals with requests for funds sent from Sweden by individuals escaping from enemy territory. Communications request remittances under G.L. 32. Since FFC has interpreted G.L. 32 to permit living expenses to be sent to refugees who have escaped enemy territory, Treasury Dep. had no objection to the release of the communications asking for financial assistance requested by refugees.

File - General License No. 97 (3.13.) - FFC memo to Federal Reserve Bank of New York examines G.L. 97; memos give interpretation of License.

Box 58 begins a series of more than ten boxes containing FFC Legal Records. FFC Legal Records are grouped under Precedent Files and Special Subject Files (see Box 59) Precedent Files were kept on the following topics: General, Nationals, Transactions Prohibited under Executive Order 8389, Transactions Permitted by General Licenses, Transactions under Special Licenses, Patents, Trademarks and Copyrights, Securities, Decedents Estates and Trust, Trade, Claims Against Foreign Assets in the U.S., Insurance Companies, Liquidation, Vesting and Supervision, several General Rulings including No. 11, No. 11A, No. 12, and No. 13 and Trading with the Enemy Act. (Headings listed between Securities and TWEA are found in Box 59.)

Box 58 contains many thin files on replies to letters about specific FFC policies on General Licenses, Special Licenses, and transactions permitted under Executive Order 8389 relating to various topics such as remittances, foreign exchange, transfers of stock, patents, real estate transactions, safe deposit boxes, and dealings in Europe.

There are NO incoming letters in Box 58 and some referenced replies with interpretations and precedents could not be found in Box 58 or Box 59.

File - Administrative (0.19) is one of the important files in Box 58. File tells the story of how Foreign Funds Control became a Treasury bureau through a Treasury Order of July 1942. File contains memoranda requesting Bureau status for FFC, asking if Treasury can create a bureau without Congressional sanction, noting benefits such as the end to the confusion over responsibility for expenditure of FFC appropriations, improvement of financial management of FFC, and fact separate FFC Annual Report was not required. Also contains quotes from books by authorities on government organization and management and compares origin and status of Secret Service as an example. Notes erroneous organization chart in 1941 Treasury Annual Report.

File - Administrative (0.19) contains a paper on Foreign Governments and Remittances Section of FFC (19 pages) that goes into issues of a highly legal nature : estates, trusts, inheritances, patents, copyrights, and royalties. Remittances abroad are characterized as of almost every nature and include living expenses, pension, dividends, and salary payments. Paper summarizes development of General Licenses for remittances.

Though paper goes into remittances in some detail but has only two sentences that specifically discuss FFC policy on assisting bona fide refugees (p.13).

File - Administrative (0.19) and Reports (0.24) are among the important files in Box 58. The Monthly Reports, covering April 1942 through Jan. 1947, gave snapshots of the status of issues before FFC such as:

General Ruling No. 5 to extend to currency treatment accorded securities

General Ruling 6A on importation of currency

General Ruling No. 11 prohibiting trade and communications with enemy nationals and designed to supplant inflexible concepts of old TWEA

General Ruling No. 12 voiding all unlicensed transfers of blocked assets in the U.S. as part of policy to protect all blocked assets

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# ARCHIVES BOX REVIEW FORM

<b>TEAM</b> FINANCIAL ASSETS	<b>RESEARCHER</b> A. Gilbert	<b>SITE</b> NARA Archives II College Park, MD	<b>DATE REVIEWED</b> 10/21/00
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Record Group:

56

Entry Number:

56-75-101

Entry Name:

OASIA 9(Treasury) - Legal Staff Records -

Box Number:

232

General Subject Files

Box Location:

450-81-15-01

Extent reviewed:

- Completely
- Skimmed
- Specific files only (Escheat-1967)
- Specific documents only

Notes & Comments

(Including cross reference notations)

Copies made: YES or NO

Nothing Escheat specifically about World War II

File Excheat had four documents; three were relevant.

Least relevant tied escheat to postal savings accounts when it summarized the state escheat statutes of four states.

Two, dated November 1967, were VERY USEFUL.

"Outline of Escheat Points" (4 pages) defined escheat, looked at the general rules under which states take possession of property, and state's appropriate proceedings.

Survey of State Escheat Statutes (6 pages) asked whether state's statute was a true escheat law or merely custodial and what provision was there to indemnify holder of escheated property in event of a claim. Looked at states that had adopted Uniform Act on Escheat and those that had made relevant changes to Act.

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# ARCHIVES BOX REVIEW FORM

<b>TEAM</b> FINANCIAL ASSETS	<b>RESEARCHER</b> Valerig Bauwens	<b>SITE</b> NARA Archives II College Park, MD	<b>DATE REVIEWED</b> 9/27/00
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Record Group:

131

Entry Number:

247

Entry Name:

Foreign Funds Control-Correspondence 1942-60

Box Number:

364

Box Location:

230-38-26-06

Extent reviewed:

- Completely
- Skimmed
- Specific files only
- Specific documents only

Notes & Comments  
(Including cross reference notations)

Copies made: YES or NO

Reviewed for OAP 700 Census Forms - NOT in box  
though an OAP file is listed on Form 135

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# ARCHIVES BOX REVIEW FORM

<b>TEAM</b> FINANCIAL ASSETS	<b>RESEARCHER</b> Valeria Bauwens	<b>SITE</b> NARA Archives II College Park, MD	<b>DATE REVIEWED</b> 9/27/00
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Record Group:

131

Entry Number:

247

Entry Name:

Foreign Funds Control-Correspondence 1942-60

Box Number:

365

Box Location:

230-38-26-06

Extent reviewed:

- Completely
- Skimmed
- Specific files only
- Specific documents only

Notes & Comments  
(Including cross reference notations)

Copies made: YES or **NO**

Reviewed for OAP 700 Census Forms or final statistics  
 NOT in box though an OAP file is listed on Form 135  
 Box contains odd files on TFR 100 and 132 forms

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# ARCHIVES BOX REVIEW FORM

<b>TEAM</b> FINANCIAL ASSETS	<b>RESEARCHER</b> Valeri <del>e</del> Bauwens A. Gilbert	<b>SITE</b> NARA Archives II College Park, MD	<b>DATE REVIEWED</b> 9/27/00
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Record Group:

131

Entry Number:

247

Entry Name:

Foreign Funds Control-Correspondence 1942-60

Box Number:

366

Box Location:

230-38-26-06

Extent reviewed:

- Completely
- Skimmed
- Specific files only
- Specific documents only

Notes & Comments

(Including cross reference notations)

Copies made: YES or NO

NO OAP-300 Census Forms or final statistics

Two documents copied

One on German-Owned Assets in U.S. as of June 1941 census but broken down by asset size

Second document on clarification of problems with TFR-300 Form for Census of June 1941; included situation re beneficial ownership of securities held for nationals

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# ARCHIVES BOX REVIEW FORM

<b>TEAM</b>
FINANCIAL ASSETS

<b>RESEARCHER</b>
Valerie Bauwens

<b>SITE</b>
NARA Archives II College Park, MD

<b>DATE REVIEWED</b>
9/27/00 and 10/6/00

Record Group:

131

Entry Number:

247

Entry Name:

Foreign Funds Control-Correspondence 1942-60

Box Number:

367

Box Location:

230-38-26-06

Extent reviewed:

- Completely
- Skimmed
- Specific files only
- Specific documents only

Notes & Comments

(Including cross reference notations)

Copies made: YES or NO

No OAP Census Forms or Final Statistics

General Correspondence on TFR-300

some documents copied earlier; fall review - nothing copied

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07/14/1999

# ARCHIVES BOX REVIEW FORM

**TEAM**  
FINANCIAL  
ASSETS

**RESEARCHER**  
Valerie Bauwens

**SITE**  
NARA  
Archives II  
College Park, MD

**DATE REVIEWED**  
10/1/00 and  
10/6/00

Record Group:

131

Entry Number:

247

Entry Name:

Foreign Funds Control-Correspondence 1942-60

Box Number:

368

Box Location:

230-38-26-06

Extent reviewed:

- Completely
- Skimmed
- Specific files only
- Specific documents only

Notes & Comments  
(Including cross reference notations)

Copies made: YES or NO

Reviewed for OAP 700 Census Forms and Final Statistics  
None in box  
Box held general correspondence on TFR-300, TFR-400, and TFR-5

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07/14/1999

# ARCHIVES BOX REVIEW FORM

<b>TEAM</b> FINANCIAL ASSETS	<b>RESEARCHER</b> R. SKWIROT A. Gilbert (V. Bauwens)	<b>SITE</b> NARA Archives II College Park, MD	<b>DATE REVIEWED</b> 7/00 and 8/00
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Record Group:

Entry Number:

Entry Name:

Box Number:

Box Location:

Extent reviewed:

- Completely
- Skimmed
- Specific files only re TFR-600
- Specific documents only

NOTHING in file  
on OAP-700 cens

Notes & Comments  
(Including cross reference notations)

Copies made:  YES or  NO

Documents dated 1948-1951

**Important Box for TFR-600 Census** carried out as of June 1, 1948

Most important file - **Reports TFR-600 - Information to Recipient Countries**

Contains WEEKLY TABLES, prepared between June and Sept. 1948, of CENSUS DATA

Contains letters between FFC and embassies of Recipient Countries on their certification forms, statements, and/or procedure for unblocking that foreign country's property.

Contains several memos on FFC policy on sharing TFR-600 data with Recipient Countries, the soon-to-be Marshall Plan countries

Bulk of file consists of tables of detailed verbal TFR-600 country information from Recipient Countries such as France, Italy, Sweden; much less information for Denmark, Norway, and the Netherlands. Column headings include name and address of beneficiary, **description of assets** to be unblocked, banks where assets were deposited, and remarks. **Sometimes dollar amounts are given in table.** Data is important because individual TFR-600 forms were legally destroyed by the National Archives.

07/14/1998

# ARCHIVES BOX REVIEW FORM

<b>TEAM</b> FINANCIAL ASSETS	<b>RESEARCHER</b> R. SKWIROT A. Gilbert (V. Bauwens)	<b>SITE</b> NARA Archives II College Park, MD	<b>DATE REVIEWED</b> 7/00 and 8/00
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Record Group:

131

Entry Number:

247

Entry Name:

Foreign Funds Control-Correspondence 1942-50

Box Number:

370

Box Location:

230-38-26-06

Extent reviewed:

- Completely
- Skimmed
- Specific files only re TFR-600
- Specific documents only

Notes & Comments  
 (Including cross reference notations)

Copies made: YES or NO

Read and photocopied all of File - Reports TFR-600: Foreign Fur

File of 14 pages covers Feb.-April 1953

Re identifying French beneficial owners of Forieng Funds  
 Frozen accounts in U.S.

Valerie reviewed file for OAP 700 Census and there was  
 NOTHING in the file

side is available for additional notation s

07/14/1999

# ARCHIVES BOX REVIEW FORM

<b>TEAM</b> FINANCIAL ASSETS	<b>RESEARCHER</b> A. Gilbert	<b>SITE</b> NARA Archives II College Park, MD	<b>DATE REVIEWED</b> 10/31/00
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Record Group:

131

Entry Number:

247

Entry Name:

Foreign Funds Control-Correspondence 1942-60

Box Number:

380

Box Location:

230-38-27-04

Extent reviewed:

- Completely
- Skimmed
- Specific files only
- Specific documents only

Notes & Comments  
(Including cross reference notations)

Copies made: YES or NO

Box reviewed to see derivation of PCHA description of "OAP Correspondence". Comes from dates and fact FFC was transferred to OAP in 1948.

Box is formally labeled as Foreign Funds Control Correspondence and several files are also so marked with specific instructions the NOT be incorporated into OAP files.

Copied all of files (not many pgs in each) on:  
Defrosting Rumanian Accounts - 1959  
Rueckwanderer Mark Companies - Fall 1943

Earlier staff copied file pages on title ad debt claims for OAP's 1948 Annual Report

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07/14/1999

# ARCHIVES BOX REVIEW FORM

**TEAM**  
FINANCIAL  
ASSETS

**RESEARCHER**  
A. Gilbert

**SITE**  
NATA  
Archives II  
College Park, MD

**DATE REVIEWED**  
10/18 and 10/21/00

Record Group:

Entry Number:

Entry Name:

Box Number:

Special Subject Files

Box Location:

Extent reviewed:  
 Completely  
 Skimmed  
 Specific files only (most of files in box)  
 Specific documents only

Notes & Comments  
(Including cross reference notations)

Copies made: YES or NO

Covered variety of topics.

Most important files are on relationship between Foreign Funds Control (FFC) and Alien Property Custodian (APC).

See Attached sheet.

Box 60 contains files on a variety of topics including legislation, licensing, patents, safe deposit boxes, vesting, and FFC-APC relations.

Two most important files in box are: **APC-General File** and **APC-Policy** which given an excellent idea of the process of the interaction between Foreign Funds Control (FFC) and the Alien Property Custodian (APC).

**General File** memo of Dec. 1942 notes a smooth functioning routine has been established to handle contacts between the two agencies. Certain clarifying principles and procedures include interest of enemy nationals in estates and trusts.

File contains a large chart on procedure for handling business enterprises where two agencies have mutual interests.

File also contains memos on a blanket vesting order for blocked Italian property, blanket vesting orders and banks, vesting of debts, and relationship between FFC and APC on the vesting of stock, dividends and rents of vested enemy business enterprises.

**Policy File** includes memos on the delineating of authority between the two agencies, the procedure for releasing Treasury control over property vested by the APC, the problem of multiple blocked enemy and non-enemy interests in interests in estates and trusts, the relationship between FFC and APC in connection with estates and trusts, and a memo (fall 1945) on vesting bank accounts. Copy of large procedural chart is also in this file.

File on Austria and Sudentenland includes memos on State Dept.'s policy of not wanting any Sudentenland property vested.

File on Bill 1946 is disappointing; contains NO policy memoranda or discussion of persecutee proviso to Section 32 of TWEA.

Legislation file mainly public sources. Includes 1944 hearings on H.R.4840 and pages from Federal Register containing recodification of FFC material under OAP.

Memoranda file contains memos on Treasury's release of property in cases of multiple enemy and non-enemy interests in various vested assets, including securities and estate and trust cases; on FFC obtaining APC's investigative reports; and on the APC obtaining securities out of safe deposit boxes.

Miscellaneous file includes memo on policy of FFC on conduct of hearings and disclosure to applicant of reasons for denial of a license and memos on establishing a workable procedure to handle work arising from FFC relationship with OAP.

Safe Deposit Box file looks to problem that no one in the U.S. has authority to get into boxes.

Trusts and Estates file includes memos on release of non-enemy interests in estates vested by APC where interests of blocked nationals are left; and on residues of estates and trusts deposited with State Treasurers.

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# ARCHIVES BOX REVIEW FORM

**TEAM**  
FINANCIAL  
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**RESEARCHER**  
A. Gilbert

**SITE**  
NATA  
Archives II  
College Park, MD

**DATE REVIEWED**  
10/5-6, 10/21/00

Record Group:

56

Entry Number:

66-A-816

Entry Name:

Foreign Funds Control Activities - Legal Records

Box Number:

61

Special Subject Files

Box Location:

450-80-21-03

Extent reviewed:

- Completely
- Skimmed
- Specific files only (saw most files in box)
- Specific documents only

Notes & Comments  
(Including cross reference notations)

Copies made: YES or NO

covered variety of topics

Most important is defrosting program of major countries such as Aust, Belgium, France, Italy, Netherlands, Poland, Sweden, and Switzerland.

Includes files on legislation dealing with Marshall Plan, TWEA, and German Assets.

Contains file on disposition of unclaimed currency and securities.

See attached sheets

Box 61 contains miscellaneous records on defrosting program.

Most important files in box are those on defrosting programs relating to Austria, Sweden, and Switzerland.

File on Austria includes a 1947 memo from Federal Reserve Bank of New York to FFC on unfreezing small legacies of \$1,000 or less where German nationals are involved; FFC's proposal to unblock all accounts of less than \$10,000 and all estates and trusts created by persons in the U.S. by General License, except those with enemy interests; a memo on taking over the U.S. assets of Austrian Nazis, a memo on vesting Deutsche Reichbank U.S. accounts; and an Austrian Defrosting Report (26 pages).

File on France includes memos on not releasing TFR-300 census data to France.

File on Greece deals with certification process.

File on Poland includes "Outline of Survey of domestic Post-Occupational Problems, Policies and Programs" in Poland; survey outline looked closely at Polish property in the U.S. and possible use of blocked funds.

File also includes data on Danish assets in the United States.

File includes outline of types of French property in the U.S. by type of investor, including Vichy France and private assets listing diamonds, jewelry, art, and safe deposit boxes. Statistical data is provided only for large bulk categories such as private assets.

File on Poland also includes copy of instructions for filing TFR-300 on census of foreign-owned assets in the U.S.

Files on Sweden cover negotiations on unblocking Swedish assets in the U.S. and Swedish certification process

File on Switzerland's certification process includes memos on unblocking Swiss and Liechtenstein assets, a memo on falsified affidavits of ownership of foreign securities brought out of Germany and German-occupied Europe, and a copy of the February 1947 Instructions issued by the Swiss Clearing Office to the Swiss Banks approved for Certification of Swiss Assets in the U.S.A. (63 pages). Paragraph 45 (pp.31-32) is about refugees and those considered by representatives of the U.S. Treasury to be bona fide victims of Nazi Government persecution.

Country files on Belgium, Czechoslovakia, Italy, Portugal, and Spain had nothing relevant for Commission. Netherlands file dealt with looted securities procedure.

File on Disposition of Unclaimed Currency and Securities includes memos on what to do about unreleased securities taken up under wartime import regulations. One memo (19 pages) discusses cases on escheated money.

Legislation file on Marshall Plan program includes November 1947 revision of National Advisory Council Committee's memo on certification procedure, new census of remaining blocked assets, and proposals to vest uncertified assets held indirectly through Swiss banks and to transfer all other uncertified assets to OAP where they would be subject to vesting. (Final Report of Jan. 14, 1948 is in RG131, Entry 247, Box 95, Defrosting File-2 [310820-836].

Legislation file contains published report on 1955 bill to authorize Foreign Claims Settlement Commission to handle certain claims against satellite asset countries and Italy.

Box 61 had no data on OAP-700 census or final statistics.

File had NOTHING on specific or individual licenses for unblocking accounts of refugees.

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Record Group:

56

Entry Number:

66-A-816

Entry Name:

Foreign Funds Control Activities - Legal Records

Box Number:

63

Special Subject Files

Box Location:

450-80-21-05

Extent reviewed:

- Completely
- Skimmed
- Specific files only re French Securities
- Specific documents only

Notes & Comments

(Including cross reference notations)

Copies made: YES or **NO**

NO files on General Ruling 11 or General Ruling 11A

Files on French Bearer Securities and French Securiteis Licenses were skimmed

Reviewed ~~two~~ files on Marhalling French Securitiés and Securiteis Pro Both related to French securitiés and the ERP plan

Files on Importation ofCurency and on VestingProgram NOT reviewed

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# ARCHIVES BOX REVIEW FORM

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Record Group:

56

Entry Number:

66-A-816

Entry Name:

Foreign Funds Control Activities - Legal Records

Box Number:

64

Special Subject Files

Box Location:

450-80-21-05

Extent reviewed:

- Completely
- Skimmed
- Specific files only
- Specific documents only

Notes & Comments

(Including cross reference notations)

Copies made: YES or NO

Copied Enemy Decedent Esttes - one letter-one page only

Copied TFR-300, TFR-500, and some TFEL forms, and a Report to be Filed by Persons Required to Report under Licenses issued Under E.O. 8389.

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Record Group:

56

Entry Number:

66-A-816

Entry Name:

Foreign Funds Control Activities - Legal Records

Box Number:

65

Special Subject File

Box Location:

450-80-21-05

Extent reviewed:

- Completely  
 Skimmed  
 Specific files only    Monthly Reports  
 Specific documents only

Notes &amp; Comments

(Including cross reference notations)

Copies made: YES or NO

File - Monthly Reports to the General Counsel  
 Monthly Reports included Foreign Funds Control Activities

Reports covered a variety of topics

6 thick files covering the monthly reports for each year  
 1942, 1943, 1944, 1945, 1946, and 1947

Selected pages copied

Documents covered liberation, Defrosting problems with  
 Netherlands, problems involving Germany, Freezing of  
 Satellite Assets (Balkan enemies) Art Objects, APC Vesting  
 Procedure, Estates and Trusts and Escheat Statutes, editing  
 of Foreign Funds Control History (official wartime history)  
 (Full history is in Box 47), Swiss Gold, defrosting licenses  
 with France, Looted Bearer Dollar Securities, and Relaxation of  
 Patent Licensing Policy. Also Italian Blocked Property and  
 the Swiss Bankers Association.  
 Monthly reports also dealt with General Licenses and Cases.  
 NOTHING about blocking or dealing with accounts of Refugees

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# ARCHIVES BOX REVIEW FORM

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ASSETS

**RESEARCHER**  
A. Gilbert

**SITE**  
NATA  
Archives II  
College Park, MD

**DATE REVIEWED**  
11/30/00

Record Group:

56

Entry Number:

66-A-816

Entry Name:

Foreign Funds Control Activities - Legal Records

Box Number:

65

Special Subject Files

Box Location:

450-80-21-05

Extent reviewed:

- Completely
- Skimmed
- Specific files only  Miscellaneous
- Specific documents only

Notes & Comments  
(Including cross reference notations)

Copies made: YES or NO

Read and photocopied relevant documents from file - Miscellaneous Documents dated 1946-1950

Topics covered restitution of securities (external restitution)

Termination of the Looted Securities Program

Claims submitted under War Claims Act of 1948

Unclaimed or Abandoned Property held by the U.S.

Long October 1946 memo on "Masterless" Property

International Claims Settlement Act file had NO policy memos; only bills and Congressional Record pages

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Record Group:

56

Entry Number:

66-A-816

Entry Name:

Foreign Funds Control Activities - Legal Records

Box Number:

66

Special Subject File:

Box Location:

450-80-21-05

Extent reviewed:

- Completely
- Skimmed
- Specific files only Monthly Reports
- Specific documents only

Notes & Comments

(Including cross reference notations)

Copies made:

~~NO~~ **NO**

File - Monthly Reports to the General Counsel  
Monthly Reports included Foreign Funds Control Activities

Reports covered a variety of topics

5 thick files covering the monthly reports for each year  
1948, 1949, 1950, 1951, and 1952

NOTHING on blocking or defrosting refugee accounts

Monthly reports dealt with General Licenses and Cases.

Beginning with 1951 Reports were about Foreign Assets Control  
since Foreign Funds Control functions had been transferred to  
Justice in 1948 and FAC was created in Dec. 1950

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Record Group:

56

Entry Number:

70-A-6232

Entry Name:

(OASIA) Country and Area Records

Box Number:

76

Box Location:

450-80-28-4-5

Extent reviewed:

- Completely
- Skimmed
- Specific files only - SWISS/1/43 - War Settlements (1946-1958)-Vol. I
- Specific documents only

Notes & Comments  
(Including cross reference notations)

Copies made: YES or NO

File documents were TOO late  
 NOTHING on defrosting  
 NOTHING on vesting Swiss bank accounts held for enemy nationals

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Record Group:

Entry Number:

Entry Name:

Box Number:

Box Location:

Extent reviewed:

- Completely
- Skimmed
- Specific files only - SWISS/9/00 - Military and War Related Activities (1949-1959) - Vol. I
- Specific documents only

Notes & Comments  
(Including cross reference notations)

Copies made: YES or NO

File documents were TOO Late

NOTHING on defrosting

NOTHING on vesting Swiss bank accounts held for enemy nationals

# ARCHIVES BOX REVIEW FORM

**TEAM**  
FINANCIAL  
ASSETS

**RESEARCHER**  
R. SKWIROT  
A. Gilbert

**SITE**  
NARA  
Archives II  
College Park, MD

**DATE REVIEWED**  
8/00, 9/00

Record Group:

Entry Number:

Entry Name:

Box Number:

Box Location:

Extent reviewed:

- Completely
- Skimmed
- Specific files only
- Specific documents only

Notes & Comments  
(Including cross reference notations)

Copies made:  YES or  NO

Box held no correspondence or memos on blocking and unblocking accounts of refugees.

Files on Alien Property Custodian were not relevant

Files on Vesting of Estates was useful

Blocked Accounts and Generally Licensed Accounts files Had NO information on victims.

Files on General Ruling 11 and General Ruling 11A are most important in box.

See attached sheet.

Box 59 contains many thin files on a wide range of topics including Securities, Decedents Estates and Trusts, Trade, Reporting on TFR-300, TWEA, Insurance Companies, Blocked Accounts, and General Ruling 11 and 11A.

File on Blocked Accounts is a series of replies to specific inquiries about such topics as blocked bank accounts, estates, guardians for German nationals in U.S. mental institutions, and asking about privileges of a generally licensed national.

Though some letters are to persons with German-Jewish names about their blocked accounts, there are NO letters on freezing or unblocking accounts of refugees.

NO incoming letters are in Box 59.

File on Generally Licensed Accounts also had NO information about refugee accounts.

File on General Ruling No. 11 contains an "Analysis of General Ruling No. 11" (14 pages). Looks at specific licenses; some are for persons with Jewish names, two are Jewish-French citizens resident in U.S. Another part of the report (7 pages) looks at typical applications by category. While victims are not directly mentioned, certain categories, such as communicating directly with an enemy national, would relate to victims.

File on General Ruling 11A consists of letters and memos. A 1946 Comment noted the relation of the Ruling to refugees; several letters noted the requirement to segregate or earmark blocked German accounts subject to General Ruling 11A.

File on Escheat consists of letters relating to need for a license to conduct transactions relating to blocked estates.

File on Vesting of Estates and Trusts consists of a few letters relating to specific cases that shed light on FFC policy relating to the vesting of estates by the APC.

File of Sale of Trust Property relates to a specific case dealing with the assets of Italians.

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Record Group:

56

Entry Number:

69-A-4707

Entry Name:

OASIA (Treasury) - International Statistics Division

Box Number:

43

Box Location:

490-39-27-5

Extent reviewed:

- Completely
- Skimmed
- Specific files only
- Specific documents only

Notes & Comments

(Including cross reference notations)

Copies made: YES or NO

File - Congressional Inquireies and Hearings had NOTHING on OAP-700

File - A.P.C. had NOTHING on OAP-700

File held other interesting and useful documents

File contained a "Report on German Assets in the U.S. Vested in the Ali-  
Proeprty Custodian" dated Sept. 1945. Paragraph on other assets  
listed bank accounts and bank balances and securities as well as estat  
and trusts.

Statement of OAP Director before a Senate Committee on Military Affair  
in June 1945 looked at the different pints of view of what to do with  
and ultimate disposition of vested property; OAP in conjunction with  
Treasury recommended vesting and liquidation of blocked German assets.

Memo to Harry Dexter White from OAP in Jan. 1945 presented June 1944  
data on the net equity value of German vested property and that of the  
Balkan enemies, Italy, and Japan, and compared it with value at date  
vesting. Bank balances went UP in all cases. Dollar amounts reflect  
amounts collected for vesting by OAP.

reverse side is available for additional notation spac

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Record Group:

56

Entry Number:

69-A-4707

Entry Name:

OASIA (Treasury) - International Statistics Divisic

Box Number:

46

Box Location:

490-39-27-6

Extent reviewed:

- Completely
- Skimmed
- Specific files only re OAP-700 Reports
- Specific documents only

Notes & Comments  
(Including cross reference notations)

Copies made: YES or NO

Looked at four files for OAP-700 report information and data  
Date of Survey-as of Oct. 1950

File - Subject: Gold and Dollar Resources-Vol. Ia - NOTHING on OAP 70

File - Control of Foreign Interests in U.S. Industry - NONE on OAP 70

File - Federal Reserve Bank of New York-Data Obtained From... - NONE  
on OAP 700; NOTHING in file

Most important file in box - Form OAP-700 Report  
File contained NO DATA; NOTHING on results of Survey  
Only one document in File - letter of Oct. 1950 from OAP telling  
New York's Foreign Exchange Committee that their 11 Qs and As  
accurately reflect the views of OAP.  
Qs and As deal with filling out Form OAP-700  
Two most interesting questions are 11 on Sub-titled accounts and  
their beneficial owners and 4 on address of national whose property  
is being reported.

reverse side is available for additional notation spac

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Record Group:

Entry Number:

Entry Name:

Box Number:

Box Location:

Extent reviewed:

- Completely
- Skimmed
- Specific files only re Vesting
- Specific documents only

Notes & Comments  
(Including cross reference notations)

Copies made:  YES or  NO

File - Germany: Vesting contains some useful and relevant documents

Contains memo on policy discussion arriving at cut-off date after which German assets in U.S. would NLT be vested; desire to have IARA select date

Contains memos on Allied Control Council Vesting in Germany and steps to be taken in regards to neutral nations

Contains internal Treasury memo on vesting of enemy property; notes considerable differences of opinion on vesting satellite assets

Contains primary documents, including signed carbon copies of Memos to President, and development of E.O. to VEST German enemy property in U.S. Several documents contain initials and/or signatures.

Contains Memorandum on the Validity and Enforcement of an Allied Control Council Decree Vesting German Assets, April 1945 (19 pages).

reverse side is available for additional notation space

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A. Gilbert

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NARA  
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College Park, MD

DATE REVIEWED  
10/21/2000

Record Group:

56

Entry Number:

68-A-2809

Entry Name:

Foriegn Funds Control Activities

Box Number:

43

Box Location:

450-81-17-4

Extent reviewed:

- Completely
  - Skimmed
  - Specific files only
  - Specific documents only
- Code Charts

Notes & Comments  
(Including cross reference notations)

Copies made: YES or NO SAMPLE pages

File - TFR-300 Code Charts

Copied Code Charts for Tabulation of Census of Foreign-Owned Proeprty in the U.S. copied sample pages only.

Usefulness is limited as published report is easier to use

Code Charts would be used to read printouts in Suitland of German blocked property. Printouts were made in order to VEST German enemy property.

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# ARCHIVES BOX REVIEW FORM

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A. Gilbert

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College Park, MD

**DATE REVIEWED**  
10/6/2000

**Record Group:** 56

**Entry Number:** 66-A-816

**Entry Name:** Foreign Funds Control Activities

**Box Number:** 47

**Box Location:** 450-80-20-07

**Extent reviewed:**  
 Completely  
 Skimmed  
 Specific files only FFC-General 1942 - 1948  
 Specific documents only

Notes & Comments  
(Including cross reference notations)

Copies made: YES or NO SAMPLE memos

Reviewed four files giving General Information on the Administration Structure, and Functions of FFC, 1940-1948

Vols. II, III, and IV, covered 1942, 1943, and 1944, respectively

Last file covered 1945-1948

Copied some sample memos from 1942 and 1944 on blocked accounts

Very little on Specific Licenses and NONE about Specific Licenses to refugees or to release of blocked accounts in fields for 1942, 1943, and 1944

File on 1945-1948 had NOTHING on the vetting of Swiss and Liechtenstein bank accounts.

07/14/1998

# ARCHIVES BOX REVIEW FORM

**TEAM**  
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A. Gilbert

**SITE**  
NARA  
Archives II  
College Park, MD

**DATE REVIEWED**  
8/00

Record Group:

131

Entry Number:

247

(Old Accsn. 61-A-109)

Entry Name:

Foreign Funds Control-Correspondence 1942-60

Box Number:

95

Box Location:

230-38-13-06

Extent reviewed:

- Completely
- Skimmed
- Specific files only Defrosting files
- Specific documents only

Notes & Comments  
(Including cross reference notations)

Copies made:  YES or  NO

Reviewed Files - Defrosting-General File - Vols, 1, 2, and 3

Documents trace chronologically development of defrosting policy and role of FFC, Treasury, OAP, the Attorney General, and the State Dept. in that policy formulation and implementation

Few documents relate to persecutees directly; few that do relate to Hungary, Bulgaria, and Rumania in the 1950s, unblocking in late 40s

NO documents found that directly discuss victims or persecutees of Germany

OAP-700 census table in File-3, attached to Jan. 1952 letter.

See attached sheets.

Side is available for additional notation s

Box 95 contains three VERY IMPORTANT files on DEFROSTING POLICY.

The three files contain the CHRONOLOGICAL DEVELOPMENT of defrosting policy from late 1943 through the late 1950s and include the views of all the major agencies: Foreign Funds Control (FFC), the Treasury Dept., the Office of Alien Property (OAP), the Attorney General of the United States, and the State Dept.

File - Defrosting - General File - Vol. 1 contains documents on early development of policy, working out some of the problems in the process of unblocking Belgian and French-owned accounts, licensing of blocked accounts as liberation spread across Europe, General Licenses 94 and 95, suggestions to use unblocking as a bargaining device in post-war negotiations, genesis of the certification program for defrosting frozen assets, development of the European Recovery Program (ERP) (forerunner of the Marshall Plan) and the unblocking of private assets of Western European nationals, disposition of property in the U.S. blocked as Dutch, the consideration of the certification and unblocking of Swiss accounts, and discussions of procedures looking to the termination of all blocking. Liquidation of Foreign Funds Control operations in Treasury had begun by December 1947.

File does not contain many documents that directly discuss refugees, victims, or persecutees or Jewish-owned assets. Correspondence in Nov.-Dec. 1946 discusses the disposition of assets owned by Jewish persons in Hungary, Bulgaria, and Rumania. FFC announced a policy of giving sympathetic consideration to the release of blocked property belonging to such persons.

File - Defrosting - General File - Vol. 2 contains documents on the development and implementation of the National Advisory Council's policy that led to the Snyder-Vandenberg certification program, census of remaining blocked property, and the end of freezing controls, the concurrence of the Attorney General, joint Treasury and Justice discussions in early 1948 to terminate residual blocking operations, and continuing negotiations with the Swiss over certification of Swiss blocked accounts.

File does NOT contain any documents that directly discuss refugees, victims, or persecutees of Jewish-owned assets.

File - Defrosting - General File - Vol. 3 contains documents on the development of the policy on the disposition of uncertified assets, final discussions and implementation of the policy to vest uncertified Swiss accounts, consideration of simplifying the process of unblocking any remaining assets, decisions looking to the unblocking of interests in estates and trusts, continuing work of the Technical Committee on Swiss Problems, application of General License 97 to Swiss omnibus accounts and the release of accounts under \$5,000, transfer of FFC to the Justice Dept., and the end of Foreign Funds Control, and the removal of all remaining World War II freezing controls on Western European assets in the U.S. in June 1953.

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Record Group:

Entry Number:

Entry Name:

Box Number:

Box Location:

Extent reviewed:

- Completely
- Skimmed
- Specific files only
- Specific documents only

Notes & Comments  
(Including cross reference notations)

Copies made: YES or NO

Contains several files dealing with the freezing policy.

Policy covered includes extension of freezing area, inconsistencies in freezing policy, use of free and blocked dollars for remittances abroad.

File - Minutes of Meetings of Foreign Funds Control only skimmed.

Only a few memos deal with FFC policy towards refugee accounts. Use of word victim not seen in files reviewed.

See attached sheet.

Box 55 contains several files dealing with freezing policy.

File – Freezing-Agenda - At policy meetings as early as October 1940, top officials, such as the Secretaries of State and Treasury and the Attorney General, discussed controlling the movement of European funds and the extension of the area of foreign exchange controls to the aggressor nations or to all of Europe or to the world. The Attorney General believed that German assets should be blocked. In May of 1940 officials considered policy of prohibiting imports to prevent Germany from realizing on bearer bonds.

File – Freezing - Policy – In November 1940 officials grappled with inconsistencies in freezing policy. Treasury examined use of free and blocked dollars for remittances; file contains tables of data on 1941 remittances to Europe. Swiss Minister came to discuss situation of Swiss assets in the U.S. March 1941 speech of FFC Director John Pehle dealt with the nature, scope, and purposes of the freezing control and characterized exchange control as an important implement of economic warfare. And Treasury considered enforcement problems over foreign banks in the U.S. if controls were extended.

Several memos dealt with FFC policy towards refugee accounts. In Feb. 1941 had implemented policy that refugees could use their accounts for subsistence. In March held that when a national flees to another country, assets in U.S. are not unfrozen but owner could gain use through licenses.

Files – Freezing – Chronological – several files covering 1940-1942 (some files are monthly, some for six months) provide, through incoming and outgoing correspondence and memos, a chronological development of policy. Unfortunately, both incoming and outgoing correspondence are not in the file; usually only one side of the exchange is in the file.

There appear to be only a few explicit statements on FFC policies on the freezing of funds belonging to refugees.

File – Freezing - Minutes of Meetings of Foreign Funds Control – Covers Sept. 6, 1940 to Dec. 6, 1941. Contents skimmed.

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07/14/1999

# ARCHIVES BOX REVIEW FORM

**TEAM**  
FINANCIAL  
ASSETS

**RESEARCHER**  
A. Gilbert

**SITE**  
NARA  
Archives II  
College Park, MD

**DATE REVIEWED**  
11/21/00

Record Group:

56

Entry Number:

66-A-816

Entry Name:

Foreign Funds Control Activities - Freezing Program

Box Number:

56

Box Location:

450-80-21-03

Extent reviewed:

- Completely
- Skimmed
- Specific files only
- Specific documents only

Notes & Comments  
(Including cross reference notations)

Copies made: YES or **NO**

FFC's Freezing Program - Country and Area Files

Box 56 contained files of major wartime countries. Looked at Argentina, Austria, Baltics, Belgium, Brazil, Bulgaria, Czechoslovakia, Denmark, France, Germany, Greece, and Hungary files. Also Algeria and Italy.

Topics in files "covered the waterfront"; many different subjects

Germany file includes banking matters, freezing of diplomatic funds etc.

Documents were memos and letters.

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# ARCHIVES BOX REVIEW FORM

<b>TEAM</b> FINANCIAL ASSETS	<b>RESEARCHER</b> A. Gilbert	<b>SITE</b> NARA Archives II College Park, MD	<b>DATE REVIEWED</b> 9/00
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Record Group:

Entry Number:

Entry Name:

Box Number:

Box Location:

Extent reviewed:

- Completely
- Skimmed
- Specific files only
- Specific documents only

Notes & Comments  
(Including cross reference notations)

Copies made: YES or **NO**

FFC's Freezing Program - Country and Area Files

Box 57 contained files of major wartime countries. Looked at Latvia, Liechtenstein, Lithuania, Luxembourg, Mexico, Morocco, Netherlands, North Africa, Norway, Palestine, Poland, Portugal, Rumania, Russia, Spain, Sweden, Switzerland, and Yugoslavia files.

Topics in files "covered the waterfront"; many different subjects

Documents were memos and letters.

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# ARCHIVES BOX REVIEW FORM

<b>TEAM</b> FINANCIAL ASSETS	<b>RESEARCHER</b> A. Gilbert	<b>SITE</b> NARA Archives II College Park, MD	<b>DATE REVIEWED</b> 9/9/00
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Record Group:

131

Entry Number:

247

(old Accsn. 61-A-109)

Entry Name:

Foreign Funds Control-Correspondence 1942-60

Box Number:

133

Box Location:

230-38--15-06

Extent reviewed:

 Completely Skimmed Specific files only Discussion of Documents Specific documents only

Notes &amp; Comments

(Including cross reference notations)

Copies made: YES or NO

Reviewed two file folders marked - FFC, Discussion of Documents

Two files contained carbon copies of "School"  
All but one are marked up; one clean copy in files

First File - Feb. 10-March 10, 1943

Second File - March 17-May 5, 1943

Contain marked up carbon copies of what became bound Discussion of Documents

Arranged Differently from bound book - book is arranged by topic

Carbon copies are chronologically arranged

Reviewed and copied file - Foreign Food Parcel Service, Inc. Polish Company's TFBE-1 questionnaire form filled out in connection with a License. Firm accepts orders to buy food in Portugal and have it shipped to Poland to relatives of purchasers. Recipient in Portugal of name and address of beneficiary is an Arnold Meyers known by co. to be Jewish. Mr. Meyers sends parcels on to Poland.

- space available for additional notation space

07/14/1999

# ARCHIVES BOX REVIEW FORM

<b>TEAM</b> FINANCIAL ASSETS	<b>RESEARCHER</b> A. Gilbert	<b>SITE</b> NARA Archives II College Park, MD	<b>DATE REVIEWED</b> 9/9/00
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Record Group:

131

Entry Number:

247

(Old Accsn. 61-A-109)

Entry Name:

Foreign Funds Control-Correspondence 1942-60

Box Number:

134

Box Location:

230-38--15-06

Extent reviewed:

- Completely
- Skimmed
- Specific files only
- Specific documents only

Notes & Comments

(Including cross reference notations)

Book is also in RG 265 - Box 23

Copies made: YES or NO      IMPORTANT DOCUMENT - BOOK- DISCN OF DC

Bound copy of Discussion of Documents inbox - Jan. 28, 1944-765 pages  
 Book is discussions by FFC Legal Staff with Director and Chief Counsel of the Scope of Regulations, Rulings, and Licenses  
 Pages relating to certain Rulings and License copied

File - Foreign Funds Control School  
 Typedscript of lectures given in June 1942 and Nov. 1943  
 Lecture topics include Organization of FFC, Earmarked Gold and Frgn Exchnage, Securiteis nd Currency, General License 42, and History of Freezing Control  
 Lecture to Practising Law Institute, Ansel Luxford, Nov. 1943 (21 pgs includes topics of blocked nationals, blocked accounts, how FFC works specific licenses, pcedure for unblocking accounts. No mention of refugees

Files-Lecture on Foreign Funds Control  
 Lectures typed nd placed in 9 blue-paper covered folders  
 Dates of lectures - March 9-JUNE 10, 1943  
 Copied lecture on Licensing Division (23 pages)

File - Form TFA-1 this is the form for importation of securities into the U.S. (3 pages) ; Application for a License for additional notation spa

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# ARCHIVES BOX REVIEW FORM

<b>TEAM</b> FINANCIAL ASSETS	<b>RESEARCHER</b> A. Gilbert	<b>SITE</b> NARA Archives II College Park, MD	<b>DATE REVIEWED</b> 10/30/2000
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Record Group:

131

Entry Number:

247

(old Accsn. 61-A-109)

Entry Name:

Foreign Funds Control-Correspondence 1942-60

Box Number:

459

Box Location:

230-38-31-03

Extent reviewed:

- Completely
- Skimmed
- Specific files only
- Specific documents only

Notes & Comments

(Including cross reference notations)

Copies made: YES or **NO**

Reviewed Files Switzerland: Defrosting Vol. IX-summer 1948 -Aug. 16  
 Vol. X - Augst 17-31, 1948  
 Vol. XI-Sept. 1-Oct. 19, 1948  
 Vol. XII - Oct. 20, 1948 --- 1953

Four files contained correspondence on Swiss certification

Discussion of vesting of uncertified accounts not seen in letters.

NOTHING on vesting of Liechtenstein accounts

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## ARCHIVES BOX REVIEW FORM

TEAM FINANCIAL assets	RESEARCHER A. Gilbert	SITE NARA Archives II College Park, MD	DATE REVIEWED 9/16/00
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Record Group:

265

Entry Number:

68-A-1253

Entry Name:

Office of Foreign Assets Control (Treasury)

Box Number:

23

Box Location:

490-40-13-07

Extent reviewed:

- Completely  
 Skimmed  
 Specific files only  
 Specific documents only

Notes &amp; Comments

(Including cross reference notations)

Copies made: YES or NO

Box contains important Docuemnt - Bound Book: Disucssion of Documents Book is 765 pages and dated Janaury 26, 1944. Discussion of FFC Staff Lawyers with Director and Chief Counsel of Scope of Regulations, Rulings, and Licenses.

Rest of box contains notebooks of Adminstrative Memos, Staff Memos, and Miscellaneous Treasury Memos that relate to the internatl workings of FFC.

See attached sheet.

reverse side is available for additional notation spac

Box 23 contains Administrative memos, staff memos, and other documents relating to the internal working of Foreign Funds Control (FFC).

Most important item in box is the **Discussion of Documents**  
Discussions of the Scope of Regulations, Rulings, and Licenses  
Bound book of 765 pages  
Date on cover is January 26, 1944

Book is a collection of discussions by the Foreign Funds Control Legal Staff with the Director of FFC, John Pehle, and the Chief Counsel of the Bureau Ansel F. Luxford, on the Scope of the Regulations Rulings, and Licenses.

Discussions were held between December 1942 and January 1944

Discussions are by topic and pages for each ruling or license discussed are scattered throughout the book.

Photocopied pages dealing with discussions on:

General License 1 – Payments to Blocked Accounts

General License 11 – Payments for Living Expenses

General License 32 – Remittances

General License 33 – Remittances

General License 42 – Individuals Residing Only in U.S. = Generally Licensed Nationals of

General License 42A – Individuals Residing in U.S. since June 17, 1940= Generally Licensed Nationals

General License 43 – New York Offices of Swiss Banks = Generally Licensed Nationals

General Ruling No.5 – Control of Imported Securities

General Ruling 5A – Certain Dealings in Checks and Financial Instruments

General Ruling No.6 – Delivery of Imported Securities to Accounts in Domestic Banks

General Ruling No. 11 – Prohibiting Transactions and Communication with Enemy National

General Ruling No.13 – Unblocking of Accounts

Public Interpretation 4 – Requiring a Treasury License for Communication with Enemy National Outside U.S.

Box 23 contains a Reference Set of Documents

Documents were compiled into four green, three-ring notebooks

Notebook One has Memo in front that refers to compilation as the "bible"

Photocopied relevant licenses and other documents from 1947

Notebook Four – Administrative Orders and Staff Memos

Photocopied Administrative Orders that showed how FFC worked such as establishment of Enforcement Division and its Special Studies Section,  
Statistics and Reporting Division with its Machine Operations Section  
Reorganization of the Licensing Division

Miscellaneous Treasury Memos included a story on FFC in the Victory Council Bulletin notes efforts are to be made to restore property taken under duress to its rightful owners

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# ARCHIVES BOX REVIEW FORM

<b>TEAM</b> FINANCIAL ASSETS	<b>RESEARCHER</b> A. Gilbert	<b>SITE</b> NARA Archives II College Park, MD	<b>DATE REVIEWED</b> 9/16/00
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Record Group:

265

Entry Number:

68-A-1253

Entry Name:

Office of Foreign Assets Control (Treasury)

Box Number:

25

Box Location:

490-40-13-07

Extent reviewed:

- Completely
- Skimmed
- Specific files only
- Specific documents only

Notes & Comments

(Including cross reference notations)

Box holds loose copies of FFC publicatins incl. Admin. of Wartime Control  
 Copies made: YES or NO Doc. Pertaining ot FFC, and rerpint of  
 Alk-Moskovitz article in Federal Bar Jnl.

Notebook of Blanket Licenses - Green, 3-ring notebook divided by tabs  
 Photocopied several sample licenses.

Tab A - General Ruling No. 5A transactions involving checks, drafts,  
 Listed license number, bank, and date of license

Found NO specific licenses to refugees on unblocking accounts

Section 10 of notebook deals with REMITTANCES, Payments, and  
 Communicatins etc. RELIEF, Charitable...Philanthropic purposes;  
 Administrative expenses; Food Packages

Lists Licenses granted to RED Cross

Tab I was License granted to America Jewish Joint Distribution Cmttee

File - APC -56 - Master Set - copied forms requiried to be filed with  
 OAP to report securities and other assets i nwhich German nationals  
 had an interest. Forms APC-56 A through H covered different assets;  
 Instructions attached

File - OAP-700 - Master Set - Census form for remaining blocked  
 proepty in Oct. 1950, press release, Procedures to OAP staff for  
 handling report forms, and sample OAP 701 and 702 Forms, which are  
 VestingOrders with attached forms to list bank deposits or securities

INdex to General Rulings and Regulations summarizes each (14 pages)  
 available for additional notation spac

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# ARCHIVES BOX REVIEW FORM

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Abby Gilbert

**SITE**  
Washington, DC  
Archives I  
8th & Penn, NW

**DATE REVIEWED**  
JAN. 20, 2000

**Record Group:**

36

**Entry Number:**

9

**Entry Name:**

Office of Administration, Management Analysis Division

**Box Number:**

1

Monthly Report of Marine Activities

**Box Location:**

12E4 - 18 - 4 - A

**Extent reviewed:**

- Completely  
 Skimmed  
 Specific files only  
 Specific documents only

**Notes & Comments**

(Including cross reference notations)

**Copies made:** YES ~~NO~~ one sample form only

Three ledger sheet sets in clips; thick piles; for FY 1943, 1944, 1945

Forms with typed information in lines

Forms were by PORT

Data is in volume - numbers and net tons

One entry asks about imports "direct from foreign ports"

Merchandise is NOT described

Data is NOT useful to PCHA

reverse side is available for additional notation space

**RECORD GROUP 36 – BUREAU OF CUSTOMS**

**RG 36 – Records of the Bureau of Customs**

**Office of Administration  
Management Analysis Division**

**Entry 9**

**Box 1**

**Monthly Report of Marine Activities**

**Customs Form 3065**

**Box held three thick files labeled:**

**Fiscal Year 1943**

**Fiscal Year 1944**

**Fiscal Year 1945**

**Form is NOT of use to the Commission**

**Archives I – 8<sup>th</sup> and Pennsylvania Ave., N.W., Washington, D.C.**

**Location: 12E4 – 1 8 – 4 – A  
Stack Area – 12E4  
Row 18  
Compartment 4  
Shelf A**

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**SITE**  
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Archives I  
8th & Penn, NW

**DATE REVIEWED**  
JAN. 20, 2000

**Record Group:**

36

**Entry Number:**

1

**Entry Name:**

Office Files of Commissioner of Customs William R. Johnson

**Box Number:**

10

Enemy Act of 1917 to State: Procedure to be Followed

**Box Location:**

12E4 - 12 - 6 - C

**Extent reviewed:**

- Completely
- Skimmed SOME FILES SEEN COMPLETELY
- Specific files only
- Specific documents only

**Notes & Comments**  
(Including cross reference notations)

**Copies made:** YES or ~~NO~~

3 memos only

Most material dated 1943

Includes material on Biweekly Staff Meetings of Board of Economic Warfare

Topics in files vary

reverse side is available for additional notation space

**RECORD GROUP 36 – BUREAU OF CUSTOMS**

RG 36 – Records of the Bureau of Customs

**Office of the Commissioner**

**Office Files of Commissioner of Customs William R. Johnson  
1931-1945**

**Entry 1**

**Box 10 – Enemy Act of 1917 to State**

**File – topics vary or files are untitled**

Photocopy of yellow paper cover on the file, containing title of the file, is attached to the sheets copied from that file

Archives I – 8<sup>th</sup> and Pennsylvania Ave., N.W., Washington, D.C.

Location: **12E4 – 12 – 6 – C**

Stack Area – 12E4

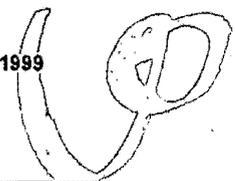
Row 12

Compartment 6

Shelf C

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Archives I  
8th & Penn, NW

**DATE REVIEWED**  
JAN. 20, 2000

**Record Group:**

**Entry Number:**

**Entry Name:**

**Box Number:**

**Box Location:**

**Extent reviewed:**  
 Completely  
 Skimmed **SOME FILES SEEN COMPLETELY**  
 Specific files only  
 Specific documents only

**Notes & Comments**  
(Including cross reference notations)

**Copies made:** ~~YES~~  NO

ALL files re foreign trade; NOT relevnat for PCHA

reverse side is available for additional notation spac

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8th & Penn, NW

**DATE REVIEWED**  
JAN. 20, 2000

**Record Group:**

**Entry Number:**

**Entry Name:**

**Box Number:**

**Box Location:**

**Extent reviewed:**  
 Completely  
 Skimmed **SOME FILES SEEN COMPLETELY**  
 Specific files only  
 Specific documents only

**Notes & Comments**  
(Including cross reference notations)

**Copies made:** ~~YES~~ **NO**

File includes telegrams dated 1933-1940

Most fiels NOT relevant to PCHA

Last file in Box 12 - Synopsis of Cusotms Activiteis, Baltimore, Maryland District 13 by W.R. Johnson (Acting Commisionr of Customs, Washington, D.C.) NO date on paper

Similar to history of wartime activitiesof thePort of New York (in PCHA files) NOT useful to PCHA

reverse side is available for additional notation spac

07/14/1999

# ARCHIVES BOX REVIEW FORM

**TEAM**  
ART and  
FINANCIAL  
ASSETS

**RESEARCHER**  
Abby Gilbert  
Lucille Roussin  
did files on  
jewels

**SITE**  
Washington, DC  
Archives I  
8th & Penn, NW

**DATE REVIEWED**  
JAN. 19, 2000  
JAN. 20, 2000

**Record Group:**

**Entry Number:**

**Entry Name:**

**Box Number:**  Foreign Funds Control to Nation

**Box Location:**

**Extent reviewed:**  
 Completely  
 Skimmed  
 Specific files only  
 Specific documents only

**Notes & Comments**  
(Including cross reference notations)

**Copies made:** YES or ~~NO~~ lots of copies

Foreign Funds Control topics vaied. Includegfiles on the following:

Bonds and Securiteis - files dealing with:  
 Securiteis brought int othe U.S. in baggage or the mail of consular  
 officers in violoati of Ruling No. 5  
 Statements from West Coast port Customs Officials that NO bonds or  
 securiteis from occupied territories in Europe have been observed  
 in theirdistricts

Several files dealing with DIAMONDS (files read by both Lucille and Abby)  
 Files on Hgo Prince who received diamonds; letters give code name for  
 diamonds used in correspondence  
 File on conference held by Federal Reserve Bank of New York on methods  
 of handling diamonds coming in to the U.S. through the port of New  
 York  
 File on rough diamonds  
 File on Jewles fromItaly that may come into U.S.via Lisbon  
 Amounts of curenry brought in by diplomats

reverse side is available for additional notation spac

**RECORD GROUP 36 – BUREAU OF CUSTOMS**

RG 36 – Records of the Bureau of Customs

**Office of the Commissioner**

**Office Files of Commissioner of Customs William R. Johnson  
1931-1945**

**Entry 1**

**Box 4 – Foreign Funds Control to Nation**

**File – Foreign Funds Control – subtopics vary**

Photocopy of yellow paper cover on the file, containing title of the file, is attached to the sheets copied from that file

Archives I – 8<sup>th</sup> and Pennsylvania Ave., N.W., Washington, D.C.

Location: **12E4 – 12 – 6 – B**

Stack Area – 12E4

Row 12

Compartment 6

Shelf B

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RESEARCHER

Abby Gilbert

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8th & Penn, NW

DATE REVIEWED

JAN. 19, 2000

Record Group:

36

Entry Number:

1

Entry Name:

Office Files of Commissioner of Customs William R.  
Johnson

Box Number:

3

Foreign Funds Control

Box Location:

12E4 - 12 - 6 - B

Extent reviewed:

- Completely  
 Skimmed  
 Specific files only  
 Specific documents only

Notes & Comments

(Including cross reference notations)

Copies made: ~~YES~~ **NO**

Reviewed 5 files on diamonds

Files all had same title: "Data on Diamonds"

Dates covered 1942, Jan. -June 1943, July-Dec. 1943, 1944, 1945

Last date is March 1945

Data consists of amounts of diamonds imported by New York diamond dealer and the cities from which the diamonds were imported

NO cities from central Europe or occupied Holland and Belgium were listed

reverse side is available for additional notation space

**RECORD GROUP 36 – BUREAU OF CUSTOMS**

**RG 36 – Records of the Bureau of Customs**

**Office of the Commissioner**

**Office Files of Commissioner of Customs William R. Johnson  
1931-1945**

**Entry 1**

**Box 3 – Foreign Funds Control**

**File – Foreign Funds Control – subtopics vary**

Photocopy of yellow paper cover on the file, containing title of the file, is attached to the sheets copied from that file

Archives I – 8<sup>th</sup> and Pennsylvania Ave., N.W., Washington, D.C.

**Location: 12E4 – 12 – 6 – B**

**Stack Area – 12E4**

**Row 12**

**Compartment 6**

**Shelf B**

**RECORD GROUP 36 – BUREAU OF CUSTOMS**

RG 36 – Records of the Bureau of Customs

**Office of the Commissioner of Customs  
Office Files of Commissioner of Customs William R. Johnson  
1931-1945**

**Entry 1  
Boxes 2 and 3**

**File – Foreign Funds Control – Data on Diamonds**  
Dates included in file

Seven Files covering the following dates:

Box 2 -

February to June 30, 1941

July 1, 1941 to December 31, 1941

Box 3 –

January 1, 1942 to December 31, 1942

January 1943 to June 1943

July to December 1943

January to December 1944

January to December 1945 (but documents end in March 1945)

Data consists of amounts of diamonds imported by New York diamond dealers and cities which are the source of those imports

There are NO CITIES in Central or Eastern Europe, Germany, or the occupied countries of Holland and Belgium which were the center of the pre-war diamond trade

See attached samples of the kind of information that is in each of these seven files. The information and its format remained basically the SAME

Information is NOT useful to Commission. By 1941 no Jews would have been allowed to export diamonds if they still had them in their possession or could operate their businesses if still alive or still living (i.e. not sent to a concentration camp) in any of the occupied countries

100

# ARCHIVES BOX REVIEW FORM

**TEAM**  
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**RESEARCHER**  
Lucille Roussin

**SITE**  
Washington, DC  
Archives I  
8th & Penn, NW

**DATE REVIEWED**  
JAN. 19, 2000

**Record Group:**

36

**Entry Number:**

1

**Entry Name:**

Office Files of the Commissioner of Customs

William R.  
Johnson

**Box Number:**

3

Foreign Funds Control

**Box Location:**

12E4 - 12 - 6 - B

**Extent reviewed:**

- Completely
- Skimmed
- Specific files only
- Specific documents only

**Notes & Comments**

(Including cross reference notations)

**Copies made:**

YES  NO

Files mainly deal with works of art and jewels

Some interesting correspondence re diamonds

Three files on art matters copied:

Note on Ambassador from French Republic suggesting measures to PREVENT IMPORTATION INTO THE U.S. OF WAORKS OF ART APPROPRIATED BY GERMAN AUTHORITIES dated June 1940

Works of ART of French Ownerhip arraving at New York on the SS Donald McKay  
Correspondence dated October and November 1940

14 Valualbe Paintings from teh Kaiser Wilhelm Museum  
Correspondence with J. Edgar Hoover and SENator Lodge  
dated March, May, JULY 1941

reverse side is available for additional notation space

**RECORD GROUP 36 – BUREAU OF CUSTOMS**

RG 36 – Records of the Bureau of Customs

**Office of the Commissioner**

**Office Files of Commissioner of Customs William R. Johnson  
1931-1945**

**Entry 1**

**Box 3 – Foreign Funds Control**

**File – Foreign Funds Control – Works of Art**

Photocopy of yellow paper cover on the file, containing title of the file, is attached to the sheets copied from that file

Archives I – 8<sup>th</sup> and Pennsylvania Ave., N.W., Washington, D.C.

Location: 12E4 – 12 – 6 – B

Stack Area – 12E4

Row 12

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8th & Penn, NW

**DATE REVIEWED**  
JAN. 19, 2000

**Record Group:**

36

**Entry Number:**

1

**Entry Name:**

Office Files of Commissioner of Cusomts William R. Johnson

**Box Number:**

2

Export Control to Foreign Funds Control

**Box Location:**

12E4 - 12 - 6 - B

**Extent reviewed:**

- Completely  
 Skimmed  
 Specific files only  
 Specific documents only

**Notes & Comments**

(Including cross reference notations)

**Copies made:**

YES ~~or~~ ~~NO~~

Forekng Funds Control topics vary

First two files of seven files called "Data on Diamonds"

Sample photocopied

Data consists of amounts of diamonds imported by New York diamond dealers and the cities from whcih the diamonds were imported  
 NO cities from central Europe or occupied Holland and Belgium were listed

File on diamonds in British diplomatic pouch

Correspondence on earch Foreign Funds Control blockings

Customs is alerting and informing its port officials nad

Customs Collectors

Cusomts is charged with seeingthat FFC's fulings are NOT violated

Instrutions to carefully examine all mail

Customs TD Order 50168 on importation of securities

Warnings on attempts to export and sell works of STOLEN ARTfrom France

Most dated spring 1940

reverse side is available for additional notation spac

**RECORD GROUP 36 – BUREAU OF CUSTOMS**

RG 36 – Records of the Bureau of Customs

**Office of the Commissioner**

**Office Files of Commissioner of Customs William R. Johnson  
1931-1945**

**Entry 1**

**Box 2 – Export Control to Foreign Funds Control**

**File – Foreign Funds Control '42**

Photocopy of yellow paper cover on the file, containing title of the file, is attached to the sheets copied from that file

Archives I – 8<sup>th</sup> and Pennsylvania Ave., N.W., Washington, D.C.

Location: **12E4 – 12 – 6 – B**

Stack Area – 12E4

Row 12

Compartment 6

Shelf B

**RECORD GROUP 36 – BUREAU OF CUSTOMS**

RG 36 – Records of the Bureau of Customs

**Office of the Commissioner of Customs  
Office Files of Commissioner of Customs William R. Johnson  
1931-1945**

**Entry 1  
Boxes 2 and 3**

**File – Foreign Funds Control – Data on Diamonds**  
Dates included in file

Seven Files covering the following dates:

Box 2 -

February to June 30, 1941

July 1, 1941 to December 31, 1941

Box 3 -

January 1, 1942 to December 31, 1942

January 1943 to June 1943

July to December 1943

January to December 1944

January to December 1945 (but documents end in March 1945)

Data consists of amounts of diamonds imported by New York diamond dealers and cities which are the source of those imports

There are NO CITIES in Central or Eastern Europe, Germany, or the occupied countries of Holland and Belgium which were the center of the pre-war diamond trade

See attached samples of the kind of information that is in each of these seven files. The information and its format remained basically the SAME

Information is NOT useful to Commission. By 1941 no Jews would have been allowed to export diamonds if they still had them in their possession or could operate their businesses if still alive or still living (i.e. not sent to a concentration camp) in any of the occupied countries

1-19-00

NAI,

RG 36, Bureau of Customs, Office of the  
Commissioner Box 1

Location: 12E4/12/6/B.

Coast Guard reports, customs reports re  
ship cargoes. no copies

Box 3. Some int. visiting correspondence re:  
diamonds. Two files on pearl matters:  
14 ATP from Kaiser Wilhelm Museum  
12 French ATP paid to have arrived  
in the port of NY by on the ship  
SS Douglas McKay. Information may  
have been erroneous - not ATPs  
found.

Copies made

Box 4. lots of copies

RG 36 Div. of Customs  
Office of the Commissioner

#5 Neutral trade files - shipments to S.A. Japan.  
2 copies. ~~lots~~ lots of baggage manifests  
but all for export

#6 Japan

#7 mostly docs relating to Japan.  
Some internal Customs memos-  
letters. no copies

#8 Largely documentation re Customs  
dated 1934 at the latest. Only 1 copy -  
dated 1941 re: a "trust" changed by Customs  
but w/ notation that the F.A. Committee  
might look at it.

#9 Customs regs re: postage stamps  
& letters under TUBEA +  
(copy) Circular letter 2282

ALL  
#10

COPY  
FG

07/14/1999

# ARCHIVES BOX REVIEW FORM

REPRODUCED AT THE NATIONAL ARCHIVES

TEAM -  
*Financial  
ASSETS*

RESEARCHER  
*Abby Gilbert*

SITE  
*Room 2000  
Archives II*

DATE REVIEWED  
*Oct 27 1999*

Record Group:

*56*

Entry Number:

*ACC 66-A-816*

*Access. 66-A-816*

Entry Name:

*Foreign Assets Control Act  
Special Subject Files*

Box Number:

*53*

Box Location:

*450/80/2967*

*Held Area  
now compartment  
3 and 4*

Extent reviewed:

- Completely
- Skimmed
- Specific files only
- Specific documents only

Notes & Comments

(Including cross reference notations)

Copies made: YES or NO

*important box* - only known data on 1948

Census of Blocked Assets. Completed forms were destroyed by NARA in 1987.

Box contains two files on the results of the Census of Blocked Assets taken by the Treasury Department in June 1948. Census was taken on TFR-600 form.

File - TFR-600 Weekly Tabulations - contains carbon copies of data summaries that are in second file; plus a few documents that are only in this file

File - TFR-600 contains originals of weekly summaries of submitted forms and correspondence. Letter of April 13, 1949 identifies Senate Report No. 13, 81st Congress as containing information on the census (pp.110-113)

File marked codes is for 1951 census on Form TFR-603 taken by FFC successor Foreign Assets Control; however, codes are the SAME as those used for TFR-300 in 1941 and appear to be the same used in TFR-500 tabulations in 1943.

File or information on TFR 100 is NOT in ~~file~~ <sup>box</sup> at all,

Trade tables in 6 files are NOT relevant to Commission.

Box contains <sup>one pack</sup> copies of Census of Foreign-Owned Assets in the United States (1941) and Census of American-Owned Assets in Foreign Countries (1943) reports.

reverse side is available for additional notation space

Abby Gilbert

Oct 27 1999

FG

RG 56 - Dept of the Treasury  
General Records

Accession - 66-A-816 (OASIA)  
HLC - Special Subject Files  
Box 53

De Lafetra NND 978020

files -

In Marked File (Manila) Folder

from

Census of TFR-600

- not

TFR - August 1948 - EO 9789

Blocked Assets -  
1948

Bureau Codes

Codes for TFR 603 (1951)

Type of Organization

Geographic Codes

Monthly Report - 3/27/51 - Shows Codes patterned  
after 1941 Census Methods TFR-300 and

1943 Census Methods TFR-500 (1951)

NO Sample TFR-600 forms (only a TFR-603)

AC Regulations

1950s

Not relevant

RG/31 - Dec 66 - A-816 - Pgs 53

Codes for TFR 603

Census of Foreign-Owned Assets in the United States [1941] published report 88 pages GPO-1945

Census of American-Owned Assets in Foreign Countries [1943] published report 128 pages GPO-1947

Code Charts for Tabulation of The Census of Foreign-Owned Property in the United States [TFR-300]

No date

Check for TFR 603

Edg can confirm there are 300 codes (Spain Netherlands) Codes are needed to interpret machine printouts which are computer printouts of destroyed forms - if printouts are found

Weekly TFR-600 Tabulation Results

Data on:

Value of assets Certified under Special License No. 95 (DePorting) 658 10/49 and 2/48

Weekly Date June 18, 1948 - Sept 30, 1948 - Carbons (216 in 9 tab 6 per)

Oct 27 1949 3

CG 131 - acc 66 - A-816 - Bx 53

TFR 600

Memos

press release - Treasury - 5-746

May 29 1948 re TFR-600

Weekly TFR 600 Reports - ORIGINALS in blue typewriter ribbon

June 18 1948 - June 28 July 3 July 13  
July 19 July 26 August 3 August 15  
August 16 August 23 Sept 7 Sept 30

Does not incl Spt 20 in carbon set

Spt 30 Tables are divided by

- ERP countries; others
- Table gives Number of Reports
- Dollar Value
- 1 by Citizen-Type
- 2 Total Capital

Weekly Tables contain only 3 broad disaggregated categories

- Cash + Deposits
- Dollar Securities
- Other

Letter of April 13 1949 (top of file) says information on TFR 600 was printed in Senate Report No 13 81st Cong. page 110-113

<b>REFERENCE SERVICE SLIP</b>					DATE <b>OCT. 27, 1999</b>	NO.
NAME OF REQUESTOR <b>Abby Gilbert</b>				AGENCY OR ADDRESS <b>Presidential Advisory Commission on Holocaust Assets in the United States</b>		
<b>UNITS OF SERVICE</b>					<b>SOURCE OF REQUEST (Check)</b>	
INFORMATION SERVICE (Number of replies)		RECORDS FURNISHED (Number of items)	TEXTUAL, STILL PICTURES, ETC. (Number of pages)	MOTION PICTURES (Number of feet)	SOUND RECORDINGS (Number of feet)	<input type="checkbox"/> NA Administrative Use
WRITTEN	ORAL					<input type="checkbox"/> Agency of Origin
<input checked="" type="checkbox"/>						<input type="checkbox"/> Other Government
						<input type="checkbox"/> Nongovernment
REQUEST HANDLED BY						
RG NO. <b>56</b>	STACK AREA <b>450</b>	ROW <b>80</b>	COMPARTMENT <b>20</b>	SHELF <b>07</b>	OUTCARD NO.	
RECORD IDENTIFICATION						
<b>Accession 66 - A - 816 (OASIA)</b>				<b>BOX 53</b>		
<b>Boxes with information on TFR-600 forms</b>						
<b>(May still be in holding area - compartments 3 and 4)</b>						
RECEIVED BY		DATE	RETURNED TO		DATE	

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**TEAM**  
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**SITE**  
*Room 2000  
Archives II*

**DATE REVIEWED**  
*Oct 27 1999*

**Record Group:**

**Entry Number:**  *Accession 66-A-816*

**Entry Name:**

**Box Number:**

**Box Location:**  *Hold Area  
now Compartment  
3 and 4*

**Extent reviewed:**  
 Completely  
 Skimmed  
 Specific files only  
 Specific documents only

**Notes & Comments**  
(Including cross reference notations)

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