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STATE OF WEST VIRGINIA
OFFICE OF THE GOVERNOR
CHARLESTON 25305

GASTON CAPERTON
GOVERNOR

October 7, 1993

Ms. Carol H. Rasco
Assistant to the President for
Domestic Policy
The White House
1600 Pennsylvania Avenue, N.W.
Washington, D.C. 20050

Dear Carol:

During the meeting in early September with my staff and Mr. Jule Sugarman you indicated that we should submit letters and documents regarding our Consolidated State Plan proposal to you directly.

Enclosed are all the materials including a letter to the President, a letter to all the Cabinet Secretaries of jurisdiction and ten copies of the plan.

I believe this is a great example of the President's Reinventing Government Strategy. I appreciate all your help in shepherding this project. I look forward to working with you on this exciting endeavor.

Sincerely,


Gaston Caperton
Governor

GC:dse

Enclosures



STATE OF WEST VIRGINIA
OFFICE OF THE GOVERNOR
CHARLESTON 25305

GASTON CAPERTON
GOVERNOR

October 6, 1993

The President
The White House
1600 Pennsylvania Avenue, N.W.
Washington, D.C. 20500

Dear Mr. President:

On behalf of the State of West Virginia, I am pleased to submit a Consolidated State Plan for Services to Children and Families. The plan is designed to dramatically improve services by 1) strengthening local capacity to plan and implement services through our Family Resource Networks; 2) mobilize the entire community in support of children, youth and families; 3) stimulate the development of collaborative arrangements among all types of public and private providers; and 4) initiate a unified system of planning, budgeting, managing and evaluating program outcomes against a standard set of community goals.

Mr. President, I firmly believe that this proposal is a perfect example of your Reinventing Government Strategy. We very much need your assistance in creating an interagency process for acting on the plan. Six cabinet departments and some 24 separate divisions are involved. Together, they administer 199 federal programs. At your suggestions, we have talked to Carol Rasco and believe that she is prepared to take the leadership in working with the agencies.

We are prepared to begin operations under the plan within sixty days, if federal action is completed by that time. Enthusiasm for these changes is extremely high within West Virginia and we very much want to maintain the momentum for change which we have developed.

I very much appreciate your help.

Sincerely,

A handwritten signature in black ink that reads "Gaston Caperton".

Gaston Caperton
Governor



STATE OF WEST VIRGINIA
OFFICE OF THE GOVERNOR
CHARLESTON 25305

GASTON CAPERTON
GOVERNOR

M E M O R A N D U M

TO: The Honorable Mike Espy, Secretary of Agriculture
The Honorable Richard Riley, Secretary of Education
The Honorable Donna Shalala, Secretary of Health and
Human Services
The Honorable Henry Cisneros, Secretary of Housing and
Urban Development
The Honorable Janet Reno, Attorney General, Department
of Justice
The Honorable Robert Reich, Secretary of Labor

DATE: October 6, 1993

SUBJ: West Virginia's Consolidated State Plan for the
Administration of Services to Children and Families

On behalf of the State of West Virginia and the undersigned officials, I am pleased to transmit West Virginia's Consolidated State Plan for Administration of Services to Children and Families. This plan is the outcome of extensive work on the part of the Governor's Cabinet on Children and Families.

What we have done is to construct a wraparound framework for administrative relationship among 199 federally assisted programs and potentially hundreds of other publicly and privately financed programs. In doing so, we preserve the program and eligibility elements of our existing state plans while opening the door to new ways of doing business among organizations that serve the people of West Virginia.

We had several objectives in developing the plan. The signatory state officials wanted to:

Respond, in a concrete fashion, to Congressional directives that there be cooperation, coordination and collaboration among programs and that programs be properly and efficiently administered.

Provide state support and encouragement to local planning efforts which would attract the voluntary participation of the full range of public and private providers who serve children, youth and families, regardless of whether they are financed from federal, state, local or private funds. West Virginia believes that a local focus is essential because that is where services are actually delivered. The Cabinet has worked hard to achieve a local focus through its Family Resource Network program which now serves more than two thirds of the state's people.

Clearly state that existing federal laws and regulations do permit providers to work with one another, despite the persistent myths that hold it is "not legal."

Encourage communities to focus their services on seriously vulnerable children, youth and families through effective case management and joint policies on the priority of services.

Encourage communities to emphasize preventive approaches by enhancing the communication among programs and the use of a variety of services to overcome problems before they become serious.

Significantly improve the quality and effectiveness of services by emphasizing seamless approaches to services as well as sharing the costs of serving a particular family.

Reduce the costs of services by creating common application and eligibility determination systems, jointly funding common support activities, allowing organizations to use work done by another organization to satisfy the requirements of their own program and encouraging the sharing of confidential information among organizations while continuing to protect confidentiality.

Improve accountability through the construction of common data bases and appropriate systems of evaluation, some of which will cut across program lines.

The plan was developed through extensive discussions with the state agencies involved and through meetings with a range of individuals representative of families, state employees, elected officials, voluntary, religious, civic and community-based organizations, and professional, advocacy, and business groups.

We are submitting the Plan under the procedures established by Executive Order 10372 which permits states to use alternative formats for state plans. We acknowledge that, when the Plan is approved it will be necessary to conform some of the administrative features of existing state plans to it and are committed to doing so.

Because the Plan involves programs administered by several agencies, we have asked the President to establish special arrangements for acting on it and any similar plans that might be submitted by other states.

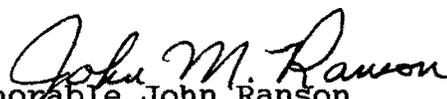
I have asked Lyle Sattes, Director of Governor's Cabinet on Children and Families, to work with the federal agencies involved in reviewing the Plan. He can be reached at 304-558-0600. Also available is Jule M. Sugarman, Chairman of the Center on Effective Services for Children, who worked with us on the plan. He can be reached at 202-785-9524.

We look forward to working with you.


Honorable Gaston Caperton
Governor, State of West Virginia


Honorable Chuck Polan
Secretary
Department of Administration

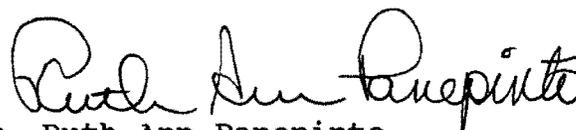

Barbara Harmon-Schamberger
Secretary
Department of Education and
the Arts


Honorable John Ranson
Secretary
Department of Commerce, Labor
and Environmental Resources


Dr. Henry Marockie
State Superintendent of Schools


Dr. Donald Weston
University Systems of West
Virginia


Honorable Darrell McGraw
Attorney General


Dr. Ruth Ann Panepinto
Secretary
Department of Health and Human
Resources

A WEST VIRGINIA PROPOSAL FOR USING CONSOLIDATED STATE AND LOCAL PLANS FOR SERVICES TO CHILDREN, YOUTH AND THEIR FAMILIES

EXECUTIVE SUMMARY

For many years individuals concerned with programs for children, youth and families have looked for ways in which the programs could work more effectively with one another. Now, the State of West Virginia is proposing for federal approval a Consolidated State Plan which it believes is legally feasible and which could foster very effective cross-program operations. The West Virginia Consolidated State Plan is focused on the administrative relationships among programs. It does not seek to make any changes in the program or eligibility requirements set forth in federal law. Nor does it seek to use funds appropriated for one purpose for another purpose. We do not believe that approval of this plan would require waivers of existing law or regulation.

The Plan does not call for any changes in the flow of funds from federal agencies to West Virginia State agencies or any state or local participating organization. Such changes as may occur will be accomplished through agreements among the participating organizations. No change is contemplated in providing program and financial data to the federal agencies, although different methods may be used to compile it.

The features proposed in the Consolidated Plan could affect the operation of 199 federally financed programs administered by six federal agencies. It includes both those programs operating under an approved state plan and those non-state-plan programs which are funded through formula or project grants. It is presented for approval to the cognizant federal agencies, only insofar as its provisions may be subject to the jurisdiction of each agency. Approval by a federal agency does not imply approval of any feature of the West Virginia Consolidated State Plan not subject to the jurisdiction of the federal government.

This Plan goes well beyond the traditional content of state plans. First, it is meant to be applied at both state and local levels. It anticipates that several years will be required to fully implement the plan in all parts of the state. To the extent that other organizations are willing to participate, the local plans will incorporate the programs offered by all types of public and private organizations, even when no federal funds may be involved. West Virginia believes that the planning, development and operation of programs will be greatly improved if its Plan is designed to promote cooperation, coordination and collaboration among all service entities within the community.

The West Virginia Consolidated State Plan has been approved by the Governor and the other members of the Governor's Cabinet on Children and Families who are responsible for operating the affected programs. No additional authority is required from the State Legislature in order to proceed.

The Plan affects 199 federal programs which serve children, youth and families from the prenatal period through the high school years. For certain programs such as those authorized under the Individuals With Disabilities Education Act and certain job training programs the age limits could be higher.

The Plan is based on several existing provisions of federal law and regulations. A great many pieces of legislation for children require that there be cooperation, coordination and/or collaboration with other programs. Similarly, federal agencies are directed to provide for proper, efficient and effective administration.

These terms have not been precisely defined. But, West Virginia suggests that there are some common practices that demonstrate the presence of these factors in programs for children, youth and families. These include, but are not limited to:

- o an ability to set common service priorities across program lines so as to serve the most-seriously vulnerable
- o processes to share the costs of serving a particular child, youth or family so that all elements of needed service can be provided without unnecessary duplication among agencies
- o arrangements to conduct program support activities jointly, with cost sharing, when it is more efficient and economical to do so
- o arrangements which allow reimbursement from one agency to another in order to assure continuity of service
- o use of common application, eligibility determination, information sharing and data management systems
- o acceptance of work done by another agency as meeting the program requirements of a collaborating agency
- o subject to the limitations in state and federal laws the ability to share confidential information in limited circumstances.

Rationale

The record demonstrates that the legal requirements for cooperation, coordination and collaboration cited above have had little impact on the actual operation of programs. Most people have felt that the provisions of the various federal programs raised insuperable barriers to collaboration or that it was impossible to achieve cooperation among agencies.

West Virginia believes that much progress in collaboration could be achieved if there were a federally approved Consolidated State Plan which provided the framework for consolidated local plans covering the administrative relationships among programs serving children, youth and families.

West Virginia is submitting its Plan in accordance with the procedures established in Executive Order 12372, which applies to federal grant making agencies including the Departments of Agriculture, Education, Health and Human Services, Housing and Urban Development, Justice and Labor. The executive order allows states to use "general purpose and local special purpose governmentsto review and coordinate proposed federal financial assistance" (Sec. 2). States may "simplify and consolidate existing Federally required State plan submissions and [substitute] State plans for federally required plans" (Sec. 2 (d)).

The provisions of the Intergovernmental Relations Act, Executive Order 12372 and OMB Circular A-87 clearly make possible consolidated plans. We see those plans supplementing and complementing rather than eliminating approved state plans and grant conditions for a particular federal program. We acknowledge that it may be necessary to amend certain of our state plans to comply with process requirements and will do so once the Consolidated Plan is approved. Under West Virginia's Plan, it will be possible to honor the program objectives and eligibility requirements of a general state plan, while modifying its administrative implementation locally to achieve collaboration, cooperation, coordination, efficiency, and effectiveness.

West Virginia believes that the use of consolidated plans would create a proper balance of Congressional program requirements with Congressional requirements for collaboration and efficient operation. In our view that balance does not presently exist.

Once one accepts the concept of a consolidated local plan, which may vary in its administration according to local circumstances, there are many possibilities. First, it is possible to include related state, local and private activities so that one can understand the big picture. For example, if you look at a general state plan you will not be able to tell how many children in a community are covered by child care, much less how a child can move from one program to another. Consequently, federal and state agencies and the public rarely have a full picture of what is happening to children, youth and families.

West Virginia's Consolidated Plan makes it possible to work in a coordinated fashion with those children, youth and families who are seriously vulnerable through case management, granting of priorities for service, sharing of information and joint evaluation. The Plan authorizes agencies to share the expenses of serving a particular family. Similarly, it creates opportunities for joint funding of activities such as family information services, common data bases, use of common facilities, joint administrative supervision of services, and common handling of applications and eligibility determinations for services and financial assistance.

In truth, the use of a consolidated plan changes the nature of West Virginia's plans from simply being a recitation of the provisions of Federal law to a dynamic working document which helps state, community and private agencies to do their jobs well.

West Virginia's proposed Consolidated State Plan is hereby submitted for federal approval.

WEST VIRGINIA'S CONSOLIDATED STATE PLAN FOR SERVICES TO CHILDREN, YOUTH AND FAMILIES

Sec. 101 - Introduction

(a) The State of West Virginia, acting under the authority contained in Federal Executive Order 12372, as amended, desires to operate certain services and the services features of financial assistance programs under this Consolidated State Plan for services to Children, Youth and Families (hereafter the West Virginia Consolidated Plan) and Local Consolidated Plans approved by the Governor's Cabinet on Children and Families established under WV Code, Chapter 5, Article 26.

(b) The intent of the Plan is to encourage and permit state and local organizations to plan, develop and operate services with full attention to the importance of cooperation, coordination and collaboration as well as to proper and efficient administration. Local plans will include federally assisted programs as well as non-federally assisted and private programs to the extent that each is willing to participate.

(c) The West Virginia Consolidated Plan, insofar as it affects federal programs with state plan requirements or formula and project grants, is complementary and supplementary to the existing general state plans and the grant conditions for each particular service program. Its provisions will be incorporated into those plans whenever required by law. The West Virginia Consolidated Plan should be read in conjunction with the general (program specific) state plans or project and formula grants. It is the intent of the State of West Virginia to apply the provisions of this plan to situations in which there is a reasonable opportunity to improve cooperation, coordination, and collaboration as well as proper and efficient administration within federally assisted programs. Upon federal approval of this plan West Virginia will amend or waive its own regulations, where possible, to conform to this plan. Wherever the provisions of the West Virginia Consolidated Plan differ from those of a general plan, the provisions of the West Virginia Consolidated Plan shall apply so long as they are not in conflict with federal mandates in the general plan.

(d) Unless separately agreed to by federal agencies, the provisions of federal law governing eligibility, program services, flow of funds and program and financial accounting and reporting remain in effect.

Sec. 102 - Definitions

As used in the West Virginia Consolidated Plan, words or phrases have the following meanings; provided, that nothing in this plan is intended to confer legal rights or duties not contained in federal or state law:

(a) a child or youth is an individual who has not yet reached the age of 18; except, that the Governor's Cabinet on Children and Families may raise these age limits in appropriate cases or when necessary to conform to federal law;

(b) a family includes the child or children and the natural parents of the child or youth unless their rights as parents have been terminated by the courts, or the child or youth has been adopted, or the child or youth is under the supervision of educational or other surrogate parents or other individuals who accept substantial responsibilities for the nurturing of the child or youth, including relatives, foster parents, friends and residents of the community as well as organizations caring on a full time basis for the child or youth;

(c) a supervising agency is a West Virginia or federal agency which has legal authority to approve a state plan or formula or project grant and to oversee its implementation;

(d) a participating agency, organization or provider is a public or private entity which has agreed to provide services under a West Virginia State or Local Consolidated Plan;

(e) a community is a county or group of counties which has been designated by the Governor's Cabinet on Children and Families as the area to be served by a Family Resource Network;

(f) a general state plan is a state plan other than this plan, which has been approved by the appropriate state and federal supervising agency;

(g) a Local Consolidated Plan is a multi-program plan for children, youth and families which has been developed by a Family Resource Network and approved by the Governor's Cabinet on Children and Families;

(h) financial assistance is a federal/state program which provides cash or the equivalent of cash to a child, youth or family;

(i) services are publicly or privately financed activities for the benefit, support or protection of a child, youth or family;

(j) a consolidated funding agreement (COFA) is an agreement under which two or more organizations jointly fund mutually beneficial activities as defined in Sec. 107, provided that there must be a written policy supporting the basis for allocating costs and defining the system for tracking funds;

(k) a shared funding agreement (SFA) is an agreement, as described in Sec. 108, under which a collaborating organization agrees to participate in the funding of services provided by another organization, provided that the costs to the former do not exceed the usual and customary costs which would be paid by the collaborating organization:

(l) usual and customary costs are those costs which the agency's experience or projections indicates are the amounts the agency might expend over the life of services to a child, youth or family with similar types of problems or opportunities:

(m) confidential information is information pertinent to a specific family or individual which is restricted by federal or West Virginia law as to who may receive it:

(n) qualifications are the types and levels of experience, education, licensure and certification which a West Virginia, federal or local regulation requires an individual to possess in order to provide a service:

(o) the Family Resource Network is that entity which has been approved by the Governor's Cabinet on Children and Families to develop and administer the Local Consolidated Plan:

(p) seriously vulnerable means that the symptoms and problems presented are complex and are likely to require intensive services from more than one agency over a significant period of time:

(q) organization is the inclusive word for those entities participating in a consolidated plan including, but not limited to public agencies, voluntary, community based, religiously affiliated, civic, business, labor and proprietary groups:

(r) proprietary organization is an organization providing services on a for-profit basis; and,

(s) operations group is Cabinet staff and other state officials designated by the Governor's Cabinet on Children and Families to carry out specific activities related to this plan.

Sec.103 - Purposes

The purposes of the West Virginia Consolidated Plan are to:

(a) permit Local Family Resource Networks approved by the Governor's Cabinet on Children and Families to plan, develop, monitor and evaluate and, in limited

circumstances, to contract for or operate services for children, youth and families and foster collaboration among agencies under a Local Consolidated Plan:

(b) achieve continuity, efficiencies and greater effectiveness in service delivery as well as to avoid overlap, duplication and gaps in the provision of services:

(c) authorize joint funding on an equitable basis of selected services, as specified in Sec. 107, through Consolidated Funding Agreements (COFAs) created pursuant to rules promulgated by the Governor's Cabinet on Children and Families.

(d) authorize shared funding for services to a particular child or family on an equitable basis as specified in Sec. 108, provided that the costs to a particular agency shall not exceed their projected normal and customary costs for a similarly-situated child or family. Such arrangements shall be set forth in a Shared Funding Agreement (SFA):

(e) permit the joint operation of service facilities with common administrative leadership:

(f) permit state or other public agencies as well as private organizations operating at the local level to participate in the Local Consolidated Plan and to contribute to the joint and shared funding of selected activities:

(g) to the extent permitted by law, allow the Governor's Cabinet on Children and Families to define the conditions under which confidential information may be shared among participating organizations:

(h) permit an organization to continue service to a child or family who is no longer eligible for service from that organization, provided that it would be efficient and effective to do so and that reimbursement is available from another source:

(i) allow organizations to accept and utilize work performed by another organization when the qualifications of the individual working in that other organization are substantially equivalent to those prescribed by the receiving organization:

(j) emphasize services which are preventive in nature and which have the potential to avoid higher costs at a later point in the life of the child or youth:

(k) provide for the development of local criteria identifying seriously vulnerable children, youth and families; provide for the use of family advocates, under rules promulgated by the Governor's Cabinet on Children and Families, and case management processes in such circumstances and encourage agencies to include seriously vulnerable families in their priorities for admissions to programs.

(l) permit and encourage the use of a common application form and common intake system in applying for services and permit agencies to assist one another in completing, acting on such applications, and determining program eligibility to the extent permitted by law;

(m) permit and encourage common procedures for budgeting, reporting, accounting and auditing among participating agencies, provided that the requirements of West Virginia and federal supervising agencies are satisfied; and.

(n) permit and encourage joint technical assistance and evaluation activities among the participating West Virginia supervising agencies, and to the extent they wish, supervising federal agencies.

Sec.104 - Coverage of the Consolidated Plan

The Consolidated Plans may include, at State and local options, the following federal/state programs and, by agreement at the local level, those programs directly funded to local entities by the federal government or by state, local or private organizations. The nomenclature for the federal programs is that used in the 1993 Catalog of Federal Domestic Assistance, published by the Executive Office of the President, Office of Management and Budget and the General Services Administration. The United States Code (U.S.C.) citations are taken from that document. Bracketed [] language is an alternate title for the same program.

Department of Agriculture

Food and Nutrition Service

1. Food Distribution [Food Donation Program] (Sec. 32, Public Law 74-320, as amended) 10.550
2. Food Stamps (Food Stamp Act of 1977, as amended) 10.551
3. School Breakfast Program (Child Nutrition Act of 1966, as amended) 10.553
4. National School Lunch Program (National School Lunch Act of 1946, as amended) 10.555

5. Special Milk Program For Children (42 U.S.C. 1772 and 1779) 10.556
6. Special Supplemental Food Program for Women, Infants and Children [WIC Program] (42 U.S.C. 1786) 10.557
7. Child and Adult Care Food Program (42 U.S.C. 1758, 1759a, 1765 and 1766) 10.558
8. Summer Food Service Program For Children (42 U.S.C. 1758, 1761 and 1762a) 10.559
9. State Administrative Expenses for Child Nutrition (42 U.S.C. 1776, 1779) 10.560
10. State Administrative Matching Grants for Food Stamp Program (7 U.S.C. 2025, Public Law 100-77, 101 Stat 573) 10.561
11. Nutrition Education and Training Program [NET program] (42 U.S.C. 1788) 10.564
12. Commodity Supplemental Food Program (Agriculture Consumer and Protection Act of 1973, as amended) 10.565
13. Temporary Emergency Food Assistance Administrative Costs (Temporary Emergency Food Act of 1983, as amended) 10.568
14. Temporary Emergency Food Assistance - Food Commodities (Temporary Emergency Food Act of 1983, as amended, Hunger Prevention Act of 1988 as amended) 10.569
15. Food Commodities for Soup Kitchens (Hunger Prevention Act of 1988, as amended) 10.571

Extension Service

1. Cooperative Extension Service (Smith-Lever Act as amended) 10.500

Department of Education

1. Adult Education - State-Administered Basic Grant Program (20 U.S.C. 1201 et seq.) 84.002

2. Bilingual Education (20 U.S.C. 3281 -3341) 84.003
3. Desegregation Assistance, Civil Rights Training and Advisory Services (Civil Rights Act of 1964, Title IV, as amended) 84.004
4. Education of Handicapped Children in State Operated or Supported Schools (20 U.S.C. 2791) 84.009
5. Chapter 1 Programs, Local Education Agencies [Chapter 1 Basic and Concentrated Grants] (20 U.S.C 2701 et seq.) 84.010
6. Migrant Education - Basic State Formula Grant Program (20 U.S.C 2781 et seq.) 84.011
7. Educationally Deprived Children - State Administration [Chapter 1 Administration] (20 U.S.C. 2851 et seq.) 84.012
8. Chapter 1 Program for Neglected and Delinquent Children (20 U.S.C. 2801 et seq.) 84.013
9. Follow Through (Follow Through Act, Title II, as amended) 84.014
10. Special Education - Innovation and Development [Research and Demonstration Projects in Education for the Disabled] (20 U.S.C. 1441-1442) 84.023
11. Early Education for Children With Disabilities [Early Education Program] (20 U.S.C. 1423) 84.024
12. Services for Deaf-Blind Children and Youth [Services for Children with Deaf-Blindness] (20 U.S.C. 1422) 84.025
13. Media Aids Captioning for Individuals with Disabilities [Media Materials; Technology for the Disabled] (42 U.S.C. 1451-1452) 84.026
14. Special Education - State Grants, Part B [Individuals with Disabilities Education Act] (20 U.S.C. 1401 - 1419) 84.027
15. Special Education - Special Education Personnel Development and Parent Training [Training Personnel For the Education of Individuals with Disabilities] (20 U.S.C. 1431, 1432 and 2434) 84.029

16. Impact Aid - Maintenance and Operations [Impact Aid/Disabilities Assistance] (Public Law 81-874) 84.041
17. Student Support Services (20 U.S.C. 1070d-1b) 84.042
18. Talent Search (20 U.S.C. 1070d-1) 84.044
19. Upward Bound (20 U.S.C. 1070d-1a) 84.047
20. Vocational Education - Basic Grants to States (20 U.S.C. 2331 to 2342) 84.048
21. Vocational Education - Consumer and Homemaking Education (20 U.S.C. 2361-2363) 84.049
22. Vocational Education - State Councils (20 U.S.C. 2322(a) - (f)) 84.053
23. Indian Education - Formula Grant to Local Education Agencies [Indian Education Act - Support] 25. U.S.C. 2601) 84.060
24. Indian Education-Special Programs and Projects [-Indian Education Act - Subpart 2] (25 U.S.C. 2621) 84-061
25. Bilingual Vocational Training (Carl D. Perkins Vocational and Applied Education Act, Title IV) 84.077
26. Post-Secondary Programs for Persons with Disabilities (20 U.S.C. 1424a) 84.078
27. Special Education - Severely Disabled Program [Program for Children with Severe Disabilities] (20 U.S.C. 1424) 84.086
28. Rehabilitation Services - Basic Support [Vocational Rehabilitation Services Program] (29 U.S.C. 720-724 and 730-731) 84.126
29. Rehabilitation Services - Service Projects [Rehabilitation Service Projects] (29 U.S.C. 770, 770a(a) (1), 777b, 777f, and 795g) 84.128
30. Rehabilitation Training (29 U.S.C. 774) 84.129
31. Centers for Independent Living (29 U.S.C. 796e) 84.132

32. Migrant Education - High School Equivalency Program [HEP] (20 U.S.C. 1070d-2) 84.141
33. Migrant Education - Coordination Program (20 U.S.C. 2783) 84.144
34. Supported Employment Services for Individuals with Severe Disabilities [State Supported Employment Services Programs] (29 U.S.C. 795j-q) 84.147
35. Federal, State and Local Partnerships for Educational Improvements [Chapter 2 - State Block Grants] (20 U.S.C. 2911-2952 and 2971-2976) 84.151
36. Secondary Education and Transitional Services for Youth with Disabilities (20 U.S.C. 1425) 84.158
37. Disabled - Special Studies and Evaluation (20 U.S.C. 1488) 84.159
38. Rehabilitation Services - Client Assistance Program [CAP] (29 U.S.C. 732) 84.161
39. Emergency Immigrant Education (Elementary and Secondary Education Act, Title IX, Part D, as amended) 84.162
40. Eisenhower Mathematics and Science Education - State Grants (Elementary and Secondary Education Act of 1965, Title II, Part A, Public Law 100-297, as amended) 84.164
41. Magnet Schools Assistance Desegregating Districts (Public Law 100-297) 84.165
42. Dwight D. Eisenhower National Program for Mathematics and Science Education (20 U.S.C. 2994) 84.168
43. Independent Living Services [Comprehensive Services, Part B] (29 U.S. C. 796a-e) 84.169
44. Special Education - Preschool Grants (Individuals With Disabilities Education Act, Part B) 84.173
45. Vocational Education - Community Based Organizations (20 U.S.C. 2301 et seq.) 84.174

46. Grants for Infants and Toddlers with Disabilities [Early Intervention Grants - Part H] (20 U.S.C. 1471-1485) 84.181
47. Drug Free Schools and Communities - National Programs (Elementary and Secondary Education Act, as amended, Title V, Part D, Section 5132: Drug-Free Schools and Communities Act of 1986, as amended) 84.184
48. Drug Free Schools and Communities - State Grants (Drug Free Schools and Communities Act of 1985, as amended: Title V, Part B, ESEA as amended) 84.186
49. Grants for State and Local Activities - Education for Homeless Children and Youth (Stewart B. McKinney Homeless Assistance Act of 1987, Sec. 722, as amended) 84.196
50. Drug Free Schools and Communities and School Personnel Training (Drug Free Schools and Communities Act of 1985, as amended) 84.196
51. First Schools and Teachers (20 U.S.C. 4801-4812) 84.211
52. First Family School Partnership (4821 - 4823 and 4832 - 4843) 84.212
53. Even Start - State Education Agencies (20 U.S. C. 2741 et seq.) 84.213
54. Even Start - Migrant Education (20 U.S.C. 2741 et seq; National Literacy Act of 1991, Public Law 102-73 84.214
55. The Secretary's Fund for Innovation and Education [F.I.E.] (20 U.S.C. 3141, 3157) 84.215
56. Student Literacy Corps and Student Mentoring Corps Programs (Higher Education Act of 1965, Title XI, Part B, Subpart 2, as amended: Public Law 102-325) 84-219
57. School Dropout Demonstration Assistance [Dropout Prevention Program] (Public Law 100-297, Elementary and Secondary Education Acts of 1965, Title VI, Parts A and C, as amended) 84.201

58. State Program Improvement Grants [Chapter 1] (20 U.S.C. 2825) 84.218
59. English Literacy Program (Public Law 100-297, as amended and Public Law 102-73, Part C, Section 372) 84.223
60. Educational Partnerships (20 U.S. C. 5031-5039) 84.228
61. Technology Education Demonstration [Technology Education] (20 U.S.C. 5101-5106) 84.230
62. Drug Free Schools and Communities Emergency Grants (Public Law 101-647) 84.233
63. Children and Youth With Serious Emotional Disturbance (20 U.S.C. 1426) 84.237
64. Training Program for Educators - Alcohol Abuse (20 U.S.C. 3156.1(b)) 84.238
65. Program of Protection and Advocacy of Individual Rights (42 U.S. C. 10801 et seq) 84.240
66. Counselor Training (Drug Free Schools and Communities Act; Elementary and Secondary Education Act of 1965, Title V, Part C, Section 5129; Public Law 101-647; Public Law 98-502) 84.241
67. Tech-Prep Education (20 U.S.C. 2394) 84.243
68. Business and Education Standards (20 U.S.C. 2416) 84.244
69. Demonstration Projects for the Integration of Vocational and Academic Learning (20 U.S.C. 2420) 84.248
70. Foreign Language Assistance (Hawkins-Stafford School Improvement Amendments of 1988, Public Law 100-297) 84.249
71. State Literacy Resource Centers (20 U.S.C. 1203 et seq) 84.254
72. Literacy for Incarcerated Adults (20 U.S.C. 1211 et seq) 84.255
73. Training in Early Childhood Education and Violence Counseling (Higher Education Act Amendments of 1992, Subpart 5, Public Law 102-325) 84.266

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(a) Public Health Service

1. Field Initiated Small Grants in Minority Health (42 U.S.C. 3000u et seq.; Public Law 101-527) 93.100
2. Maternal and Child Health Federal Consolidated Programs [Special Projects of National Significance - SPRANS] (42 U.S.C. 702) 93.110
3. Adolescent Family Life Research Grants (42 U.S.C. 300z-7, as amended, Appropriation Act of 1991, Public Law 101-517) 93.111
4. Acquired Immunodeficiency Syndrome (AIDS) Activity (Public Health Services Act, Sections 301(1), 307, 311, 317, 327, 352, and 1102, as amended) 93.118
5. Mental Health Planning and Demonstration Projects (42 U.S.C. 2906b-32) 93.125
6. Emergency Medical Services for Children (Public Health Services Act, Section 1910, as amended, Public Law 102-410) 93.127
7. Primary Care Services - Resource Coordination and Development Cooperative Agreements [Primary Care Services Cooperative Agreements] (Public Health Services Act, Section 333(D), as amended, Public Law 100-177) 93.130
8. Protection and Advocacy for Individuals with Mental Illness [Individuals with Mental Health P&A Services] (Protection and Advocacy for Individuals with Mental Illness Act of 1986, as amended, Public Law 99-319, Public Law 100-509) 93.138
9. Demonstration Grants for the Prevention of Alcohol and Other Drug Abuse Among High-Risk Youth (Public Health Service Act, Section 509A, as amended, Section 4005, Public Law 100-690) 93.144
10. State Data Collection - Uniform Alcohol and Drug Abuse Data (Public Health Service Act, Section 509D, as amended, Section 2052, Public Law 100-690) 93.179

11. Community Partnership Study Demonstration Program (Public Health Service Act, Section 508 (b) (10), 42 U.S.C. 290aa-6(b) (10), as amended) 93.194
12. Cooperative Agreements for Drug Abuse Treatment Projects in Target Cities (Public Health Service Act, Title V, Part A, Section 509G, 442 U.S.C. 290aa-14) 93.196
13. Community Health Centers (Public Health Services Act, Section 330, as amended, Public Law 99-280) 93.224
14. Migrant Health Centers Grants (42 U.S.C. 247d, as amended) 93.246
15. Family Planning - Personnel Training (Family Planning and Population Research Act of 1970, as amended) 93.260
16. Childhood Immunization Grants [Section 317, Public Health Service Act: Immunization Program] (42 U.S.C. 247b, as amended) 93.268
17. Model Comprehensive Drug Abuse Treatment Programs for Critical Populations [Critical Populations] (42 U.S.C. 290aa-14) 93.902
18. Model Criminal Justice Drug Abuse Treatment for Incarcerated Populations, Non-Incarcerated Populations and Juvenile Justice Populations [Criminal Justice Treatment Program] (42 U.S.C. 290aa-14) 93.903
19. Rural Health Services Outreach (42 U.S.C. Public Law 101-517) 93.912
20. HIV Emergency Relief Project Grants [Ryan White Grants] (42 U.S.C. 201 et seq.) 93.914
21. HIV Emergency Relief Formula Grants (Ryan White Comprehensive Care Act of 1990) 93.915
22. HIV Care Formula Grants (42 U.S.C. 201 et seq.) 93.917
23. Grants to Provide Outpatient Early Intervention Services With Respect to HIV Disease (42 U.S.C. 300ff-5) - 330ff-67 93.918

24. Healthy Start Initiative [Targeted Infant Mortality Initiative] (43 U.S.C. 241) 93.926
25. Residents of Public Housing Primary Care Program (42 U.S.C. 254 et seq) 93.927
26. Special Projects of National Significance [SPNS] (42 U.S.C. 300ff-28) 93.928
27. Comprehensive Residential Drug Prevention and Treatment Projects for Substance-Using Women and Their Children (Public Health Service Act, Section 509F, Public Law 102-141) 93.937
28. Cooperative Agreements to Support School Health Education to Prevent the Spread of Acquired Immunodeficiency Syndrome (42 U.S.C. 243(b)) 93.938
29. Prevention Activities - Non-Governmental Organization Based (42 U.S.C. 247b(a), as amended) 93.939
30. HIV Prevention Activities - Health Department Based (42 U.S.C. 241, as amended) 93.940
31. Assistance Program for Chronic Disease Prevention and Control (42 U.S.C. 247(b) (k) (3), as amended) 93.945
32. Cooperative Agreements to Support State-Based Infant Health Initiatives [Infant Health Initiative: PSC, PRAMS, CHIPS] (42 U.S.C. 2476(k) (3)) 93.946
33. Block Grants for Community Mental Health Services [CMHS Block Grant] (42 U.S.C. 300X) 93.958
34. Block Grants for Prevention and Treatment of Substance Abuse [Prevention and Treatment (SAPT) Block Grant] (42 U.S.C. 300X) 93.959
35. Preventive Health Services - Sexually Transmitted Disease Control Grants (42 U.S.C. 247C) 93.977
36. Mental Health Disaster Assistance and Emergency Mental Health [Mental Health Disaster Assistance] (Disaster Relief Act of 1974, as amended) 93.982

37. Preventive Health and Health Services Block Grant [PHHS Block Grants] (Public Health Service Act, Public Law 100-607) 93.991
38. Maternal and Child Health Services Block Grant to the States (42 U.S.C. 701, as amended) 93.994
39. Adolescent Family Life - Demonstration Grant to the States (42 U.S.C. 701, as amended) 93.994
40. Alcohol and Drug Abuse and Mental Health Services block Grant (42 U.S.S. 300X)

b. Administration for Children and Families

1. Transitional Living for Homeless Youth [Transitional Living Programs] (42 U.S.C. 5714) 93.550
2. Abandoned Infants (42 U.S.C. 670) 93.551
3. Emergency Protection Grants - Substance Abuse (42 U.S.C. 5106a-1, Public Law 100-294, Public Law 102-295) 93.554
4. Family Support Payments to States - Assistance Payments [AFDC Maintenance Assistance - State Aid] (24 U.S.C. 321-329, Public Laws 93-35, 87-248, 97-300, 98-369 and 100-485) N.B. includes related child care 93.560
5. Job Opportunities and Basic Skills Training [JOBS] (42 u.S.C. 681) N.B. Includes related child care 93.561
6. Assistance Payments - Research (42.U.S.C. 401-433, 601-615, 1310, 1381-1383c) 93.562
7. Child Support Enforcement [Title IV-D] (42 U.S.C. 1315) 93.563
8. Child Support Enforcement Research [OCSE Research] (42 U.S.C. as amended, Public Laws 96-265, 98-3778, 100-485, and 100-517) 93.564
9. State Legalization Impact Assistance Programs [SLIAG] (8 U.S.C. 1381, as amended) 93.565
10. Refugee Entrance and Assistance - State Administered Programs (8 U.S.C. 1381, as amended) 93.566

11. Refugee Assistance - Voluntary Agency Programs (8 U.S.C. 1522, as amended) 93.567
12. Low Income Home Energy Assistance (Low Income Home Energy Assistance Act of 1981, as amended) 93.568
13. Community Services Block Grant (42 U.S.C. 9901b and 42 U.S.C. 9801 as amended) 93.569
14. Community Services Block Grant - Discretionary Awards (42 U.S.C. 9910b and 9801 and 42 U.S.C. 9910b and Public Law 101-501 93.570
15. Community Services Block Grant Discretionary Awards - Community Food and Nutrition (42 U.S.C. 9904, 9910 and 9910(a) and Public Law 101-501) 93.571
16. Emergency Community Services for the Homeless (Stewart B. McKinney Homeless Assistance Act of 1987, as amended) 93.572
17. Community Services Block Grant Discretionary Awards - Demonstration Partnerships (42 U.S.C. 9910b Public Law 101-501) 93.573
18. Child Care for Families At-Risk of Welfare Dependency [At-Risk Child Care] (42 U.S.C. 603n) 93.574
19. Payments to States for Day-Care Assistance [Child Care and Development Block Grant Act] (Child Care and Development Block Grant Act of 1990, as amended, Public Law 101-158 and Public law 102-586) 93.575
20. Homeless Families Support Services Demonstration Program [Family Support Centers] (Department of Labor, Health, Education and Related Agencies Appropriations Act, 1992 as amended) 93.578
21. Family Resource and Support Program (Claude Pepper Young Americans Act of 1980, Title IX, Augustus Hawkins Human Services Reauthorization Act of 1990, Subtitle A, Chapter 2, Section 933, Public Law 101-501) 93.580
22. Head Start (42 U.S.C. 9801 et seq.) 93.600

23. Child Welfare Research and Demonstration (42 U.S.C. 626) 93.608
24. Native Americans Programs (442 U.S.C. 2991 et seq.) 93.612
25. Child Development Associate Scholarships (Child Development Act of 1985, as amended; Human Services Reauthorization Act of 1990) 93.614
26. Runaway and Homeless Youth (42 U.S.C. 5701 et seq.) 93.623
27. Developmental Disabilities Basic Support and Advocacy Grants (42 U.S.C. 6042-6043) 93.630
28. Developmental Disabilities - Projects of National Significance (42 U.S.C. 6081-6083; Public Law 101-496) 93.631
29. Developmental Disabilities University Affiliated Programs (42 U.S.C. 6061-6077) 93.632
30. Children's Justice Grants to States (42 U.S.C. 5106c) 93.643
31. Child Welfare Services - State Grants (42 U.S.C. 620-625 and 627-628) 93.645
32. Social Services Research and Demonstration (42 U.S.C. 1310) 93.647
33. Child Welfare Services Training Grants (42 U.S.C. 626 (a) (a) (c), as amended) 93.648
34. Adoption Opportunities (43 U.S.C. 5113 et seq.) 93.652
35. Temporary Child Care and Crisis Nurseries (42 U.S.C. 5117a, 5117b and 5117c) 93.656
36. Drug Abuse Prevention Program for Runaway and Homeless Youth [Drug Abuse Prevention and Education] (42 U.S.C. 11821-11825) 93.657
37. Foster Care - Title IV-E (42 U.S.C. 670 et seq.) 93.658
38. Adoption Assistance (42 U.S.C. 670 et seq.) 93.659

39. Drug Abuse Prevention and Education Relating to Youth Gangs (42 U.S.C. 11801-11805) 93.660
40. Comprehensive Child Development Centers (42 U.S.C. 9871 et seq.) 93.666
41. Social Services Block Grant [Title XX Social Services] (42 U.S.C. 1397 et seq.) 93.667
42. Child Abuse and Neglect - State Grants (42 U.S.C. 5101 et seq., as amended and P.L. 101-226 and P.L. 101-645) 93.669
43. Child Abuse and Neglect - Discretionary Activities (42 U.S.C. 5101 et seq.) 93.670
44. Family Violence Prevention and Services (42 U.S.C. 10401) 93.671
45. Child Abuse and Neglect State Prevention Grants (42 U.S.C. 5116 et seq.) 93.672
46. Grants to States for Planning and Development of Dependent Care Programs (42 U.S.C. 9871 et seq.)
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1. Medical Assistance Program [Title XIX Medicaid] (42 U.S.C. 1396 et seq., as amended) N.B. Includes Early and Periodic Screening, Diagnosis and Treatment (EPSDT) Program 93.778

(d) Social Security Administration

1. Social Security Disability Insurance (42 U.S.C. 401, 420-425) 93.802
2. Social Security -Retirement Insurance (42 U.S.C. 401-433) 93.803
3. Social Security Survivors Insurance (42 U.S.C. 401-433) 93.805
4. Special Benefits for Disabled Coal Miners [Black Lung](42 U.S.C. 901-945) 93.806

5. Supplemental Security Income (42 U.S.C. 1381-1383c) 93.807

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1. Housing Counseling Assistance Programs (Housing and Urban Development Act of 1968, as amended) 14.169
2. Community Development Block Grant/Entitlement Grants (Title I, Community Development Act of 1974, as amended) 14.218
3. Community Development Block Grants/Small Cities Program [Small Cities] (Community Development Act of 1974, Title I, Public Law 93-383, 42 (U.S.C. 5301-5317) 14.219
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5. Community Development Block Grant/State's Program (Title I, Housing and Community Development Act of 1974, as amended, 42 U.S.C. 5301) 14.228
6. Community Development Work-Study Program (Housing and Community Development Act of 1974, section 501 (b) (2) as amended, Public Law 100-242) 14.234
7. Supplemental Assistance for Facilities to Assist The Homeless [SAFAH], (Stewart B. McKinney Homeless Assistance Act of 1978, as amended, Title IV, Section D, Public Law 100-77) 14.236
8. Public Housing Resident Management Program (42 U.S.C. 5301) 14.853
9. Public and Indian Housing Drug Elimination Program (42 U.S.C. 11901 et seq., National Affordable Housing Act of 1990, Sec. 581) 14.854

Department of Justice

1. Juvenile Justice and Delinquency Prevention - Allocation to States (42 U.S.C. 5601 et seq.) 16.540

2. Juvenile Justice and Delinquency Prevention - Special Emphasis (42 U.S.C. 5601 et seq.) 16.541
3. Missing Children's Assistance (Juvenile Justice and Delinquency Prevention Act of 1974, Title IV as amended) 16.543
4. Part D - Juvenile Gangs and Drug Abuse and Drug Trafficking (Juvenile Justice and Delinquency Prevention Act of 1974, sections 281-282, as amended, Public Law 93-415 as amended) 16.544
5. Judicial Child Abuse Training (Victims of Child Abuse Act of 1990, Section 223(a), Public Law 101-647) 16.545

Department of Labor

1. Apprenticeship Training (29 U.S.C. 50, 50a 50b) 17.201
2. Employment Service (42 U.S.C. 1101 et seq.) 17.207
3. Job Training and Partnership Act [JTPA] (42 U.S.C 1501 et seq.) 17.250

Sec. 105 - The Governor's Cabinet on Children and Families

(a) The Governor's Cabinet on Children and Families consists of the Governor, the Attorney General, the Vice Chancellor for Health Sciences of the University of West Virginia, the State Superintendent of Schools and the Secretaries of Health and Human Resources; Commerce Labor and Environmental Resources; Education and the Arts; and Administration. A member of the State Senate and House of Delegates serves in an advisory role. The Cabinet provides policy leadership and oversight to the delivery of services to children, youth and families. The Panel designates, from time to time, state officials as members of an Operations Group to carry out activities related to the West Virginia Consolidated Plan.

(b) The Governor's Cabinet on Children and Families or its Operations Group may, from time to time, appoint work groups of task forces, which may include both public officials and private citizens, to report on specific issues or implement policy.

(c) The Governor's Cabinet on Children and Families or its Operations Group provides opportunities for public participation before making final policy decisions.

(d) The Governor designates a Director for the Governor's Cabinet on Children and Families. Its staff consists of personnel detailed by the participating departments or

financed from its own appropriation. The costs of the Cabinet and Operations Group are paid from appropriations to the Cabinet, contributions from the participating agencies and private funds.

(e) The Governor's Cabinet on Children and Families oversees the operation of local Family Resource Networks, facilitates development of protocols on protecting confidentiality, prepares amendments to this plan as necessary and approves changes in other relevant state plans which agencies propose to submit for federal approval and which would impact the West Virginia Consolidated Plan.

Sec. 106 - Local Family Resource Networks

(a) For each community recognized by the Governor's Cabinet on Children and Families there is a Family Resource Network. Each Family Resource Network includes a reasonable number of families, public officials, business, civic and religious leaders, neighborhood and community-based organization leaders, professional experts, and advocates. Networks are reasonably representative of the economic and ethnic diversity within the community and include those interested in intergenerational issues.

A Family Resource Network also includes individuals who are representative of the organizations providing public and private job training, financial assistance, health, dental health, mental health, education, child care and development, social and family support and other services. It is not necessary for each category to be represented on the Family Resource Network. However, the local health, mental health, social services, and education agencies must be represented. Each Network offers additional opportunities for participation in its planning and evaluation activities. These may include participation on program oriented study groups or task forces and neighborhood oriented projects as well as opportunities to present their viewpoints in person or in writing.

A majority of the governing board of each Family Resource Network shall be individuals who do not represent organizations receiving funds under the programs listed in Sec. 104; provided, that this limitation does not extend to individuals who may be service or assistance recipients.

(b) The Family Resource Network adopts policy statements relative to its work, develops and approves annual and multi-year service and funding allocation plans for the community; approves arrangements for joint funding and shared funding as defined in Sections 107 and 108 in cooperation with state agencies; promotes the use of common application forms and common eligibility determination systems; approves the local priorities for services to seriously vulnerable children and families and the case management procedures related to them as well as other children and youth; arranges for multi-program operations in common facilities and under common administrative supervision; arranges for joint training and staff development programs; arranges for organizations to use a common database and, under appropriate protocols, to share

confidential information on a need-to-know basis; organizes joint cross-program evaluations; and, otherwise acts to further the purposes of the Local Consolidated Plan.

(c) Local Family Resource Networks are financed on an equitable basis from funds available to the participating organizations and/or other funds and in accordance with criteria established by the Governor's Cabinet on Children and Families.

Sec. 107 - Joint Funding

(a) The participating organizations, including relevant federal, state and local agencies and their grantees or contractors as well as private organizations (including proprietary organizations) may enter into consolidated funding agreements (COFAs). Funding under these agreements, in so far as possible, is allocated in proportion to the benefits each agency or organization receives from a joint activity. Such agreements are executed in accordance with any applicable procedures set forth in the West Virginia Code.

(b) The activities which may be financed on a joint basis include:

- (i) planning
- (ii) public information and outreach
- (iii) family information (resource and referral services)
- (iv) family participation activities
- (v) family support activities
- (vi) training, staff development and technical assistance activities
- (vii) data management activities
- (viii) budget, accounting, auditing, purchasing, warehousing and reporting services
- (ix) food and transportation services
- (x) joint use of facilities
- (xi) joint use of translators and interpreters
- (xii) case management services
- (xiii) joint monitoring and outcome evaluation activities
- (xiv) joint operation of multi-program facilities
- (xv) educational, health, mental health, dental health, nutrition, social, parent education, family support and other necessary services
- (xvi) joint intake and eligibility determination processes
- (xvii) other activities approved by the Governor's Cabinet on Children, Youth and Families

(c) Joint activities may be carried out through agreements among participating organizations.

Sec. 108 - Shared Funding

(a) The participating organizations, including relevant federal, state and local agencies and their grantees or contractors as well as private organizations (including proprietary organizations) may enter into shared funding agreements (SFAs) to share the costs of serving a particular child, youth or family. Generally, one agency is designated as the prime provider and serves as the case manager for the child or family.

(b) Participating providers may contribute cash or in-kind services or provide a portion of the needed service to other participating providers if (1) the child, youth or family is eligible for services from the contributing organization or is being fully reimbursed for the latter's additional costs of service; (2) the contributing provider may consequently not need to incur the costs of serving the family through its own organization; and/or (3) that such contributions do not exceed the costs that the contributing organization might customarily experience in providing services to a similarly situated family or individual.

(c) Agencies may take into account services provided by another organization in determining whether there is compliance with its own program requirements.

(d) Where it is in the interests of the child, youth or family to provide continuity of service, a provider may continue to serve the child or family even through they may no longer be eligible for service from the provider, provided that reimbursement of the additional costs is available from another source.

(e) Where a provider is using funds from another organization to compensate specialized staff, the contributing organization may determine that the experience, education, certification and licensing qualifications of the provider's specialized staff is substantially equivalent to that required under its own regulations.

Sec. 109 - Community Plans

(a) Each Family Resource Network annually prepares and submits to the Governor's Cabinet on Children and Families a Consolidated Local Plan for the next fiscal year as well as for such additional years as the Cabinet may specify. The plan includes information on the community's goals and objectives, emphasis on prevention activities, needs assessments from the perspectives of families, providers and the general community, implementation plans, plans for conducting evaluation outcomes, joint and shared funding agreements, commonly-operated facilities, a status report on preventive programs as well as seriously vulnerable families (which shall not identify such families by name), and anticipated or recommended allocations of funds among programs. The plan may also include suggestions for changes in federal or West Virginia programs and in the methods of delivering services including proposals for the regional delivery of services:

(b) The Governor's Cabinet on Children and Families, after consultation with the Family Resource Networks, offers a statewide vision of services as well as technical assistance to the Family Resource Networks in the development of community plans, reviews and comments and offers suggestions for improvements. The Cabinet shall not finally disapprove a plan unless it is contrary to law, the policies of a supervisory state agency, constitutes a threat to federal funding, and/or is counter to the mission of the Governor's Cabinet on Children and Families.

(c) A Family Resource Network Plan focuses on preventive activities, but may also offer providers the option to reserve portions of their funding to care for seriously vulnerable children and families. Agencies using a system of priorities for providing service are encouraged to grant top priority to those designated as seriously vulnerable.

Sec. 110 - Sharing of Information and Data

(a) Participating organizations are authorized and encouraged to share non-client identifying aggregate data with other organizations which may benefit from such data.

(b) Subject to limitations of state and federal law, data identifying a family or individual may be shared among organizations only if such organizations need to have the data in order to effectively serve the family and a protocol has been established which protects the confidentiality of the data.

(c) An individual participating in a service team who needs the information may receive information specific to a family or individual provided he or she agrees to maintain its confidentiality unless compelled by law to redisclosure.

Sec. III - Case Management

(a) Each Family Resource Network establishes family-centered case management services for families, youth and children at a level necessary to meet family needs.

(b) Family Resource Networks assure the identification of a service team for each seriously vulnerable child, youth or family and, after consultation with the family, designate the most appropriate organization to act as case manager.

(c) Family Resource Networks may arrange for a multi-program case management system staffed by employees of participating organizations.

(d) The local Family Resource Network may designate individuals to provide administrative and clinical supervision to persons assigned to a multi-program case management system.

Sec. 112 - Common Applications

- (a) Consistent with the policies of federal and West Virginia state agencies, Family Resource Networks are encouraged to develop a common application which contains sufficient information to meet the needs of all participating organizations.
- (b) Common application forms may be completed by the applicant and staff of any organization for forwarding to the appropriate financial assistance and service organizations.
- (c) As authorized by a participating organization, the staff handling the application may also make evaluations of eligibility for submission to the funding organization or make final determinations of eligibility, subject to audit by the funding organization.
- (d) Common application forms will include a consent form which permits the sharing of information among public and private agencies under conditions approved by the Governor's Cabinet on Children and Families.

Sec. 113 - Family Information Services

- (a) A family information service (resource and referral) program may be jointly funded by the participating organizations to provide such information and services as are approved by the Governor's Cabinet on Children and Families. These may include:
 - (i) information on all services which may be available to the child, youth or family from public and private sources
 - (ii) information which the family can use in choosing a provider including, where available, client satisfaction data
 - (iii) as requested by the family and authorized by the participating agencies, assessments of the child, youth or family which will help the family to determine the type of service it needs and for which it may be eligible to apply
 - (iv) assistance in completing the common eligibility application or other forms required by providers
 - (v) as authorized by the participating agencies, determinations of eligibility for a service
 - (vi) referral to providers which are believed to be accepting children, youth or families for a specific service
 - (vii) follow-up activities to see that those referred have been accepted in

appropriate financial assistance and service cases and that families are utilizing the services

(viii) cross program training and staff development services

(b) Family information services will provide multiple points of entry.

Sec. 114 - Consultation With State and Federal Supervising Agencies

(a) The Governor's Cabinet on Children and Families and the supervising West Virginia and federal agencies regularly consult with one another to assure effective activities under the plan.

(b) West Virginia agencies assist Family Resource Networks in obtaining any necessary consultations from federal supervising agencies.

Sec. 115 - Multi-Program Service Centers

(a) Family Resource Networks may arrange for the operation of multi-program service centers staffed by employees from the participating organizations.

(b) The Local Family Resource Network may designate individuals to provide administrative and clinical supervision to those staff assigned to a service center.

Sec. 116 - Evaluation

The Governor's Cabinet on Children and Families and the Family Resource Networks will conduct joint evaluations of (a) the processes described in this document, (b) the fiscal impact of the Plans and, (c) the family-based outcomes of programs and services operating under the Plan.

Sec. 117 - Effective Date

This Plan shall become effective on October 1, 1993 or upon the date of approval by a federal agency or group or agencies, whichever date is later.

Sec. 118 - Conformance With Other State Plans and Federal Grants

Within 90 days after the Effective Date the state will submit conforming amendments to existing state plans and formula and project grants.

NGA

Wyer

Candace Sullivan

W.H. Team - Policy / Liaisons

Regional Team

Chicago

CHR → Phil Lader, memo on IG's

July: Briefings at Depts. - Nov. 9/11/12

Letters to Reg. officers by end of next week

Rep plans confidential - no press

THE WHITE HOUSE

WASHINGTON

TO: Leon Panetta
FROM: Carol H. Rasco *CHR*
SUBJ: Attached memo
DATE: October 18, 1993

I didn't know whom you might want to send to the Tuesday meeting mentioned in the attached memo. I will leave that to you. This group on Tuesday may well become a core operational group as we move ahead on this project. I am also attaching a memo going to cabinet secretaries about the Wednesday meeting. If we need to discuss any of this, please do not hesitate to call me.

Thank you.

THE WHITE HOUSE

WASHINGTON

TO: Leon Panetta
Elaine Kamarck
Nancy Hoit
Suzan Johnson-Cook
Sheryll Cashin
Paul Diamond

FROM: Carol H. Rasco *CHR*

SUBJ: West Virginia/Indiana submissions on children/family services

DATE: October 18, 1993

As you have heard me mention previously, the states of West Virginia and Indiana have been working independently of one another but with a common consultant, Jule Sugarman, to each present to the Administration a Consolidated State Plan for Services to Children and Families. The governor of each state spoke with President Clinton in August on this matter, and the President indicated to Governors Caperton and Bayh the full cooperation of the Administration in working on this project.

I am attaching for you the materials received from each state at the end of last week. I am asking each of you to meet with me in my office on Tuesday, October 19 at 4 p.m. for a preliminary discussion of the proposals. This meeting on Tuesday is scheduled for no more than one hour.

Mr. Sugarman and one representative from each state will then join us on Wednesday, October 20 at 3 p.m. in the Roosevelt Room for a presentation to the our group as well as appropriate cabinet secretaries who are each being asked to bring one additional person from her/his department. This meeting is scheduled for one and a half hours. We will discuss further this particular meeting when we are together tomorrow.

I will be distributing the proposals to the cabinet secretaries on Tuesday morning. They are not expected nor is it desired to have responses to the proposals for the meeting on Wednesday.

Please confirm your attendance at both meetings with Rosalyn Miller (2216).

cc: Jack Quinn
Bob Rubin
Paul Weinstein
Kumiki Gibson



OFFICE OF THE GOVERNOR
INDIANAPOLIS, INDIANA 46204-2797

EVAN BAYH
GOVERNOR

October 7, 1993

Ms. Carol Rasco
Assistant to the President
Domestic Policy Advisor
The White House
1600 Pennsylvania Avenue, N.W.
Washington, D.C. 20500

Dear Ms. Rasco:

It is a pleasure for me to submit for federal approval a Consolidated State Plan for services to children, youth and families in Indiana. The plan encompasses some 199 federal programs administered by six federal departments. The major purpose of the plan is to encourage coordination among programs at state and local levels to streamline services for our families and reduce bureaucracy. The plan extends not only to publicly funded programs, but also to such non-governmental programs chosen to participate.

In accordance with your request to Jule Sugarman and Cheryl Sullivan of my staff last month, I am enclosing a copy of the Indiana State Consolidated Plan. I am eager to present this Plan in early November to President Clinton for federal approval by December 15.

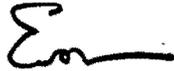
Indiana has already demonstrated tremendous mobilization through my Step Ahead process in developing Step Ahead Councils in all 92 counties to design comprehensive service delivery systems for families and children. I have received Step Ahead plans of action from 85 of our 92 counties; these plans are road maps for improving services and making better use of our taxpayers' dollars.

Our communities are ready to work together in partnership with the State of Indiana and the federal government to reduce the bureaucracy and streamline services.

I hope you can recognize the momentum and enthusiasm in Indiana as I recognize the Administration's commitment to families and children. I am confident that the Indiana State Consolidated Plan will enhance the Administration's efforts to reinvent government.

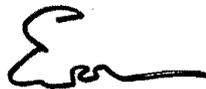
With best regards.

Sincerely,



Evan Bayh

Carol, Thanks for your assistance
with this. Please let me know
how I can be of help to you
and the President.



SUMMARY OF INDIANA CONSOLIDATED PLAN

Governor Evan Bayh is submitting for federal approval a Consolidated State Plan for services to children, youth and families in Indiana. The plan encompasses some 199 federal programs administered by six federal departments. The major purpose of the plan is to encourage coordination among programs at state and local levels to streamline services for our families and reduce bureaucracy. The plan extends not only to publicly funded programs, but also to such non-governmental programs chosen to participate.

When the plan is approved by the federal government, Indiana will use the Step Ahead Councils already in existence in 92 counties to foster the processes provided in the plan. Cities of over 200,000 may also develop individual plans. Step Ahead Councils are non-profit organizations with boards that are broadly representative of the community, but must have non-providers as a majority of their membership. The Step Ahead process was initiated by Governor Bayh in 1991 to provide accessible and affordable services to Hoosier families.

The plan clearly delineates the authority of state agencies to approve local activities that:

- ▶ develop local consolidated plans for each county which reflect the views of the community on goals and priorities. These plans are to be family centered, comprehensive and geared to providing efficient and seamless services.

County Step Ahead Councils have developed 85 plans of action based on county developed needs assessments.

- ▶ (a) establish common application, intake and eligibility determination processes; (b) encourage organizations to share the costs of serving a particular family, child or youth; (c) provide for the joint funding of data management and family information, transportation, food service and evaluation systems; (d) allow agencies to use work already done by another agency rather than having to repeat it; (e) create protocols for the sharing of confidential information; (f) provide for common approaches to case management or care coordination; (g) encourage the joint use of facilities and administrative supervision of programs operating in those facilities by a single individual; and, (h) promote other activities that improve the effectiveness and efficiency of service programs.

As an example, the Governor's Special Committee on Welfare Property Tax Controls is examining the coordination of services for children among the criminal justice, judicial, education, mental health, family and children, and township trustees systems.

- ▶ develop outcome based measures and benchmarks for individual programs to document progress, reduce waste, and provide accountability to the taxpayer.

For example, Governor Bayh reports on progress of meeting the National Education Goals through *Meeting the Challenge*; it is a statement of where we are and where we need to go.

THE INDIANA CONSOLIDATED STATE PLAN: QUESTIONS AND ANSWERS

What is a Consolidated State Plan?

The Consolidated State Plan is being developed by all participating state agencies under the leadership of Governor Evan Bayh. The plan seeks federal approval for coordination of children, youth, and family services across approximately 199 relevant programs funded by The Departments of Education, Health and Human Services, Housing and Urban Development, Labor, Justice and Agriculture.

What will the Plan Accomplish?

People and resources will be mobilized to work together to meet complex family needs which will result in greater efficiency and effectiveness. The communities will serve as the hub of this mobilization effort. The Plan will allow Agencies to use the work of other Agencies rather than repeating the same task, and share the costs of serving a specific family.

How will families see a difference?

Families will have a stronger role in decision-making, opportunities for choice, and increased access to efficiently managed services. The intent of the Plan is to manage the system, not the family.

How will the Locals be Involved?

There will be a process in each county and cities with populations of over 200,000 to develop local strategies.

When will the Plan Go Into Effect, If Approved?

- Indiana is ready for change. Already 85 plans of action have been developed at the county level demonstrating the commitment to the family and a readiness to work together to reduce the bureaucracy and streamline services. The Plan as an enabling document allows for immediate action by state agencies as policies are chosen and procedures are developed.
- Leadership skills continue to be developed. Counties poised for implementation will be encouraged to move forward. Within six months of federal approval of the Plan, it is anticipated that eight to ten counties can begin to demonstrate improved service delivery for families.
- It is our goal that by the end of 1994, all 92 counties will be streamlining services to families as waste and mismanagement are reduced.

What are Some of the Major Features of the Plan?

- ❖ A State Policy Council on Children, and Families appointed by Governor Bayh to oversee the management of local plans.
- ❖ Opportunity for local communities to plan, develop and operate programs under a local consolidated plan.
- ❖ Provisions for allocation of Federal/State funds to local communities.
- ❖ Permission for agencies to jointly operate and share costs.
- ❖ Use of common application.
- ❖ Permission to establish joint offices and common administrative supervision.
- ❖ Establishment of local criteria for severely vulnerable populations.

QUESTION AND ANSWERS

INDIANA COLLABORATION PROJECT

What are the anticipated outcomes of this project?

1. **A Consolidated State Plan:** A plan will be prepared which covers 199 Federal and related state programs addressing funding, administration, training, information, and service delivery mechanisms. The plan will be complimentary and supplementary to existing state plans from the individual agencies. The enabling document, however, will be a multi program plan for children, youth, and families and will authorize collaboration among and between services. Governor Bayh will be seeking approval of the plan by the federal government to serve as the confirming authority for all future strategic collaborative efforts.

2. **Indiana, Building Local Strategies for Children and Their Families:** This book will be written for families, communities, agency staffs, providers, advocates and elected officials, all of whom are critical to improving services. The book will offer current activities and strategies as well as a multitude of ideas for communities to consider for decision making and service delivery. The book will provide detailed information as to how local communities can successfully avoid unnecessary duplication of services, maximize available funds, jointly fund activities, share costs, and develop common systems for accountability.

3. **Systems Development:** Three primary issues have been identified for agency-wide systems development: Family Information Systems; Care Coordination; and Services for Children with Emotional Disabilities. A single collaborative system will be designed across multiple program lines for each issue identified.

What will be the process used to complete the Indiana Collaborative Project?

Policy Council: Overall leadership for the project will be provided by the Policy Council. The Policy Council is chaired by the Governor. The Council includes the superintendent of schools, department heads, and selected executive assistants to the Governor.

QUESTION AND ANSWERS INDIANA COLLABORATION PROJECT

The Working Group: The Policy Council is supported by the Working Group. The Working Group consists of senior officials from state agencies who work with children and families. The Working Group will collect and evaluate necessary information in order to complete the identified outcomes.

Public Forums: Indiana will host several public forums across the state to share draft materials and provide additional opportunity for input.

INDIANA'S PROPOSAL FOR A CONSOLIDATED STATE PLAN FOR SERVICES TO CHILDREN, AND FAMILIES

EXECUTIVE SUMMARY

There has been a tremendous need for mechanisms by which programs for children and families can work more effectively with one another to promote optimal delivery of services to cut waste and to reduce bureaucracy. The State of Indiana is therefore proposing a State Consolidated Plan (hereinafter "the Plan") designed to foster effective cross-program operations to improve services for our families.

The Plan could affect the operation of 199 Federally financed programs administered by six Federal agencies. It will include programs operating under approved state plans as well as non-state-plan programs which are funded through formula or project grants. This enabling document is being submitted for approval to the administering Federal agencies to the extent that provisions are subject to the jurisdiction of those agencies.

On the state level, the Plan will be approved and implemented by the Governor and members of the Indiana Policy Council on Children and Families.¹ The Plan is modeled on Indiana's legislatively created Step Ahead process which provides for consolidated planning and decision-making within Indiana communities. Families will have a stronger role in decision-making, opportunity for choice, and increased access to efficiently managed services. It will ultimately govern programs which serve children and families from the prenatal period through the high school years. Age limits of those served could be higher, depending on the services provided, e.g., programs authorized under the Individuals With Disabilities Education Act and job training programs.

Although the Plan will go beyond the traditional content of specific state plans, it will not attempt to make any changes in Federal program or eligibility requirements. It will not provide for changes in the flow of funds from Federal agencies to Indiana State agencies or any state or local participating organization. It will, however, allow for agreements among participating organizations which may provide for such changes. No change is contemplated in providing program and financial data to the Federal agencies, although different methods may be used to compile it. The Plan will be consistent with Federal law and will be implemented in conjunction with specific state plans.

The Plan is, however, more innovative than traditional. First, it will be applied at both state and local levels. Local Consolidated Plans developed by Local Planning Authorities pursuant to the Plan may incorporate programs offered by all types of

¹ The Indiana Policy Council on Children and Families will consist of the Governor, the Indiana Attorney General, the Superintendent of Public Instruction, the Commissioner of the Department of Administration, the Directors of the State Budget Agency and State Personnel, and the heads of the Indiana agencies responsible for administering the affected programs.

public and private organizations, even when no Federal funds may be involved. Second, the Plan is based on the idea that coordination and community organizations working together will greatly improve the planning, development and operation of programs for children and families by providing a family or centered perspective unavailable when administering state plans for specific programs. For instance, viewing state, local and private services collectively gives a realistic assessment of community needs, as well as providing a foundation for the provision of services to children and families from multiple programs. The intent of the plan is to manage the system, not the family.

Even though virtually all programs for children and families require cooperation coordination and/or collaboration with other such programs, those requirements have not been emphasized or systematically defined. However, some existing practices demonstrate these concepts on a limited scale. These practices include, but are not limited to:

- setting common service priorities across program lines to serve the seriously vulnerable;
- sharing the costs of serving a particular child, youth or family to avoid unnecessary duplication among agencies;
- jointly conducting program support activities with cost sharing, when it is more efficient and economical to do so;
- providing for reimbursement from one agency to another in order to assure continuity of service;
- where possible, using common application, eligibility determination, information sharing and data management systems;
- accepting the relevant work product of one agency to meet the program requirements of a collaborating agency; and
- sharing confidential information where permitted by law and where necessary for the effective delivery of services.

Rationale

Despite the practices cited above, the record demonstrates that the legal requirements for cooperation, coordination and collaboration have not had sufficient impact on the actual operation of programs for children and families. The general consensus has been that Federal program requirements raise insuperable barriers to collaboration and that it is impossible to achieve cooperation among agencies.

A federally approved consolidated state plan could greatly expand coordination in service delivery. Such a plan could provide the framework for consolidated local plans covering the administrative relationships among programs serving children and families.

Indiana's Consolidated Plan will be submitted pursuant to Exec. Order No. 12372, which applies to federal grant making agencies including the Departments of Agriculture, Education, Health and Human Services, Housing and Urban Development, Justice and Labor. That executive order allows states to consult with "...local general purpose governments, and local special purpose governments....to review and coordinate proposed federal financial assistance." Exec. Order No. 12372, 47 Fed. Reg. 30959 (1982). States may "...simplify and consolidate existing Federally required State plan submissions.....and [substitute] State plans for Federally required plans." id.

Additionally, the provisions of the Intergovernmental Relations Act, Executive Orders 12606, 12612 and OMB Circular A-87 clearly support the development and implementation of consolidated plans. Our vision is that the Plan would not eliminate, but would supplement and complement approved state plans and grant conditions for specific federal programs. We acknowledge that it may be necessary to amend specific state plans to comply with process requirements and will do so once the Plan is approved. Under the Plan, it will be possible to honor the program objectives and eligibility requirements of a specific state plan, while modifying its administrative implementation locally, to achieve collaboration, cooperation, coordination, efficiency, and effectiveness.

Summary

Indiana's Consolidated Plan will make it possible to work in a coordinated fashion with those children and families who are seriously vulnerable through implementing care coordination for the entire family, streamlining services, sharing information and conducting joint evaluations. The Plan will authorize agencies to share the expenses of serving a particular family. Similarly, it creates opportunities for joint funding of activities such as family information services, common databases, use of common facilities, joint administrative supervision of services, and common handling of applications and eligibility determinations for services and financial assistance.

Consolidated state and local plans would balance specific program requirements with the need for people and resources to be mobilized to work together to reduce bureaucracy and improve services. In our view that balance does not presently exist. Striking such a balance would transform Indiana's plans from simply being recitations of Federal law into dynamic working documents which support state, community and private agencies in performing their jobs effectively.

Indiana's proposal for a State Consolidated Plan is hereby submitted for federal approval.

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September 30, 1993

**INDIANA'S PROPOSAL FOR A CONSOLIDATED STATE PLAN
FOR SERVICES TO INDIANA'S CHILDREN AND FAMILIES**

Sec. 101 - Introduction

- (a) The State of Indiana, pursuant to Federal Executive Order 12372, as amended, and Executive Orders 12606, and 12612² will provide a consolidated system for administering and providing certain health, education and social services, as well as the services features of various financial assistance programs (hereafter "Services") to children and families through an Indiana State Consolidated Plan and through Local Consolidated Plans.
- (b) The State Consolidated Plan's goal is to encourage and permit state and local organizations to plan, develop, and operate Services with emphasis on cooperation, coordination and collaboration, as well as proper and efficient administration. Local Consolidated Plans will include State and Federally assisted programs as well as non-Federally assisted and private programs to the extent that each organization providing Services is willing to participate.
- (c) The State Consolidated Plan, insofar as it affects Federal programs with Specific State Plan requirements, or formula or project grants, is complementary and supplementary to the existing Specific State Plan and the grant conditions for particular Services. The provisions of the State Consolidated Plan will be incorporated into those Specific State Plans whenever required by law. Indiana intends to apply the provisions of the State Consolidated Plan where there is a reasonable opportunity to improve cooperation, coordination, and collaboration as well as proper and efficient administration of State and Federal programs. Upon Federal approval of this Proposal for a State Consolidated Plan, Indiana intends to make appropriate conforming amendments to its state policies, procedures, and regulations to achieve broad application of the State Consolidated Plan. Wherever the provisions of the State Consolidated Plan differ from those of a Specific State Plan, the provisions of the State Consolidated Plan shall apply so long as they are not in conflict with the Federal mandates of the Specific State Plan or grant conditions. Nothing in the State Consolidated Plan is intended to limit the state in obtaining waivers of federal mandates. Prior to implementation, the Plan will be submitted for approval to the relevant Federal agencies.

² Exec. Order No. 12372, 47 Fed. Reg. 30959 (1982), as amended; Exec. Order No. 12606, 52 Fed. Reg. 34188 (1987); and Exec. Order No. 12612, 52 Fed. Reg. 41685 (1987)

Sec. 102 - Definitions

Words and phrases used in this document, and for preparation of the State Consolidated Plan and the Local Consolidated Plans, have the following meanings:

- (1) "Administrative Panel" refers to the Step Ahead Statewide Panel established by IC 20-1-1.8-13, and/or any other body designated by the Council to provide administrative support for the Council;
- (2) "Child" refers to an individual under the age of 18 years, except where the State or Federal program requirements allow Services to be provided up to the age of 22 years;
- (3) "Collaboration" is a process to reach goals that cannot be achieved acting singly (or, at a minimum, cannot be reached as efficiently);
- (4) "Community" refers to the area to be served by the Local Planning Authority and is geographically designated by county, unless otherwise designated by the Council. Cities of over 100,000 population may also be designated as a Community for the purposes of development and implementation of a Local Consolidated Plan; however, a city Local Consolidated Plan must be consistent with both the county Local Consolidated Plan as well as the State Consolidated Plan;
- (5) "Confidential Information" refers to information necessary to the effective delivery of Services to a specific Child or Family, the disclosure of which is restricted by State or Federal law;
- (6) "Consolidated Funding Agreement" refers to an agreement by which two or more Organizations jointly fund mutually beneficial activities as described in Sec. 109;
- (7) "Council" refers to the Indiana Policy Council on Children and Families, which will be chaired by the Governor, and will include the Governor, the Superintendent of Public Instruction, the Attorney General, the Director of the State Budget Agency, the Secretary of the Family and Social Services Administration, and the Commissioners of the Departments of Administration, Correction, Higher Education, Health, and Workforce Development/Employment and Training Services;
- (8) "Family" refers to the Child(ren) and their Parent(s);

- (9) "Financial Assistance" refers to cash or the equivalent of cash, provided to a Child or Family pursuant to Federal, State, or local law;
- (10) "Indiana Consolidated Plan" refers to an enabling document that seeks federal approval for coordination of children, youth, and family services across approximately 199 relevant programs funded by the Departments of Education, Health and Human Services, Housing and Urban Development, Labor, Justice, and Agriculture;
- (11) "Local Consolidated Plan" refers to the multi-program plan for Children and Families which has been developed by the Local Planning Authority and approved by the Council;
- (12) "Local Planning Authority" refers to a local entity approved by the Administrative Panel and designated by the Council as the entity to develop and implement a Local Consolidated Plan;
- (13) "Organization" refers to a public or private entity, including those providing Services on a for-profit basis which has agreed to provide Services under the State Consolidated Plan or a Local Consolidated Plan;
- (14) "Parent" may include, but not be limited to the following: individual(s) who are the biological, educational or other surrogate, foster and/or adoptive parents of the Child;
- (15) "Qualifications" refers to the types and levels of experience, education, licensure, and/or certification which a Federal, State, or local regulation requires an individual to possess in order to produce a work product or provide a Service;
- (16) "Services" refers to publicly or privately financed health, education and social services, as well as the services features of various financial assistance programs and other activities for the benefit, support, or protection of a Child or Family through the State Consolidated Plan or a Local Consolidated Plan;
- (17) "Shared Funding Agreement" refers to an agreement by which two or more Organizations agree to participate in the support, use, and funding of Services provided by or through another Organization;
- (18) "Specific State Plan" refers to a state-wide plan for a specific program, which must be developed by a State agency and approved by the Federal government, and that is required in order to receive Federal assistance; and,

- (19) "Supervising Agency" refers to a State or Federal agency which has legal authority to approve a Specific State Plan, or formula or project grant, and/or is responsible for the implementation and administration of Services programs.

Sec. 103 - Purposes of the State Consolidated Plan

The purposes of the State Consolidated Plan are to:

- (a) establish the processes for Local Consolidated Plans for each Community which reflect that Communities' goals and priorities. The Local Consolidated Plans will be family centered, comprehensive, and designed to provide seamless service delivery;
- (b) achieve continuity, efficiencies and greater coordination to avoid overlap, duplication, gaps, and inefficiencies in the provision of Services;
- (c) authorize joint funding of selected Services, on an equitable basis, through Consolidated Funding Agreements (COFAs) as specified in Sec. 109;
- (d) authorize Organizations to share funding for Services to a Child or Family, on an equitable basis, provided the terms are articulated in a Shared Funding Agreement (SFA) as specified in Sec. 110;
- (e) permit the joint operation of multi-program service centers with common administrative leadership;
- (f) permit State or other public agencies, as well as private organizations operating at the local level, to participate in the development and implementation of the Local Consolidated Plan and to contribute to the joint and/or shared funding of selected activities;
- (g) allow the Council, as permitted by law, to define the conditions under which Confidential Information may be shared between and among Organizations and State Supervising Agencies;
- (h) encourage seamless delivery of services to a Child or Family who is no longer eligible for Services from a given organization, when reimbursement for the continuation of the Services is available from other sources;

- (i) encourage Organizations to accept and utilize the work product of a Service from another Organization, when the Qualifications of the preparer meet the standards prescribed by the receiving Organization;
- (j) encourage the development of local criteria to identify targeted populations of persons to receive Services on a priority basis;
- (k) permit and encourage, where possible, the use of common application, intake, and eligibility determination processes, and permit inter-agency assistance in completing and acting on the work product of such processes to the extent permitted by law;
- (l) permit and encourage common procedures for budgeting, reporting, monitoring, accounting and auditing between and among Organizations and Supervising Agencies, provided that such common procedures satisfy State and Federal Supervising Agencies' requirements;
- (m) permit and encourage joint technical assistance and evaluation activities among the participating State Supervising Agencies, and to the extent they agree, the Federal Supervising Agencies; and,

Sec. 104 - The Indiana Policy Council on Children and Families

- (a) The Council will provide policy leadership and oversight for the delivery of health, education and social services to Children and Families;
- (b) The Council may, from time to time, appoint task forces, which may include both public officials and private citizens, to report on specific issues;
- (c) The Council will biennially prepare a State Consolidated Plan, which will be submitted for Federal approval;
- (d) The Council may, from time to time, designate state officials who may or may not be members of the Council, to carry out activities related to the State Consolidated Plan;
- (e) The Council will be assisted by personnel from the agencies represented by members on the Council. The costs of the Council will be paid from appropriations from the agencies represented by members on the Council, in amounts approved by the Governor, and/or private donations;
- (f) The Council may designate the Administrative Panel to conduct its activities as provided in the State Consolidated Plan and this document; and,

- (g) The Council will oversee the implementation of the State Consolidated Plan, will prepare annual amendments to the State Consolidated Plan and approve all Specific State Plans, and necessary amendments to those Specific State Plans, which State agencies propose to submit for Federal approval and which would impact the Services and/or the State Consolidated Plan.

Sec. 105 - The State Consolidated Plan

- (a) The State Consolidated Plan may, at State and local option, include the Federal/State programs enumerated in Appendix A; and, by agreement at the local level may include programs funded directly to local Organizations by the Federal government, State agencies, local or private Organizations;
- (b) The State Consolidated Plan will include information about the needs of Indiana's Children and Families, along with goals, objectives, and strategies for the implementation of Local Consolidated Plans;
- (c) The State Consolidated Plan will establish policies and procedures for the sharing of information and data, including confidential information, between and among Organizations and State Supervising Agencies;
- (d) The State Consolidated Plan, as an enabling document, will establish policies and procedures for a system of care coordination for the family rather than multiple care coordination for each program;
- (e) The State Consolidated Plan will establish policies and procedures for the use of common application, intake, and eligibility determination processes relating to the Services;
- (f) The State Consolidated Plan will develop policies and procedures for a system for the evaluation of the State Consolidated Plan, Local Consolidated Plans and Services;
- (g) The State Consolidated Plan will establish policies and procedures for the operation of multi-program service centers at the state and/or local level, consistent with goals and policies promoting collaboration, coordination and cooperation;
- (h) The State Consolidated Plan will establish policies and procedures for the joint and/or shared funding of Services in which the Organizations will participate;

- (i) The State Consolidated - Plan will establish a system to assure regular consultation between and among the Council, the Administrative Panel, the Local Planning Authorities, and the State and Federal Supervising Agencies;
- (j) The State Consolidated Plan will establish policies and procedures for the use of other Organizations' work product in determining eligibility or compliance with an Organization's requirements;
- (k) The State Consolidated Plan will establish policies and procedures for a family information service program; and,
- (l) The State Consolidated Plan will establish Local Planning Authorities whose purpose is to develop Local Consolidated Plans.

Sec. 106 - The Administrative Panel

- (a) The Administrative Panel will supervise the development and implementation of the Local Consolidated Plans, and other activities as directed by the Council which may be provided for under the State Consolidated Plan;
- (b) The Administrative Panel will review the Local Consolidated Plans and make recommendations to the Council regarding the Local Consolidated Plans' consistency with the State Consolidated Plan. Further, the Administrative Panel will advise the Council as to whether the Local Consolidated Plans are contrary to law, constitute a threat to Federal or State funding, or are against public policy or the policies of a State Supervising Agency;
- (c) The Administrative Panel will be assisted by personnel from the agencies represented by members on the Council. The costs of the Administrative Panel will be paid from appropriations from the agencies represented by members on the Council in amounts approved by the Governor, and/or private donations;
- (d) The Administrative Panel will review and make recommendations to the Council regarding all Specific State Plans and necessary amendments to those Specific State Plans which agencies propose to submit for Federal approval, and which would impact the Services and/or the State Consolidated Plan; and,
- (e) The Administrative Panel will, upon request from the Local Planning Authority, provide assistance in the development of Local Consolidated Plans.

Sec. 107 - Local Planning Authority

- (a) For each Community recognized by the Administrative Panel; there will be a Local Planning Authority which will be designated by the Council as the entity charged with the responsibility for preparation and implementation of the Local Consolidated Plan. Each Local Planning Authority will consist of public officials, business, civic and religious leaders, neighborhood and community based organization leaders, experts in relevant fields, and family advocates. The composition of the Local Planning Authority will reflect the ethnic diversity of the Community and will include persons interested in multi-cultural and intergenerational issues;**
- (b) Each Local Planning Authority may also include individuals who are representative of organizations providing public and/or private job training, financial assistance, health, dental health, mental health, education, child care and development, social and family support and other services. Each Local Planning Authority may offer, at a minimum, the opportunities for such individuals to participate in planning and evaluation activities, including, but not limited to program oriented study groups or task forces and neighborhood oriented projects;**
- (c) Each Local Planning Authority will annually prepare and submit to the Administrative Panel for review, and to the Council for approval, a Local Consolidated Plan for the next state fiscal year, as well as for such subsequent additional years as may be designated by the Council; and,**
- (d) The Local Planning Authority will provide opportunities for public participation before making final policy decisions and in the preparation of the Local Consolidated Plan.**

Sec. 108 - Local Consolidated Plans

A Local Consolidated Plan will be prepared by each Local Planning Authority in accordance with the provisions and requirements set forth in the State Consolidated Plan which will be consistent with the procedures, policies, and goals, as set forth in the State Consolidated Plan.

- (a) The Local Consolidated Plan will include information about the Community's assessment of the needs of its Children and Families, along with goals, objectives, and implementation plans of action;**

- (b) The Local Consolidated Plan will provide for the sharing of information and data, including confidential information, between and among Organizations and State Supervising Agencies;
- (c) The Local Consolidated Plan will provide for the implementation of a care coordination system for the family;
- (d) The Local Consolidated Plan will provide for the use of a common application, intake, and eligibility determination process relating to the Services;
- (e) The Local Consolidated Plan, will provide for the development and implementation of a family information service program, to provide for such information and Services as are approved by the Council;
- (f) The Local Consolidated Plan will provide for evaluation of the Local Consolidated Plan and the Services;
- (g) The Local Consolidated Plan will provide for the operation of multi-program service centers staffed by employees from the Organizations;
- (h) The Local Consolidated Plan will provide for the joint and/or shared funding of the Services to be provided by the Organizations; and,
- (i) The Local Consolidated Plan will provide for the implementation of a system to assure the regular consultation between and among the Council, the Administrative Panel, the Local Planning Authorities, and the State and Federal Supervising Agencies.

Sec. 109 - Joint Funding

- (a) To the extent permissible under State Law, Supervising State Agencies are encouraged to enter into Consolidated Funding Agreements (COFAs) in order to provide for the pro-rata funding of Services or activities, to the extent that funds have been appropriated to each State agency for such Services or activities;
- (b) The State Consolidated Plan will encourage the appropriate use of COFAs to accomplish the purposes listed in Sec. 103, above. To the extent that improved continuity, efficiencies and coordination in service delivery can be better accomplished by the use of COFAs than by individual agency activities, the State Consolidated Plan will strongly recommend the use of COFAs for activities such as:

- (i) planning;
- (ii) public information;
- (iii) family information resource and referral services;
- (iv) family participation activities;
- (v) family support activities;
- (vi) training, staff development and technical assistance activities;
- (vii) data and information management;
- (viii) budgeting, accounting, auditing, purchasing, warehousing and reporting services;
- (ix) food services;
- (x) transportation services;
- (xi) case management and/or care coordination services;
- (xii) joint use of translators and interpreters;
- (xiii) joint use of facilities;
- (xiv) joint monitoring and outcome evaluation activities; and,
- (xv) other activities approved by the Council.

Sec. 110 - Shared Funding

- (a) Organizations will be encouraged to enter into Shared Funding Agreements (SFAs) to enable the sharing of the costs of providing Services to a Child or Family;
- (b) Organizations may contribute cash or in-kind services or provide a portion of the needed Services to the other Organizations if the Organizations are party to an approved SFA;
- (c) State Supervising Agencies will recognize and give appropriate credit for the work product and/or Services of the Organizations which are parties to the SFA when determining compliance with the various program requirements; and,
- (d) When the need for continuity of Services to a Child or Family cannot otherwise be met, each Organization will be encouraged to make any lawful and available funding available for the continued delivery of Services to such Child or Family as provided in the Local Consolidated Plan.

Sec. 111 - Conformance with Specific State Plans and Federal Grants

Following approval by the Federal government of the State Consolidated Plan, Indiana will submit any required conforming amendments to existing Specific State Plans and formula and/or project grants.

Sec. 112 - Concurrence of State Supervising Agencies

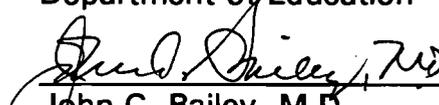
The Governor of Indiana, heads of Indiana State agencies which administer and/or oversee health, education and social services to Indiana Children and Families, do hereby submit this proposal to the Federal government for a Consolidated State Plan.

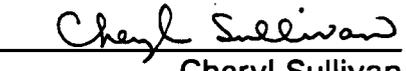
The undersigned support and concur with the goals and policies as set forth in this proposal of cooperation, coordination, and collaboration to bring about increased and improved efficiencies and outcomes of the Services, and are committed to the preparation and implementation of a State Consolidated Plan as set forth in this document.

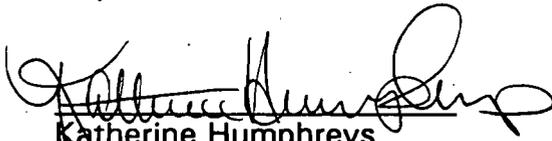
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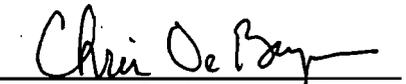

Suellen Reed, Ed. D.
Superintendent of Public Instruction
Department of Education

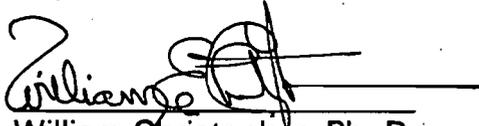

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Attorney General


John C. Bailey, M.D.
Commissioner
Department of Health


Cheryl Sullivan
Secretary
Family and Social Services Administration

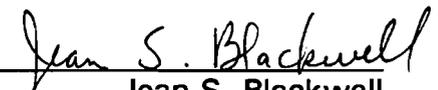

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Department of Justice**

**Robert Reich
Secretary of Labor**

**William Jefferson Clinton
President of the United States**

APPENDIX A

This Appendix lists the programs which may be incorporated in the consolidated state and local plans. The program names and legal citations are taken from the 27th edition of the Federal Domestic Catalog, June 1993. The Catalog is jointly published by the federal Office of Management and Budget and the General Services Administration. The number at the end of each program is the number assigned to the program in the Domestic Catalog.

Department of Agriculture

Food and Nutrition Service

1. Food Distribution [Food Donation Program] (Sec.32, Public Law 74-320, as amended) 10.550
2. Food Stamps (Food Stamp Act of 1977, as amended) 10.551
3. School Breakfast Program (Child Nutrition Act of 1966, as amended) 10.553
4. National School Lunch Program (National School Lunch Act of 1946, as amended) 10.555
5. Special Milk Program For Children (42 U.S.C. 1772 and 1779) 10.556
6. Special Supplemental Food Program for Women, Infants and Children [WIC Program] (42 U.S.C. 1786) 10.557
7. Child and Adult Care Food Program (42 U.S.C. 1758, 1759a, 1765 and 1766) 10.558
8. Summer Food Service Program For Children (42 U.S.C. 1758, 1761 and 1762a) 10.559
9. State Administrative Expenses for Child Nutrition (42 U.S.C. 1776, 1779) 10.560
10. State Administrative Matching Grants for Food Stamp Program (7 U.S.C. 2025, Public Law 100-77, 101 Stat 573) 10.561

11. Nutrition Education and Training Program [NET program] (42 U.S.C. 1788) 10.564

12. Commodity Supplemental Food Program
(Agriculture Consumer and Protection Act of 1973, as amended)
10.565

13 Temporary Emergency Food Assistance Administrative Costs
(Temporary Emergency Food Act of 1983, as amended) 10.568

14. Temporary Emergency Food Assistance - Food Commodities
(Temporary Emergency Food Act of 1983, as amended, Hunger
Prevention Act of 1988 as amended) 10.569

15. Food Commodities for Soup Kitchens (Hunger Prevention Act
of 1988, as amended) 10.571

Extension Service

1. Cooperative Extension Service (Smith-Lever Act as amended)
10.500

Department of Education

1. Adult Education - State-Administered Basic Grant Program (20
U.S.C. 1201 et seq.) 84.002

2. Bilingual Education (20 U.S.C. 3281-3341) 84.003

3. Desegregation Assistance, Civil Rights Training and Advisory
Services (Civil Rights Act of 1964, Title IV, as amended) 84.004

4. Education of Handicapped Children in State Operated or
Supported Schools (20 U.S.C. 2791)
84.009

5. Chapter 1 Programs, Local Education Agencies [Chapter 1
Basic and Concentrated Grants] (20 U.S.C 2701 et seq.) 84.010

6. Migrant Education - Basic State Formula Grant Program (20
U.S.C 2781 et seq.) 84.011

7. Educationally Deprived Children - State Administration [Chapter
1 State Administration](20 U.S.C. 2851 et seq.) 84.012

8. Chapter 1 Program for Neglected and Delinquent Children (20 U.S.C. 2801 et seq.) 84.013
9. Follow Through (Follow Through Act, Title II, as amended) 84.014
10. Special Education - Innovation and Development [Research and Demonstration Projects in Education for the Disabled] (20 U.S.C. 1441-1442) 84.023
11. Early Education for Children With Disabilities [Early Education Program] (20 U.S.C. 1423) 84.024
12. Services for Deaf-Blind Children and Youth [Services for Children with Deaf-Blindness (20 U.S.C. 1422) 84.025
13. Media and Captioning for Individuals with Disabilities [Media Materials; Technology for the Disabled] (42 U.S.C. 1451-1452) 84.026
14. Special Education - State Grants, Part B [Individuals With Disabilities Education Act] (20 U.S.C. 1401 - 1419) 84.027
15. Special Education - Special Education Personnel Development and Parent Training [Training Personnel For The Education of Individuals With Disabilities] (20 U.S.C. 1431, 1432 and 1434) 84.029
16. Impact Aid - Maintenance and Operations [ImpactAid/Disabilities Assistance] (Public Law 81-874) 84.041
17. Student Support Services (20 U.S.C. 1070d-1b) 84.042
18. Talent Search (20 U.S.C. 1070d-1) 84.044
19. Upward Bound (20 U.S.C. 1070d-1a) 84.047
20. Vocational Education - Basic Grants to States (20 U.S.C. 2331 to 2342) 84.048
21. Vocational Education - Consumer and Homemaking Education (20 U.S.C. 2361-2363) 84.049
22. Vocational Education - State Councils (20 U.S.C. 2322(a)-(f)) 84.053

23. Indian Education - Formula Grant to Local Education Agencies [Indian Education Act - Support] (25. U.S.C. 2601) 84.060

24. Indian Education-Special Programs and Projects [- Indian Education Act - Subpart 2] (25 U.S.C. 2621) 84-061

25. Bilingual Vocational Training (Carl D. Perkins Vocational and Applied Education Act, Title IV)

84.077

26. Post-Secondary Programs for Persons with Disabilities (20 U.S.C. 1424a) 84.078

27. Special Education - Severely Disabled Program [Program for Children with Severe Disabilities] (20 U.S.C. 1424) 84.086

28. Rehabilitation Services - Basic Support [Vocational Rehabilitation Services Program] (29 U.S.C. 720-724 and 730-731) 84.126

29. Rehabilitation Services - Service Projects [Rehabilitation Service Projects] (29 U.S.C. 770, 770a(a)(1), 777b, 777f, and 795g) 84.128

30. Rehabilitation Training (29 U.S.C. 774) 84.129

31. Centers for Independent Living (29 U.S.C. 796e)

84.132

32. Migrant Education - High School Equivalency Program [HEP] (20 U.S.C. 1070d-2) 84.141

33. Migrant Education - Coordination Program (20 U.S.C. 2783) 84.144

34. Supported Employment Services for Individuals With Severe Disabilities [State Supported Employment Services Programs] (29 U.S.C. 795j-q)

84.147

35. Federal, State and Local Partnerships for Educational Improvements [Chapter 2- State Block Grants] (20 U.S.C. 2911-2952 and 2971-2976) 84.151

36. Secondary Education and Transitional Services for Youth With Disabilities (20 U.S.C. 1425) 84.158

37. Disabled - Special Studies and Evaluation (20 U.S.C. 1488)
84.159

38. Rehabilitation Services - Client Assistance Program [CAP] (29 U.S.C. 732) 84.161

39. Emergency Immigrant Education (Elementary and Secondary Education Act, Title IX, Part D, as amended) 84.162

40. Eisenhower Mathematics and Science Education - State Grants (Elementary and Secondary Education Act of 1965, Title II, Part A, Public Law 100-297, as amended) 84.164

41. Magnet Schools Assistance Desegregating Districts (Public Law 100-297) 84.165

42. Dwight D. Eisenhower National Program for Mathematics and Science Education (20 U.S.C. 2994)

84.168

43. Independent Living Services [Comprehensive Services, Part B] (29 U.S.C. 796a-e) 84.169

44. Special Education - Preschool Grants (Individuals With Disabilities Education Act, Part B) 84.173

45. Vocational Education - Community Based Organizations (20 U.S.C. 2301 et seq.) 84.174

46. Grants for Infants and Toddlers with Disabilities [Early Intervention Grants - Part H (20 U.S.C. 1471-1485) 84.181

47. Drug Free Schools and Communities - National Programs (Elementary and Secondary Education Act, as amended, Title V, Part D, Section 5132; Drug-Free Schools and Communities Act of 1986, as amended) 84.184

48. Drug Free Schools and Communities - State Grants (Drug Free Schools and Communities Act of 1985, as amended; Title V, Part B, ESEA as amended) 84.186

49. Grants for State and Local Activities - Education for Homeless Children and Youth (Stewart B. McKinney Homeless Assistance Act of 1987, Sec. 722, as amended) 84.196

50. Drug Free Schools and Communities and School Personnel Training [Drug Free Schools and Communities Act of 1985, as amended) 84.207
51. First Schools and Teachers (20 U.S.C. 4801-4812) 84.211
52. First Family School Partnership (4821- 4823 and 4832-4843) 84.212
53. Even Start - State Education Agencies (20 U.S.C. 2741 et seq) 84.213
54. Even Start - Migrant Education (20 U.S.C. 2741 et seq; National Literacy Act of 1991, Public Law 102-73 84.214
55. The Secretary's Fund for Innovation and Education [F.I.E.] (20 U.S.C. 3151, 3157) 84.215
56. Student Literacy Corps and Student Mentoring Corps Programs (Higher Education Act of 1965, Title XI, Part B, Subpart 2, as amended; Public Law 102-325) 84.219
57. School Dropout Demonstration Assistance [Dropout Prevention Program] (Public Law 100-297, Elementary and Secondary Education Acts of 1965, Title VI, Parts A and C, as amended) 84.201
58. State Program Improvement Grants [Chapter 1] (20 U.S.C. 2825) 84.218
59. English Literacy Program (Public Law 100-297, as amended and Public Law 102-73, Part C, Section 372) 84.223
60. Educational Partnerships (20 U.S.C. 5031-5039) 84.228
61. Technology Education Demonstration [Technology Education] (20 U.S.C. 5101-5106) 84.230
62. Drug Free Schools and Communities Emergency Grants (Public Law 101-647) 84.233
63. Children and Youth With Serious Emotional Disturbance (20 U.S.C. 1426) 84.237

64. Training Program for Educators - Alcohol Abuse (20 U.S.C. 3156.1(b)) 84.238

65. Program of Protection and Advocacy of Individual Rights (42 U.S.C 10801 et seq) 84.240

66. Counselor Training (Drug Free Schools and Communities Act; Elementary and Secondary Education Act of 1965, Title V, Part C, Section 5129; Public Law 101-647; Public Law 98-502) 84.241

67. Tech-Prep Education (20 U.S.C. 2394) 84.243

68. Business and Education Standards (20 U.S.C. 2416) 84.244

69. Demonstration Projects for the Integration of Vocational and Academic Learning (20 U.S.C. 2420)

84.248

70. Foreign Language Assistance (Hawkins-Stafford School Improvement Amendments of 1988, Public Law 100-297) 84.249

71. State Literacy Resource Centers (20 U.S.C. 1203 et seq) 84.254

72. Literacy for Incarcerated Adults (20 U.S.C. 1211 et seq) 84.255

73. Training in Early Childhood Education and Violence Counseling (Higher Education Act Amendments of 1992, Subpart 5, Public Law 102-325) 84.266

Department of Health and Human Services

(a) Public Health Service

1. Field Initiated Small Grants in Minority Health (42 U.S.C. 300u et seq.; Public Law 101-527) 93.100

2. Maternal and Child Health Federal Consolidated Programs [Special Projects of National Significance - SPRANS] (42 U.S.C. 702) 93.110

3. Adolescent Family Life Research Grants (42 U.S.C. 300z-7, as amended, Appropriation Act of 1991, Public Law 101-517) 93.111

4. Acquired Immunodeficiency Syndrome (AIDS) Activity (Public Health Services Act, Sections 301(I), 307, 311, 317, 327, 352, and 1102, as amended) 93.118

5. Mental Health Planning and Demonstration Projects (42 U.S.C. 2906b-32) 93.125

6. Emergency Medical Services for Children (Public Health Services Act, Section 1910, as amended, Public Law 102-410) 93.127

7. Primary Care Services - Resource Coordination and Development Cooperative Agreements [Primary Care Services Cooperative Agreements] (Public Health Services Act, Section 333(D), as amended, Public Law 100-177) 93.130

8. Protection and Advocacy for Individuals with Mental Illness [Individuals with Mental Health P&A Services] ((Protection and Advocacy for Individuals with Mental Illness Act of 1986, as amended, Public Law 99-319, Public Law 100-509) 93.138

9. Demonstration Grants for the Prevention of Alcohol and Other Drug Abuse Among High-Risk Youth (Public Health Service Act, Section 509A, as amended, Section 4005, Public Law 100-690) 93.144

10. State Data Collection - Uniform Alcohol and Drug Abuse Data (Public Health Service Act, Section 509D, as amended, Section 2052, Public Law 100-690) 93.179

11. Community Partnership Study Demonstration Program (Public Health Service Act, Section 508 (b)(10), 42 U.S.C. 290aa-6(b)(10), as amended) 93.194

12. Cooperative Agreements for Drug Abuse Treatment Projects in Target Cities (Public Health Service Act, Title V, Part A, Section 509G, 442 U.S.C. 290aa-14) 93.196

13. Community Health Centers (Public Health Services Act, Section 330, as amended, Public Law 99-280) 93.224

14. Migrant Health Centers Grants (42 U.S.C. 247d, as amended) 93.246

15. Family Planning - Personnel Training (Family Planning and Population Research Act of 1970, as amended) 93.260

16. Childhood Ommunization Grants [Section 317, Public Health Service Act; Immunization Program] (42 U.S.C. 247b, as amended) 93.268

17. Model Comprehensive Drug Abuse Treatment Programs for Critical Populations [Critical Populations] (42 U.S.C. 290aa-14) 93.902

18. Model Criminal Justice Drug Abuse Treatment for Incarcerated Populations, Non-Incarcerated Populations and Juvenile Justice Populations [Criminal Justice Treatment Program] (42 U.S.C. 290aa-14) 93.903

19. Rural Health Services Outreach (42 U.S.C. Public Law 101-517) 93.912

20. HIV Emergency Relief Project Grants [Ryan White Grants] 42 U.S.C. 201 et seq.) 93.914

21. HIV Emergency Relief Formula Grants (Ryan White Comprehensive Care Act of 1990) 93.915

22. HIV Care Formula Grants (42 U.S.C. 201 et seq.) 93.917

23. Grants to Provide Outpatient Early Intervention Services With Respect to HIV Disease (42 U.S.C. 300ff-51 - 330ff-67) 93.918

24. Healthy Start Initiative [Targeted Infant Mortality Initiative] (43 U.S.C. 241) 93.926

25. Residents of Public Housing Primary Care Program (42 U.S.C. 254 et seq) 93.927

26. Special Projects of National Significance [SPNS] 42 U.S.C. 300ff-28 93.928

27. Comprehensive Residential Drug Prevention and Treatment Projects for Substance-Using Women and Their Children (Public Health Service Act, Section 509F, Public Law 102-141) 93.937

28. Cooperative Agreements to Support School Health Education to Prevent the Spread of Acquired Immunodeficiency Syndrome (42 U.S.C. 243(b)) 93.938

29. Prevention Activities - Non-Governmental Organization Based (42 U.S.C. 247b(a), as amended) 93.939
30. HIV Prevention Activities - Health Department Based (42 U.S.C. 241, as amended) 93.940
31. Assistance Program for Chronic Disease Prevention and Control (42 U.S.C. 247(b)(k)(3), as amended) 93.945
32. Cooperative Agreements to Support State-Based Infant Health Initiatives [Infant Health Initiative; PSC, PRAMS, CHIPS] (42 U.S.C. 2476(k)(3)) 93.946
33. Block Grants for Community Mental Health Services [CMHS Block Grant] (42 U.S.C. 300X) 93.958
34. Block Grants for Prevention and Treatment of Substance Abuse [Prevention and Treatment (SAPT) Block Grant] (42 U.S.C. 300X) 93.959
35. Preventive Health Services - Sexually Transmitted Disease Control Grants (42 U.S.C. 247c) 93.977
36. Mental Health Disaster Assistance and Emergency Mental Health [Mental Health Disaster Assistance] (Disaster Relief Act of 1974, as amended) 93.982
37. Preventive Health and Health Services Block Grant [PHHS Block Grants] (Public Health Service Act, Public Law 100-607) 93.991
38. Maternal and Child Health Services Block Grant to the States (42 U.S.C. 701, as amended) 93.994
39. Adolescent Family Life - Demonstration Grants (42 U.S.C. 300z-2, as amended) 93.995
40. Alcohol and Drug Abuse and Mental Health Services Block Grant (42 U.S.C. 300x)

b. Administration for Children and Families

1. Transitional Living for Homeless Youth [Transitional Living Programs] (42 U.S.C. 5714) 93.550

2. Abandoned Infants (42 U.S.C. 670) 93.551
3. Emergency Protection Grants - Substance Abuse (42 U.S.C. 5106a-1, Public Law 100-294, Public Law 102-295) 93.554
4. Family Support Payments to States - Assistance Payments [AFDC Maintenance Assistance - State Aid] (24 U.S.C. 321-329, Public Laws 93-35, 87-248, 97-300, 98-369 and 100-485) N.B. Includes related child care 93.560
5. Job Opportunities and Basic Skills Training [JOBS] (42 U.S.C. 681) N.B. Includes related child care 93.561
6. Assistance Payments - Research (42 U.S.C. 401-433, 601-615, 1310, 1381-1383c) 93.562
7. Child Support Enforcement [Title IV-D] (42 U.S.C. 1315) 93.563
8. Child Support Enforcement Research [OCSE Research] (42 U.S.C. as amended, Public Laws 96-265, 98-3778, 100-485, and 100-517) 93.564
9. State Legalization Impact Assistance Programs [SLIAG] (8 U.S.C. 1381, as amended) 93.565
10. Refugee Entrance and Assistance - State Administered Programs (8 U.S.C. 1381, as amended) 93.566
11. Refugee Assistance - Voluntary Agency Programs (8 U.S.C. 1522, as amended) 93.567
12. Low Income Home Energy Assistance (Low Income Home Energy Assistance Act of 1981, as amended) 93.568
13. Community Services Block Grant (42 U.S.C. 9901b and 42 U.S.C. 9801 as amended) 93.569
14. Community Services Block Grant - Discretionary Awards (42 U.S.C. 9910b and 9801 and 42 U.S.C. 9910b and Public Law 101-501) 93.570
15. Community Services Block Grant Discretionary Awards - Community Food and Nutrition (42 U.S.C. 9904, 9910 and 9910(a) and Public Law 101-501) 93.571

16. Emergency Community Services for the Homeless (Stewart B. McKinney Homeless Assistance Act of 1987, as amended) 93.572

17. Community Services Block Grant Discretionary Awards - Demonstration Partnerships (42 U.S.C. 9910b Public Law 101-501) 93.573

18. Child Care for Families At-Risk of Welfare Dependency [At-Risk Child Care] (42 U.S.C. 603n) 93.574

19. Payments to States for Day-Care Assistance [Child Care and Development Block Grant Act] (Child Care and Development Block Grant Act of 1990, as amended, Public Law 101-158 and Public Law 102-586) 93.575

20. Homeless Families Support Services Demonstration Program [Family Support Centers] (Department of Labor, Health, Education and Related Agencies Appropriations Act, 1992 as amended) 93.578

21. Family Resource and Support Program (Claude Pepper Young Americans Act of 1980, Title IX, Augustus Hawkins Human Services Reauthorization Act of 1990, Subtitle A, Chapter 2, Section 933, Public Law 101-501) 93.580

22. Head Start (42 U.S.C. 9801 et seq.) 93.600

23. Child Welfare Research and Demonstration (42 U.S.C. 626) 93.608

24. Native Americans Programs (442 U.S.C. 2991 et seq.) 93.612

25. Child Development Associate Scholarships (Child Development Act of 1985, as amended; Human Services Reauthorization Act of 1990) 93.614

26. Runaway and Homeless Youth (42 U.S.C. 5701 et seq) 93.623

27. Developmental Disabilities Basic Support and Advocacy Grants (42 U.S.C. 6042-6043) 93.630

28. Developmental Disabilities - Projects of National Significance (42 U.S.C. 6081-6083; Public Law 101-496) 93.631

29. Developmental Disabilities University Affiliated Programs (42 U.S.C. 6061-6077) 93.632

30. Children's Justice Grants to States (42 U.S.C 5106c) 93.643

31. Child Welfare Services - State Grants (42 U.S.C. 620-625 and 627-628) 93.645

32. Social Services Research and Demonstration (42 U.S.C. 1310) 93.647

33. Child Welfare Services Training Grants (42 U.S.C. 626 (a)(1)(c), as amended) 93.648

34. Adoption Opportunities (43 U.S.C. 5113 et seq.) 93.652

35. Temporary Child Care and Crisis Nurseries (42 U.S.C. 5117a, 5117b and 5117c) 93.656

36. Drug Abuse Prevention Program for Runaway and Homeless Youth [Drug Abuse Prevention and Education](42 U.S.C. 11821-11825) 93.657

37. Foster Care - Title IV-E (42 U.S.C. 670 et seq.) 93.658

38. Adoption Assistance (42 U.S.C. 670 et seq.) 93.659

39. Drug Abuse Prevention and Education Relating to Youth Gangs (42 U.S.C. 11801-11805) 93.660

40. Comprehensive Child Development Centers (42 U.S.C. 9871 et seq.) 93.666

41. Social Services Block Grant [Title XX Social Services] (42 U.S.C. 1397 et seq.) 93.667

42. Child Abuse and Neglect - State Grants (42 U.S.C. 5101 et seq., as amended and P.L. 101-226 and P.L 101-645) 93.669

43. Child Abuse and Neglect - Discretionary Activities (42 U.S.C. 5101 et seq) 93.670

44. Family Violence Prevention and Services (42 U.S.C. 10401) 93.671

45. Child Abuse and Neglect State Prevention Grants (42 U.S.C. 5116 et seq.) 93.672

46. Grants to States for Planning and Development of Dependent Care Programs (42 U.S.C. 9871 et seq.) 93.673

47. Independent Living (42 U.S.C. 677) 93.674

(c) Health Care Financing Administration

1. Medical Assistance Program [Title XIX Medicaid] (42. U.S.C. 1396 et seq, as amended) N.B. Includes Early and Periodic Screening, Diagnosis and Treatment (EPSDT) Program 93.778

(d) Social Security Administration

1. Social Security Disability Insurance (42 U.S.C. 401, 420-425) 93.802

2. Social Security -Retirement Insurance (42 U.S.C. 401-433) 93.803

3. Social Security Survivors Insurance (42 U.S.C 401-433) 93.805

4. Special Benefits for Disabled Coal Miners [Black Lung](42 U.S.C. 901-945) 93.806

5. Supplemental Security Income (42 U.S.C. 1381-1383c) 93.807

Housing and Urban Development

1. Housing Counseling Assistance Programs (Housing and Urban Development Act of 1968, as amended) 14.169

2. Community Development Block Grant/Entitlement Grants (Title I, Community Development Act of 1974, as amended) 14.218

3. Community Development Block Grants/Small Cities Program [Small Cities] (Community Development Act of 1974, Title I, as amended) 14.219

4. Community Development Block Grants/Special Purpose Grants/Technical Assistance Program (Housing and Community Development Act of 1974, as amended, 42 U.S.C. section 107(b)(4), as amended) 14.227

5. Community Development Block Grant/State's Program (Title I, Housing and Community Development Act of 1974, as amended, 42 U.S.C. 5301) 14.228

6. Community Development Work-Study Program (Housing and Community Development Act of 1974, section 501(b)(2) as amended, Public Law 100-242) 14.234

7. Supplemental Assistance for Facilities to Assist The Homeless [SAFAH], (Stewart B. McKinney Homeless Assistance Act of 1978, as amended, Title IV, Section D, Public Law 100-77) 14.236

8. Public Housing Resident Management Program (42 U.S.C. 5301) 14.853

9. Public and Indian Housing Drug Elimination Program (42 U.S.C. 11901 et seq, National Affordable Housing Act of 1990, Sec.581) 14.854

Department of Justice

1. Juvenile Justice and Delinquency Prevention - Allocation to States (42 U.S.C. 5601 et seq.) 16.540

2. Juvenile Justice and Delinquency Prevention - Special Emphasis (42 U.S.C. 5601 et seq.) 16.541

3. Missing Children's Assistance (Juvenile Justice and Delinquency Prevention Act of 1974. Title IV as amended) 16.543

4. Part D - Juvenile Gangs and Drug Abuse and Drug Trafficking (Juvenile Justice and Delinquency Prevention Act of 1974, sections 281-282, as amended, Public Law 93-415 as amended) 16.544

5. Judicial Child Abuse Training (Victims of Child Abuse Act of 1990, Section 223(a), Public Law 101-647) 16.545

Department of Labor

1. Apprenticeship Training (29 U.S.C. 50, 50a 50b)

2. Employment Service (42 U.S.C. 1101 et seq.) 17.207

**3. Job Training Partnership Act [JPTA] (29 U.S.C 1501 et seq.)
17.250**

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