

Withdrawal/Redaction Sheet

Clinton Library

DOCUMENT NO. AND TYPE	SUBJECT/TITLE	DATE	RESTRICTION
001. form	SF-278 (12 pages)	<u>5/1995</u>	<u>P6/b(6)</u>
002. memo	For Beth Nolan and Kathleen Whalen from Wendy Laguarda. (4 pages)	04/1995	P2, P5 1013✓
003. form	SF-278. Phone Numbers. [partial] (1 page)	<u>5/1995</u>	<u>P6/b(6)</u>
004. memo	For the File from Cliff Mauton. RE: Commission on the Roles and Capabilities of the US Intelligence Community. [partial]. [CIA Act]. (1 page)	<u>03/07/1995</u>	<u>P3/b(3), b(7)(C)</u>
005a. memo	Internal Revenue Service Memorandum. [26 USC 1603]. (1 page)	02/14/1995	P3/b(3)
005b. memo	Internal Revenue Service Memorandum. [26 USC 1603]. (1 page)	<u>02/14/1995</u>	<u>P3/b(3)</u>
006. memo	From Beth Nolan. Subject: Attached SF-450 from Les Aspin. [partial]. [CIA Act] (1 page)	01/30/1995	P3/b(3), b(7)(C)
007. form	SF278 (12 pages)	<u>5/1995</u>	<u>P6/b(6)</u>
008. note	Les Aspin 278. (2 pages)	4/1995	P5 1014✓

COLLECTION:

Clinton Presidential Records
Counsel's Office

OA/Box Number: CF 1458

FOLDER TITLE:

Aspin, Les

Van Zbinden
2006-0999-F
vz329

RESTRICTION CODES

Presidential Records Act - [44 U.S.C. 2204(a)]

- P1 National Security Classified Information [(a)(1) of the PRA]
- P2 Relating to the appointment to Federal office [(a)(2) of the PRA]
- P3 Release would violate a Federal statute [(a)(3) of the PRA]
- P4 Release would disclose trade secrets or confidential commercial or financial information [(a)(4) of the PRA]
- P5 Release would disclose confidential advice between the President and his advisors, or between such advisors [(a)(5) of the PRA]
- P6 Release would constitute a clearly unwarranted invasion of personal privacy [(a)(6) of the PRA]

C. Closed in accordance with restrictions contained in donor's deed of gift.

PRM. Personal record misfile defined in accordance with 44 U.S.C. 2201(3).

RR. Document will be reviewed upon request.

Freedom of Information Act - [5 U.S.C. 552(b)]

- b(1) National security classified information [(b)(1) of the FOIA]
- b(2) Release would disclose internal personnel rules and practices of an agency [(b)(2) of the FOIA]
- b(3) Release would violate a Federal statute [(b)(3) of the FOIA]
- b(4) Release would disclose trade secrets or confidential or financial information [(b)(4) of the FOIA]
- b(6) Release would constitute a clearly unwarranted invasion of personal privacy [(b)(6) of the FOIA]
- b(7) Release would disclose information compiled for law enforcement purposes [(b)(7) of the FOIA]
- b(8) Release would disclose information concerning the regulation of financial institutions [(b)(8) of the FOIA]
- b(9) Release would disclose geological or geophysical information concerning wells [(b)(9) of the FOIA]

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MEMORANDUM FOR BETH NOLAN
ASSOCIATE COUNSEL TO THE PRESIDENT

KATHLEEN WHALEN
ASSOCIATE COUNSEL TO THE PRESIDENT

FROM: Wendy R. Laguarda

DATE: April , 1995

CANDIDATE: Les Aspin

POSITION: Chairman, Commission on the Roles and Capabilities
of the U.S. Intelligence Community

CONCLUSION: Assuming other background checks do not suggest a
contrary conclusion, this nomination may proceed.

This memorandum is based on my review of the attached file,
which contains the following:

XX SF 278 or SF 450;
SF 86;
WH PDS;
XX IRS Memorandum;
Vetting Report.

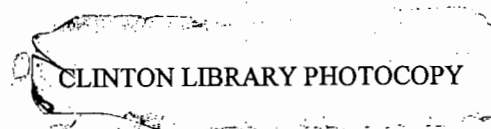
Process of Review

I have reviewed Mr. Aspin's SF-278 as submitted and other relevant information from the file with John Bellinger, General Counsel and Designated Agency Ethics Official (DAEO) of the Commission on the Roles and Capabilities of the U.S. Intelligence Community (Commission). We have discussed all of the necessary corrections to be made to the SF-278 and I've been assured that they will be made. Mr. Bellinger and I agree that Mr. Aspin's SF-278 disclosures present no insurmountable conflicts of interest with his proposed duties as Chairman of the Commission.

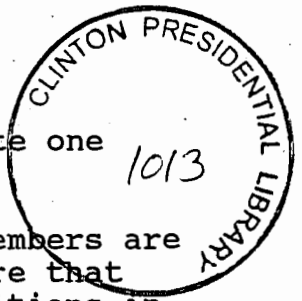
Conflicts Discussion

1. Background on the Commission

The Commission was established by Congress in 1994 to provide a comprehensive and independent review and evaluation of the activities of the U.S. intelligence community in the aftermath of the Cold War. The Commission is required to submit a series of reports to the congressional intelligence committees. The final report, also submitted to the President, is due no



later than March 1, 1996. The Commission shall terminate one month after the submission of its final report.



The Commission is comprised of 17 members. Nine members are appointed by the President from private life with no more than four of whom have previously held senior leadership positions in the intelligence community and no more than five of whom are members of the same political party. The "private life" members of the Commission are required to have demonstrated ability and accomplishment in government, business, law, academe, journalism, or other profession, and have a substantial background in national security matters. The President shall appoint two of the Members appointed from private life to serve as Chairman and Vice Chairman of the Commission.

Members of the Commission are appointed for the life of the Commission. Those members who are private citizens shall be paid, if requested, at a rate equal to the daily equivalent of the annual rate of basic pay for level V of the Executive Schedule under 5 U.S.C. § 5316 (including travel time) during which the member is engaged in the performance of the duties of the Commission. All members of the Commission also shall be reimbursed for travel expenses.

Members of the Commission are Special Government Employees (SGEs) who are subject to the Federal conflict of interest laws. Mr. Bellinger has informed me that because the Commission will be concerned with matters of broad policy there is little, if any, opportunity for the official duties of a member of the Commission to directly affect the member's personal financial interests.

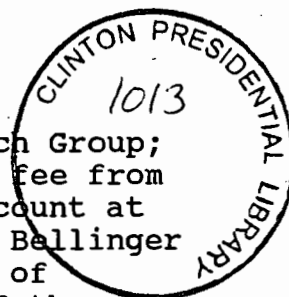
2. Employment of Les Aspin

✓ Mr. Aspin is a professor of international policy at Marquette University and a Counsel at the Center for Strategic and International Studies. He served as Secretary of Defense from 1993 to 1994. As the U.S. Representative from Wisconsin's 1st District, Mr. Aspin's congressional career spanned 22 years. He was Chairman of the House Armed Services Committee from 1985 to 1993. Prior to his election to the U.S. Congress in 1970, Mr. Aspin was a staff assistant to the chairman of President Kennedy's Council of Economic Advisors. He also serves as Chairman of the President's Foreign Intelligence Advisory Board. In accordance with the Commission's enabling statute, Mr. Aspin is qualified to serve on the Commission due to his accomplishments in Government and academe, which demonstrate his substantial background in national security matters.

3. Financial Interests

Listed assets on Schedule A, part I of the SF-278 include Mr. Aspin's salaries from Marquette University, Wisconsin Power

and Light, General Dynamics, CBS, and Washington Research Group; various stock holdings; various honoraria; a consulting fee from Kaufman (specify type of company); and an investment account at Crestar Bank, consisting of . Mr. Bellinger and I agree that none of the assets presents a conflict of interest with Mr. Aspin's proposed duties as Chairman of the Commission.



Mr. Aspin has no listed liabilities on Schedule C, part I of the SF-278. No other information contained in the file contradicts this representation.

4. Agreements or Arrangements

On Schedule C, part II of the SF-278, Mr. Aspin has listed a Letter of Agreement with Keppler Associates for exclusive representation of Les Aspin for speaking engagements.

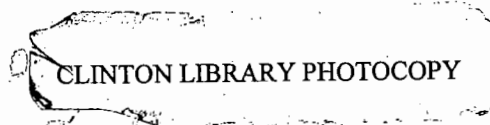
5. Outside Positions

Mr. Aspin has listed the following outside positions on Schedule D, part I of the SF-278: Chair at the Center for Strategic and International Studies (think tank); Member of Board of Trustees at Beloit College; Member of Land Systems Advisor Board at General Dynamics; Professorship at Marquette University; Member of Board of Directors and various committees at Wisconsin Power & Light Co.; Member of Board of Trustees at Hudson Institute (type of entity); Member of Board of Directors at George Washington University; Member of Board of Directors at Henry L. Stimson Center (describe entity); Member of the Trilateral Commission (describe); of Logistics Management Institute (describe); Advisory Board Member of the Boy Scouts of America; Member of the Committee of visitors of the Rand Graduate School of Policy Studies, Member of the Wiseman Council (describe); Commissioner of the Roles & Missions Commission (describe); Member of the Council on Foreign Relations (describe). Upon appointment to the Commission, Mr. Aspin intends to maintain his non-conflicting outside positions. According to Mr. Bellinger, Mr. Aspin's continuing affiliations will not cause conflict of interest concerns with his proposed duties as Chairman of the Commission.

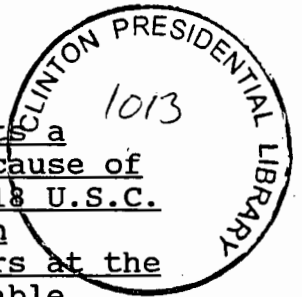
6. Outside Compensation

On Schedule D, part II of the SF-278, Mr. Aspin has listed the following sources of outside compensation: Marquette University (teaching salary); Wisconsin Power & Light (); General dynamics (); honoraria?

7. Ethics Agreement



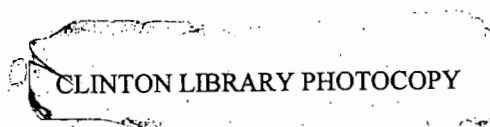
On Schedule A, part I of the SF-278, Mr. Aspin lists a salary from General Dynamics, a defense contractor. Because of the potential for conflicts of interest to arise under 18 U.S.C. § 208(a), Mr. Bellinger has advised Mr. Aspin by written memorandum of the need to recuse himself from any matters at the Commission that are likely to have a direct and predictable effect on his contract with General Dynamics (draft memorandum in file)?

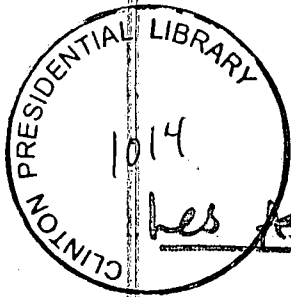


Other Potentially Relevant Information

In my opinion, there are no items contained in the file that may be of potential interest.

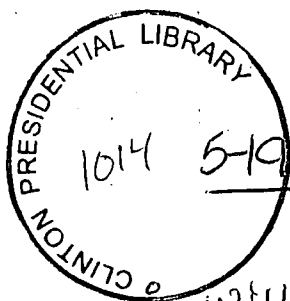
* * * *





les spin 278

- Schedule A attachments - add speech where appropriate
- find out if others are speeches?
 - Schedule A Creston acct:
any COI w/ stocks?
 - Sch D, pt 1 - clear # 12 in account → why "(?)"
 - Sch D, pt 2 - ^{Δ to} "nine" Wash. Speakers Bureau
or delete that language altogether ^(appears 2x)
 - Sch A^{p2} - what is Kaufman
" p3 - #24. what is it? + should it be on any other schedules?
#31 : add speech if it is one?
#38 : " "
#43 : " "
- and think if anything else needs to be added to another schedule?
- What does his new job consist of?
 - Sch D, pt II - overrptg on p9 re: Michigan Speech looks like ≤ 5000.



10/14 5-19 convo w/ Bellinger: what will do:

- will find out what Kaufman is
- Sch A → 38, 43 → will check on These
- 45 → " "
- "6/8" will check to see if "9" should be There

→ re: COI Qs →

Rand Corp Bd of Directors re: Rand

└ Grad School

→ Commission has consulting K
w/ Rand ^{Think Tank} (p 8, #11)



↙ He will resign from This

X

Agency will send me letter ^{from} ~~to~~ Aspin
to Rand Resigning

• p 8 #12: "7"

per
Bellinger:

Commission mandated by S to make recomm
to Cg + Pres. re: Role + Capabilities of Intell
Agency. 17 members. * Chair,
will direct meetings + product of
Comm. → That repts to P. + Cg on
3/1/96.

→ will look into Baird.